



Utah State  
Board of  
Education

Special  
Education  
Services

# SPECIAL EDUCATION RULES

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## INTRODUCTION

This document represents a revision of the previous Utah State Board of Education Special Education Rules (SpEd Rules), adopted by the Board in November 2022. These SpEd Rules provide requirements to which local educational agencies (LEAs), the Utah Schools for the Deaf and Blind (USDB), state-operated programs (including local juvenile and adult correctional facilities), and other public and private agencies providing publicly funded education and related services to students with disabilities must adhere.

These SpEd Rules are in compliance with the requirement outlined in the Individuals with Disabilities Education Act (IDEA) 2004 Regulations contained in 34 CFR § 300 and § 303 and updated with the applicable changes in the Every Student Succeeds Act (ESSA). Under the IDEA, the Utah State Board of Education (USBE) is responsible for ensuring the following things:

1. That the requirements of the IDEA are carried out, and
2. That each education program for students with disabilities administered within the State is under the general supervision of and meets the education standards of the USBE, including IDEA requirements.

These SpEd Rules are authorized by Utah Code Annotated (UCA) 53E-3-401(4), which allows the USBE to make rules to execute the USBE's duties and responsibilities under the Utah Constitution and State law and UCA 53E-3-501, which requires the USBE to establish rules governing services to children and youth with disabilities are incorporated by reference into the USBE Administrative Rules (Board Rules) by R277-750.

In addition to these disability-specific SpEd Rules, all other [USBE-approved Rules](#) apply for students with disabilities

In September 2019, the USBE adopted a Strategic Direction which includes four goals:

1. Early Learning: Each student starts strong through early grades with a foundation in literacy and numeracy;
2. Personalized Teaching & Learning: Each student and educator has access to personalized teaching and learning experiences;

3. Safe and Healthy Schools: Each student learns in a safe and healthy school environment; and
4. Effective Educators and Leaders: Each student is taught by effective educators who are supported by effective school leaders.

These SpEd Rules address not only the requirements of the IDEA (including updates in the ESSA), but also State-specific requirements (in alignment with Board Rules and the Strategic Direction) to ensure that Utah students with a disability receive a free appropriate public education (FAPE) while also being held to high expectations and successful outcomes through the receipt of high quality instruction. These SpEd Rules have gone through a thorough review process that included multiple opportunities for stakeholder engagement, resulting in this comprehensive document.

These SpEd Rules refer to “parent or student who is an adult.” This is to demonstrate that the rights afforded to a parent under the procedural safeguards of the IDEA transfer to a student with disabilities upon reaching age 18.

## I. GENERAL PROVISIONS

### I.A. PURPOSES (34 CFR § 300.1)

1. The primary purposes of these SpEd Rules, consistent with Utah Code Annotated (UCA) Title 53E, Chapter 7, Part 2, Special Education Program; and the Individuals with Disabilities Education Improvement Act (IDEA), as amended; are:
  - a. To ensure that all students with disabilities ages 3–21 in Utah, including students with disabilities who have been suspended or expelled from school and students who have not graduated from high school with a regular high school diploma, have available to them a free appropriate public education;
  - b. (FAPE) that emphasizes special education and related services, as specified on an Individualized Education Program (IEP) designed to meet their unique needs and prepare them for further education, employment, and independent living;
  - c. To ensure that the rights of students with disabilities and their parent(s) are protected;
  - d. To ensure that State standards are established for the provision of a FAPE to students with disabilities, as defined in these SpEd Rules;
  - e. To assess and ensure the effectiveness of efforts to educate students with disabilities; and
  - f. To provide a system for State reimbursement for disabilities program costs authorized under Utah school finance laws.

### I.B. AUTHORITY

1. These SpEd Rules as authorized under Part B of the IDEA as amended (20 USC §1401 et. seq.), and its implementing regulations, 34 CFR § 300.1 et. seq., and UCA 53E-7-204 have been adopted by the USBE.

**I.C. APPLICABILITY (34 CFR § 300.2)**

1. These SpEd Rules are applicable to all public agencies within the State of Utah that are involved in the education of students with disabilities, including:
  - a. The Utah State Board of Education (USBE);
  - b. Local education agencies (LEAs) that are school districts and charter schools;
  - c. Other State agencies, such as the Department of Human Services;
  - d. Other State schools, such as the Utah Schools for the Deaf and the Blind; and
  - e. State and local juvenile and adult correctional facilities.
2. These SpEd Rules are binding on each public agency in Utah that provides special education and related services for students with disabilities, regardless of whether that agency is receiving funds under Part B. This includes private agencies serving students with disabilities using public funds.
3. Each public agency in the State shall ensure that a FAPE is available to any individual student with a disability, ages 3–21, who needs special education and related services, including students with disabilities who have been suspended or expelled from school and students who are advancing from grade to grade (34 CFR § 300.101(c)).
4. Each public agency in the State is responsible for ensuring that the rights and protections under these SpEd Rules are given to students with disabilities referred to or placed in private schools and facilities by that public agency, or placed in private schools by their parent(s), when FAPE is at issue.

**I.D. AMENDMENTS**

1. Any proposed changes in these SpEd Rules shall be in accordance with the provisions of the Utah Administrative Rulemaking Act (Utah Administrative Code R15-4, Administrative Rule Making Procedures).

**I.E. DEFINITIONS AS USED IN THESE SPED RULES (34 CFR §§ 300.4–300.45)**

1. *Adapted Physical Education* means specially designed physical education, as described in the student's IEP.

2. *Adaptive behavior* means the effectiveness or degree to which the individual meets the standards of personal independence and social responsibility expected of students of comparable age and cultural group.
3. *Alternate Achievement Standards, the Essential Elements*, are specific statements of knowledge and skills linked to the grade-level expectations identified in the Utah Core Standards for students with significant cognitive disabilities.
  - a. The purpose of the Essential Elements is to build a bridge from the content in the Utah Core Standards to grade-level academic expectations for students with the most significant cognitive disabilities by adapting the depth, breadth, and complexity of the Utah Core Standards against which students with significant cognitive disabilities will be measured.
  - b. Other alternate or modified academic achievement standards are prohibited.
4. *Assistive technology device* means any item, piece of equipment, or product system, whether acquired commercially off the shelf, modified, or customized, that is used to increase, maintain, or improve the functional capabilities of a student with a disability. The term does not include a medical device that is surgically implanted, or the replacement of such a device.
5. *Assistive technology service* means any service that directly assists a student with a disability in the selection, acquisition, or use of an assistive technology device. The term includes:
  - a. Evaluating the needs of a student with a disability, including a functional evaluation of the student in the student's customary environment.
  - b. Purchasing, leasing, or otherwise providing for the acquisition of assistive technology devices by students with disabilities.
  - c. Selecting, designing, fitting, customizing, adapting, applying, maintaining, repairing, or replacing assistive technology devices.
  - d. Coordinating and using other therapies, interventions, or services with assistive technology devices, such as those associated with existing education and rehabilitation plans and programs.
  - e. Training or technical assistance for a student with a disability or, if appropriate, that student's family.

- f. Training or technical assistance for professionals (including individuals providing education or rehabilitation services), employers, or other individuals who provide services to, employ, or are otherwise substantially involved in the major life functions of students with disabilities.
6. *Behavior Intervention Plan (BIP)* means a component of a student's educational program or IEP designed to address behaviors that interfere with the student's learning or that of others and behaviors that are inconsistent with school expectations, based on the results of a functional behavior assessment (FBA). A BIP, includes:
- a. A description of the student's strengths, interests, and reinforcer preferences;
  - b. An operational definition of the problem behavior, written clearly enough for an unfamiliar person to reliably measure the behavior's occurrence;
  - c. A replacement behavior the team will teach the student which matches the function of the problem behavior as determined by a functional behavior assessment (FBA);
  - d. Descriptions of antecedent strategies used to reduce the occurrence of the problem behavior and/or increase the occurrence of the replacement behavior;
  - e. Descriptions of reinforcing consequence strategies used to reward occurrences of the replacement behavior and/or other appropriate alternative behavior(s);
  - f. Description of intervention effectiveness data to be used to monitor the student's progress, including the type of data that will be collected and a schedule for ongoing collection and analysis of progress data;
  - g. The date the team will reconvene to review progress data;
  - h. The effective start date for the BIP and description of any necessary training and/or materials needed to implement the plan with fidelity by the start date;
  - i. Descriptions of reductive consequence strategies used in response to occurrences of the problem behavior; and



- j. If applicable, any safety protocols necessary to protect the student and/or others. Safety protocols must be immediately communicated to all team members including substitute teachers (e.g., additional supervision in specific routines, modified or alternative materials needed for safety).
7. *Career and Technical Education (CTE)* means organized educational programs that are directly related to the preparation of individuals for paid or unpaid employment, or for additional preparation for a career requiring certification or licensure other than a baccalaureate or advanced degree.
8. *Charter school* (20 USC § 7221i; UCA 53G-5-404) means a public school that functions as an LEA, unless it is a school of an LEA, that:
- a. Is exempt from significant State or local rules that inhibit the flexible operation and management of public schools, but not from any rules relating to the other requirements of this paragraph;
  - b. Is created by a developer as a public school, or is adapted by a developer from an existing public school, and is operated under public supervision and direction;
  - c. Operates in pursuit of a specific set of educational objectives determined by the school's developer and agreed to by the authorized public chartering agency, provides a program of elementary or secondary education, or both;
  - d. Is nonsectarian in its programs, admissions policies, employment practices, and all other operations, and is not affiliated with a sectarian school or religious institution;
  - e. Does not charge tuition;
  - f. Complies with the Age Discrimination Act of 1975, Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, Section 504 of the Rehabilitation Act of 1973, and Part B of the IDEA;
  - g. Is a school to which parent(s) choose to send their students, and that admits students on the basis of a lottery, if more students apply for admission than can be accommodated;

- h. Agrees to comply with the same Federal and State audit requirements as do other elementary schools and secondary schools in the State, unless such requirements are specifically waived for the purpose of this program;
  - i. Meets all applicable Federal, State, and local health and safety requirements;
  - j. Operates in accordance with State law, including but not limited to UCA 53G-5-404; and
  - k. Has a written performance contract with the authorized public chartering agency in the State that includes a description of how student performance will be measured in charter schools pursuant to State assessments that are required of other schools and pursuant to any other assessments mutually agreeable to the authorized public chartering agency and the charter school.
9. *Consent* means that:
- a. The parent or student who is an adult has been fully informed of all information relevant to the activity for which consent is sought, in the parent's or student's, who is an adult, native language, or other mode of communication.
  - b. The parent or student who is an adult understands and agrees in writing to the carrying out of the activity for which the parent's or student's, who is an adult consent is sought, and the consent describes that activity and lists the records (if any) that will be released and to whom.
  - c. The parent or student who is an adult understands that the granting of consent is voluntary on the part of the parent or student who is an adult and may be revoked at any time. If a parent or student who is an adult revokes consent, that revocation is not retroactive (i.e., it does not negate an action that has occurred after the consent was given and before the consent was revoked).
  - d. If the parent or student who is an adult revokes consent in writing for the student's receipt of special education and related services, the public agency is not required to amend the student's education records to remove any references to the student's receipt of special education and related services because of the revocation of consent.

10. *Co-teaching* means an instructional arrangement in which a licensed general education teacher and a licensed special education teacher deliver core instruction to all students along with specially designed instruction to students with disabilities in a single learning environment. Co-teaching partnerships require educators to make joint instructional decisions and share responsibility and accountability for the learning of all the students (students with disabilities and students who are nondisabled) in the class.
11. *Day, business day, and school day* mean:
  - a. *Day* means a calendar day unless otherwise indicated as a business day or a school day;
  - b. *Business day* means a day on or between Monday through Friday, except for a day that is a Federal and State holidays; and
  - c. *School day* means any day, including a partial day, on which students are in attendance at school for instructional purposes, and has the same meaning for all students in school, including students with and without disabilities.
  - d. *Shortened school day*, as used in these Rules, means a student's school day is reduced solely by school personnel in response to the student's behavior for disciplinary purposes, rather than the student's IEP Team or placement team, for that student to receive FAPE. In general, the use of informal removals to address a student's behavior, if implemented repeatedly throughout the school year, could constitute a disciplinary removal from the current placement.
12. *Discipline* means the consequences a school imposes on a student who violates a school's code of student conduct or rules as determined by school personnel. The term *discipline* as used in these SpEd Rules does not include the use of corporal punishment which is prohibited by UCA 53G-8-302.
13. *Elementary school* means a nonprofit institutional day or residential school, including a public elementary charter school, that provides elementary education, as determined under State law.
14. *English learner* or *EL Student* means a student who is learning English as a second language. (Board Rules R277-404).

15. *Equipment* means machinery, utilities, built-in equipment, and any necessary enclosures or structures to house the machinery, utilities or equipment; and all other items necessary for the functioning of a particular facility as a facility for the provision of educational services, including items such as instructional equipment and necessary furniture; printed, published and audiovisual instructional materials; telecommunications, sensory and other technological aids and devices; and books, periodicals, documents, and other related materials.
16. *Evaluation* means procedures used in accordance with these SpEd Rules to determine whether a student has a disability under the IDEA, and the nature and extent of the special education and related services that the student needs.
17. *Evidence-based instruction* means activities that have a research-based rationale but lack direct empirical support—provided, that is, that they are accompanied by “ongoing efforts to examine the effects” of the activity on important student outcomes.
18. *Extended school year (ESY) services* means special education and related services that:
  - a. Are provided to a student with a disability:
    - (1) Beyond the normal school year of the LEA;
    - (2) In accordance with the student’s IEP;
    - (3) At no cost to the parent(s) of the student with a disability or to the student with a disability who is an adult, and
  - b. Meet the standards of the USBE (34 CFR § 300.106).
19. *Federal administrative responsibilities* means any administrative responsibility or obligation established or imposed under Part B of the IDEA and its implementing regulations or under the General Education Provisions Act (GEPA) or its implementing regulations (20 USC § 1401 et. seq.; 34 CFR § 300, 34 CFR § 76).
20. *Free appropriate public education (FAPE)* means special education and related services that:

- a. Are provided at public expense, under public supervision and direction, and without charge;
- b. Meet the standards of the USBE and Part B of the IDEA;
- c. Include preschool, elementary school, and secondary school education in Utah; and
- d. Are provided in conformity with an IEP that meets the requirements of Part B of the IDEA and these SpEd Rules.

21. *Functional behavior assessment (FBA)* is a systematic process used to understand the function and purpose of a student's specific, interfering behavior and factors that contribute to the behavior's occurrence and non-occurrence for the purpose of developing effective positive behavioral interventions, supports, and other strategies to mitigate or eliminate the interfering behavior.

- a. FBA must involve direct observation of the student engaging in the problem behavior, with descriptive information recorded about the behavior, its antecedents, and its consequences. FBA may also involve methods of indirect assessment, including:
  - (1) Interview measures designed to yield information about the function of the student's problem behavior conducted with the parent(s)/guardian(s), teacher(s), related service providers, other school staff familiar with the student's behaviors, and/or the student;
  - (2) Checklist measures designed to yield information about the function of the student's problem behavior conducted with the parent(s)/guardian(s), teacher(s), related service providers, other school staff familiar with the student's behaviors, and/or the student; and
  - (3) Review of relevant student records regarding patterns of behavior, previous interventions, and/or other information which may be analyzed to develop a hypothesis about the function of the problem behavior.
- b. FBA should produce four main results:

- (1) Baseline data on the problem behavior's occurrence using an appropriate quantitative measure such as frequency, duration, latency, percent of opportunities, and/or rating scale.
  - (2) Data gathered from direct observation (and, if applicable, indirect assessment) that includes:
    - (a) An operational definition of the problem behavior, written clearly enough for an unfamiliar person to reliably measure the behavior's occurrence,
    - (b) Descriptions of the antecedent events that reliably precede the problem behavior,
    - (c) Descriptions of the consequent events that reliably follow the behavior.
  - (3) Description of the possible function(s) of the problem behavior determined by analyzing all information obtained during the assessment.
  - (4) A hypothesis statement summarizing the following features of the problem behavior:
    - (a) Antecedents,
    - (b) Operational definition of the problem behavior,
    - (c) Reinforcing consequences, and
    - (d) The function(s) maintaining the behavior.
- c. FBA should facilitate the development of a Behavior Intervention Plan (BIP).
22. *Functional Performance* means activities and skills that are not considered academic or related to a student's academic achievement as measured on Statewide achievement tests. Functional Performance includes areas such as behavioral and mental health factors that impact the involvement and progress by a student with a disability in the general education curriculum.
23. *General curriculum* means the same grade-level core curriculum as that provided for students who are nondisabled (i.e., the Utah Core Standards).

24. *IDEA* means the Individuals with Disabilities Education Improvement Act as amended (20 USC § 1401 et. seq.), and its implementing regulations (34 CFR § 300 et. seq.). Part B of the IDEA applies to students ages 3–21 and Part C of the IDEA (early intervention) applies to children ages 0–2 and is implemented by 34 CFR § 303.
25. *Include* means that the items named are not all of the possible items that are covered, whether like or unlike the ones named.
26. *Individualized Education Program (IEP)* means a written statement for a student with a disability that is developed, reviewed, and revised in accordance with Part B of the IDEA and these SpEd Rules.
27. *IEP Team* means a group of individuals that is responsible for developing, reviewing, or revising an IEP for a student with a disability. The required team members are the parent of the student or a student who is an adult, an LEA representative, not less than one general education teacher of the student (if the student is, or may be, participating in the general education environment), not less than one special education teacher of the student or, where appropriate, not less than one special education provider of the student, and a person who can interpret the instructional implications of evaluation results, who may be a member of the team listed above. For students of postsecondary transition age, the student must be invited to attend (34 CFR § 300.321).
28. *Inclusion* means inclusion and acceptance of students with disabilities is ensuring each student is valued as a visible member of the school community with equal opportunities to contribute by creating conditions for active, meaningful participation with:
- a. Interaction and engagement age-appropriate peers;
  - b. Systems that promote high expectations and learner agency for each student;
  - c. Grade/age-appropriate core content standards, curricular materials, and resources; and
  - d. Availability of customized supports **services and supports** including IEP-Team determined services across all educational settings, including extra-curricular activities,

- (1) To the maximum extent possible and appropriate in the least restrictive environment.
29. *Individualized Family Service Plan (IFSP)* means the individualized plan of services for eligible children and their families under Part C (early intervention ages 0–2) of the IDEA.
30. *Interim alternative educational setting (IAES)* means an appropriate setting determined by the student’s IEP Team or a hearing officer in which the student is placed for no more than 45 school days. This setting enables the student to continue to receive educational services so as to enable them to participate in the general education curriculum (although in another setting) and progress toward meeting the goals set out in the IEP. As appropriate, the setting includes provision of an FBA, and behavioral intervention services and modifications to address the behavior violation so that it does not recur.
31. *Least restrictive environment (LRE)* means that, to the maximum extent appropriate, students with disabilities, including students in public or private institutions or other care facilities, are educated with students who are not disabled. Special classes, separate schooling, or other removal of students with disabilities from the regular educational environment occurs only if the nature or severity of the disability is such that education in regular classes with the use of supplementary aids and services cannot be achieved satisfactorily.
32. *Local education agency (LEA)* means the Utah school districts, the Utah Schools for the Deaf and the Blind (USDB), and all Utah public charter schools that are established under State law that are not schools of an LEA.
33. *Multi-tiered System of Supports (MTSS)* means a comprehensive continuum or framework for implementing systemic, evidence-based practices to maximize student achievement in academics and behavior in preparation for and leading to College and Career Readiness. The MTSS model includes Universal, Targeted, and Intensive levels of support. Universal (Tier One) represents those supports provided to all students. Tier One practices should be implemented with fidelity prior to addressing practices for Tier Two or Three. Targeted (Tier Two) represents additional supports provided to remediate or accelerate student success. Intensive (Tier Three) represents individually-responsive supports that are intended to further remediate or accelerate student success and do not necessarily equate to special education services. Individually-



responsive supports, designed to achieve rapid response, are developed based on individual need through data-based instructional decision making but may be provided in a small group or individual format. Tier Two and Three supports are provided in addition to, not in place of, Tier One instruction.

34. *Native language* means:

- a. When used with respect to an individual with limited English proficiency, the language normally used by that individual; or, in the case of a student who is not an adult, the language normally used by the parent(s) of the student in all direct contact with a student, including evaluation of the student; the language normally used by the student in the home or learning environment.
- b. For an individual with deafness or blindness, or for an individual with no written language, the mode of communication is that normally used by the individual (such as sign language, braille, or oral communication).

35. *Paraeducator* means a school employee who has been trained and who works under the supervision of teachers or other professionally-licensed or certified practitioners to support and assist in providing instruction and other services to students. Paraeducators are sometimes referred to as paraprofessionals (Board Rules R277-324).

36. *Parent* means a biological or adoptive parent; a guardian, but not the State if the student is a ward of the State; a person acting in the place of a parent of a student (such as a grandparent, stepparent, or other relative) with whom the student lives; a person who is legally responsible for the student's welfare; an adult with power of attorney or other legal authority to make educational decisions, or a surrogate parent who has been appointed in accordance with these SpEd Rules.

- a. In accordance with State law, a foster parent may act as a parent under Part B of the IDEA if the following four conditions are met:
  - (1) The biological or adoptive parent's authority to make educational decisions on the student's behalf has been extinguished under State law.
  - (2) The foster parent has an ongoing, long-term parental relationship with the student.

- (3) The foster parent is willing to make the educational decisions required of parent(s) under these SpEd Rules.
  - (4) The foster parent has no interest that would conflict with the interests of the student. The biological or adoptive parent, when attempting to act as the parent and when more than one party is qualified to act as a parent, must be presumed to be the parent unless said parent does not have legal authority to make educational decisions for the student. If a judicial decree or order identifies a specific person or persons to act as the “parent” of a student or to make educational decisions on behalf of a student, then such person or persons shall be determined to be the parent for purposes of these SpEd Rules.
37. *Personally identifiable information (PII)* means information which must be maintained securely and which includes:
- a. The name of the student, the student’s parent, or other family member.
  - b. The address of the student.
  - c. A personal identifier, such as the student’s social security number or student number.
  - d. A list of personal characteristics or other information that would make it possible to identify the student with reasonable certainty.
38. *Physical education* means the development of:
- a. Physical and motor fitness.
  - b. Fundamental motor skills and patterns.
  - c. Skills in aquatics, dance, and individual and group games and sports (including intramural and lifetime sports).
  - d. Physical education includes specialized physical education, adapted physical education, movement education, and motor development.
39. *Postsecondary Transition services* means a coordinated set of activities for a student with a disability that:
- a. Is designed to exist within a results-oriented process, and is focused on improving the academic and functional achievement of the student with a

disability to facilitate the student's movement from school to post-school activities, including postsecondary education, vocational education, competitive integrated employment (including supported employment), continuing and adult education, adult services, independent living, or full community participation;

- b. Is based on the individual student's needs, taking into account the student's strengths, preferences, and interests; and includes instruction, related services, community experiences, the development of employment and other post-school adult living objectives and, if appropriate, acquisition of daily living skills and provision of a functional vocational evaluation.
- c. May be special education, if provided as specially designed instruction, or a related service, if required to assist a student with a disability to benefit from special education.

40. *Qualified eye care professional* means a licensed ophthalmologist or licensed optometrist.

41. *Qualified health professional* means an individual who has the requisite training and licensure and functions in the role of providing medical information to the school evaluation group consistent with the individual's professional license. This person could be the student's physician, nurse, or other healthcare professional.

42. *Qualified mental health professional* means an individual who has the requisite training and licensure and functions in the role of providing developmental and mental health information to the school evaluation group consistent with the individual's professional license. This person could be the student's psychologist, school psychologist or social worker.

43. *Qualified personnel* means personnel who have met USBE-approved or USBE-recognized certification, licensing, registration, paraeducator qualification standards, or other comparable requirements that apply to the area in which the individuals are providing special education or related services.

44. *Regular high school diploma* means a standard high school diploma that is fully aligned with State standards. A regular high school diploma may not be aligned to alternate academic achievement standards (i.e., Essential Elements).

45. *Related services* means transportation and such developmental, corrective, and other supportive services as are required to assist a student with a disability to benefit from special education, and include speech language pathology and audiology services; interpreting services; psychological services; physical and occupational therapy; recreation, including therapeutic recreation; early identification and assessment of disabilities in students; counseling services, including rehabilitation counseling; orientation and mobility services; and medical services for diagnostic or evaluation purposes. Related services also include school health services, school nurse services, social work services in schools, and parent counseling and training.
- a. Exception: services that apply to students with surgically implanted devices, including cochlear implants.
  - b. Related services do not include a medical device that is surgically implanted, the optimization of that device's functioning (e.g., mapping), maintenance of that device, or the replacement of that device.
  - c. Nothing in this section:
    - (1) Limits the right of a student with a surgically implanted device (e.g., cochlear implant) to receive related services that are determined by the IEP Team to be necessary for the student to receive a FAPE.
    - (2) Limits the responsibility of a public agency to appropriately monitor and maintain medical devices that are needed to maintain the health and safety of the student, including breathing, nutrition, or operation of other bodily functions, while the student is transported to and from school or is at school; or
    - (3) Prevents the routine checking of an external component of a surgically implanted device to make sure it is functioning properly.
  - d. The related services are defined as follows:
    - (1) *Audiology* means services provided by or supervised by a qualified audiologist and includes:
      - (a) Identification of students with hearing loss;

- (b) Determination of the range, nature, and degree of hearing loss, including referral for medical or other professional attention for the habilitation of hearing;
  - (c) Provision of habilitative activities, such as language habilitation, auditory training, speech reading (lip-reading), hearing evaluation, and speech conservation;
  - (d) Creation and administration of programs for prevention of hearing loss;
  - (e) Counseling and guidance of students, parent(s), and teachers regarding hearing loss; and
  - (f) Determination of students' needs for group and individual amplification, selecting and fitting an appropriate aid, and evaluating the effectiveness of amplification.
- (2) *Counseling services* means services provided by qualified social workers, school psychologists, school counselors, or other qualified personnel consistent with Board Rules R277-313.
- (3) *Early identification and assessment of disabilities in students* means the implementation of a formal plan for identifying a disability as early as possible in a student's life.
- (4) *Interpreting services* means services provided by qualified personnel and includes, with respect to students who are deaf or hard of hearing, oral transliteration services, cued language transliteration services, sign language transliteration and interpreting services, and transcription services, such as communication access real-time translation (CART), C-Print, and TypeWell; and special interpreting services for students who are deafblind.
- (5) *Medical services* mean services provided by a licensed physician to determine a student's medically-related disability that results in the student's need for special education and related services.
- (6) *Occupational therapy* means services provided by or supervised by a qualified occupational therapist, and includes:

- (a) Improving, developing, or restoring functions impaired or lost through illness, injury, or deprivation;
  - (b) Improving ability to perform tasks for independent functioning if functions are impaired or lost; and
  - (c) Preventing, through early intervention, initial or further impairment or loss of function.
- (7) *Orientation and mobility services* means services provided to students with blindness or visual impairment by qualified personnel to enable those students to attain systematic orientation to and safe movement within their environments in school, home, and community, and includes teaching students the following, as appropriate:
- (a) Spatial and environmental concepts and use of information received by the senses (such as sound, temperature and vibrations) to establish, maintain, or regain orientation and line of travel (e.g., using sound at a traffic light to cross the street);
  - (b) To use the long cane or a service animal to supplement visual travel skills or as a tool for safely negotiating the environment for students with no available travel vision;
  - (c) To understand and use remaining vision and distance low vision aids; and
  - (d) Other concepts, techniques, and tools.
- (8) *Parent counseling and training* means assisting parent(s) in understanding the special needs of their student, providing parent(s) with information about child development, and helping parent(s) to acquire the necessary skills that will allow them to support the implementation of their student's IEP.
- (9) *Physical therapy* means services provided by or supervised by a qualified physical therapist.
- (10) *Psychological services* means services provided by a qualified psychologist or school psychologist and include:

- (a) Administering psychological and educational tests, and other assessment procedures;
- (b) Interpreting assessment results;
- (c) Obtaining, integrating, and interpreting information about student behavior and conditions relating to learning;
- (d) Consulting with other staff members in planning school programs to meet the special educational needs of students as indicated by psychological tests, interviews, direct observation, and behavioral evaluations;
- (e) Planning and managing a program of psychological services, including psychological counseling for students and parent(s); and
- (f) Assisting in developing positive behavior intervention strategies.

(11) *Recreation* includes:

- (a) Assessment of leisure function;
- (b) Therapeutic recreation services;
- (c) Recreation programs in schools and community agencies; and
- (d) Leisure education.

(12) *Rehabilitation counseling services* means services provided by qualified personnel in individual or group sessions that focus specifically on career development, employment preparation, achieving independence, and integration in the workplace and community of a student with a disability. The term also includes vocational rehabilitation services provided to a student with a disability by vocational rehabilitation programs funded under the Rehabilitation Act of 1973, as amended (29 USC § 701 et seq.).

(13) *School health services* and *school nurse services* means health services that are designed to enable a student with a disability to receive a FAPE as described in the student's IEP. School nurse services are services provided by a qualified school nurse. School health services

are services that may be provided by either a qualified school nurse or other qualified person.

(14) *Social work services* in schools include:

- (a) Preparing a social or developmental history on a student with a disability;
- (b) Group and individual counseling with the student and family;
- (c) Working in partnership with parent(s) or student who is an adult and others on those problems in a student's living situation (home, school, and community) that affect the student's adjustment in school;
- (d) Mobilizing school and community resources to enable the student to learn as effectively as possible in the student's educational program; and
- (e) Assisting in developing positive behavior intervention strategies.

(15) *Speech language pathology services* means services provided by or under the supervision of a qualified speech language pathologist and include:

- (a) Identification of students with speech or language impairments;
- (b) Diagnosis and appraisal of specific speech or language impairments;
- (c) Referral for medical or other professional attention necessary for the habilitation of speech or language impairments;
- (d) Provision of speech and language services for the habilitation or prevention of communicative impairments; and
- (e) Counseling and guidance of parent(s) or student who is an adult, students, and teachers regarding speech and language impairments.

(16) *Transportation* includes:

- (a) Travel to and from school and between schools;
- (b) Travel in and around school buildings; and



- (c) Specialized equipment (such as special or adapted buses, lifts, and ramps), if required to provide special transportation for a student with a disability.

46. *Science of Reading* means a corpus of objective investigation and accumulation of reliable evidence about how humans learn to read and how reading should be taught. The evidence-based elements the science of reading has identified include: oral language skills, concepts of print, phonological awareness, phonemic awareness, alphabet letters and sounds, phonics, morphological analysis, spelling, fluency, vocabulary development, background knowledge, comprehension strategies, text discussion, and writing.

47. *Scientifically-based research* means research that involves the application of rigorous, systematic, and objective procedures to obtain reliable and valid knowledge relevant to education activities and programs, and includes research that:

- a. Employs systematic, empirical methods that draw on observation or experiment;
- b. Involves rigorous data analyses that are adequate to test the stated hypotheses and justify the general conclusions drawn;
- c. Relies on measurements or observational methods that provide reliable and valid data across evaluators and observers, across multiple measurements and observations, and across studies by the same or different investigators;
- d. Is evaluated using experimental or quasi-experimental designs in which individuals, entities, programs, or activities are assigned to different conditions and with appropriate controls to evaluate the effects of the condition of interest, with a preference for random-assignment experiments, or other designs to the extent that those designs contain within-condition or across-condition controls;
- e. Ensures that experimental studies are presented in sufficient detail and clarity to allow for replication or, at a minimum, offer the opportunity to build systematically on their findings; and

- f. Has been accepted by a peer-reviewed journal or approved by a panel of independent experts through a comparably rigorous, objective, and scientific review.
48. *Secondary school* means a nonprofit institutional day or residential school, including a public secondary charter school, that provides secondary education, as determined under State law, except that it does not include any education beyond grade 12. Board Rules R277-410 requires schools with grades nine and above to be accredited.
49. *Service animal* (UCA 62A-5b-1024) means any dog that is individually trained to do work or perform tasks for the benefit of an individual with a disability. The work or tasks performed must be directly related to the handler's disability. Emotional support animals are not service animals.
50. *Special education* means specially designed instruction, at no cost to the parent(s) or the student who is an adult, to meet the unique needs of a student with a disability, including instruction conducted in the classroom, in the home, in hospitals and institutions, and in other settings; and instruction in physical education. The term includes speech language pathology services and may include other related services, travel training, and applied technology education, if they meet the definition of special education. Special education services are services provided to the student, and do not include consultation between teachers or monitoring a student's grades or work completion.
- a. *At no cost* means that all specially designed instruction is provided without charge but does not preclude incidental fees that are normally charged to students who are nondisabled or their parent(s) as part of the regular education program.
51. *Specially designed instruction (SDI)* means adapting, as appropriate to the needs of a student who is eligible under these SpEd Rules, the content, methodology, or delivery of instruction in order to:
- a. Address the unique needs of the student that result from the student's disability; and
  - b. Ensure access of the student to the general curriculum, so that the student can meet the educational standards within the jurisdiction of the LEA that apply to all students.

52. *Speech-Language Technician* is a license area of concentration connected to an associate or professional license as outlined in Board Rules R277-301.
53. *State educational agency (SEA)* means the staff of the Utah State Board of Education.
54. *Student with a disability* means a student, ages 3–21, evaluated in accordance with these SpEd Rules as having autism, a speech or language impairment, deafblindness, an emotional-behavioral disability, a hearing loss including deafness, an intellectual disability, multiple disabilities, an orthopedic impairment, an other health impairment, a specific learning disability, a traumatic brain injury, a visual impairment including blindness, or a student ages 3–8 (until the student’s ninth birthday) experiencing developmental delays whose disability affects the student’s educational performance and who, by reason thereof, needs special education and related services.
- a. If it is determined, through an appropriate evaluation under these SpEd Rules, that a student has one of the disabilities identified above, but the student only needs related services and not special education (defined as specially designed instruction), the student is not a student with a disability who is eligible under these SpEd Rules.
  - b. If, consistent with the definition of special education under these SpEd Rules I.E.50, the related service required by the student is considered special education rather than a related service under these SpEd Rules, the student would be determined to be a student with a disability.
55. *Student Experiencing Homelessness* means an individual who lacks a fixed, regular, and adequate nighttime residence (McKinney-Vento Homeless Assistance Act, 42 USC § 11434a; Board Rules R277-616), including:
- a. Children and youth who are sharing the housing of other persons due to loss of housing, economic hardship, or a similar reason; are living in motels, hotels, trailer parks, or camping grounds due to the lack of alternative adequate accommodations are living in emergency or transitional shelters; are abandoned in hospitals; or are awaiting foster care placement;

- b. Children and youth who have a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings;
  - c. Children and youth who are living in cars, parks, public spaces, abandoned buildings, substandard housing, bus or train stations, or similar settings;
  - d. Migratory children who qualify as experiencing homelessness because they are living in circumstances described above; and
  - e. Unaccompanied youth—that is, a youth not in the physical custody of a parent or guardian.
56. *Student who is an adult* means a student who is 18 years of age and older whose educational rights have not been legally transferred to another adult through guardianship, power of attorney, or other means.
57. *Supplementary aids and services* means aids, services, and other supports that are provided in regular education classes or other education-related settings to enable students with disabilities to be educated with students who are nondisabled to the maximum extent appropriate in accordance with these SpEd Rules, including the LRE requirements (SpEd Rules III.P.–S.).
58. *Travel training* means instruction, as appropriate, to students with significant cognitive disabilities, and any other students with disabilities who require this instruction, to enable them to:
- a. Develop an awareness of the environment in which they live.
  - b. Learn the skills necessary to move effectively and safely from place to place within that environment (e.g., in school, in the home, at work, and in the community).
59. *Ward of the State* means a child who, as determined by the state where the child resides, is a foster child, a ward of the state, or in the custody of a public child welfare agency. The definition of Ward of the State does not include a foster child who has a foster parent who meets the definition of a parent in SpEd Rule I.E.33. above.

## II. IDENTIFICATION, LOCATION, AND EVALUATION

### II.A. CHILD FIND SYSTEM (34 CFR §§ 300.109, 300.111)

1. In accordance with the requirements of Part B of the IDEA and with these SpEd Rules, each LEA and USDB shall develop policies and procedures to ensure that all students with disabilities residing within the jurisdiction of the LEA, including students with disabilities birth through 21 years of age and those attending private schools, regardless of the severity of their disability, and who are in need of special education and related services, are identified, located, and evaluated. The policies and procedures shall include a practical method for determining which students are currently receiving needed special education and related services and provide a process to reevaluate those who are found eligible within the three-year timeframe.
2. The requirements of this section apply to:
  - a. Highly mobile students with disabilities (such as students who are migrant and experiencing homelessness) (34 CFR § 300.111(c)(2)).
  - b. Students who have been suspended or expelled from school (34 CFR § 300.101(a)).
  - c. Students who have not graduated from high school with a regular high school diploma (34 CFR § 300.102(a)(3)(iii)).
  - d. Students who are suspected of being a student with a disability under these SpEd Rules and who are in need of special education and related services, even though they are advancing from grade to grade (34 CFR § 300.111(c)(1)).
  - e. Students who are homeschooled and students enrolled in private schools within the school district's boundaries.
  - f. Students in State custody/care.
  - g. Students in nursing homes.
3. Public charter schools are responsible for child find for students enrolled in their own school and have no responsibility for child find for students in

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private schools. Charter schools may not refer enrolled students to the local school district for child find.

4. The determination that a student is a “student with a disability” under these SpEd Rules must be made on an individual basis, by a group made up of the parent or student who is an adult and school personnel determined by the student’s LEA.
5. Major components of the child find system include:
  - a. LEA implementation, coordination, and tracking of child find activities and students identified, including students who are home schooled and students enrolled in private schools within the school district’s jurisdiction (34 CFR § 300.131).
  - b. USBE staff provision of ongoing technical assistance to LEAs, private schools, and other State agencies in implementing the child find system.
  - c. Implementation of the statewide data collection system for reporting student information, including Federal student count (34 CFR §§ 300.132, 300.640–641) and the data requirements found in these SpEd Rules VI.B.3., which includes that:
    - (1) Each school district must maintain in its records, and provide to the USBE staff annually, the following information related to parentally placed or student who is an adult nonprofit private school students:
      - (a) The number of students evaluated and reevaluated within three years;
      - (b) The number of students determined to be students with disabilities; and
      - (c) The number of students served.
  - d. School district collaboration and coordination with State and Local Department of Health, which has responsibility for providing early intervention services for infants and toddlers with disabilities, ages birth through two, under Part C of the IDEA (Interagency Agreement).

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6. The collection and use of data to meet the requirements of this section are subject to the confidentiality of information provisions under these SpEd Rules and Board Rules R277-487.

### II.B. REFERRAL (34 CFR § 300.301).

1. Consistent with the consent requirements in these SpEd Rules II.C., either a parent or the student who is an adult or an LEA may initiate a request for an initial evaluation to determine if a student is a student with a disability under Part B of the IDEA and these SpEd Rules. Upon receipt of a request for an evaluation, the LEA must respond within a reasonable timeframe. The response may not be delayed due to the LEA's Response to Intervention process.
2. Each LEA shall provide an initial special education assessment for children who enter the custody of the Division of Child and Family Services (DCFS), upon request by that division and the LEA obtains appropriate parental consent for the evaluation for children whose school records indicate that they may have disabilities requiring special education services.

### II.C. PARENTAL CONSENT (34 CFR § 300.300).

1. Parental consent for initial evaluation.
  - a. The LEA proposing to conduct an initial evaluation to determine if a student qualifies as a student with a disability under these SpEd Rules must, after providing prior written notice to the parent or student who is an adult, obtain informed consent, consistent with these SpEd Rules I.E.9, from the parent of the student or the student who is an adult before conducting the evaluation.
    - (1) Consent from a parent or student who is an adult for initial evaluation must not be construed as consent for initial provision of special education and related services.
    - (2) The LEA must make reasonable efforts to obtain informed consent from the parent or student who is an adult for an initial evaluation to determine whether the student is a student with a disability.

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- (3) When conducting psychological evaluations, the LEA must implement the parental or student who is an adult consent requirements of UCA 53E-9-203 (Student Privacy and Data Protection).
  - b. For initial evaluations only, if the student is a ward of the State and is not residing with the student's parent(s), the LEA is not required to obtain informed consent from the parent for an initial evaluation to determine whether the student is a student with a disability if:
    - (1) Despite reasonable efforts to do so, the LEA cannot discover the whereabouts of the parent(s) of the student;
    - (2) The rights of the parent(s) of the student have been terminated in accordance with State law; or
    - (3) The rights of the parent (s) to make educational decisions have been subrogated by a judge in accordance with State law and consent for an initial evaluation has been given by an individual appointed by the judge to represent the student.
  - c. If the parent(s) of a student or a student who is an adult enrolled in public school or seeking to be enrolled in public school does not provide consent for initial evaluation, or fails to respond to a request to provide consent, the LEA may, but is not required to, pursue the initial evaluation of the student by utilizing the procedural safeguards or the due process procedures in Section IV of these SpEd Rules.
    - (1) The LEA does not violate its obligation under the child find provisions of these SpEd Rules if it declines to pursue the evaluation by utilizing the procedural safeguards or the due process procedures.
2. Parental consent for services.
  - a. An LEA that is responsible for making a FAPE available to a student with a disability must obtain informed consent from the parent(s) of the student or student who is an adult before the initial provision of special education and related services to the student.
  - b. An LEA must make reasonable efforts to obtain informed consent from the parent(s) or student who is an adult for the initial provision of special education and related services to the eligible student with disabilities.



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- c. If the parent(s) of a student or student who is an adult fails to respond to a request for, or refuses to consent to, the initial provision of special education and related services, the LEA:
  - (1) May not use the procedures in of these SpEd Rules IV., including the mediation procedures or the due process procedures, in order to obtain agreement or a ruling that the services may be provided to the student;
  - (2) Will not be considered to be in violation of the requirement to make available a FAPE to the student for the failure to provide the student with the special education and related services for which the LEA requests consent; and
  - (3) Is not required to convene an IEP Team meeting or develop an IEP for the student for the special education and related services for which the LEA requests such consent.
  
- d. If, at any time subsequent to the initial provision of special education and related services, the parent(s) of a student or student who is an adult revokes consent in writing for the continued provision of special education and related services, the LEA:
  - (1) May not continue to provide special education and related services to the student but must provide prior written notice in accordance with these SpEd Rules IV.D. before ceasing the provision of special education and related services;
  - (2) May not use the procedures in these SpEd Rules IV., including the mediation procedures or the due process procedures, in order to obtain agreement or a ruling that the services may be provided to the student;
  - (3) Will not be considered to be in violation of the requirement to make available a FAPE to the student for the failure to provide the student with the special education and related services for which the LEA requests consent; and
  - (4) Is not required to convene an IEP Team meeting or develop an IEP for the student for the special education and related services for which the LEA requests such consent (34 CFR § 300.300).

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3. Parental consent for reevaluations.
  - a. Each LEA must obtain informed parental or student who is an adult consent prior to conducting any reevaluation of a student with a disability.
  - b. If the parent or student who is an adult refuse to consent to the reevaluation, the LEA may, but is not required to, pursue the reevaluation by using the dispute resolution procedures provided in the procedural safeguards, and including mediation or due process procedures.
  - c. The LEA does not violate its obligation under child find if it declines to pursue the reevaluation.
  - d. The informed parental or student who is an adult consent need not be obtained if the LEA can demonstrate that:
    - (1) It made reasonable efforts to obtain such consent; and
    - (2) The student's parent or the student who is an adult has failed to respond.
4. Other consent requirements.
  - a. Parental or student who is an adult consent is not required before:
    - (1) Reviewing existing data as part of an evaluation or a reevaluation; or
    - (2) Administering a test or other evaluation that is administered to all students unless consent is required for all students before administration of that test or evaluation.
  - b. An LEA may not use a parent's or student who is an adult's refusal to consent to one service or activity under these SpEd Rules II.C.1., II.C.2., or II.C.3., to deny the parent or student any other service, benefit, or activity of the LEA, except as required by this part.
  - c. If a parent of a student or an student who is an adult who is home schooled or placed in a private school by the parent(s) or student who is an adult at their own expense does not provide consent for the initial evaluation or the reevaluation, or the parent or student who is an adult fails to respond to a request to provide consent, the LEA may not use the consent override procedures (described in these SpEd Rules II.C.1.c.,

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II.C.3.), and the LEA is not required to consider the student as eligible for special education and related services.

- d. To meet the reasonable efforts requirement in these SpEd Rules II.C.1.a.2., II.C.1.b.1., II.C.2.b., and II.C.3.d.1., the LEA must document its attempts to obtain parental or student who is an adult consent using the procedures in these SpEd Rules III.G.3.
- e. Unless parent(s) or the student who is an adult revoke consent for special education and related services or refuse consent for initial placement, disagreements regarding the provision of IEP services should be resolved by the IEP Team and result in a completed IEP which includes all components necessary for the provision of FAPE.

### II.D. INITIAL EVALUATION (34 CFR § 300.301).

1. Each LEA must conduct a full and individual initial evaluation to determine whether a student is a “student with a disability” under Part B of the IDEA and these SpEd Rules, and to determine the educational needs of the student.
2. The initial evaluation:
  - a. Must be conducted within 45 school days of receiving parental or student who is an adult consent for the evaluation, unless:
    - (1) The initial evaluation is requested by the Division of Child and Family Services (DCFS) and the LEA obtains appropriate consent for the evaluation, in which case an LEA shall provide an initial special education evaluation to an individual who enters DCFS custody if DCFS suspects the individual may be an eligible student within 30 days after the day on which DCFS makes the request (UCA 53E-7-207).
      - (a) An LEA may refuse to conduct an evaluation described in these SpEd Rules II.D.2.a.(1) if the LEA reviews the relevant data regarding the individual and, within ten days after the day on which the LEA received the request described in these SpEd Rules II.D.2.a.(1), gives the DCFS prior written notice of refusal to evaluate.
  - b. Must consist of procedures to determine:

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- (1) If the student is a student with a disability; and
  - (2) The educational needs of the student.
3. The timeframe shall not apply to an LEA if:
- a. The parent of a student repeatedly fails or refuses to produce the student for the evaluation; or
  - b. The student who is an adult repeatedly fails or refuses to participate in evaluation activities; or
  - c. A student enrolls in a school served by the LEA after the relevant timeframe has begun, and prior to a determination by the student's previous LEA as to whether the student is a student with a disability.
  - d. The exception in these SpEd Rules II.D.3.c. applies only if the subsequent LEA is making sufficient progress to ensure a prompt completion of the evaluation, and the parent or student who is an adult and subsequent LEA agree to a specific time when the evaluation will be completed.

### II.E. SCREENING FOR INSTRUCTIONAL PURPOSES (34 CFR § 300.302).

1. The screening of a student by a teacher or specialist to determine appropriate instructional strategies for curriculum implementation shall not be considered to be an evaluation for eligibility for special education and related services. Results of screenings should be considered by the LEA for child find purposes.

### II.F. EVALUATION PROCEDURES (34 CFR § 300.304).

1. Each LEA shall establish and implement procedures that meet the evaluation requirements of Part B of the IDEA and these SpEd Rules as follows:
  - a. In conducting the evaluation, the LEA must:
    - (1) Use a variety of assessment tools and strategies to gather relevant functional, developmental, and academic information about the student, including information provided by a parent or student who is an adult, that may assist in determining:
      - (a) Whether the student is a student with a disability; and

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- (b) The content of the student's IEP, including information related to enabling the student to be involved in and progress in the general education curriculum (or, for a student in preschool, to participate in appropriate activities);
- (2) Not use any single procedure as the sole criterion for determining whether a student is a student with a disability and for determining an appropriate educational program for the student; and
- (3) Use technically sound instruments that may assess the relative contribution of cognitive and behavioral factors, in addition to physical or developmental factors. LEAs must consider the publication date and continued validity of assessments in use when new editions are published.
- (4) Each LEA must ensure that assessments and other evaluation materials used to assess a student:
  - (a) Are selected and administered so as not to be discriminatory on a racial or cultural basis;
  - (b) Are provided and administered in the student's native language or other mode of communication, and in the form most likely to yield accurate information on what the student knows and can do academically, developmentally, and functionally, unless it is clearly not feasible to so provide or administer;
  - (c) Are selected to assess the specific areas of concern identified by the assessment/evaluation group, including the parent(s) or student who is an adult;
  - (d) Are used for the purposes for which the assessments or measures are valid and reliable;
  - (e) Are selected and administered by trained and knowledgeable personnel based upon the specific assessment's requirements; and
  - (f) Are administered and interpreted in accordance with any instructions and administrator requirements provided by the

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producer of the assessments and the Standards for Educational and Psychological Testing (AERA, APA, NCME, 2014).

- (i) LEAs must ensure and document that all evaluators meet the assessment publishers' administrator/interpreter/user requirements, (e.g., appropriate degree, higher education coursework in tests and measures, and supervised clinical experiences/practica).
- (ii) LEAs shall provide documentation to USBE staff upon request.

(5) The LEA must ensure that:

- (a) Assessments and other evaluation materials include those tailored to assess specific areas of educational need and not merely those that are designed to provide a single general intelligence quotient.
- (b) Assessments are selected and administered so as best to ensure that if an assessment is administered to a student with impaired sensory, manual, or speaking skills, the assessment results accurately reflect the student's aptitude or achievement level or whatever other factors the test purports to measure, rather than reflecting the student's impaired sensory, manual, or speaking skills (unless those skills are the factors that the test purports to measure).
- (c) The administration of psychological testing and the evaluation or assessment of personal characteristics, such as intelligence (e.g., cognitive, IQ), personality, abilities, interests, aptitudes, and neuropsychological functioning are only administered and interpreted by personnel who have been trained and fully meet the administrator/ interpreter/user qualifications of the test publisher (e.g., appropriate degree, higher education coursework in tests and measures, and supervised clinical experiences/practica).
- (d) The student is assessed in all areas related to the suspected disability, including, if appropriate, health, vision, hearing, social

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and emotional status, general intelligence, academic performance, communicative status, and motor abilities.

- (e) Assessments of students with disabilities who transfer from one LEA to another LEA in the same school year are coordinated with those students' prior and subsequent schools, as necessary and as expeditiously as possible, to ensure prompt completion of full evaluations.
  - (f) In evaluating each student with a disability, the evaluation is sufficiently comprehensive to identify all the student's special education and related services needs, whether or not commonly linked to the disability category in which the student has been classified.
  - (g) Assessment tools and strategies provide relevant information that directly assists persons in determining the educational needs of the student are provided.
- b. The USDB is available to LEAs for assessments of students with visual impairment and hearing loss, as well as professional learning on appropriate administration of assessments, and procedures to ensure appropriate interpretation of assessments (Board Rules R277-800-7).

### II.G. REEVALUATION PROCEDURES (34 CFR § 300.303).

1. An LEA must ensure that a reevaluation of each student with a disability is conducted:
  - a. If the LEA determines that the educational or related services needs, including improved academic achievement and functional performance, of the student warrant a reevaluation; or
  - b. If the student's parents or student who is an adult or teacher requests a reevaluation.
2. A reevaluation:
  - a. May occur not more than once a year, unless the parents or student who is an adult and the LEA agree otherwise; and

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- b. Must occur at least once every three years, unless the parents or adult student and the LEA agree that a reevaluation is unnecessary as there are data available to continue eligibility and determine the educational needs of the student. When the parents or student who is an adult and LEA agree that a reevaluation is unnecessary, the group must document data reviewed and used in an evaluation report and complete an eligibility determination.

### II.H. ADDITIONAL REQUIREMENTS FOR INITIAL EVALUATIONS AND REEVALUATION PROCEDURES (34 CFR § 300.305).

1. As part of any initial evaluation (if appropriate) and as part of any reevaluation, the IEP Team and other qualified professionals, as appropriate, must:
  - a. Review existing evaluation data on the student, including:
    - (1) Evaluations and information provided by the parent(s) of the student or the student who is an adult;
    - (2) Current classroom-based, local, or State assessments, and classroom-based observations; and
    - (3) Observations by teachers and related services providers; and
  - b. On the basis of that review, and input from the student's parent(s) or the adult student, identify what additional data, if any, are needed to determine:
    - (1) Whether the student is a student with a disability and the educational needs of the student; or, in the case of a reevaluation of a student, whether the student continues to have such a disability, and the educational needs of the student;
    - (2) The present levels of academic achievement and related developmental needs of the student;
    - (3) Whether the student needs special education and related services; or, in the case of a reevaluation of a student, whether the student continues to need special education and related services; and
    - (4) Whether any additions or modifications to the special education and related services are needed to enable the student to meet the



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measurable annual goals set out in the IEP of the student and to participate, as appropriate, in the general education curriculum.

2. The IEP Team and other qualified professionals, as appropriate, may conduct its review of existing data without a meeting.
3. The LEA must administer such assessments and other evaluation measures as may be needed to produce the data needed to determine continuing eligibility.
4. If the IEP Team and other qualified professionals, as appropriate, determine that no additional data are needed to determine whether the student continues to be a student with a disability and to determine the student's educational needs, the LEA must notify the student's parent(s) or student who is an adult of:
  - a. That determination and the reasons for the determination; and
  - b. The right of the parent(s) or student who is an adult to request an assessment to determine whether the student continues to be a student with a disability, and to determine the student's educational needs.
5. The LEA is not required to conduct the assessment for reevaluation described in these SpEd Rules II.H.4.b unless requested to do so by the student's parent(s) or the student who is an adult.
6. Evaluations before change in eligibility.
  - a. An LEA must evaluate a student with a disability before determining that the student is no longer a student with a disability.
  - b. The evaluation is not required before the termination of a student's eligibility due to graduation from secondary school with a regular diploma, or due to exceeding the age eligibility for FAPE under State law (i.e., age 22).
  - c. For a student whose eligibility terminates due to graduation from secondary school with a regular high school diploma or due to exceeding the age eligibility for FAPE under State law, an LEA must provide the student with a summary of the student's academic achievement and functional performance, which shall include recommendations on how to assist the student in meeting the student's postsecondary goals.

**II.I. DETERMINATION OF ELIGIBILITY (34 CFR § 300.306).**

1. Upon completion of the administration of assessments and other evaluation measures, a group of qualified professionals and the parents of the student or the student who is an adult determine eligibility under Part B of the IDEA and these SpEd Rules, including:
  - a. Whether that student is a student with a disability, and
  - b. The educational needs of the student.
2. The LEA shall provide the parents or adult student with a copy of the evaluation report and the documentation of determination of eligibility.
3. A student must not be determined to be a student with a disability:
  - a. If the determinant factor for that determination is:
    - (1) Lack of appropriate instruction in the science of reading, including the essential components of reading instruction (explicit and systematic instruction in phonemic awareness, phonics, vocabulary development, reading fluency including oral reading skills, and reading comprehension strategies) (20 USC 6368(3));
    - (2) Lack of appropriate instruction in mathematics; or
    - (3) Limited English proficiency; and
  - b. If the student does not otherwise meet the eligibility criteria.
4. Procedures for determining eligibility and educational need.
  - a. In interpreting evaluation data for the purpose of determining if a student is a student with a disability and the educational needs of the student, each LEA must:
    - (1) Draw upon information from a variety of sources, such as aptitude and achievement tests, parent or student who is an adult input, teacher recommendations, physical condition, social or cultural background, and adaptive behavior; and
    - (2) Ensure that information obtained from all of these sources is documented and carefully considered.

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- b. If a determination is made that a student has a disability and needs special education and related services, an IEP must be developed for the student within 30 calendar days.

### II.J. CATEGORICAL DEFINITIONS, CRITERIA, AND ASSESSMENTS (34 CFR § 300.8; 34 CFR § 300.304 34 CFR § 300.306).

1. This section specifies the criteria and evaluation procedures, by category, for determining eligibility for a student with disabilities under Part B of the IDEA and these SpEd Rules
2. Autism.
  - a. Definition.
    - (1) *Autism* is a developmental disability significantly affecting verbal and nonverbal communication and social interaction, generally evident before age three, that adversely affects a student's educational performance. Other characteristics often associated with autism are engagement in repetitive activities and stereotyped movements, resistance to environmental change or change in daily routines, and unusual responses to sensory experiences.
      - (a) Autism does not apply if a student's educational performance is adversely affected primarily because the student has an emotional-behavioral disability or an intellectual disability, as defined in these SpEd Rules.
      - (b) A student who manifests the characteristics of autism after age three could be identified as having autism if the group determines that the student meets the definition of autism under these SpEd Rules.
  - b. Eligibility Criteria.
    - (1) A group of qualified professionals and the student's parent(s) or student who is an adult determine eligibility as defined above.
    - (2) The autism must adversely affect the student's educational performance.

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- (3) The student with autism must require special education and related services.
- (4) The group must determine that autism is the student's primary disability, although the student may exhibit characteristics of other disability conditions such as an emotional-behavioral disability or intellectual disability.
- (5) To be eligible under this category, the student must exhibit significant impairments in verbal and/or nonverbal communication and social interaction. The student may also exhibit engagement in repetitive activities and stereotyped movements, resistance to environmental change or change in daily routines, difficulty with emotional regulation, and unusual responses to sensory experiences.
  - (a) Significant impairment in social interaction includes, but is not limited to:
    - (i) Failure to use appropriate nonverbal behaviors such as eye contact, facial expression, body postures, and other social gestures.
    - (ii) Failure to develop peer relationships appropriate to developmental level.
    - (iii) A lack of spontaneous initiation to share interests, enjoyment, or achievements with other people.
  - (b) Significant impairment in communication includes, but is not limited to:
    - (i) Delay in or lack of spoken language with no attempt to communicate through alternate modes such as gesture or mime.
    - (ii) In individuals who have reached expected developmental speech milestones:
      - (A) An inability to initiate or sustain a conversation with others.

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- (B) An inability to use conventions of social communication or pragmatics.
- (iii) Stereotyped and repetitive use of language or peculiar language.
- (iv) Lack of varied, spontaneous make-believe play, or social imitative play, appropriate to development level.
- (c) Significant restricted, repetitive, and stereotyped patterns of behavior, interests, and activities includes, but is not limited to:
  - (i) Restricted patterns that are atypical either in intensity or focus.
  - (ii) Rigid adherence to specific, nonfunctional routines or rituals.
  - (iii) Stereotyped and repetitive motor mannerisms (e.g., hand or finger flapping or twisting, or complex whole-body movement).
  - (iv) Persistent preoccupation with people, events, or objects.
- (d) Unusual resistance to environmental change or change in daily routines includes, but is not limited to, resistance to:
  - (i) New adults or students in the classroom setting, such as substitute teachers.
  - (ii) Changes in the arrangement of furniture.
  - (iii) Changes in the daily schedule of activities.
- (e) Unusual responses to sensory experiences include, but are not limited to, unusual or extreme responses to:
  - (i) Sudden loud noises or high-pitched sounds.
  - (ii) Rough or highly textured surfaces or clothes touching the skin.
  - (iii) Bright light or significant intermittent changes in lighting.
  - (iv) Strong or unfamiliar tastes or smells.

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(f) The requirements of these SpEd Rules II.I must be met.

### c. Evaluation.

(1) Multiple measures (formal and informal), including an autism checklist/rating scale, must be used to assess intellectual, academic, communicative, social, and adaptive functioning.

(2) The student's prior medical history from a qualified health professional, regarding any specific syndromes, health concerns, medication, and developmental history from either a qualified health professional or qualified mental health professional, must be on record regarding any information deemed necessary for planning the student's education program.

(3) The requirements of these SpEd Rules II.D.-H. must be met.

## 3. Deafblindness.

### a. Definition.

(1) *Deafblindness* means associated hearing and visual impairments, the combination of which causes such severe communication and other developmental and educational needs that they cannot be accommodated in special education programs solely for students with deafness or students with blindness.

### b. Eligibility Criteria.

(1) While there must be prior documentation by qualified health professionals that a student has associated hearing and visual impairments the combination of which meet the definition above, only a group of qualified professionals and the student's parent(s) or student who is an adult determine eligibility as defined above.

(2) The deafblindness must adversely affect the student's educational performance.

(3) The student with deafblindness must require special education and related services.

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- (4) The group must determine that deafblindness is the student's primary disability, although the student may have other disabling conditions in addition to the vision and hearing losses.
  - (5) A combination of the following must exist:
    - (a) Vision impairment including one or both of the following:
      - (i) Visual impairment that, with or without correction, adversely affects a student's ability to access visual information and to develop visual skills for educational performance. This may include partial/low vision or blindness.
      - (ii) Functional blindness, where the physical structures of the eye may be functioning, but the student does not attend to, examine, utilize, or accurately process visual information. This may include cortical visual impairment or central visual impairment.
    - (b) Hearing loss that must include one or both of the following:
      - (i) Hearing loss that, with or without amplification, adversely affects a student's ability to access auditory information and the development of language and communication for educational performance. This may include hard of hearing or deafness.
      - (ii) Functional hearing loss (abnormal auditory perception), where parts of the auditory system may be functioning, but the student does not attend to, respond to, localize, utilize, or accurately process auditory information. This may include cortical deafness, auditory processing disorders, or auditory neuropathy/dyssynchrony (which is an impairment of the auditory nerve, where parts of the auditory system may be functioning, but there is a problem sending the sound from the ear to the brain).
  - (6) The requirements of these SpEd Rules II.I. must be met.
- c. Evaluation.

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- (1) Multiple measures (formal and informal) must be used to assess vision and hearing and all areas of suspected deficits. The evaluation must include and take into consideration the impact of the combined vision and hearing losses. Evaluation must be both clinical and functional assessment.
  - (2) Areas of evaluation must include:
    - (a) Audiological evaluation.
      - (i) Clinical—Audiological testing may include:
        - (A) Audiological testing yielding threshold results; aided threshold evaluations yielding aided threshold results; speech audiometric tests yielding speech discrimination scores and speech reception thresholds; and proper functioning, fitting, and follow-up of hearing aids.
        - (B) Otoacoustic Emission Testing (OAE).
        - (C) Auditory Brainstem Response (ABR) testing.
      - (ii) Functional assessment of auditory abilities.
    - (b) Vision evaluation.
      - (i) Ophthalmological testing.
        - (A) Clinical assessment of visual acuity, visual field, fixation and movement, refractive errors, and health of the eye structure.
        - (B) Additional diagnostic tests such as Visual Evoked Response (VER), Magnetic Resonance Imaging (MRI), and Electroretinogram (ERG).
      - (ii) Functional assessment of visual abilities.
- d. Educational Evaluation.
- (1) Other areas of evaluation to consider, as appropriate, include:
    - (a) Language and communication needs.



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- (b) Current and future needs for instruction in braille or the use of braille.
- (c) Orientation and Mobility (O&M) needs.
- (d) Accommodations and modifications necessary for the student to be able to access the general curriculum and other activities.
- (e) Assistive technology needs.

(2) The requirements of these SpEd Rules II.D.-H. must be met.

### 4. Developmental Delay.

#### a. Definition.

- (1) In a student ages 3–8 *developmental delay* means a significant delay in one or more of the following areas: physical/motor development, cognitive development, communication development, social/emotional development, or adaptive development. The delay must adversely affect a student's educational performance.
- (2) LEAs that choose to use the classification of developmental delay must conform to the State's definition of developmental delay, including the age range adopted by the State, and the requirement that the LEA conduct a full and individual initial evaluation.

#### b. Eligibility Criteria.

- (1) A group of qualified professionals and the student's parent(s) determine eligibility as defined above.
- (2) The developmental delay must adversely affect the student's educational performance.
- (3) The student with a developmental delay must require special education and related services.
- (4) The group must determine that the student's primary disability is developmental delay, and not one of the other disability categories. If the student meets the criteria of any other disability category the student must be classified in that specific disability.

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- (5) Students who are eligible for services include students who have been determined to have a significant delay or deficit in one or more of the following areas:
    - (a) Cognitive development.
    - (b) Physical/motor development.
    - (c) Communication development.
    - (d) Social/emotional development.
    - (e) Adaptive development.
  - (6) Significant delays are defined as:
    - (a) 1.5 standard deviations below the mean, or at or below the seventh percentile in three areas of development.
    - (b) 2.0 standard deviations below the mean, or at or below the second percentile in two areas of development.
    - (c) 2.5 standard deviations below the mean, or at or below the first percentile in one area of development.
  - (7) The requirements of these SpEd Rules II.I must be met.
- c. Evaluation.
- (1) Multiple measures (formal and informal) must be used to assess the area(s) of suspected delay.
  - (2) Assessments selected must be appropriate for students ages 3–8.
  - (3) The requirements of these SpEd Rules II.D.–H. must be met.
5. Emotional-Behavioral Disability.
- a. Definition.
    - (1) *Emotional behavioral disability* means a condition exhibiting one or more of the following characteristics over a long period of time (i.e., at least 45 school days) and to a marked degree (e.g., frequency, duration, or intensity of the student's behavior in comparison to the behavior of peers) that adversely affects a student's educational performance:

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- (a) An inability to learn (e.g., insufficient progress towards meeting age or grade level academic standards) that cannot be explained by intellectual, sensory, or health factors.
  - (b) An inability to build or maintain satisfactory interpersonal relationships with peers and teachers.
  - (c) Inappropriate types of behavior or feelings under normal circumstances.
  - (d) A general pervasive mood of unhappiness, depression, or anxiety.
  - (e) A tendency to develop physical symptoms or fears associated with personal or school problems.
- (2) *Emotional-behavioral disability* includes schizophrenia. The term does not apply to students who are socially maladjusted, unless it is determined that they have an emotional-behavioral disability. A student may not be identified as having an emotional-behavioral disability if common disciplinary problem behaviors, such as truancy, smoking, or breaking school conduct rules, are the sole criteria for determining the existence of an emotional-behavioral disability.
- (3) *Emotional-behavioral disability* is a term that covers the following two types of behavioral difficulties, which are not mutually exclusive, and which may adversely affect a student's educational performance.
- (a) *Externalizing* refers to behavior problems that are directed outwardly by the student toward the social environment, and usually involve behavioral excesses.
  - (b) *Internalizing* refers to a class of behavior problems that are directed inwardly, and often involve behavioral deficits.
- b. Eligibility Criteria.
- (1) A group of qualified professionals and the student's parent(s) or student who is an adult determine eligibility as defined above.
  - (2) The emotional-behavioral disability must adversely affect the student's educational performance.

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- (3) The student with the emotional behavioral disability must require special education and related services.
  - (4) The group must determine that an emotional-behavioral disability is the primary disability.
  - (5) Before classifying a student as having an emotional-behavioral disability, the group must determine that all of the following conditions are met:
    - (a) The observable emotional and/or behavioral responses of concern occur in an academic setting in school and at least one nonacademic setting;
    - (b) The observable emotional and/or behavioral responses of concern are significantly different from same-age peers in terms of frequency, intensity, and/or setting;
    - (c) The observable emotional and/or behavioral responses of concern are not primarily the result of:
      - (i) Intellectual disability;
      - (ii) Vision impairment, hearing loss, or other medical conditions;
      - (iii) Inappropriate classroom management;
      - (iv) Cultural factors;
      - (v) Environmental or economic disadvantage; or
      - (vi) Limited English proficiency.
    - (d) A variety of documented evidence-based instructional and/or behavioral interventions were implemented, and the student remains unable to access education in a general education setting. Intervention documentation must include start and end dates.
  - (6) The requirements of these SpEd Rules II.I. must be met.
- c. Evaluation.

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- (1) Multiple measures (formal and informal) must be used to assess behavioral, social, and academic areas and must include the following:
    - (a) Documentation which demonstrates that the behavior that adversely affects the student's educational performance has been exhibited over a long period of time and to a marked degree.
    - (b) A functional behavior assessment (FBA) as defined in these SpEd Rules I.E.21 that evaluates the observable behaviors leading to the referral for the educational evaluation.
    - (c) A social and developmental history that may include, but is not limited to, the following:
      - (i) Communication skills,
      - (ii) Social interaction skills,
      - (iii) Responses to sensory experiences,
      - (iv) Relevant family and environmental information,
      - (v) Patterns of emotional adjustment, and
      - (vi) Unusual or atypical behaviors.
    - (d) Complete documentation in the student's records in each of the following areas:
      - (i) Academic performance as evidenced by achievement tests, classroom academic screenings and tests, report cards, cumulative records, statewide assessments, and other data.
      - (ii) Social/behavioral/adaptive checklists or rating scales which provide information regarding the student's past and present patterns of interaction with peers, family, teachers, adults, and others.
      - (iii) Behaviors for which the student is referred.
    - (e) The requirements of these SpEd Rules II.D.–H. must be met.
6. Hard of Hearing/Deafness.

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### a. Definition.

- (1) *Hard of Hearing* is loss in hearing, whether permanent or fluctuating, that adversely affects a student's educational performance but that is not included under the definition of deafness.
- (2) *Deafness* is a hearing loss that is so severe that the student is impaired in processing linguistic information through hearing, with or without amplification, and that adversely affects a student's educational performance.

### b. Eligibility Criteria.

- (1) A group of qualified professionals and the student's parent(s) or student who is an adult determine eligibility as defined above.
- (2) The hearing loss/deafness must adversely affect the student's educational performance.
- (3) The student with the hearing loss/deafness must require special education and related services.
- (4) The group must determine that the hearing loss/deafness is the primary disability.
- (5) Before classifying a student as having hearing loss/deafness, the group must:
  - (a) Take into account whether the type of deafness or hearing loss is conductive, sensorineural, or mixed; and
  - (b) Consider whether a central nervous system impairment, or central auditory processing disorder (which is an impairment of the auditory cortex, where parts of the auditory system may be functioning, but the student does not attend to, respond, localize, or accurately process information) is causing the student to appear as a student with a hearing loss or deafness.
- (6) The requirements of these SpEd Rules II.I. must be met.

### c. Evaluation.

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- (1) Multiple measures (formal and informal) are required for a student suspected of having a hearing loss.
- (2) Areas of evaluation must include:
  - (a) Audiological evaluation, which may include:
    - (i) Audiological testing yielding threshold results; aided threshold evaluations yielding aided threshold results; speech audiometric tests yielding speech discrimination scores and speech reception thresholds; and proper functioning, fitting, and follow-up of hearing aids.
    - (ii) Otoacoustic Emission Testing (OAE).
    - (iii) Auditory Brainstem Response (ABR) testing.
    - (iv) Functional assessment of auditory abilities.
  - (b) Language growth and development (signed, spoken, or written) must be evaluated by qualified personnel using assessment instruments and procedures that are appropriate for the diagnosis and appraisal of the suspected hearing loss.
    - (i) Speech and language evaluation.
    - (ii) Speech intelligibility may be evaluated by phonetic evaluation, suprasegmental features (vocalization, vocal duration, vocal intensity, vocal pitch), and articulation of segmental features (vowels, diphthongs, consonants, blends).
    - (iii) Linguistic evaluation (vocalizations as specified in these SpEd Rules II.J.5.d.(1)(c)(i) above, within linguistic structure).
    - (iv) Language and communication mode.
  - (c) Academic achievement.
- (3) Other areas of evaluation to consider, as appropriate, include:
  - (a) Intellectual ability. When intellectual ability is assessed, results must be interpreted by qualified personnel.

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(b) Adaptive behavior, as gathered from parent(s) or adult student and school staff.

(4) The requirements of these SpEd Rules II.D.–H. must be met.

### 7. Intellectual Disability.

#### a. Definition.

(1) *Intellectual disability* means significantly subaverage general intellectual functioning, existing concurrently with deficits in adaptive behavior and manifested during the developmental period, that adversely affects a student's educational performance.

#### b. Eligibility Criteria.

(1) A group of qualified professionals and the student's parent(s) or student who is an adult determine eligibility as defined above.

(2) The intellectual disability must adversely affect the student's educational performance.

(3) The student with the intellectual disability must require special education and related services.

(4) The group must determine that an intellectual disability is the primary disability.

(5) Intellectual, academic, and adaptive assessment results demonstrate consistently low profiles across measures.

(6) The requirements of these SpEd Rules II.I. must be met.

#### c. Evaluation.

(1) Multiple measures (formal and informal) are required for a student suspected of having an intellectual disability, and must include:

##### (a) Intellectual evaluation.

(i) An individual test of intelligence must be administered by a qualified examiner as outlined in SpEd Rules II.F. Traditional approaches to assessing cognitive ability may be ineffective for some students who are suspected of having intellectual disabilities. Documentation must be provided which



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indicates significant subaverage intellectual functioning (generally two standard deviations) on the full-scale score.

(ii) If scores (e.g., domain, cluster, and index) are significantly discrepant from each other, further evaluation must be conducted to determine the reason for the discrepancy and to ensure that the student is actually manifesting an intellectual disability.

(b) Documentation of significant deficits in academic achievement as measured by achievement tests, classroom academic screenings and additional data (e.g., tests, report cards, cumulative records).

(c) Documentation of significant deficits in adaptive behavior as measured by standardized and/or curriculum-based assessments must be gathered from parent(s) or the student who is an adult and school staff.

(d) The requirements of these SpEd Rules II.D.–H. must be met.

### 8. Multiple Disabilities.

#### a. Definition.

(1) *Multiple disabilities* means concomitant impairments (such as intellectual disability/blindness or intellectual disability/orthopedic impairment) that affect a student's educational performance. The combination of disabilities must cause such severe educational needs that they cannot be accommodated in special education programs solely for one of the impairments. The multiple disabilities category does not include deafblindness.

#### b. Eligibility Criteria.

(1) A group of qualified professionals and the student's parent(s) or student who is an adult determine eligibility as defined above. The group must identify the disabilities and ensure that the student meets the criteria for each of the multiple disabilities. Intellectual disabilities need not be one of the multiple disabilities identified.

(2) The multiple disabilities must adversely affect the student's educational performance.

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- (3) The student with multiple disabilities must require special education and related services.
  - (4) The requirements of these SpEd Rules II.I. must be met.
- c. Evaluation.
- (1) Multiple measures (formal and informal) must be used to assess all areas of concern. Areas to be considered include cognitive ability, academic skills, adaptive skills, language and communication, social functioning (such as self-help and independent living skills), vocational skills, and sensory/motor skills.
  - (2) The evaluation process is determined by the group and must include a combination of tests, interviews with those familiar with the student, and observations conducted in settings familiar to the student.
  - (3) Cognitive ability must be assessed by a qualified examiner as outlined in SpEd Rules II.F. Traditional approaches to assessing cognitive ability may be ineffective for some students who are suspected of having multiple disabilities.
  - (4) The use of assisted and augmentative communication and motor systems must be considered during the evaluation and documented.
  - (5) The student's prior medical history, from a qualified health professional, must be on record if specific syndromes, special health problems (e.g., tracheotomy), medication, and long-term medical prognosis are a concern for the individual.
  - (6) The following sensory/motor areas must be considered for evaluation:
    - (a) Abnormal tactile or joint sensation,
    - (b) Abnormal muscle tone and movement,
    - (c) Lack of integration of primitive reflexes,
    - (d) Lack of balance or coordination,
    - (e) Organization of sequential motor movement,
    - (f) Motor skills, or

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(g) A combination of any of the above.

(7) Where deficits in adaptive behavior are suspected, they must be measured and documented on standardized and/or curriculum-based assessments with input from parent(s) or student who is an adult and school staff.

(8) Vision and hearing must be assessed.

(9) The requirements of these SpEd Rules II.D.-H. must be met.

### 9. Orthopedic Impairment.

#### a. Definition.

(1) *Orthopedic impairment* means a severe orthopedic impairment that adversely affects a student's educational performance. The term includes impairments caused by a congenital anomaly, impairments caused by disease (e.g., poliomyelitis, bone tuberculosis), and impairments from other causes (e.g., cerebral palsy, amputations, and fractures or burns that cause contractures).

#### b. Eligibility Criteria.

(1) A group of qualified professionals and the student's parent(s) or the student who is an adult determine eligibility as defined above.

(2) The orthopedic impairment must adversely affect the student's educational performance.

(3) The student with the orthopedic impairment must require special education and related services.

(4) The group must determine that the orthopedic impairment is the student's primary disability.

(5) The requirements of these SpEd Rules II.I. must be met.

#### c. Evaluation.

(1) Multiple measures (formal and informal) must be used to assess all areas of the suspected deficits (e.g., educational, adaptive, behavioral, physical).

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- (2) The student's prior medical history, from a qualified health professional, must be on record regarding any specific syndromes, health concerns, medication, and information deemed necessary for planning the student's educational program.
- (3) The requirements of these SpEd Rules II.D.-H. must be met.

### 10. Other Health Impairment.

#### a. Definition.

- (1) *Other health impairment* means having limited strength, vitality, or alertness, including a heightened alertness to environmental stimuli, that results in limited alertness with respect to the educational environment, that is due to chronic or acute health problems such as asthma, attention deficit disorder or attention deficit hyperactivity disorder, diabetes, epilepsy, a heart condition, hemophilia, lead poisoning, leukemia, nephritis, rheumatic fever, sickle cell anemia, Tourette syndrome, HIV/AIDS, an acquired brain injury which may result from health problems such as an hypoxic event, encephalitis, meningitis, brain tumor, or stroke, and that adversely affects a student's educational performance.

#### b. Eligibility Criteria.

- (1) A group of qualified professionals and the student's parent(s) or the student who is an adult determines eligibility as defined above.
- (2) The health impairment must adversely affect the student's educational performance.
- (3) The student with the health impairment must require special education and related services.
- (4) The group must determine that the other health impairment is the student's primary disability.
- (5) The requirements of these SpEd Rules II.I. must be met.

#### c. Evaluation.

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- (1) Multiple measures (formal and informal) must be used to assess all areas of suspected deficits (e.g., educational, adaptive, behavioral, physical).
- (2) The student's prior medical history, from a qualified health professional, must be on record regarding any specific syndromes, health concerns, medication, and information deemed necessary for planning the student's educational program. Each individual who provides health information may only provide information consistent with the parameters of the Utah professional licensure.
- (3) The requirements of these SpEd Rules II.D.–H. must be met.

### 11. Specific Learning Disabilities.

#### a. Definition (34 CFR § 300.8(C)(10)).

- (1) *Specific learning disabilities* means a disorder in one or more of the basic psychological processes involved in understanding or in using language, spoken or written, that may manifest itself in the imperfect ability to listen, think, speak, read, write, spell, or do mathematical calculations, including conditions such as perceptual disabilities, brain injury, minimal brain dysfunction, dyslexia, and developmental aphasia, that affects a student's educational performance.
- (2) *Specific learning disabilities* does not include learning problems that are primarily the result of visual, hearing, or motor disabilities; of intellectual disability; of emotional behavioral disability; or of environmental, cultural, or economic disadvantage.

#### b. Procedures for Identifying Students with Specific Learning Disabilities (34 CFR § 300.307).

- (1) An LEA may use one of the following methods for determining a student's eligibility under the specific learning disability category:
  - (a) A process based on the student's response to scientific, research-based intervention called the Response to Intervention (RtI) method which shows the student does not make sufficient progress to meet age or State-approved grade-level standards in one or more of the areas identified in these SpEd Rules

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II.J.10.b.(3)(a) (the group must refer to the USBE Specific Learning Disability Eligibility Guidelines when using this method).

(b) A Combination method of:

- (i) Rtl, as described in paragraph 11.b.(1)(a), and
- (ii) A discrepancy analysis which considers if the student's scores demonstrate a severe discrepancy between the student's intellectual ability and academic achievement in one or more of the areas identified in these SpEd Rules II.J.10.b.(3)(a) (the group must refer to the *USBE Specific Learning Disability Eligibility Guidelines* when using this method).

(c) An other alternative research-based procedures (e.g., Patterns of Strengths and Weaknesses [PSW]) approved by an LEA's school board and submitted to the USBE called the Alternative method that demonstrates the student does not make sufficient progress to meet State-approved age- or grade-level standards in one or more of the areas identified in these SpEd Rules II.J.10.b.(3)(a) (the group must refer to the USBE Specific Learning Disability Eligibility Guidelines when using this method).

(2) Additional group members (34 CFR § 300.308).

(a) The determination of whether a student suspected of having a specific learning disability is a student with a disability must be made by the student's parent(s) or student who is an adult and a group of qualified professionals, which must include:

- (i) The student's regular teacher; or
- (ii) If the student does not have a regular teacher, a regular classroom teacher qualified to teach a student of the student's age; or
- (iii) For a student of less than school age; an individual qualified by the USBE to teach a student of the student's age; and
- (iv) At least one person qualified to conduct individual diagnostic examinations of students and interpret the

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results of those assessments (as per the publisher's assessment administration criteria), such as a school psychologist, speech language pathologist, reading teacher or reading specialist, or special education teacher.

- (3) Determining the existence of a specific learning disability (34 CFR § 300.309).
  - (a) The group described may determine that a student has a specific learning disability if:
    - (i) The student does not achieve adequately for the student's age or to meet State-approved grade-level standards in one or more of the following areas, when provided with learning experiences and instruction appropriate for the student's age or State-approved grade-level standards:
      - (A) Oral expression;
      - (B) Listening comprehension;
      - (C) Written expression;
      - (D) Basic reading skills;
      - (E) Reading fluency skills;
      - (F) Reading comprehension;
      - (G) Mathematics calculation;
      - (H) Mathematics problem solving.
    - (ii) The group determines that its findings are not primarily the result of:
      - (A) A visual, hearing, or motor disability;
      - (B) Intellectual disability;
      - (C) Emotional-behavioral disability;
      - (D) Cultural factors;
      - (E) Environmental or economic disadvantage; or

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(F) Limited English proficiency.

- (b) The specific learning disability must adversely affect the student's educational performance.
- (c) The student with the specific learning disability must need special education and related services (34 CFR § 300.8(a)).
- (d) The group must determine that the specific learning disability is the student's primary disability.

c. Evaluation (34 CFR § 300.309(b)–(c)).

- (1) An evaluation must include a variety of assessment tools and strategies and cannot rely on any single procedure as the sole criterion.
- (2) To ensure that underachievement in a student suspected of having a specific learning disability is not due to lack of appropriate instruction in reading or math, the group must consider, as part of the evaluation:
  - (a) Data that demonstrate that prior to, or as a part of, the referral process, the student was provided appropriate instruction in regular education settings, delivered by qualified personnel; and
  - (b) Data-based documentation of repeated assessments of achievement at reasonable intervals, reflecting formal assessment of student progress during instruction, which was provided to the student's parent(s) or the student who is an adult.
- (3) The LEA must promptly request parental consent or consent of the adult student to evaluate the student to determine if the student needs special education and related services, and must adhere to the 45-school-day evaluation timeframe, unless extended by mutual written agreement of the student's parent(s) or student who is an adult and a group of qualified professionals:
  - (a) If, prior to a referral, a student has not made adequate progress after an appropriate period of time as determined by the LEA when provided appropriate instruction, and



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- (b) Whenever a student is referred for an evaluation.
- (4) Observation (34 CFR § 300.310(a)–(c)).
- (a) The LEA must ensure that the student is observed in the student's learning environment (including the regular classroom setting) to document the student's academic performance and behavior in the areas of concern.
  - (b) The group must decide to:
    - (i) Use information from an observation in routine classroom instruction and monitoring of the student's performance that was done before the student was referred for an evaluation; or
    - (ii) Have at least one member of the group conduct an observation of the student's academic performance in the regular classroom after the student has been referred for an evaluation and parental consent or consent of the student who is an adult is obtained.
  - (c) If the student is a home-schooled student, the LEA may determine how to conduct the observation and who will conduct it.
  - (d) In the case of a student of less than school age or who is out of school, a group member must observe the student in an environment appropriate for a student of that age.
- (5) Specific documentation for the eligibility determination (34 CFR § 300.311). The group's documentation of the determination of eligibility with a specific learning disability must contain a statement of:
- (a) Whether the student has a specific learning disability;
  - (b) The basis for making the determination, including an assurance that the determination has been made in accordance with SpEd Rules II.I.;

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- (c) The relevant behavior, if any, noted during the observation of the student and the relationship of that behavior to the student's academic functioning;
- (d) The educationally relevant medical findings, if any; and
- (e) Whether the student meets the criteria of (f) or (g) or (h) below.
- (f) Rtl. Does not make sufficient progress to meet State-approved age- or grade-level standards when using a process based on the student's response to scientific evidence-based interventions.
  - (i) The LEA has a process that assesses a student's response to scientific, research-based intervention as part of determining if the student has a specific learning disability. This process must include:
    - (ii) High quality research-based instruction delivered by qualified staff in the general education setting; and
    - (iii) Assessment of student performance that specifically includes universal screening and progress-monitoring; and
    - (iv) Multiple tiers of evidence-based interventions to address individual student difficulties; and
    - (v) Documentation of systematic and regular parent, student who is an adult, and/or family involvement and communication as well as notification about:
      - (A) The State's policies regarding the amount and nature of student performance data that would be collected and the general education services that would be provided (the group must refer to the USBE Specific Learning Disability Eligibility Guidelines when using this method);
      - (B) Strategies for increasing the student's rate of learning; and
      - (C) The parent(s)' or the student's, who is an adult, right to request an evaluation; and

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- (vi) System supports (e.g., leadership, problem-solving, data management systems, coaching and collaboration, professional learning, and measures of fidelity) in place to ensure effective implementation; or
  - (vii) The instructional strategies used and the student-centered data collected.
- (g) Combination (Rtl and discrepancy analysis). Does not make sufficient progress to meet State-approved age- or grade-level standards when using a process based on the student's response to scientific evidence-based interventions and considers scores that demonstrate a severe discrepancy between the student's academic achievement and intellectual ability in one or more of the areas of specific learning disability (the group must refer to the USBE Specific Learning Disability Eligibility Guidelines when using this method).
- (i) The group must document that the student's performance on a standardized, norm-referenced, individually administered achievement measure in the area of the suspected disability, and
  - (ii) That the student scored above the intellectual disability range on a standardized, norm-referenced, individually administered measure of intellectual ability, and
- (A) The comparison of the standard scores on the tests of achievement and intellectual ability using an LEA board-approved and USBE-reviewed discrepancy analysis. The group must document consideration of the discrepancy analysis and the group's determination of whether or not it represents a severe discrepancy.
- (h) Alternative. The use of other alternative research-based procedures (e.g., Patterns of Strengths and Weaknesses (PSW)) approved by an LEA's boards and submitted to the USBE.
- (i) The LEA uses a method that demonstrates the student does not make sufficient progress to meet State-approved age- or

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grade-level standards in one or more of the areas identified in these SpEd Rules II.J.10.b.(3)(a) when using a local school board-approved research-based process (the group must refer to the USBE Specific Learning Disability Eligibility Guidelines when using this method).

- (ii) If an LEA has identified PSW as its Alternative method, the group must:
  - (A) Review data from multiple sources that examines the student's progress over time in the area(s) of concern when evidence-based instruction has been provided;
  - (B) Identify the student's strengths and weaknesses that are evident in both the classroom and standardized assessment results;
  - (C) Using standardized testing, determine a relationship between the student's cognitive processing delays and academic deficits exists;
  - (D) Consider whether the student's cognitive deficit **profile**, from standardized testing, includes both a normative and an intraindividual weakness that is consistent with academic/classroom performance data;
  - (E) Consider whether the student's cognitive deficit **profile**, from standardized testing, includes both a normative and an intraindividual strength that is consistent with academic/classroom performance data; and
  - (F) Identify that a relationship between the student's cognitive weakness and academic/classroom performance is evident over time.
- (6) The determination of the group concerning the effects of a visual, hearing, or motor disability; intellectual disability; emotional-behavioral disability; cultural factors; environmental or economic disadvantage; or limited English proficiency on the student's achievement level;

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- (7) The requirements of these SpEd Rules II.D.–H. must be met.
- (8) Each group member must certify in writing whether the report reflects the member's conclusion (§ 300.311(b)). If it does not reflect the member's conclusion, the group member must submit a separate statement presenting the member's conclusions.

### 12. Speech Language Impairment.

#### a. Definition.

- (1) *Speech or language impairment* means a communication disorder, such as stuttering, impaired articulation, a language impairment, or a voice impairment, that adversely affects a student's educational performance.

#### b. Eligibility Criteria.

- (1) A group of qualified professionals and the student's parent(s) or student who is an adult, including a qualified speech language pathologist (SLP), determines eligibility as defined above.
- (2) The speech or language impairment must adversely affect the student's educational performance.
- (3) The student with the speech or language impairment must require special education and related services.
- (4) A student who qualifies in disability categories other than that of speech or language impairment may qualify for speech or language impairment services; however, in order for the student to be classified as having a speech or language impairment, the group must determine that the speech or language impairment is the student's primary disability.
- (5) In order for a student whose primary home language is other than English to be eligible for classification with a speech or language impairment, the group (including an SLP) must determine that the speech or language impairment exists in the student's primary language and is not the result of the student learning English.

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- (6) The student with an Orofacial Myofunctional Disorder or OMD (formerly called Tongue Thrust) may be served only if there is an associated speech or language impairment.
- (7) Some students with mild hearing loss may be classified as having a speech or language impairment, if the manifestation of the disability is only as a speech or language impairment and the services of a teacher of the deaf and hard of hearing are not required.
- (8) The requirements of these SpEd Rules II.I. must be met.

### c. Evaluation.

- (1) Multiple measures (formal and informal) are required for a student suspected of having a speech or language impairment (primary disability or requiring related services).
- (2) The student must be evaluated by a qualified SLP using assessment instruments and procedures appropriate for the determination and appraisal of a speech or language impairment.
- (3) Documentation must be provided indicating the student has an impairment in listening, reasoning, and/or speaking to such a degree where special education is needed.
- (4) For the student suspected of having an articulation-only speech sound impairment, the group shall consider the relationship of such an impairment to the use of phonological pattern errors and phonemic awareness.
- (5) A complete battery of assessments (e.g., intellectual, physical, or adaptive behavior) may not be needed to determine that a speech or language impairment exists. Needed assessments are determined by the group.
- (6) The requirements of these SpEd Rules II.D.-H. must be met.

## 13. Traumatic Brain Injury.

### a. Definition.

- (1) *Traumatic brain injury* means an acquired injury to the brain caused by an external physical force, resulting in total or partial functional

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disability or psychosocial impairment, or both, that adversely affects a student's educational performance. Traumatic brain injury applies to open or closed head injuries resulting in impairments in one or more areas, such as cognition; language; memory; attention; reasoning; abstract thinking; judgment; problem-solving; sensory, perceptual, and motor abilities; psychosocial behavior; physical functions; information processing; and speech, that affects a student's educational performance. Traumatic brain injury does not apply to brain injuries that are congenital or degenerative, or to brain injuries induced by birth trauma.

### b. Eligibility Criteria.

- (1) While there must be prior documentation by a physician that a student has an acquired injury to the brain caused by an external physical force, a group of qualified professionals and the student's parent(s) or student who is an adult determine eligibility.
- (2) The traumatic brain injury must adversely affect the student's educational performance.
- (3) The student with the traumatic brain injury must require special education and related services.
- (4) The group must determine that traumatic brain injury is the student's primary disabling condition.
- (5) The requirements of these SpEd Rules II.I. must be met.

### c. Evaluation.

- (1) Multiple measures (formal and informal) must be used to assess all areas of suspected deficits. Informal assessment and diagnostic teaching must be part of the full evaluation. Data gathered must include information on the student's developmental history and/or pre-injury learning and educational performance.
- (2) The student's prior medical history which may include a rehabilitative team evaluation, from a qualified health professional, must be on record regarding any specific syndromes, health concerns,

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medication, and information deemed necessary for planning the student's education program.

(3) Although other evaluations could be considered, the following areas must be considered for evaluation:

- (a) Augmentative communication assistive service needs,
- (b) Self-help/adaptive behavior,
- (c) Academics,
- (d) Speech/language,
- (e) Social skills and classroom behavior,
- (f) Intellectual/cognitive,
- (g) Vocational (students in secondary settings), and
- (h) (h) Gross/fine motor skills.

(4) The requirements of these SpEd Rules II.D.-H. must be met.

### 14. Visual Impairment (Including Blindness).

#### a. Definition.

(1) *Visual impairment (including blindness)* means an impairment in vision that, even with correction, adversely affects a student's educational performance. The term includes both partial sight and blindness that adversely affects a student's educational performance.

#### b. Eligibility Criteria.

- (1) While the student's vision history, including a recent eye report (conducted within the previous three years) from a qualified eye care professional must be on record (unless the student has an unchanging vision condition), a group of qualified professionals and the student's parent(s) or student who is an adult determine eligibility as defined above.
- (2) The visual impairment must adversely affect the student's educational performance.



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- (3) The student with a visual impairment must require special education and related services.
  - (4) The group must determine that the visual impairment is the student's primary disability.
  - (5) The requirements of these SpEd Rules II.I. must be met.
- c. Evaluation.
- (1) Multiple measures (formal and informal) must be used to assess all areas of suspected deficits (e.g., educational, adaptive, behavioral, physical).
  - (2) A description of the student's visual impairment and visual capabilities must be on record from a qualified eye care professional.
  - (3) A licensed, endorsed teacher of the visually impaired must assess:
    - (a) The kind and extent of instruction needed, based on the student's present level of performance, including the functioning level of the student in adjusting to visual problems and gaining educational and social success.
    - (b) The student's current and future need for instruction in braille or the use of braille.
  - (4) An orientation and mobility (O&M) assessment, as conducted by a certified orientation and mobility specialist, must be considered if the student is determined to be blind or visually impaired.
  - (5) The group must consider whether other impairments interfere with the comprehension of visual and/or auditory stimuli (e.g., processing difficulties, reading disabilities).
  - (6) The requirements of these SpEd Rules II.D.-H. must be met.

### III. IEP DEVELOPMENT AND SERVICE DELIVERY

#### III.A. INDIVIDUALIZED EDUCATION PROGRAM (IEP).

1. Each LEA and USDB shall develop policies and procedures for the implementation of these IEP requirements, including the Least Restrictive Environment (LRE) requirements, consistent with Part B of the IDEA and these SpEd Rules, as well as Board Rules R277-750, R277-800, and R277-801.

#### III.B. WHEN IEPs MUST BE IN EFFECT (34 CFR § 300.323).

1. At the beginning of each school year, each LEA must have an IEP in effect for each student with a disability within its jurisdiction.
2. Each LEA must ensure that:
  - a. A meeting to develop an IEP for a student is conducted within 30 calendar days of a determination that the student needs special education and related services; and
  - b. As soon as possible following development of the IEP, special education and related services are made available to the student in accordance with the student's IEP.
  - c. Each LEA must ensure that the student's IEP is:
    - (1) Accessible to each regular education teacher, special education teacher, related service provider, and any other service provider who is responsible for its implementation; and
    - (2) Each teacher and provider is informed of:
      - (a) The teacher's specific responsibilities related to implementing the student's IEP, and
      - (b) The specific accommodations, modifications, and supports that must be provided for the student in accordance with the IEP.
3. Documentation of IEP implementation shall be provided to USBE upon request.

**III.C. STUDENTS WHO TRANSFER (34 CFR § 300.323).**

1. Transfers within Utah.
  - a. In the case of a student with a disability with a current IEP who transfers from one LEA to another within the State within the same school year and enrolls in a new school, the LEA, in consultation with the parent(s) or student who is an adult, must provide a FAPE to the student, including services comparable to those described in the previously held IEP, until such time as the new LEA:
    - (1) Adopts the previously held IEP, or
    - (2) Develops, adopts, and implements a new IEP that is consistent with Federal regulations and these SpEd Rules.
  - b. The requirements of 34 CFR § 300.323 also apply for students transferring from an LEA placement to a local juvenile or adult correctional facility or temporary State placement for observation and assessment.
2. Transfers from out of State.
  - a. In the case of a student with a disability with a current IEP who transfers LEAs within the same school year, who enrolls in a new school, and who has an IEP that was in effect in another State, the LEA, in consultation with the parent(s) or student who is an adult, must provide the student with a FAPE, including services comparable to those described in the previously held IEP, until the new LEA:
    - (1) Conducts an evaluation, if determined to be necessary by the LEA; and
    - (2) Develops a new IEP, if appropriate, that is consistent with Federal and State law.
  - b. The evaluation for eligibility that may be conducted by the receiving LEA is considered an initial evaluation, not a reevaluation (71 Federal Register 4668-82).
3. To facilitate the transition for a student described above:
  - a. The new LEA in which the student enrolls must take reasonable steps to promptly obtain the student's records, including the IEP and supporting

### III. IEP Development and Service Delivery

documents and any other records relating to the provision of special education or related services to the student, from the previous LEA in which the student was enrolled; and

- b. The previous LEA in which the student was enrolled must take reasonable steps to promptly respond to the request from the new LEA.
  - c. The LEA transferring the records must keep a copy of the records for at least three years after the transfer as outlined in Rule VIII.S.
4. Experiencing difficulty in obtaining the IEP from the previous LEA does not relieve the LEA where the student is currently enrolled of its obligation to have a current IEP in place for a student who is eligible.

#### **III.D. LEA RESPONSIBILITY FOR IEP MEETINGS (34 CFR § 300.323(c)(1)).**

1. Each LEA is responsible for initiating and conducting meetings for the purpose of developing, reviewing, and revising the IEP of a student with a disability age 3-21, consistent with these SpEd Rules.
2. A meeting to develop an IEP for a student who is eligible must be conducted within 30 calendar days of a determination that a student needs special education and related services.

#### **III.E. IEP TEAM MEMBERSHIP (34 CFR § 300.321).**

1. The LEA must ensure that the IEP Team for each student with a disability includes:
  - a. The parents of the student or the student who is an adult;
  - b. Not less than one regular education teacher of the student (if the student is, or may be, participating in the regular education environment);
  - c. Not less than one special education teacher of the student, or where appropriate, not less than one special education provider of the student;
  - d. A representative of the LEA who:
    - (1) Is qualified to provide, or supervise the provision of, specially designed instruction to meet the unique needs of students with disabilities;

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- (2) Is knowledgeable about the general education curriculum; and
  - (3) Is knowledgeable about the availability of resources of the LEA.
  - (4) An LEA may designate an LEA member of the IEP Team to also serve as the LEA representative, if the above criteria are satisfied.
- e. A representative of USDB and the LEA of residence when the student's placement is at USDB, when the IEP Team is considering placement at USDB, or when the student receives 180 minutes or more of special education and/or related services from USDB.
  - f. An individual who can interpret the instructional implications of evaluation results, who may be a member of the team described in this section of these SpEd Rules;
  - g. At the discretion of the parent or student who is an adult or the LEA, other individuals who have knowledge or special expertise regarding the student, including related services personnel as appropriate; and
  - h. Whenever appropriate, the student with a disability.
  - i. The determination of knowledge or special expertise of any individual described in these SpEd Rules III.E.6 above must be made by the party (parents or student who is an adult or LEA) who invited the individual to be a member of the IEP Team.
  - j. If a purpose of the IEP Team meeting is consideration of the postsecondary goals for the student and the postsecondary transition services needed to assist the student in reaching those goals, the LEA must invite the student with a disability to attend the student's IEP meeting. If the student does not attend the IEP meeting, the LEA must take other steps to ensure that the student's preferences and interests are considered.
  - k. To the extent appropriate, with the written consent of the parents or student who is an adult, the LEA must invite a representative of any participating agency that is likely to be responsible for providing or paying for postsecondary transition services.
  - l. Signatures on an IEP denote participation of IEP Team members in the development of the IEP.

**III.F. IEP TEAM ATTENDANCE (34 CFR § 300.321).**

1. A required member of the IEP Team is not required to attend a particular IEP Team meeting, in whole or in part, if the parents of a student with a disability or student who is an adult and the LEA agree, in writing, that the attendance of the member is not necessary because the member's area of the curriculum or related services is not being modified or discussed in the meeting.
2. A required member of the IEP Team may be excused from attending an IEP meeting, in whole or in part, when the meeting involves a modification to or discussion of the member's area of the curriculum or related services, if:
  - a. The parents or student who is an adult, in writing, and the LEA consent to the excusal; and
  - b. The member submits, in writing, to the parents or student who is an adult and the IEP Team, input into the development of the IEP prior to the meeting.

**III.G. PARENT PARTICIPATION (34 CFR § 300.322).**

1. Each LEA must take steps to ensure that one or both of the parents of a student with a disability or the student who is an adult are present at each IEP meeting or are afforded the opportunity to participate, including:
  - a. Notifying parents or student who is an adult of the meeting early enough to ensure that they will have an opportunity to attend; and
  - b. Scheduling the meeting at a mutually agreed-on time and place.
2. If the parents or student who is an adult cannot attend, the LEA must use other methods to ensure participation of the parents or the student who is an adult, including individual or conference telephone calls. The parents of a student with a disability or the student who is an adult and an LEA may agree to use alternative means of meeting participation, such as video conferences and conference calls (34 CFR § 300.328).
3. A meeting may be conducted without a parent or the student who is an adult in attendance if the LEA is unable to convince the parent(s) or the student who is an adult that they should attend. In this case, the LEA must keep a record of its attempts to arrange a mutually agreed-on time and place, such as:

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- a. Detailed records of telephone calls made or attempted and the results of those calls;
  - b. Copies of correspondence sent to the parents or student who is an adult and any responses received; and
  - c. Detailed records of visits made to the parents' or student who is an adult home or place of employment and the results of those visits.
4. The LEA must take whatever action is necessary to ensure that the parent(s) or student who is an adult understands the proceedings of the IEP Team meeting, including arranging for an interpreter for parent(s) or student who is an adult with deafness or whose native language is other than English.
- a. Under UCA 35A-13-604, an individual is required to be certified as an interpreter if that individual provides interpreter services for deaf and hard of hearing individuals.
  - b. An individual providing interpreting services other than those for deaf and hard of hearing individuals shall be trained.
5. The parent(s) of a student with a disability or student who is an adult are participants along with school personnel in developing, reviewing, and revising the IEP for their student. This is an active role in which the parent(s) or student who is an adult:
- a. Provide critical information regarding the strengths of the student and express their concerns for enhancing the education of the student;
  - b. Participate in the discussion of the student's need for special education and related services, and supplementary aids and services; and
  - c. Join with other participants in deciding how the student will be involved and progress in the general curriculum, how the student will participate in State- and LEA-wide assessments, and what services the LEA will provide to the student and in what setting.
6. The LEA must give the parent(s) or adult student a copy of the student's IEP at no cost to the parent(s) or student who is an adult.

**III.H. NOTICE OF MEETING (34 CFR § 300.322)**

1. The notice of meeting required to be provided to the parent(s) or student who is an adult must:
  - a. Indicate the purpose, time, and location of the meeting and who will be in attendance; and
  - b. Inform the parent(s) or student who is an adult of the provision for participation of other individuals who have knowledge or special expertise about the student on the IEP Team.
  - c. Inform the parent(s) that at their request the Part C service coordinator or other representatives of the Part C system may be invited to participate at the initial IEP Team meeting for a student previously served under Part C of the IDEA.
2. For a student with a disability, ages 14 and older, or younger if determined appropriate by the IEP Team, the IEP notice of meeting also must:
  - a. Indicate that a purpose of the meeting will be the consideration of the postsecondary goals and postsecondary transition services for the student; and
  - b. Indicate that the LEA will invite the student; and
  - c. Identify any other agency that will be invited, with parental or student who is an adult consent, to send a representative.
3. When conducting IEP Team meetings and placement meetings and carrying out administrative matters, the parent(s) of a student with a disability or student who is an adult and an LEA may agree to use alternative means of meeting participation such as video conferences and conference calls (34 CFR § 300.328).

**III.I. DEVELOPMENT, REVIEW, AND REVISION OF THE IEP (34 CFR § 300.324).**

1. Development, review, and revision of the IEP.
  - a. In developing each student's IEP, the IEP Team must consider:
    - (1) The strengths of the student;



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- (2) The concerns of the parent(s) or adult student for enhancing the education of the student;
  - (3) The results of the initial or most recent evaluation of the student, and
  - (4) The academic, developmental, and functional needs of the student.
- b. The IEP Team, in conducting a meeting to develop, review and, if appropriate, revise a student's IEP, must consider the following special factors:
- (1) In the case of a student with limited English proficiency (LEP), consider the language needs of the student as those needs relate to the student's IEP;
  - (2) In the case of a student who is blind or visually impaired, provide for instruction in braille and the use of braille unless the IEP Team determines, after an evaluation of the student's reading and writing skills, needs, and appropriate reading and writing media (including an evaluation of the student's future needs for instruction in braille or the use of braille), that instruction in braille or the use of braille is not appropriate for the student;
    - (a) Prior to determining whether a student who is blind should use braille as the primary reading mode, the student's IEP Team must be provided (through pertinent literature or discussions with competent braille users and educators, or both) with detailed information about the use and efficiency of braille as a reading medium, in order to make an informed choice as to the student's primary reading.
  - (3) Consider the communication needs of the student and, in the case of a student who is deaf or hard of hearing, consider the student's language and communication needs, opportunities for direct communication with peers and professional personnel in the student's language and communication mode, academic level, and full range of needs, including opportunities for direct instruction in the student's language and communication mode;

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- (4) Consider whether the student needs assistive technology devices and services in school and on a case-by-case basis, in a student's home or other setting; and
- (5) In the case of a student whose behavior impedes the student's learning or that of others, consider the use of positive behavior interventions and supports, and other strategies (e.g., conduct a functional behavior assessment, develop a behavior intervention plan), to address that behavior.
  - (a) When making decisions on behavior interventions, the IEP Team must refer to the USBE Technical Assistance (TA) manual that outlines the Least Restrictive Behavior Interventions (LRBI) for information on research-based intervention procedures.
    - (i) Emergency safety interventions may only be included in an IEP as a planned intervention when the IEP Team agrees that less restrictive means which meet circumstances in Board Rules R277-608 have been attempted, an FBA has been conducted, and a BIP based on data analysis has been developed and implemented (Board Rules R277-609).
    - (b) The purpose of the LRBI related to the use of positive behavior supports and behavior interventions in schools is to:
      - (i) Protect the safety and well-being of all students;
      - (ii) Provide protection for students, teachers, other school personnel, and LEAs; and
      - (iii) Ensure that parent(s) or students who is an adult are involved in the consideration and selection of behavior interventions to be used.
    - (c) When an emergency situation occurs that requires the immediate use of an emergency safety intervention to protect the student or others from harm, the staff shall comply with requirements in Board Rules R277-609 with regards to time limitations and parental or student who is an adult notification.

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- (d) As appropriate, the student should receive an FBA and behavior intervention services and modifications that are designed to address the behavior (34 CFR § 300.530(d)(1)(ii)).
  - c. If, in considering the special factors described above, the IEP Team determines that a student needs a particular device or services for educational purposes (including an intervention, accommodation, or other program modification) in order for the student to receive a FAPE, the IEP Team must include a statement to that effect in the student's IEP.
  - d. A regular education teacher of a student with a disability, as a member of the IEP Team, must, to the extent appropriate, participate in the development of the IEP of the student, including the determination of:
    - (1) Appropriate positive behavior interventions and supports and other strategies for the student; and
    - (2) Supplementary aids and services, program modifications, and support for school personnel consistent with the IEP.
- 2. Changes to the IEP.
  - a. In making changes to a student's IEP after the annual IEP Team meeting for a school year, the parent(s) of a student with a disability or student who is an adult and the LEA may agree not to convene an IEP meeting for the purposes of making those changes, and instead may develop a written document to amend or modify the student's current IEP.
    - (1) The LEA shall convene a meeting with the IEP Team regarding material or substantial changes to the IEP (e.g., the amount of service time, change in placement, termination of services).
  - b. Upon request, the parent(s) or student who is an adult must be provided with a revised copy of the IEP with the amendments incorporated.
  - c. If changes are made to the student's IEP through the amendment process, the LEA must ensure that the student's IEP Team is informed of those changes.
- 3. To the extent possible, the LEA must encourage the consolidation of reevaluation meetings and other IEP Team meetings for the student (34 CFR § 300.324(a)(5)).

4. Review and revision of the IEP.

a. Each LEA must ensure that the IEP Team:

- (1) Reviews the student's IEP periodically, but not less than annually, to determine whether the annual goals for the student are being achieved; and
- (2) Revises the IEP, as appropriate, to address:
  - (a) Any lack of expected progress toward the annual goals in the IEP and in the general education curriculum, if appropriate;
  - (b) The results of any reevaluation;
  - (c) Information about the student provided to, or by, the parent(s) or adult student;
  - (d) The student's anticipated needs; or
  - (e) Other matters.
- (3) In conducting a review of the student's IEP, the IEP Team must consider the special factors in these SpEd Rules III.I.1.b.
- (4) A regular education teacher of the student, as a member of the IEP Team, must participate in the review and revision of the IEP of the student, if the student is or may be participating in the general education classroom.
- (5) If a participating agency, other than the LEA, fails to provide the postsecondary transition services described in the IEP, the LEA must reconvene the IEP Team to identify alternative strategies to meet the postsecondary transition objectives for the student set out in the IEP.
- (6) Nothing in this part relieves any participating agency, including a State vocational rehabilitation agency, of the responsibility to provide or pay for any postsecondary transition service that the agency would otherwise provide to students with disabilities who meet the eligibility criteria of that agency.

**III.J. DEFINITION OF THE INDIVIDUALIZED EDUCATION PROGRAM (IEP) (34 CFR § 300.320).**

1. The term *individualized education program* (IEP) means a written statement for each student with a disability that is developed, reviewed, and revised in a meeting. The IEP is designed to meet the student's unique needs based on their disability, and prepare them for further education, employment, and independent living.
2. The IEP must include:
  - a. A statement of the student's present levels of academic achievement and functional performance (PLAAFP), including:
    - (1) How the student's disability affects the student's involvement and progress in the general education curriculum (i.e., the same grade-level curriculum as for students who are non-disabled); or
    - (2) For students in preschool, as appropriate, how the disability affects the student's participation in appropriate activities; and
    - (3) For students who are blind, the results obtained from a braille-related or braille skills assessment;
  - b. A statement of measurable annual goals , that describes what the student is reasonably expected to accomplish in a year and the conditions in which the goals will be performed, including academic and functional goals designed to:
    - (1) Meet the student's needs that result from the student's disability to enable the student to be involved in and make progress in the grade-level general education curriculum; and
    - (2) Meet each of the student's other educational needs that result from the student's disability;
  - c. For a student with a disability, ages 14 and older, an annual IEP goal related to the student's postsecondary transition service needs.
  - d. For eligible students with significant cognitive disabilities who will participate in grade-level alternate achievement standards (i.e., Essential Elements):

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- (1) Notification to the parent(s) or adult student that the student's academic achievement will be measured through an assessment of the grade-level Utah alternate achievement standards and how participation in such alternate achievement assessments may delay or otherwise affect the student from completing the requirements for a regular high school diploma; and
  - (2) A description of benchmarks or short-term objectives for each annual goal;
- e. A description of:
- (1) How the student's progress toward meeting the annual IEP goals will be measured; and
  - (2) When periodic reports to the parent(s) or adult student on the progress the student is making toward meeting the annual IEP goals (such as through the use of quarterly or other periodic reports, concurrent with the issuance of report cards) will be provided;
- f. A statement of the special education and related services and supplementary aids and services (including assistive technology), based on peer-reviewed research to the extent practicable, to be provided to the student, or on behalf of the student, and a statement of the program modifications or supports for school personnel that will be provided to enable the student:
- (1) To advance appropriately toward attaining the annual goals;
  - (2) To be involved in and make progress in the grade-level general education curriculum, and to participate in extracurricular and other nonacademic activities; and
  - (3) To be educated and participate with other similar-aged students with disabilities and non-disabled students in the activities described in this section;
- g. An explanation of the extent, if any, to which the student will not participate with similar-aged non-disabled students in the regular education environment and in the activities described in this section;
- h. A statement of:

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- (1) Any individual appropriate accommodations that are necessary to measure the academic achievement and functional performance of the student on all grade-level State- and LEA-wide assessments; and
- (2) If the IEP Team determines that the student must take an alternate assessment instead of a particular regular State- or LEA-wide assessment of student achievement, a statement of why:
  - (a) The student cannot participate in the regular assessment; and
  - (b) The particular alternate assessment selected is appropriate for the student; and
- i. The projected date for the beginning of the services and modifications, and the anticipated frequency, location, and duration of those services and modifications.
- j. A statement of school to post-school transition services.
  - (1) For a student with a disability, ages 14 and older, or younger if determined appropriate by the IEP Team, and updated annually thereafter, the IEP must include:
    - (a) Appropriate measurable postsecondary goals based upon age-appropriate postsecondary transition assessments related to training or education, employment, and, where appropriate, independent living skills; and
    - (b) The postsecondary transition services (including courses of study) needed to assist the student in reaching those goals.
- k. Transfer of rights at age of majority.
  - (1) Beginning not later than one year before the student reaches the age of majority (age 18 in Utah), the IEP must include a statement that the student has been informed of the student's rights under Part B of the IDEA that will transfer to the student on reaching the age of majority. The transfer of rights also occurs upon notification to the LEA that a student has married or become emancipated before age 18.
- l. Nothing in this section shall be construed to require that additional information be included in a student's IEP beyond what is explicitly

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required in Section 614 of Part B of the IDEA, or require the IEP Team to include information under one component of a student's IEP that is already contained under another component of the student's IEP.

- m. IEP Teams should discuss and address, if appropriate, student participation in not only the grade-level Utah Core Standards, but other general education activities and courses (e.g., health and maturation, suicide prevention), as well as the Statewide Online Education Program (SOEP) or other online, distance, blended, or competency-based courses, as well as courses taken through Career and Technical Education (CTE) programs and concurrent enrollment. Students with disabilities may require special education and related services and accommodations for equitable participation, in conjunction with Part B of the IDEA, these Rules, and Board Rules R277-418, R277-713, and R277-726.

#### **III.K. IEP AND SERVICES FOR PRESCHOOL STUDENTS AGES THREE THROUGH FIVE**

1. IEP Development and Contents (34 CFR § 300.323):
  - a. In developing the IEP for a student with a disability age 3–5 or, at the discretion of the LEA, a two-year-old student with a disability who will turn age three during the school year, the IEP Team must consider the contents of an IFSP that contains the natural environments statement and an educational component that promotes school readiness and incorporates pre-literacy, language, and numeracy skills.
  - b. The IFSP may not serve as the IEP of the student.
  - c. The LEA must develop an IEP for the student in accordance with the procedures in these SpEd Rules.
2. Services for students with disabilities ages 3–5, served in preschool programs of the LEA, are to be provided consistent with these SpEd Rules including consideration of the continuum of alternative placement options (34 CFR § 300.124).
3. Preschool transition planning for students referred from Part C providers must be conducted consistent with the State's current interagency preschool transition agreement with Part C. This planning shall be implemented at least 90 calendar days, as required by Part C regulations 637(a)(9), before the



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student is eligible for the preschool program under Part B of the IDEA in accordance with these SpEd Rules. Each LEA will participate in the preschool transition planning meeting arranged by the lead agency for the Part C program (34 CFR § 300.124).

4. Services for students age three.
  - a. By the eligible student's third birthday, the LEA must ensure that an IEP has been developed and is being implemented for the student (34 CFR § 300.124(b)).
  - b. If a student's third birthday occurs after the end of the school year, the LEA must ensure that an IEP has been developed, and the student's IEP Team shall determine the date in the next school year when services under the IEP will begin, except that the IEP Team may determine that extended school year services are needed outside the school year.
5. Students counted under the preschool program who are being served by Head Start must meet all of the requirements in these SpEd Rules, including the eligibility criteria and provision of a FAPE.

#### III.L. PHYSICAL EDUCATION (34 CFR § 300.108).

1. Physical education services, specially designed if necessary, must be made available to every student with a disability receiving a FAPE, unless the LEA enrolls students without disabilities and does not provide physical education to students without disabilities in the same grades.
2. Each student with a disability must be afforded the opportunity to participate in the regular physical education program available to students who are nondisabled unless:
  - a. The student is enrolled full time in a separate facility; or
  - b. The student needs specially designed physical education, as prescribed in the student's IEP.
3. If specially designed physical education (e.g., adapted physical education) is prescribed in a student's IEP, the LEA responsible for the education of that student must provide the services directly or make arrangements for those services to be provided through other public or private programs.

4. The LEA responsible for the education of a student with a disability who is enrolled in a separate facility must ensure that the student receives appropriate physical education services.

**III.M. ASSISTIVE TECHNOLOGY (34 CFR § 300.105 AND BOARD RULES R277-495).**

1. Each LEA must ensure that assistive technology devices or assistive technology services, or both, are made available to a student with a disability if required as a part of the student's:
  - a. Special education,
  - b. Related services, or
  - c. Supplementary aids and services.
2. On a case-by-case basis, the use of school-purchased assistive technology devices in a student's home or in other settings is required if the student's IEP Team determines that the student needs access to those devices in order to receive a FAPE.

**III.N. EXTENDED SCHOOL YEAR (ESY) SERVICES (34 CFR § 300.106 AND BOARD RULES R277-751).**

1. *Extended school year services* means special education and related services that:
  - a. Are provided to an eligible student with a disability:
    - (1) Beyond the normal school year of the LEA;
    - (2) In accordance with the student's IEP; and
    - (3) At no cost to the parent(s) of the student or student who is an adult; and
  - b. Meet the standards of the USBE in Board Rules R277-751.
2. Each LEA shall ensure that:
  - a. Extended school year services are available as necessary to provide FAPE, consistent with these SpEd Rules and considered for each individual student with a disability during an IEP, based upon a review of multiple data sources and factors.

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- b. ESY student programs are provided in the least restrictive environment.
  - c. ESY teachers and paraeducators meet USBE's and IDEA requirements.
3. Extended school year services must be provided only if a student's IEP Team determines, on an individual basis, that the services are necessary for the provision of a FAPE to the student. The annual IEP shall reflect the IEP Team's decision regarding the need for ESY services.
- a. Parent(s) or the student who is an adult shall be provided with prior written notice of proposal or refusal to provide ESY services.
  - b. If determined as eligible for ESY services, the IEP Team shall determine the appropriate ESY program, based on the student's individual needs.
  - c. ESY eligibility decisions and prior written notice of ESY programs shall be provided to parent(s) or student who is an adult in sufficient time to permit accessing dispute resolution options of the procedural safeguards, in the event of a dispute.
4. In implementing the requirements of this section, an LEA may not:
- a. Limit extended school year services to particular categories of disability, age, or grade level;
  - b. Unilaterally limit the type, amount, or duration of those services; or
  - c. Limit data consideration by IEP Teams to only an analysis of regression and recoupment.

#### **III.O. LEAST RESTRICTIVE ENVIRONMENT (LRE) (34 CFR § 300.114).**

1. Each LEA must ensure that:
- a. To the maximum extent appropriate, students with disabilities, including students in public or private institutions or other care facilities (e.g., nursing homes), are educated with similar-aged students who are nondisabled; and
  - b. Special classes, separate schooling, or other removal of students with disabilities from the regular educational environment occurs only if the nature or severity of the disability is such that education in regular classes with the use of supplementary aids and services cannot be achieved

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satisfactorily. In the case of a student who is deaf or hard of hearing, consideration of a special class or school may be the least restrictive environment in that it provides opportunities for direct communication and instruction in the student's language and communication mode with professional personnel and peers.

- c. LRE provisions apply to transition programs (i.e., preschool and postsecondary) and placement.
2. A state funding mechanism must not result in placements that violate the LRE requirements of these SpEd Rules III.P.–S.
3. A state must not use a funding mechanism by which the state distributes funds on the basis of the type of setting in which a student is served that will result in the failure to provide a student with a disability a FAPE according to the unique needs of the student, as described in the student's IEP.

#### **III.P. INCLUSIONARY PRACTICES TO PROVIDE A FULL EDUCATIONAL OPPORTUNITY (34 CFR § 300.109).**

1. The LEA must develop inclusionary practices that include the components in the definition using evidence-based practices. LEA's may benefit from USBE Technical Assistance, and other resources.

#### **III.Q. CONTINUUM OF ALTERNATIVE PLACEMENTS (34 CFR § 300.115).**

1. Each LEA must ensure that a continuum of alternative placements is available to meet the needs of students with disabilities for special education and related services.
2. The continuum required must:
  - a. Include the following alternative placements for instruction:
    - (1) Regular classes,
    - (2) Special classes,
    - (3) Special schools,
    - (4) Home instruction, and
    - (5) Instruction in hospitals and institutions; and

- b. Make provision for supplementary services (such as resource room or itinerant instruction) to be provided in conjunction with regular class placement.

**III.R. PLACEMENTS (34 CFR § 300.116).**

1. In determining the educational placement of a student with a disability, including a preschool or postsecondary transition-aged student with a disability, each LEA must ensure that:
  - a. The placement decision:
    - (1) Is made by a group of persons, including the parent(s) or student who is an adult and other persons knowledgeable about the student, the meaning of the evaluation data, and the placement options; and
    - (2) Is made in conformity with the LRE provisions above.
  - b. The student's placement:
    - (1) Is determined at least annually;
    - (2) Is based on the student 's IEP; and
    - (3) Is as close as possible to the student 's home;
  - c. Unless the IEP of a student with a disability requires some other arrangement, the student is educated in the school that the student would attend if nondisabled;
  - d. In selecting the LRE, consideration is given to any potential harmful effect on the student or on the quality of services that the student needs; and
  - e. A student with a disability is not removed from education in age-appropriate regular classrooms solely because of needed modifications in the general education curriculum.

**III.S. PARENTAL INVOLVEMENT IN PLACEMENT DECISIONS (34 CFR §§ 300.327, 300.501).**

1. Each LEA shall ensure that the parent(s) of each student with a disability or adult student are members of any group that makes decisions on the educational placement of the parent's student or the student who is an adult (SpEd Rules IV.B).

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2. In implementing this requirement, each LEA shall use procedures for parent or student who is an adult involvement in placement decisions consistent with those used for parent participation in IEP meetings.
3. If neither parent or the student who is an adult can participate in a meeting in which a decision is to be made relating to the educational placement of the student, the LEA shall use other methods to ensure their participation, including individual or conference telephone calls or video conferencing.
4. A group may make a placement decision without the involvement of the parent(s) or student who is an adult if the LEA is unable to obtain either parent's or student who is an adult participation in the decision. In this case, the LEA must have a record of its attempts to ensure their involvement.

#### **III.T. NONACADEMIC SETTINGS AND EXTRACURRICULAR ACTIVITIES (34 CFR § 300.117 AND UCA 53G-6-709).**

1. In providing or arranging for the provision of nonacademic and extracurricular services and activities, including meals, recess periods, and the services and activities in these SpEd Rules III.V, each LEA must ensure that each student with a disability participates with students who are nondisabled in the extracurricular services and activities to the maximum extent appropriate to the needs of that student.
2. The LEA must ensure that each student with a disability has the supplementary aids and services determined by the student's IEP Team to be appropriate and necessary for the student to participate in nonacademic settings.
3. A student with a disability (under the age of 22 who has not graduated from high school with a regular high school diploma, whose IEP Team recommends participation) may not be denied the opportunity of participating in public school programs or extracurricular activities solely because of the student's age, unless the participation threatens the health or safety of the student. The school district or charter school, in cooperation with the Utah Department of Health, shall establish criteria used to determine the health and safety factor (UCA 53G-6-709).

**III.U. NONACADEMIC SERVICES (34 CFR § 300.107).**

1. Each LEA must take steps, including the provision of supplementary aids and services determined appropriate and necessary by the student's IEP Team, to provide nonacademic and extracurricular services and activities in the manner necessary to afford students with disabilities an equal opportunity for participation in those services and activities.
2. Nonacademic and extracurricular services and activities may include counseling services, athletics, transportation, health services, recreational activities, special interest groups or clubs sponsored by the LEA, referrals to agencies that provide assistance to individuals with disabilities, and employment of students, including both employment by the LEA and assistance in making outside employment available.

## **IV. PROCEDURAL SAFEGUARDS & DUE PROCESS PROCEDURES FOR PARENT(S) AND STUDENTS (IDEA SUBPART E)**

1. Consistent with the requirements of Part B of the IDEA and these SpEd Rules, each LEA shall establish, maintain, and implement procedural safeguards for students with disabilities and their parent(s) or student who is an adult. (34 CFR § 300.500).

### **IV.A. PARENTAL OPPORTUNITY TO EXAMINE RECORDS AND PARTICIPATE IN MEETINGS (34 CFR § 300.501).**

1. Opportunity to examine records.
  - a. The parent(s) of a student with a disability or student who is an adult must be afforded, in accordance with these SpEd Rules, an opportunity to inspect and review all education records with respect to the identification, evaluation, and educational placement of the student and the provision of a FAPE to the student.
2. Parent participation in meetings.
  - a. The parent(s) of a student with a disability or student who is an adult must be afforded an opportunity to participate in meetings with respect to the identification, evaluation, and educational placement of the student and the provision of a FAPE to the student.
  - b. Each LEA must provide notice, consistent with these SpEd Rules, to ensure that parents of students with disabilities or adult students have the opportunity to participate in meetings.
  - c. A meeting does not include informal or unscheduled conversations involving LEA personnel and conversations on issues such as teaching methodology, lesson plans, or coordination of service provision. A meeting also does not include preparatory activities that LEA personnel engage in to develop a proposal or a response to a parent or student who is an adult proposal that will be discussed at a later meeting.
3. Parent involvement in placement decisions.



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- a. Each LEA must ensure that a parent of each student with a disability or student who is an adult is a member of any group that makes decisions on the educational placement of the parent's student (34 CFR § 300.327), including notifying the parent(s) or student who is an adult of the meeting early enough to ensure that they will have an opportunity to attend and scheduling the meeting at a mutually agreed-on time and place (34 CFR § 300.322(a)).
- b. The notice of meeting must indicate the purpose(s), time, and location of the meeting, who will be in attendance, and inform the parents or student who is an adult of their right to bring other individuals who have knowledge or special expertise about the student (34 CFR § 300.322(b)).
- c. If neither parent or the student who is an adult can participate in a meeting in which a decision is to be made relating to the educational placement of the student, the LEA must use other methods to ensure their participation, including individual or conference telephone calls or video conferencing.
- d. A placement decision may be made by a group without the involvement of a parent or student who is an adult if the LEA is unable to obtain the parents or student who is an adult participation in the decision. In this case, the LEA must have a record of its attempt to ensure their involvement.

#### IV.B. INDEPENDENT EDUCATIONAL EVALUATION (34 CFR § 300.502).

1. Definitions.
  - a. *Independent educational evaluation* (IEE) means an evaluation conducted by a qualified examiner who is not employed by the LEA responsible for the education of the student in question.
  - b. *Public expense* means that the LEA either pays for the full cost of the evaluation or ensures that the evaluation is otherwise provided at no cost to the parent or student who is an adult.
2. Each LEA shall establish and implement policies and procedures related to independent educational evaluation that meet the requirements of Part B of the IDEA and these SpEd Rules.

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3. The following requirements must be addressed:
  - a. The parents of a student with a disability or student who is an adult have the right to obtain an IEE of the student at public expense if they disagree with an evaluation obtained by the LEA.
  - b. The LEA must provide to the parents or student who is an adult, upon request for an IEE, information about where an IEE may be obtained and the LEA criteria applicable for IEEs.
  - c. If a parent or student who is an adult requests an IEE at public expense, the LEA must, without unnecessary delay, either:
    - (1) File a request for a due process complaint and hearing to show that its evaluation is appropriate; or
    - (2) Ensure that an IEE is provided at public expense, unless the LEA demonstrates in a due process hearing that the evaluation obtained by the parent or student who is an adult did not meet LEA criteria.
  - d. If the LEA files a due process complaint and request for hearing, and the final decision is that the LEA's evaluation is appropriate, the parent or student who is an adult still has the right to an IEE, but not at public expense.
  - e. If a parent or student who is an adult requests an IEE, the LEA may ask for the parents' or student who is an adult's reason why there is an objection to the public evaluation. However, the explanation by the parent or student who is an adult may not be required and the LEA may not unreasonably delay either providing the IEE at public expense or requesting a due process hearing to defend the public evaluation.
  - f. A parent or student who is an adult is entitled to only one IEE at public expense each time the LEA conducts an evaluation with which the parent or adult student who is an adult disagrees.
  - g. If the parent or student who is an adult either obtains an IEE at public expense or shares an evaluation obtained at private expense with the LEA, the results of the evaluation:

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- (1) Must be considered by the LEA, in any decision made with respect to the provision of a FAPE to the student provided that the IEE meets LEA criteria; and
  - (2) May be presented by any party as evidence at a hearing on a due process complaint regarding that student.
- h. If a hearing officer requests an IEE as part of a due process hearing, the cost of the evaluation must be at public expense.
  - i. If an IEE is at public expense, the criteria under which the evaluation is obtained, including the location of the evaluation and the qualifications of the examiner, must be the same as the criteria that the LEA uses when it initiates an evaluation, to the extent those criteria are consistent with the parent's or student who is an adult right to an IEE.
  - j. Except for the criteria described above, an LEA may not impose additional conditions or timelines related to obtaining an IEE at public expense.
4. An IEE conducted at the LEA's expense becomes the property of the LEA, in its entirety.

#### IV.C. PRIOR WRITTEN NOTICE (34 CFR § 300.503).

1. Prior written notice must be given to the parents of a student with a disability or student who is an adult a reasonable time before the LEA:
  - a. Proposes to initiate or change the identification, evaluation, or educational placement of the student or the provision of a FAPE to the student; or
  - b. Refuses to initiate or change the identification, evaluation, or educational placement of the student or the provision of a FAPE to the student.
2. The notice required must include:
  - a. A description of the action proposed or refused by the LEA;
  - b. An explanation of why the LEA proposes or refuses to take the action;
  - c. A description of each evaluation procedure, assessment, record, or report the LEA used as a basis for the proposed or refused action;
  - d. A statement that the parent(s) of a student with a disability or student who is an adult have protection under the procedural safeguards of Part B of

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the IDEA and, if this notice is not an initial referral for evaluation, the means by which a copy of a description of the procedural safeguards can be obtained;

- e. Sources for the parent(s) or student who is an adult to contact to obtain assistance in understanding the provisions of Part B of the IDEA;
- f. A description of other options that the IEP Team considered and the reasons why those options were rejected; and
- g. A description of other factors that are relevant to the LEA's proposal or refusal.

3. The notice must be:

- a. Written in language understandable to the general public; and
- b. Provided in the native language of the parent or student who is an adult or other mode of communication used by the parent or student who is an adult, unless it is clearly not feasible to do so.

(1) If the native language or other mode of communication of the parent or student who is an adult is not a written language, the LEA must take steps to ensure that:

- (a) The notice is translated orally or by other means to the parent or student who is an adult in the parent's or student who is an adult native language or other mode of communication;
- (b) The parent or student who is an adult understands the content of the notice; and
- (c) There is written evidence that the requirements above have been met.

#### **IV.D. PROCEDURAL SAFEGUARDS NOTICE (34 CFR § 300.504).**

- 1. A copy of the procedural safeguards available to the parent(s) of a student with a disability or student who is an adult must be given to the parent(s) or student who is an adult only one time a year, except that a copy also must be given to the parent(s) or student who is an adult:

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- a. Upon initial referral or parent or student who is an adult request for evaluation;
  - b. Upon receipt of the first State complaint or a due process complaint in that school year;
  - c. In accordance with the discipline procedures in 34 CFR § 300.530(h) and these SpEd Rules V.F.; and
  - d. Upon request by a parent or student who is an adult.
2. An LEA may place a current copy of the procedural safeguards notice on its website if a website exists.
3. The procedural safeguards notice must include a full explanation of all the procedural safeguards relating to:
- a. Independent educational evaluations;
  - b. Prior written notice;
  - c. Parental or student who is an adult consent;
  - d. Access to educational records;
  - e. The opportunity to present and resolve complaints through the due process complaint and State complaint procedures, including:
    - (1) The time period in which to file a due process complaint or State complaint;
    - (2) The opportunity for the agency to resolve the due process hearing complaint or State complaint; and
    - (3) The difference between the due process complaint and the State complaint procedures, including the jurisdiction of each procedure, what issues may be raised, filing and decisional timelines, and relevant procedures;
  - f. The availability of mediation;
  - g. The student's placement during pendency of hearings on due process complaints;

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- h. Procedures for students who are subject to placement in an interim alternative educational setting (IAES);
  - i. Requirements for unilateral placement by parent(s) of students or by a student who is an adult in private schools at public expense;
  - j. Hearings on due process complaints, including requirements for disclosure of evaluation results and recommendations;
  - k. State-level appeals;
  - l. Civil actions, including the time period in which to file those actions; and
  - m. Attorneys' fees.
4. The notice required must be in language understandable to the parent(s) or student who is an adult as set forth in 34 CFR § 300.503(c) and these SpEd Rules IV.C.3.
5. A parent of a student with a disability or student who is an adult may elect to receive prior written notice, procedural safeguards notice, and prior written notice following a due process complaint pursuant to SpEd Rules IV.H.6 by an electronic mail communication, if the LEA makes that option available (34 CFR § 300.505).

#### **IV.E. STATE COMPLAINT PROCEDURES (34 CFR §§ 300.151–153).**

1. The USBE has adopted procedures for resolving any State complaint under Part B of the IDEA and these SpEd Rules, including a State complaint filed by an organization or individual from another state. The State complaint must be filed with the USBE's State Director of Special Education in person, by U.S. Mail, or by fax, and include the name of the school district, charter, or other public agency in the State that provides special education and related services to students with disabilities ("LEA or other public agency") in which the alleged violation occurred. The party filing the complaint must also forward a copy to the LEA or other public agency. If the complainant(s) are unable to file in writing, they may contact the LEA or other public agency or State Director of Special Education for assistance.
- a. Upon receipt of a State complaint by either the LEA (or other public agency) or the State Director of Special Education, the receiving party will

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notify the other agency within one business day, to ensure coordination of the process and copies received by both. The State complaint timeline begins when both parties have received copies.

- (1) Any State complaint submitted on a weekend, holiday, or other non-business day will be processed and considered received the following business day.
  - (2) Any State complaint received after 5:00 pm mountain time on a business day will be processed and considered received on the following business day.
- b. If a State complaint is filed by an organization or individual other than a parent or adult student on their own behalf, consent from a parent or adult student must be obtained before USBE may provide personally identifiable information about a student to a non-parent or non-adult student complainant as part of the State complaint decision. Consent must be written, dated, and signed by a parent or student who is an adult. 34 CFR §§ 99.30 and 300.622.
- (1) If possible, personally identifiable information and other information relating to specific student(s) shall be redacted prior to issuing a decision to complainants who are not parents or student who is an adult unless USBE has received written consent to share such information in accordance with SpEd Rules.
  - (2) Because the State complaint resolution may likely involve a student's personally identifiable information, it may not be possible for the USBE's State complaint decision to be issued to a non-parent complainant if USBE does not receive parental consent to share such information. USBE will make this determination on a case-by-case basis but will not withhold relevant non-personally identifiable information from a complainant regarding the results of the USBE's State complaint resolution.
  - (3) Even if USBE is not able to issue a written decision to a complainant because of its personally identifiable nature, USBE still must ensure that it resolves the State complaint, issues a written decision to the parent of the student in question or the student who is an adult that addresses each allegation in the State complaint, and ensures timely

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implementation of its written decision, including, if appropriate, corrective actions to achieve compliance and remedies for the denial of appropriate services. 34 CFR §§ 300.152(b)(2) and 300.151(b).

2. The State complaint must include the following:
  - a. A statement that the LEA or other public agency has violated a requirement of Part B of the IDEA or these SpEd Rules.
  - b. The facts on which the statement is based.
  - c. The signature and contact information for the complainant.
  - d. If alleging violations with respect to a specific student:
    - (1) The name and address of the residence of the student;
    - (2) The name of the school the student is attending;
    - (3) In the case of a student experiencing homelessness, available contact information for the student and the name of the school the student is attending;
    - (4) A description of the nature of the problem of the student, including facts relating to the problem; and
    - (5) A proposed resolution of the problem to the extent known and available to the party at the time the complaint is received as described in these SpEd Rules IV.E.2.
  - e. The USBE may dismiss a submission purporting to be a State complaint that does not include all of the required content above in SpEd Rules IV.3.a.–d. In the event that the USBE dismisses a submission purporting to be a State complaint, the USBE will notify the complainant, LEA or other public agency, and parent(s) or student who is an adult (if not the complainant and contact information is available) in a notification within five business days of receipt of the submission. Notification will be in writing and sent via U.S. Mail, if possible. The written notification will specifically identify the missing required content and contain a statement that the written notification does not preclude the complainant from submitting a State complaint in the future that meets the requirements of Part B of the IDEA or these SpEd Rules.



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- (1) A lack of proposed resolution will not be grounds for USBE to dismiss a State complaint that meets the requirements as described in these SpEd Rules.
3. The State complaint must allege a violation that occurred not more than one year prior to the date that the complaint is received.
4. Nothing in the State complaint process limits the ability of the LEA or other public agency to attempt to resolve the alleged violation directly with the complainant; however, the State will continue to act on the submitted State complaint until a report is issued or the State complaint is withdrawn.
5. The State Director of Special Education shall resolve the State complaint within 60 calendar days from the date when both the USBE and LEA or other public agency have received copies unless exceptional circumstances exist. If an extension is necessary, the complainant and the LEA or other public agency shall be notified, in writing, by the USBE Special Education Services section. Within this time limit, the State Director of Special Education shall:
  - a. Carry out an independent on-site investigation, if the State Director of Special Education determines that such an investigation is necessary.
  - b. Give the complainant the opportunity to submit additional information, either orally or in writing, about the allegations in the State complaint.
  - c. Provide the LEA or other public agency with the opportunity to respond to the State complaint, including, at a minimum:
    - (1) At the discretion of the LEA or other public agency, a proposal to resolve the State complaint; and
    - (2) An opportunity for a parent or student who is an adult who has filed a State complaint and the LEA or other public agency to voluntarily engage in mediation (SpEd Rules IV.F.).
  - d. Review all relevant information and make a determination as to whether the LEA or other public agency is violating a requirement of Part B of the IDEA or of these SpEd Rules.
  - e. Issue a written decision to the complainant and, parent(s) or student who is an adult (if not the complainant and contact information is available)

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with a copy sent to the LEA or other public agency director, that addresses each allegation in the State complaint and contains:

- (1) Findings of fact and conclusions; and
  - (2) The reasons for the USBE's final decisions.
- f. Permit an extension of the time limit under these SpEd Rules IV.E.5. only if:
- (1) Exceptional circumstances exist with respect to a particular State complaint as determined on a case-by-case basis; or
  - (2) The complainant (if not the parent(s)), parent(s) or student who is an adult, and the LEA or public agency involved agree to extend the time to engage in mediation, or to engage in other alternative means of dispute resolution available in the State.
    - (a) Complainants who are not parents or student who is an adult may not request mediation.
  - (3) USBE will permit an extension of time in writing to the complainant (if not the parent(s)), parent(s) or student who is an adult, and the LEA or other public agency involved. The extension will be set for a date certain and will be documented in the State complaint decision (if any).
- g. Determine procedures for the effective implementation of the USBE's final decision, if needed, including technical assistance activities, negotiations, and corrective actions to achieve compliance.
- h. The State complaint decision issued by the USBE is the final action and is not subject to an appeal. If either party disagrees with the State complaint decision, their remedy is to file a due process hearing request provided that the aggrieved party has the right to file a due process complaint on the issue with which the party disagrees.
6. In resolving a State complaint in which it has found a failure to provide appropriate services, the USBE must address:
- a. How to remediate the denial of those services, including, as appropriate, the awarding of monetary reimbursement or other corrective action appropriate to the individual needs of the student.

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- b. Appropriate future provision of services for all students with disabilities.
7. If a State complaint is received that is also the subject of a due process hearing in accordance with these SpEd Rules, or contains multiple issues of which one or more are part of that due process hearing, the USBE must set aside any part of the State complaint that is being addressed in the due process hearing until its conclusion. Any issue in the State complaint that is not a part of the due process hearing must be resolved using the time limit and State complaint procedures described in this section.
  - a. In the event that a State complaint or any part of a State complaint is set aside pending the outcome of a due process hearing as described above, the 60 calendar day timeline described in Rule IV.E.5. will pause on the date that USBE and LEA or other public agency both receive a copy of the due process complaint and request for hearing.
  - b. In the event that a related due process hearing request is withdrawn or otherwise dismissed without prejudice, the 60 calendar day timeline will recommence on the following business day, and the State complaint or issues previously set aside thereunder will be investigated and resolved within this adjusted 60 calendar day timeline.
8. If an issue is raised in a State complaint filed under this section that has previously been decided in a due process hearing involving the same parties, then the hearing decision is binding on that issue. The USBE must inform both parties of this fact. A State complaint alleging an LEA's failure to implement a due process decision; however, must be submitted directly to the State Director of Special Education and resolved by the USBE.
9. Parent(s) or student who is an adult and other interested individuals and organizations, including parent training and information centers, independent living centers, protection and advocacy agencies, professional organizations, and other appropriate entities, shall be informed about these procedures through:
  - a. The procedural safeguards notice provided by LEAs.
  - b. Presentations and other training events by USBE staff conducted throughout the State.

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- c. Technical assistance provided to the public in-person, over email, or remotely to parent(s), student who are adults, and other interested individuals and organizations.

### IV.F. MEDIATION (34 CFR § 300.506).

1. Each LEA, USBE, or other public agency that has the responsibility for the education of students with disabilities must ensure that procedures are established and implemented to allow parties to resolve disputes involving any matter under Part B of the IDEA and these SpEd Rules, including matters arising prior to the filing of a due process complaint, through a mediation process.
2. Parties to a mediation may include the LEA or other public agency and a parent or a student who is an adult to resolve disputes involving any matter under Part B of the IDEA or these SpEd Rules including matters arising prior to or in conjunction with the filing of a State complaint or due process hearing request.
3. Upon receipt of a request for mediation by the USBE, USBE will begin outreach efforts to notify the non-submitting party of the request within three business days.
  - a. Any request for mediation submitted on a weekend, holiday, or other non-business day will be processed and considered received the following business day.
  - b. Any request for mediation received after 5:00 pm mountain time on a business day will be processed and considered received on the following business day.
  - c. In the event that both parties agree to mediate, USBE will assign a mediator within five business days of the agreement to mediate.
4. The procedures must ensure that the mediation process:
  - a. Is voluntary on the part of the parties;
  - b. Is not used to deny or delay a parent's or student who is an adult's right to a hearing on the parent's or student who is an adult's due process complaint, or to deny any other rights afforded under Part B of the IDEA; and

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- c. Is conducted by a qualified and impartial mediator who is trained in effective mediation techniques.
5. An LEA or other public agency may establish procedures to offer to parent(s) or student who is an adult and schools that choose not to use the mediation process an opportunity to meet, at a time and location convenient to the parent(s) or student who is an adult, with a disinterested party who is under contract with an appropriate alternative dispute resolution entity, or a parent training and information center or community parent resource center in the State; and who would explain the benefits of, and encourage the use of, the mediation process to the parent or student who is an adult.
6. A mediator is a qualified and impartial individual who facilitates confidential discussions to achieve a resolution of the dispute that is mutually agreeable to the parties. The State Director of Special Education or designee maintains a list of individuals who are qualified mediators and knowledgeable in laws and regulations relating to the provision of special education and related services.
7. An individual who serves as a mediator:
  - a. May not be an employee of the USBE or the LEA or other public agency that is involved in the education or care of the student; and
  - b. Must not have a personal or professional interest that conflicts with the person's objectivity.
  - c. A person who otherwise qualifies as a mediator is not an employee of the USBE or the LEA or other public agency solely because the person is paid by the agency to serve as a mediator.
8. The State Director of Special Education or designee selects mediators on a random, rotational, or other impartial basis.
9. The USBE bears the cost of the mediation process, including the costs of meetings described in this section.
10. Each session in the mediation process must be scheduled in a timely manner and must be held in a location that is convenient to the parties to the dispute.
11. Discussions that occur during the mediation process must be confidential and may not be used as evidence in any subsequent due process hearing, State complaint, or civil proceeding of any federal court or State court.

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- a. Confidentiality is automatic and may not be altered or modified by parties to mediation conducted under Part B of the IDEA or these SpEd Rules.
  - b. This confidentiality requirement applies regardless of whether the parties resolve a dispute through the mediation process.
12. If the parties resolve a dispute through the mediation process, the parties must execute a legally binding agreement that:
- a. Sets forth that resolution and that states that all discussions that occurred during the mediation process will remain confidential and may not be used as evidence in any subsequent due process hearing or civil proceeding arising from that dispute; and
  - b. Is signed by both the parent or student who is an adult and a representative of the LEA who has the authority to bind such agency.
13. A written, signed mediation agreement under this paragraph is enforceable in any State court of competent jurisdiction or in a district court of the United States.

#### **IV.G. FILING A DUE PROCESS COMPLAINT (34 CFR § 300.507 AND UCA 53E-7-208).**

1. The Utah Legislature finds that it is in the best interest of students with disabilities to provide for a prompt and fair final resolution of disputes which may arise over educational programs and rights and responsibilities of students with disabilities, their parent(s), and public schools (UCA 53E-7-208(1)).
2. Advocates and legal representation.
  - a. Persons with special expertise, including advocates, may assist or accompany either party to a due process hearing.
  - b. The parties may:
    - (1) Be represented by an attorney authorized to practice law in the State of Utah; or
    - (2) Represent themselves, also referred to as pro se representation (Utah Bar Rules 14-102-111, 14-802(c)(8)).

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3. A due process complaint (also referred to in these SpEd Rules and Part B of the IDEA as a “due process hearing request”) may be filed for matters related to the identification, evaluation, or educational placement of a student with a disability or the provision of FAPE to the student.
4. The due process complaint must allege a violation that occurred not more than two years before the date the parent or student who is an adult or LEA knew or should have known about the alleged action that forms the basis of the due process complaint, except:
  - a. If the parent or student who is an adult was prevented from filing a due process complaint due to specific misrepresentations by the LEA that it had resolved the problem forming the basis of the due process complaint; or
  - b. The LEA withheld information from the parent(s) or student who is an adult that was required under Part B of the IDEA to be provided to the parent(s).
5. The LEA must inform the parent or student who is an adult of any free or low-cost legal and other relevant services available in the area if:
  - a. The parent(s) or student who is an adult requests the information; or
  - b. The parent(s) or student who is an adult or the LEA requests a hearing under this section.

#### **IV.H. DUE PROCESS COMPLAINT (34 CFR § 300.508).**

1. The LEA must have procedures that require either party, or the attorney representing a party, to provide to the other party a due process complaint (which must remain confidential).
2. The party filing a due process complaint must also forward a copy of the due process complaint to the USBE State Director of Special Education in person, by U.S. Mail, or by fax.
3. The due process complaint must include:
  - a. The name of the student;
  - b. The address of the residence of the student;

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- c. The name of the school the student is attending;
  - d. In the case of a student or youth experiencing homelessness, available contact information for the student and the name of the school the student is attending;
  - e. A description of the nature of the problem of the student relating to the proposed or refused initiation or change, including facts relating to the problem; and
  - f. A proposed resolution of the problem to the extent known and available to the party at the time.
4. A party may not have a hearing on a due process complaint until the party, or the attorney representing the party, files a due process complaint that meets the requirements listed above.
  5. The due process complaint required by this section must be deemed sufficient unless the party receiving the due process complaint notifies the hearing officer and the other party in writing, within 15 calendar days of receipt of the due process complaint, that the receiving party believes the due process complaint does not meet the requirements.
    - a. Within five calendar days of receipt of notification that the due process complaint does not meet the requirements, the hearing officer must make a determination on the face of the due process complaint of whether the due process complaint meets the requirements and must immediately notify the parties in writing of that determination.
    - b. A party may amend its due process complaint only if:
      - (1) The other party consents in writing to the amendment and is given the opportunity to resolve the due process complaint through a resolution meeting; or
      - (2) The hearing officer grants permission, except that the hearing officer may only grant permission to amend at any time not later than five calendar days before the due process hearing begins.
    - c. If a party files an amended due process complaint, the timelines for the resolution meeting and the time period to resolve the complaint begin again with the filing of the amended due process complaint.



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6. If the LEA has not sent a prior written notice to the parent or student who is an adult regarding the subject matter contained in the parent's or student who is an adult's due process complaint, the LEA must, within ten calendar days of receiving the due process complaint, send to the parent or student who is an adult a response that includes:
  - a. An explanation of why the LEA proposed or refused to take the action raised in the due process complaint;
  - b. A description of other options that the IEP Team considered and the reasons why those options were rejected;
  - c. A description of each evaluation procedure, assessment, record, or report the LEA used as the basis for the proposed or refused action; and
  - d. A description of the other factors that are relevant to the LEA's proposed or refused action.
7. If an LEA has not sent prior written notice to the parent or student who is an adult regarding the subject matter of the parent's or student who is an adult's due process complaint until after the due process complaint is received, the LEA may still assert that the parent's or student who is an adult's due process complaint was insufficient, where appropriate.
8. The party receiving a due process complaint must, within ten days of receiving the due process complaint, send to the other party a response that specifically addresses the issues raised in the due process complaint.

### IV.I. MODEL FORMS (34 CFR § 300.509).

1. The USBE staff has developed model forms to assist parent(s) or student who is an adult in filing a State complaint, a due process hearing complaint, and requesting mediation. These forms are available on the [USBE website](#). Parties are not required to use the State's model forms. Parent(s) or student who is an adult, public agencies, and other parties may use the appropriate State model form or another form or other document, so long as the form or document that is used meets, as appropriate, the content requirements for filing a due process complaint or the requirements for filing a State complaint.

**IV.J. RESOLUTION PROCESS (34 CFR § 300.510).**

1. Within 15 calendar days of receiving notice of the parent(s)' or students' who is an adult due process complaint, and prior to the initiation of a due process hearing, the LEA must convene a meeting with the parent(s) or student who is an adult and the relevant member or members of the IEP Team who have specific knowledge of the facts identified in the due process complaint that:
  - a. Includes a representative of the LEA who has decision-making authority on behalf of that agency; and
  - b. May not include an attorney of the LEA unless the parent or student who is an adult is accompanied by an attorney.
2. The purpose of the meeting is for the parent(s) of the student or student who is an adult to discuss the due process complaint and the facts that form the basis of the due process complaint, so that the LEA has the opportunity to resolve the dispute that is the basis for the due process complaint.
3. The resolution meeting need not be held if the parent(s) or student who is an adult and the LEA agree in writing to waive the meeting, or the parent(s) or student who is an adult and the LEA agree to use the mediation process.
4. The parent(s) or student who is an adult and the LEA determine the relevant members of the IEP Team to attend the meeting.
5. If the LEA has not resolved the due process complaint to the satisfaction of the parent(s) or student who is an adult within 30 calendar days of the receipt of the due process complaint, the due process hearing may occur. The timeline for issuing a final decision begins at the expiration of this 30-day period.
6. The LEA shall inform the hearing officer and State Director of Special Education (or designee) of all scheduled and completed resolution meetings to ensure compliance with the resolution timeline.
7. Except where the parties have jointly agreed to waive the resolution process or to use mediation, the failure of a parent or student who is an adult filing a due process complaint to participate in the resolution meeting will delay the timelines for the resolution process and due process hearing until the meeting is held.

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8. If the LEA is unable to obtain the participation of the parent or student who is an adult in the resolution meeting after reasonable efforts have been made (and documented), the LEA may, at the conclusion of the 30-day period, request that a hearing officer dismiss the parent(s)' or student who is an adult's due process complaint.
9. If the LEA fails to hold the resolution meeting within 15 days of receiving notice of a parent's or student who is an adult's due process complaint or fails to participate in the resolution meeting, the parent(s) or student who is an adult may seek the intervention of a hearing officer to begin the due process hearing timeline.
10. The 45-day timeline for the due process hearing starts the day after one of the following events:
  - a. Both parties agree in writing to waive the resolution meeting;
  - b. After either the mediation or resolution meeting starts, but before the end of the 30-day period, the parties agree in writing that no agreement is possible;
  - c. If both parties agree in writing to continue the mediation at the end of the 30-day resolution period, but later the parent or student who is an adult or LEA withdraws from the mediation process.
11. If a resolution to the dispute is reached at the meeting, the parties must execute a legally binding agreement that is signed by both the parent or student who is an adult and a representative of the LEA who has the authority to bind the LEA and that is enforceable in any State court of competent jurisdiction or in a district court of the United States.
12. If the parties execute an agreement, a party may void the agreement within three business days of the agreement's execution.

#### **IV.K. IMPARTIAL DUE PROCESS HEARING (34 CFR § 300.511).**

1. Whenever a due process complaint is filed, the parent(s) or student who is an adult or the LEA involved in the dispute must have an opportunity for an impartial due process hearing.

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2. The impartial due process hearing shall be conducted by the USBE. The State Director of Special Education or designee shall assign an impartial hearing officer on a random (rotational) basis, and in accordance with USBE procedures.
3. At a minimum, a hearing officer must:
  - a. Not be an employee of the USBE or the LEA that is involved in the education or care of the student; or
  - b. Not be a person having a personal or professional interest that conflicts with the person's objectivity in the hearing; and
  - c. Possess knowledge of, and the ability to understand, the provisions of Part B of the IDEA and these SpEd Rules, Federal and State regulations pertaining to Part B of the IDEA, and legal interpretations of Part B of the IDEA by Federal and State courts;
  - d. Possess the knowledge and ability to conduct hearings in accordance with appropriate, standard legal practice; and
  - e. Possess the knowledge and ability to render and write decisions in accordance with appropriate, standard legal practice.
4. A person who otherwise qualifies to conduct a hearing is not an employee of the agency solely because the person is paid by the agency to serve as a hearing officer.
5. The party requesting the due process hearing may not raise issues at the due process hearing that were not raised in the due process complaint, unless the other party agrees otherwise.
6. A parent or student who is an adult or LEA must request an impartial hearing on their due process complaint within two years of the date the parent or student who is an adult or LEA knew or should have known about the alleged action that forms the basis of the due process complaint.
7. Exceptions to the timeline.
  - a. The timeline described in these SpEd Rules IV.G.4. does not apply to a parent or student who is an adult if the parent or student who is an adult was prevented from filing a due process complaint due to:

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- (1) Specific misrepresentations by the LEA that it had resolved the problem forming the basis of the due process complaint; or
  - (2) The LEA's withholding of information from the parent or student who is an adult that was required to be provided to the parent or student who is an adult.
8. The State Director of Special Education shall monitor all due process hearings to ensure adherence to required procedures.

#### IV.L. HEARING RIGHTS (34 CFR § 300.512).

1. Any party to a hearing or an appeal of a due process hearing decision conducted pursuant to 34 CFR § 300.516 and these SpEd Rules IV.Q. has the right to:
  - a. Be accompanied and advised by counsel and by individuals with special knowledge or training with respect to the problems of students with disabilities except that;
    - (1) The parties may be represented by an attorney authorized to practice law in the State of Utah; or
    - (2) Represent themselves, also referred to as pro se representation (Utah Bar Rules 14-102-111, 14-802(c)(8)).
  - b. Present evidence and confront, cross-examine, and compel the attendance of witnesses;
  - c. Prohibit the introduction of any evidence at the hearing that has not been disclosed to that party at least five business days before the hearing;
  - d. Obtain a written or, at the option of the parent(s) or student who is an adult, electronic, verbatim record of the hearing; and
  - e. Obtain written or, at the option of the parent(s) or student who is an adult, electronic findings of facts and decisions.
2. At least five business days prior to a hearing, each party must disclose to all other parties all evaluations completed by that date and recommendations based on the offering party's evaluations that the party intends to use at the hearing.

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3. A hearing officer may bar any party from introducing the relevant evaluation or recommendation not disclosed at least five business days prior to the hearing at the hearing without the consent of the other party.
4. Parent(s) or student who is an adult involved in hearings must be given the right to:
  - a. Have the student who is the subject of the hearing present;
  - b. Open the hearing to the public; and
  - c. Have the record of the hearing and the findings of fact and decisions provided at no cost to parent(s) or student who is an adult.

#### IV.M. HEARING DECISIONS (34 CFR § 300.513).

1. A hearing officer's determination of whether the student received a FAPE must be based on substantive grounds.
2. In matters alleging a procedural violation, a hearing officer may find that a student did not receive a FAPE only if the procedural inadequacies:
  - a. Impeded the student's right to a FAPE;
  - b. Significantly impeded the parent's or student who is an adult's opportunity to participate in the decision-making process regarding the provision of a FAPE to the student; or
  - c. Caused a deprivation of educational benefit.
3. Nothing in these SpEd Rules IV.M.2. shall be construed to preclude a hearing officer from ordering an LEA to comply with procedural requirements.
4. A parent or student who is an adult has the right to file a separate due process complaint on an issue separate from a due process complaint already filed.
5. The State Director of Special Education or designee, after deleting any personally identifiable information, must:
  - a. Share the findings and decisions on the due process complaint with the Utah Special Education Advisory Panel (USEAP); and
  - b. Make those findings and decisions available to the public online.

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### IV.N. FINALITY OF DECISION (34 CFR § 300.514).

1. A decision made in a due process hearing conducted pursuant to these SpEd Rules is final, unless a party to the hearing appeals the decision to a civil action under 34 CFR § 300.516 and these SpEd Rules IV.Q.

### IV.O. STATE ENFORCEMENT MECHANISMS (34 CFR § 300.537).

1. Notwithstanding the provisions for judicial enforcement of a written agreement reached as a result of mediation or a resolution meeting, there is nothing that would prevent the USBE from using other mechanisms to seek enforcement of that agreement, provided that use of those mechanisms is not mandatory and does not delay or deny a party the right to seek enforcement of the written agreement in a State court of competent jurisdiction or in a district court of the United States.

### IV.P. TIMELINES AND CONVENIENCE OF HEARINGS (34 CFR § 300.515).

1. The State Director of Special Education or designee must ensure that not later than 45 calendar days after the expiration of the 30-calendar-day resolution period, or the adjusted time periods resulting from the resolution process:
  - a. A final decision is reached in the hearing, and
  - b. A copy of the decision is mailed to each of the parties.
2. A hearing officer may grant specific extensions of time at the request of either party.
3. Each hearing involving oral arguments must be conducted at a time and place that is reasonably convenient to the parent(s) and student involved.
4. Expedited due process hearings may be requested by either a parent or student who is an adult or an LEA in the following instances (34 CFR § 300.532):
  - a. The parent or student who is an adult disagrees with any disciplinary decision resulting in a placement (including the interim alternative educational setting [IAES]) decision, or the manifestation determination; or
  - b. The LEA believes that maintaining the current placement of a student following a disciplinary procedure in 34 CFR §§ 300.530 and 300.531 is substantially likely to result in injury to the student or others.

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5. Expedited due process hearings must occur within 20 days of the date the due process complaint is filed (in compliance with the due process complaint requirements of these SpEd Rules IV.J.). The hearing officer must make a determination within ten school days after the hearing.
  - a. Unless the parent(s) or student who is an adult and LEA agree in writing to waive the resolution meeting or agree to use the mediation process described in CFR § 300.506, a resolution meeting must occur within seven days of receiving notice of the expedited due process complaint; and the expedited due process hearing may proceed unless the matter has been resolved to the satisfaction of both parties within 15 days of the receipt of the expedited due process complaint.

#### IV.Q. CIVIL ACTION (34 CFR § 300.516).

1. Any party aggrieved by the findings and decision who does not have the right to an appeal, and any party aggrieved by the findings and decision, has the right to bring a civil action with respect to the complaint notice requesting a due process hearing. The action may be brought in any State court of competent jurisdiction or in a district court of the United States without regard to the amount in controversy.
2. A civil action may be filed in either State or Federal court; if appealed to State court, the appeal must be filed within 30 days of the date of the due process hearing decision. A Federal court may apply a similar time limit (UCA 53E-7-208(4)(a)).
3. In any civil action, the court receives the records of the administrative proceedings; hears additional evidence at the request of a party; and, basing its decision on the preponderance of the evidence, grants the relief that the court determines to be appropriate.
4. The district courts of the United States have jurisdiction of actions brought under the procedural safeguards of Part B of the IDEA without regard to the amount in controversy.
5. Nothing in this part restricts or limits the rights, procedures, and remedies available under the Constitution, the Americans with Disabilities Act of 1990, Title V of the Rehabilitation Act of 1973, or other Federal laws protecting the rights of students with disabilities, except that before the filing of a civil action



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under these laws seeking relief that is also available under the procedural safeguards of Part B of the IDEA, the procedures must be exhausted to the same extent as would be required had the action been brought under Section 615 of the IDEA, **subject to the United States Supreme Court's decision in *Perez v. Sturgis Public Schools, et. al.*, 598 US\_\_ (2023).**

##### IV.R. ATTORNEYS' FEES (34 CFR § 300.517; UCA 53E-7-208(4)(B)).

1. In any action or proceeding brought under procedural safeguards of Part B of the IDEA, the court, in its discretion, may award reasonable attorneys' fees as part of the costs:
  - a. To the prevailing party who is the parent of a student with a disability or the student who is an adult;
  - b. To a prevailing party who is the USBE or LEA against the attorney of a parent or student who is an adult who files a complaint or subsequent cause of action that is frivolous, unreasonable, or without foundation, or against the attorney of a parent or student who is an adult who continued to litigate after the litigation clearly became frivolous, unreasonable, or without foundation; or
  - c. To a prevailing USBE or LEA against the attorney of a parent or student who is an adult, or against the parent or student who is an adult, if the parent's or students who is an adult's request for a due process hearing or subsequent cause of action was presented for any improper purpose, such as to harass, to cause unnecessary delay, or to needlessly increase the cost of litigation.
2. Funds under Part B of the IDEA may not be used to pay attorneys' fees or costs of a party related to any action or proceeding. An LEA may use funds under Part B of the IDEA for conducting an action or proceeding under the procedural safeguards of Part B of the IDEA.
3. A court awards reasonable attorneys' fees consistent with the following:
  - a. Fees awarded must be based on rates prevailing in the community in which the action or proceeding arose for the kind and quality of services furnished.
  - b. No bonus or multiplier may be used in calculating the fees awarded.

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- c. Attorneys' fees may not be awarded, and related costs may not be reimbursed, in any action or proceeding for services performed subsequent to the time of a written offer of settlement to a parent or student who is an adult if:
  - (1) The offer is made within the time prescribed by Rule 68 of the Federal Rules of Civil Procedure or, in the case of an administrative proceeding, at any time more than ten calendar days before the proceeding begins;
  - (2) The offer is not accepted within ten calendar days; and
  - (3) The court or administrative hearing officer finds that the relief finally obtained by the parent(s) or student who is an adult is not more favorable to the parent(s) or student who is an adult than the offer of settlement.
4. Attorneys' fees may not be awarded relating to any meeting of the IEP Team unless the meeting is convened as a result of an administrative proceeding or judicial action, or at the discretion of the State, for a mediation under CFR § 300.506.
5. A resolution meeting shall not be considered a meeting convened as a result of an administrative hearing or judicial action or an administrative hearing or judicial action for purposes of attorneys' fees in this section.
6. An award of attorneys' fees and related costs may be made to a parent or student who is an adult who is the prevailing party and who was substantially justified in rejecting the settlement offer.
7. The court reduces, accordingly, the amount of the attorneys' fees awarded, if the court finds that:
  - a. The parent(s) or student who is an adult, or the parent's or student who is an adult's attorney, during the course of the action or proceeding, unreasonably protracted the final resolution of the controversy;
  - b. The amount of the attorneys' fees otherwise authorized to be awarded unreasonably exceeds the hourly rate prevailing in the community for similar services by attorneys of reasonably comparable skill, reputation, and experience;

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- c. The time spent and legal services furnished were excessive considering the nature of the action or proceeding; or
  - d. The attorney representing the parent or student who is an adult did not provide to the LEA the appropriate information in the due process request notice.
8. If the parties fail to reach agreement on payment of attorneys' fees, then a party seeking recovery of attorneys' fees for a special education administrative action under 20 USC § 1415(i) may seek to file a court action within 30 days after issuance of due process decision (UCA 53E-7-208(4)(b)).
  9. The above regulations regarding attorneys' fees do not apply in any action or proceeding if the court finds that the LEA unreasonably protracted the final resolution of the action or proceeding or there was a violation of the procedural safeguards of Part B of the IDEA.

#### **IV.S. STUDENT'S STATUS DURING PROCEEDINGS (34 CFR § 300.518).**

1. During the pendency of any administrative or judicial proceeding regarding a request for a due process hearing, unless the LEA and the parent(s) of the student or student who is an adult agree otherwise, the student involved in the complaint must remain in the student's current educational placement.
2. If the complaint involves an application for initial admission to public school, the student, with the consent of the parent(s) or student who is an adult, must be placed in the public school until the completion of all the proceedings.
3. If the decision of a hearing officer in a due process hearing conducted by the USBE agrees with the student's parent(s) or adult student that a change of placement is appropriate, that placement must be treated as an agreement between the LEA and the parent(s) or student who is an adult.

#### **IV.T. SURROGATE PARENTS (34 CFR § 300.519).**

1. Each LEA must ensure that the rights of a student are protected when:
  - a. No parent (as defined under 34 CFR § 300.30 and these SpEd Rules I.E.34.) can be identified for a student under the age of majority;
  - b. The LEA, after reasonable efforts, cannot locate a parent for a student under the age of majority;

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- c. The student is a ward of the State under the laws of Utah; or
  - d. The student is an unaccompanied youth experiencing homelessness under the age of majority.
2. The duties of an LEA include the assignment of an individual to act as a surrogate for the parent(s) for a student under the age of majority. This must include a method for determining whether a student under the age of majority needs a surrogate parent and for assigning a surrogate parent to the student.
3. In the case of a student who is a ward of the State, the surrogate parent alternatively may be appointed by the judge overseeing the student's case, provided that the surrogate meets the requirements.
4. The LEA may select a surrogate parent in any way permitted under State law.
5. LEAs must ensure that a person selected as a surrogate parent:
  - a. Is not an employee of the USBE, the LEA, or any other agency that is involved in the education or care of the student;
  - b. Has no personal or professional interest that conflicts with the interest of the student that the surrogate parent represents; and
  - c. Has knowledge and skills that ensure adequate representation of the student.
6. A person otherwise qualified to be a surrogate parent is not an employee of the LEA solely because the person is paid by the LEA to serve as a surrogate parent.
7. In the case of a student who is an unaccompanied youth experiencing homelessness, appropriate staff of emergency shelters, transitional shelters, independent living programs, and street outreach programs may be appointed as temporary surrogates until a surrogate can be appointed that meets all of the requirements.
8. The surrogate parent may represent the student in all matters relating to the identification, evaluation, and educational placement of the student, and the provision of a FAPE to the student.

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9. The USBE and LEA staff must make reasonable efforts to ensure the assignment of a surrogate parent not more than 30 calendar days after an LEA determines that the student needs a surrogate.

#### IV.U. TRANSFER OF PARENTAL RIGHTS AT AGE OF MAJORITY (34 CFR § 300.520).

1. When a student with a disability reaches the age of majority under State law (i.e., age 18) that applies to all students, except for a student with a disability who has been determined to be incompetent under State law, or the student with a disability marries or becomes emancipated:
  - a. The LEA must provide any notice required by Part B of the IDEA to both the individual and the parent(s); and
  - b. All other rights accorded to parents under Part B of the IDEA transfer to the student.
2. All rights accorded to parents under Part B of the IDEA transfer to students who are incarcerated in an adult or juvenile State or local correctional institution; and
3. Whenever a state transfers rights, the LEA must notify the individual and the parent(s) of the transfer of rights within a reasonable time frame.

#### IV.V. CONFIDENTIALITY OF INFORMATION (34 CFR §§ 300.610–300.626 AND BOARD RULES R277-487).

1. The USBE staff and LEAs take appropriate steps to ensure the protection of the confidentiality of any personally identifiable data, information, and records collected or maintained by the USBE staff and LEAs pursuant to Part B of the IDEA and Board Rules R277-487.
2. Definitions as used in these SpEd Rules (34 CFR § 300.611).
  - a. *Destruction* means physical destruction or removal of personal identifiers from information so that the information is no longer personally identifiable.
  - b. *Education records* means the type of records covered under the definition of “education records” in 34 CFR § 99, implementing regulations for the Family Educational Rights and Privacy Act of 1974, 20 USC § 1232g (FERPA).



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- (1) The right to a response from the LEA to reasonable requests for explanations and interpretations of the records;
  - (2) The right to request that the LEA provide copies of the records containing the information if failure to provide those copies would effectively prevent the parent or student who is an adult from exercising the right to inspect and review the records; and
  - (3) The right to have a representative of the parent or student who is an adult inspect and review the records.
- c. An LEA may presume that the parent(s) or student who is an adult has authority to inspect and review records relating to the student unless the LEA has been advised that the parent(s) does not have the authority under applicable State law governing such matters as guardianship, separation, and divorce.
6. Record of access (34 CFR § 300.614).
    - a. Each LEA must keep a record of parties obtaining access to education records collected, maintained, or used under Part B of the IDEA and these SpEd Rules (except access by parents or student who is an adult and authorized employees of the LEA), including the name of the party, the date access was given, and the purpose for which the party is authorized to use the records.
  7. Records on more than one student (34 CFR § 300.615).
    - a. If any education record includes information on more than one student, the parent(s) of those students or the student who is an adult have the right to inspect and review only the information relating to their student or themselves or to be informed of that specific information.
  8. List of types and locations of information (34 CFR § 300.616).
    - a. On request, the LEA must provide parents or student who is an adult with a list of the types and locations of education records collected, maintained, or used by the LEA.
  9. Fees (34 CFR § 300.617).

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- a. The USBE staff and each LEA may charge a fee for copies of records that are made for parent(s) or student who is an adult under Part B of the IDEA if the fee does not effectively prevent the parent(s) or student who is an adult from exercising their right to inspect and review those records.
- b. The USBE staff and an LEA may not charge a fee to search for or to retrieve information under Part B of the IDEA.

#### 10. Amendment of records at parent(s)' request (34 CFR § 300.618).

- a. A parent or student who is an adult who believes that information in the education records collected, maintained, or used under Part B of the IDEA or these SpEd Rules is inaccurate or misleading or violates the privacy or other rights of the student may request the LEA that maintains the information to amend the information.
- b. The LEA must decide whether to amend the information in accordance with the request within a reasonable period of time of receipt of the request.
- c. If the LEA decides to refuse to amend the information in accordance with the request, it must inform the parent or student who is an adult of the refusal and advise the parent(s) or student who is an adult of the right to a hearing on the matter.

#### 11. Opportunity for a hearing (34 CFR § 300.619).

- a. The LEA must, on request, provide an opportunity for a hearing to challenge information in education records to ensure that it is not inaccurate, misleading, or otherwise in violation of the privacy or other rights of the student. This hearing is not an IDEA hearing for a due process complaint.

#### 12. Result of hearing (34 CFR § 300.620).

- a. If, as a result of the hearing, the LEA decides that the information is inaccurate, misleading or otherwise in violation of the privacy or other rights of the student, it must amend the information accordingly and so inform the parent(s) or student who is an adult in writing.
- b. If, as a result of the hearing, the LEA decides that the information is not inaccurate, misleading, or otherwise in violation of the privacy or other



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rights of the student, it must inform the parent(s) or student who is an adult of the right to place in the records it maintains on the student a statement commenting on the information or setting forth any reasons for disagreeing with the decision of the LEA.

- c. Any explanation placed in the records of the student under this section must:
  - (1) Be maintained by the LEA as part of the records of the student as long as the record or contested portion is maintained by the LEA; and
  - (2) If the records of the student or the contested portion are disclosed by the LEA to any party, the explanation must also be disclosed to the party.

#### 13. Hearing procedures (34 CFR § 300.621).

- a. A hearing that challenges education records must be conducted according to the procedures under 34 CFR § 99.22 as described below. At a minimum, the LEA's hearing procedures must adhere to the following requirements:
  - (1) The LEA shall hold a within a reasonable period of time after the LEA receives the request. The parent(s) of the student or student who is an adult shall be given notice of the date, place, and time reasonably in advance of the hearing.
  - (2) The hearing may be conducted by any individual, including an official of the LEA, who does not have a direct interest in the outcome of the hearing.
  - (3) The parent(s) of the student or student who is an adult shall be afforded a full and fair opportunity to present evidence relevant to the issues raised and may be assisted or be represented by individuals of the parent's or adult student's choice, including an attorney at the parent's or student who is an adult's own expense.
  - (4) The LEA shall make its decision in writing within a reasonable period of time after the conclusion of the hearing.

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- (5) The decision of the LEA shall be based solely upon the evidence presented at the hearing and shall include a summary of the evidence and the reasons for the decision.
14. Consent for disclosure of PII (34 CFR § 300.622).
- a. Except as to disclosures addressed in referral to and action by law enforcement and judicial authorities, for which parental consent is not required by 34 CFR § 99, parental or adult student consent must be obtained before PII is:
    - (1) Disclosed to anyone other than officials of participating agencies collecting or using the information under Part B of the IDEA or these SpEd Rules: or
    - (2) Used for any purpose other than meeting a requirement of Part B of the IDEA or these SpEd Rules.
  - b. An LEA may not release information from education records to participating agencies without parental or adult student consent unless authorized to do so by 34 CFR §§ 99.31 and 99.34 (FERPA):
    - (1) 34 CFR § 99.31 allows an LEA to disclose PII from the education records of a student without the written consent of the parent(s) of the student or student who is an adult, if the disclosure is:
      - (a) To other school officials, including teachers, within the LEA who have been determined by the LEA to have legitimate educational interests.
      - (b) To officials of another school or school site in which the student seeks or intends to enroll, subject to the requirements set forth in 34 CFR § 99.34 below.
    - (2) 34 CFR § 99.34 requires that an LEA transferring the education records of a student pursuant to 34 CFR § 99.34 above shall make a reasonable attempt to notify the parent of the student or student who is an adult of the transfer of records at the last known address of the parent or student who is an adult, except that the LEA does not have to provide any further notice of the transfer of records when:

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- (a) The transfer is initiated by the parent(s) or student who is an adult at the sending LEA.
  - (b) The LEA includes in its annual notice of procedural safeguards, that it is the policy of the LEA to forward education records on request to a school in which a student seeks or intends to enroll.
  - (c) The LEA transferring the records must keep a copy of the records for three years after the transfer.
- c. An LEA receiving PII from another educational agency or institution may make further disclosure of the information on behalf of the LEA without the prior written consent of the parent(s) or student who is an adult if the conditions of 34 CFR §§ 99.31 and 99.34 noted above are met, and if the educational agency informs the party to whom disclosure is made of these requirements.
  - d. If the parent(s) or student who is an adult refuses consent for the release of PII to a third party, then that party may proceed with statutory procedures in an effort to obtain the desired information.
  - e. Note: As authorized in 34 CFR § 99.31 (FERPA), Utah LEAs include in the annual procedural safeguards notice that it is their policy to forward educational records of a student with disabilities without parental or student who is an adult consent or notice to officials of another school or school district in which a student seeks or intends to enroll.

#### 15. Safeguards (34 CFR § 300.623).

- a. Each LEA must protect the confidentiality of PII at collection, storage, disclosure, and destruction stages.
- b. One official at each LEA must assume responsibility for ensuring the confidentiality of any PII.
- c. All persons collecting or using PII must receive training or instruction regarding the State's policies and procedures in this section and 34 CFR § 99.
- d. Each LEA must maintain, for public inspection, a current listing of the names and positions of those employees within the LEA who may have access to PII on students with disabilities.

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##### 16. Destruction of information (34 CFR § 300.624).

- a. The LEA must inform parents or student who is an adult when PII collected, maintained, or used under Part B of the IDEA and these SpEd Rules is no longer needed to provide educational services to the student.
- b. The information no longer needed must be destroyed at the request of the parent(s) or student who is an adult. However, a permanent record of a student's name, address, phone number, the student's grades, attendance record, classes attended, grade level completed, and year completed may be maintained without time limitation.
- c. Each student's records may be considered "no longer needed to provide educational services" and may be destroyed three years after the student graduates or three years after the student turns 22 under IDEA. Medicaid requires that records be maintained for at least five years after the provision of services.

##### 17. Students' rights (34 CFR § 300.625).

- a. The rights of privacy afforded to parent(s) are transferred to the student who reaches the age of 18, providing the student has not been declared incompetent by a court order or the student has married or become emancipated.
- b. Under the regulations for FERPA at 34 CFR § 99.5(a), the rights of parent(s) regarding education records are transferred to the student at age 18, providing the student has not been declared incompetent by a court order or the student has married or become emancipated.
- c. Because the rights accorded to parents under Part B of the IDEA are transferred to a student who reaches the age of 18, providing the student has not been declared incompetent by a court order or the student has married or become emancipated, the rights regarding educational records must also be transferred to the student. However, the LEA must provide any notice required under Section 615 of Part B of the IDEA to the student and the parent(s).

##### 18. Enforcement (34 CFR § 300.626).

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- a. The confidentiality requirements of Part B of the IDEA are reviewed and approved as part of the LEA eligibility process.

#### 19. U.S. Department of Education Use of PII (34 CFR § 300.627).

- a. If the U.S. Department of Education or its authorized representatives collect any PII regarding students with disabilities that is not subject to the Privacy Act of 1974, 5 USC § 552a, the Secretary of Education (Secretary hereafter) applies the applicable Federal statute, and the regulations implementing those provisions in 34 CFR § 5b.

## **V. DISCIPLINE PROCEDURES (34 CFR § 300.530).**

### **V.A. DISCIPLINE PROCEDURES FOR STUDENTS WITH DISABILITIES**

1. Consistent with the requirements of Part B of the IDEA and these SpEd Rules, each LEA shall establish, maintain, and implement policies and procedures for disciplining students with disabilities.

### **V.B. AUTHORITY OF SCHOOL PERSONNEL (34 CFR § 300.530(A-C)).**

1. School personnel may consider any unique circumstances on a case-by-case basis when determining whether a change in placement, consistent with the other requirements of this section, is appropriate for a student with a disability who violates a code of student conduct.
2. School personnel may remove a student with a disability who violates a code of student conduct from the student's current placement to an appropriate interim alternative educational setting (IAES), another setting, or suspension, for not more than ten consecutive school days (to the same extent those alternatives are applied to students without disabilities), and for additional removals of not more than ten consecutive school days in that same school year for separate incidents of misconduct, (as long as those removals do not constitute a change of placement because of disciplinary removal as set forth in 34 CFR § 300.536 and these SpEd Rules V.D.).
3. After a student with a disability has been removed from the student's current placement for ten school days in the same school year, during any subsequent days of removal the LEA must provide services to the extent required under 34 CFR § 300.530(d) and these SpEd Rules V.C.
4. For disciplinary changes in placement that would exceed ten consecutive school days, if the behavior that gave rise to the violation of the school code is determined not to be a manifestation of the student's disability, school personnel may apply the relevant disciplinary procedures to students with disabilities in the same manner and for the same duration as the procedures would be applied to students without disabilities, except after the tenth day of removal that constitutes a change in placement, the LEA must provide services

to the student and provided under 34 CFR § 300.530(d) and these SpEd Rules V.C.

**V.C. SERVICES (34 CFR § 300.530(D)).**

1. A student with a disability who is removed from the student's current placement must:
  - a. Continue to receive educational services so as to enable the student to continue to participate in the general education curriculum, although in another setting, and to progress toward meeting the goals set out in the student's IEP; and
  - b. Receive, as appropriate, an FBA, and behavior intervention services and modifications that are designed to address the behavior violation so that it does not recur.
2. The services may be provided in an IAES.
3. An LEA is only required to provide services during periods of removal to a student with a disability who has been removed from the student's current placement for ten school days or less in that school year if it also provides services to a student without disabilities who is similarly removed.
4. After a student with a disability has been removed from the student's current placement for ten school days in the same school year, if the current removal is for not more than ten consecutive school days and is not a change of placement under 34 CFR § 300.536 and these SpEd Rules V.D., school personnel, in consultation with at least one of the student's teachers, determine the extent to which services are needed, so as to enable the student to continue to participate in the general education curriculum, although in another setting, and to progress toward meeting the goals set out in the student's IEP.
5. If the removal is a change of placement, the student's IEP Team determines appropriate services to be provided during the removal.

**V.D. CHANGE OF PLACEMENT DUE TO DISCIPLINARY REMOVALS (34 CFR § 300.536).**

1. For purposes of removals of a student with a disability from the student's current educational placement, a change of placement occurs if:

## V. Discipline Procedures

- a. The removal is for more than ten consecutive school days, including shortened school days; or
  - b. The student has been subjected to a series of removals that constitute a pattern, including shortened school days:
    - (1) Because the series of removals total more than ten school days in a school year;
    - (2) Because the student's behavior is substantially similar to the student's behavior in previous incidents that resulted in the series of removals; and
    - (3) Because of such additional factors as the length of each removal, the total amount of time the student has been removed, and the proximity of the removals to one another.
2. As used in these SpEd Rules, shortened school days occur when a student's school day is reduced solely by school personnel in response to the student's behavior for disciplinary purposes, rather than the student's IEP team or placement team for that student to receive a FAPE.
- a. In general, the use of informal removals to address a student's behavior, if implemented repeatedly throughout the school year, could constitute a disciplinary removal from the current placement. Therefore, the discipline procedures in 34 CFR §§ 300.530 through 300.536 and these SpEd Rules V. would generally apply unless all three of the following factors are met:
    - (1) The student is afforded the opportunity to continue to appropriately participate in the general curriculum;
    - (2) The student continues to receive the services specified on the student's IEP; and
    - (3) The student continues to participate with nondisabled children to the extent they would have in their current placement. 71 Fed. Reg. 46715 (Aug. 14, 2006).
3. The LEA determines on a case-by-case basis whether a pattern of removals constitutes a change of placement. This determination is subject to review through due process and judicial proceedings.



**V.E. MANIFESTATION DETERMINATION (34 CFR § 300.530(E–G, I)).**

1. Within ten school days of any decision to change the placement of a student with a disability because of a violation of a code of student conduct, the LEA, the parent or adult student, and relevant members of the student's IEP Team (as determined by the parent or student who is an adult and the LEA) must review all relevant information in the student's file, including the student's IEP, any teacher observations, and any relevant information provided by the parent(s) or adult student to determine:
  - a. If the conduct in question was caused by, or had a direct and substantial relationship to, the student's disability; or
  - b. If the conduct in question was the direct result of the LEA's failure to implement the IEP.
2. The conduct must be determined to be a manifestation of the student's disability if the LEA, the parent or student who is an adult, and relevant members of the student's IEP Team determine that:
  - a. The misconduct was caused by or had a direct and substantial relationship to the student's disability; or
  - b. The misconduct was the direct result of the LEA's failure to implement the IEP.
3. If the LEA, the parent or student who is an adult, and relevant members of the student's IEP Team determine that the misconduct was the direct result of the LEA's failure to implement the IEP, the LEA must take immediate steps to remedy those deficiencies.
4. If the LEA, the parent(s) or student who is an adult, and relevant members of the IEP Team make the determination that the conduct was a manifestation of the student's disability, the IEP Team must:
  - a. Either:
    - (1) Conduct a functional behavior assessment (FBA), unless the LEA had conducted an FBA before the behavior that resulted in the change of placement occurred, and implement a BIP for the student; or

- (2) If a BIP has already been developed, review the BIP, and modify it, as necessary, to address the behavior;
  - b. And, unless the misconduct falls under the definition of special circumstances in these SpEd Rules V.E.5., return the student to the placement from which the student was removed, unless the parent or adult student and the LEA agree to a change of placement as part of the modification of the BIP.
5. Special circumstances.
- a. School personnel may remove a student to an IAES for not more than 45 school days without regard to whether the behavior is determined to be a manifestation of the student's disability, if the student:
    - (1) Carries a weapon to or possesses a weapon at school, on school premises, or to or at a school function under the jurisdiction of the USBE or an LEA;
    - (2) Knowingly possesses or uses illegal drugs, or sells or solicits the sale of a controlled substance, while at school, on school premises, or at a school function under the jurisdiction of the USBE or an LEA; or
    - (3) Has inflicted serious bodily injury upon another person while at school, on school premises, or at a school function under the jurisdiction of the USBE or an LEA.
  - b. Definitions.
    - (1) For purposes of this section, the following definitions apply:
      - (a) *Controlled substance* means a drug or other substance that cannot be distributed without a prescription, identified under schedules I, II, III, IV, or V in section 202(c) of the Controlled Substances Act (21 USC § 812(c)).
      - (b) *Illegal drug* means a controlled substance but does not include a drug controlled, possessed, or used under the supervision of a licensed health-care professional or one legally possessed or used under the Controlled Substances Act or under any other provision of Federal law (21 USC § 812).

- (c) *Serious bodily injury* means bodily injury that involves a substantial risk of death, extreme physical pain, protracted and obvious disfigurement, or protracted loss or impairment of the function of a bodily member, organ, or mental faculty (18 USC § 1365). Serious bodily injury does not include a cut, abrasion, bruise, burn, disfigurement, physical pain, illness, or impairment of the function of a bodily member, organ or mental faculty that is temporary (18 USC § 1365).
- (d) *Weapon* means a weapon, device, instrument, material, or substance, animate or inanimate, that is used for or is readily capable of, causing death or serious bodily injury, except that such term does not include a pocket knife with a blade of less than 2.5 inches (18 USC § 930).

**V.F. PROCEDURAL SAFEGUARDS NOTICE (34 CFR § 300.530(H)).**

1. On the date on which the decision is made to make a removal that constitutes a change of placement of a student with a disability because of a violation of a code of student conduct, the LEA must notify the parent(s) or student who is an adult of that decision and provide the parent(s) or student who is an adult the procedural safeguards notice.

**V.G. DETERMINATION OF SETTING (34 CFR § 300.531).**

1. The student's IEP Team determines the IAES for services if the behavior that gives rise to the removal is not a manifestation of the student's disability, the removal constitutes a change of placement, or the behavior falls under the special circumstances in these SpEd Rules V.E.5.

**V.H. APPEALS BY PARENT OR LEA (34 CFR § 300.532).**

1. The parent(s) of a student with a disability or student who is an adult who disagrees with any decision regarding placement or the manifestation determination, or an LEA that believes that maintaining the current placement of the student is substantially likely to result in injury to the student or others, may appeal the decision by filing a due process hearing complaint and requesting a hearing.
2. Authority of hearing officer.

## V. Discipline Procedures

- a. A due process hearing officer hears and makes a determination regarding an appeal under SpEd Rules V.H.1.
  - b. In making the determination, the hearing officer may:
    - (1) Return the student with a disability to the placement from which the student was removed if the hearing officer determines that the removal was a violation of the discipline procedures under Part B of the IDEA or these SpEd Rules or that the student's behavior was a manifestation of the student's disability; or
    - (2) Order a change of placement of the student with a disability to an appropriate IAES for not more than 45 school days if the hearing officer determines that maintaining the current placement of the student is substantially likely to result in injury to the student or to others.
  - c. The appeal procedures may be repeated if the LEA believes that returning the student to the original placement is substantially likely to result in injury to the student or to others.
3. Expedited due process hearing.
- a. Whenever a hearing is requested, the parent(s) or student who is an adult or the LEA involved in the dispute must have an opportunity for an impartial due process hearing.
  - b. The LEA is responsible for arranging the expedited due process hearing with the State Director of Special Education, which must occur within 20 school days of the date the complaint requesting the hearing is filed. The hearing officer must make a determination within ten school days after the hearing.
  - c. Unless the parent(s) or student who is an adult and LEA agree in writing to waive the resolution meeting, or agree to use mediation:
    - (1) A resolution meeting must occur within seven calendar days of receiving notice of the due process complaint; and
    - (2) The due process hearing may proceed unless the matter has been resolved to the satisfaction of both parties within 15 calendar days of the receipt of the due process complaint.

- d. Parties may not mutually agree to extend the resolution period to resolve an expedited due process complaint. Therefore, when the parties have participated in a resolution meeting or engaged in mediation and the dispute has not been resolved to the satisfaction of both parties within 15 days of the receipt of the due process complaint, the expedited due process hearing may proceed.
- e. A hearing officer may not extend the timeline for making a determination in an expedited due process hearing.
- f. The decisions on expedited due process hearings are final, unless meeting the requirements of 34 CFR § 300.514(b) or 34 CFR § 300.516.

**V.I. PLACEMENT DURING APPEALS (34 CFR § 300.533).**

- 1. When an appeal through a due process complaint has been made by either the parent or student who is an adult or the LEA, the student must remain in the IAES pending the decision of the hearing officer or until the expiration of the time period specified, whichever occurs first, unless the parent(s) or adult student and the LEA (or USBE if appropriate) agree otherwise.

**V.J. PROTECTIONS FOR STUDENTS NOT DETERMINED ELIGIBLE FOR SPECIAL EDUCATION AND RELATED SERVICES (34 CFR § 300.534).**

- 1. A student who has not been determined to be eligible for special education and related services under Part B of the IDEA, and who has engaged in behavior that violated a code of student conduct, may assert any of the protections provided for in this part if the LEA had knowledge that the student was a student with a disability before the behavior that precipitated the disciplinary action occurred.
- 2. An LEA must be deemed to have knowledge that a student is a student with a disability if, before the behavior that precipitated the disciplinary action occurred:
  - a. The parent(s) of the student or student who is an adult expressed concern in writing to supervisory or administrative personnel of the appropriate LEA, or a teacher of the student, that the student is in need of special education and related services;

- b. The parent(s) of the student or student who is an adult requested an evaluation of the student pursuant to 34 CFR §§ 300.300 through 300.311; or
    - c. The teacher of the student, or other personnel of the LEA, expressed specific concerns about a pattern of behavior demonstrated by the student directly to the director of special education of the LEA or to other supervisory personnel of the LEA.
  3. An LEA would not be deemed to have knowledge that a student is a student with a disability if:
    - a. The parent(s) of the student or the student who is an adult:
      - (1) Has not allowed an evaluation of the student pursuant to 34 CFR §§ 300.300 through 300.311; or
      - (2) Has refused services under this part; or
    - b. The student has been evaluated in accordance with 34 CFR §§ 300.300 through 300.311 and determined to not be a student with a disability under Part B of the IDEA.
  4. If an LEA does not have knowledge that a student is a student with a disability prior to taking disciplinary measures against the student, the student may be subjected to the disciplinary measures applied to students without disabilities who engage in comparable behaviors.
    - a. If a request is made for an evaluation of a student during the time period in which the student is subjected to disciplinary measures, the evaluation must be conducted in an expedited manner.
      - (1) Until the evaluation is completed, the student remains in the educational placement determined by school authorities, which can include suspension or expulsion without educational services.
      - (2) If the student is determined to be a student with a disability, taking into consideration information from the evaluation conducted by the LEA and information provided by the parent(s) or adult student, the LEA must provide special education and related services.

**V.K. REFERRAL TO AND ACTION BY LAW ENFORCEMENT AND JUDICIAL AUTHORITIES (34 CFR § 300.535).**

1. Nothing in Part B of the IDEA prohibits an LEA from reporting a crime committed by a student with a disability to appropriate authorities or prevents State law enforcement and judicial authorities from exercising their responsibilities with regard to the application of Federal and State law to crimes committed by a student with a disability.
2. Transmittal of records.
  - a. An LEA reporting a crime committed by a student with a disability must ensure that copies of the special education and disciplinary records of the student are transmitted for consideration by the appropriate authorities to whom the LEA reports the crime.
  - b. An LEA reporting a crime under this section may transmit copies of the student's special education and disciplinary records only to the extent that the transmission is permitted by FERPA.

## VI. STUDENTS WITH DISABILITIES IN OTHER SETTINGS

### VI.A. PRIVATE SCHOOL PLACEMENTS BY LEAs (34 CFR § 300.325).

1. Developing IEPs.
  - a. Before an LEA places a student with a disability in, or refers a student to, a private school or facility, the LEA must initiate and conduct a meeting to develop an IEP for the student in accordance with Part B of the IDEA and these SpEd Rules.
  - b. The LEA must ensure that a representative of the private school or facility attends the meeting. If the representative cannot attend, the LEA must use other methods to ensure participation by the private school or facility, including individual or conference telephone calls or video conferencing
2. Reviewing and revising IEPs.
  - a. After a student with a disability is placed in a private school or facility, any meetings to review and revise the student's IEP may be initiated and conducted by the private school or facility at the discretion of the LEA.
  - b. If the private school or facility initiates and conducts these meetings, the LEA must ensure that the parent(s) or adult student and an LEA representative:
    - (1) Are involved in any decisions about the student's IEP; and
    - (2) Agree to any proposed changes in the IEP before those changes are implemented.
3. Even if a private school or facility implements a student's IEP, responsibility for compliance with this part remains with the LEA and the USBE.
4. Residential placement (34 CFR § 300.104).
  - a. If placement in a public or private residential program is necessary to provide special education and related services to a student with a disability, the program, including non-medical care and room and board, must be at no cost to the parent(s) of the student or student who is an adult.



## VI. Students with Disabilities in Other Settings

5. Students with disabilities in private schools placed or referred by public agencies (34 CFR §§ 300.145–147).
  - a. Only for students with disabilities who are or have been placed in or referred to a private school or facility by a public agency as a means of providing special education and related services, the USBE must:
    - (1) Ensure that a student with a disability who is placed in or referred to a private school or facility by a public agency:
      - (a) Is provided special education and related services:
        - (i) In conformance with an IEP that meets the requirements in Rule III.J; and
        - (ii) At no cost to the parent(s) or student who is an adult;
      - (b) Is provided an education that meets the standards that apply to education provided by the USBE and LEAs including the requirements of these SpEd Rules; and
      - (c) Has all the rights of a student with a disability who is served by a public agency.
  - b. Monitor compliance through procedures such as written reports, onsite visits, and parent or student who is an adult questionnaires;
  - c. Disseminate copies of applicable standards to each private school and facility to which a public agency has referred or placed a student with a disability; and
  - d. Provide an opportunity for those private schools and facilities to participate in the development and revision of State standards that apply to them.

### **VI.B. STUDENTS WITH DISABILITIES ENROLLED BY THEIR PARENT(S) IN PRIVATE SCHOOLS WHEN FAPE IS NOT AT ISSUE (UNILATERAL PLACEMENT) (34 CFR § 300.130).**

1. *Parentally placed private school students with disabilities*, including students accessing the Carson Smith Scholarship and Special Needs Opportunity Scholarship Program, means students with disabilities enrolled by their parent(s) or a student who is an adult in private, including religious, schools or

## VI. Students with Disabilities in Other Settings

facilities that meet the definition of elementary school or secondary school in Part B of the IDEA.

### 2. Definitions.

- a. *Elementary school* means a nonprofit institutional day or residential school, including a public elementary charter school, that provides elementary education, as determined under State law (34 CFR § 300.13).
- b. *Secondary school* means a nonprofit institutional day or residential school, including a public secondary charter school, that provides secondary education, as determined under State law, except that it does not include any education beyond grade 12 (34 CFR § 300.36). Grades nine and above must be accredited, in accordance with USBE Rule.

### 3. Child find for parentally placed or student who is an adult private school student with disabilities (34 CFR § 300.131).

- a. Each school district must locate, identify, and evaluate all students with disabilities who are enrolled by their parent(s), or student who is an adult, in private (either for-profit or nonprofit), including religious, elementary schools and secondary schools located in the area served by the school district.
- b. The school district child find process must be designed to ensure:
  - (1) The equitable participation of parentally placed or student who is an adult nonprofit private school student; and
  - (2) An accurate count of those students in nonprofit private schools.
- c. The school district must undertake activities similar to the activities undertaken for the school district's public school students.
  - (1) School districts may not require a private school to implement a response to intervention (RtI). District should consult with officials from private elementary schools or secondary schools on how to best implement evaluation criteria for identifying students with specific learning disabilities enrolled in private schools.
- d. The cost of carrying out the child find requirements in this section, including individual evaluations, may not be considered in determining if a

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school district has met its obligation under spending a proportionate share of funds under Part B of the IDEA to provide services to parentally placed or adult student nonprofit private school students.

- e. The child find process must be completed in a time period comparable to that for students attending public schools in the school district.
  - f. Each school district in which private (for-profit and nonprofit), including religious, elementary schools and secondary schools are located must, in carrying out the child find requirements in this section, include parentally placed or student who is an adult private school students who reside in a state other than the state in which the private schools that they attend are located.
  - g. Each school district, where private elementary schools or secondary schools are located is responsible for conducting reevaluations of student with disabilities enrolled by their parents in the private elementary school and secondary school located in the school district.
4. Basic requirements for provision of services for parentally placed or adult student nonprofit private school students with disabilities (34 CFR § 300.132).
- a. To the extent consistent with the number and location of students with disabilities who are enrolled by their parent(s), or a student who is an adult, in nonprofit private, including religious, elementary schools and secondary schools located in the area served by the school district, provision is made for the participation of those students in the program assisted or carried out under Part B of the IDEA by providing them with special education and related services, including direct services.
  - b. The school district must develop and implement a services plan for each nonprofit private school student with a disability who has been designated by the school district in which the private school is located to receive special education and related services.
  - c. Each school district must maintain in its records, and provide to the USBE staff annually, the following information related to parentally placed or student who is an adult nonprofit private school students, including that required under Rule II.A.(4)(c):

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- (1) The number of students evaluated and reevaluated within three years;
  - (2) The number of students determined to be students with disabilities; and
  - (3) The number of students served.
5. Expenditures (34 CFR § 300.133).
- a. The proportionate share calculation must be based on the total number of students with disabilities who are enrolled in private elementary and secondary schools, including religious schools, located in the school district, whether or not the students or their parents reside in the school district. 34 C.F.R. §§ 300.132 and 300.133(a). Each school district must spend the following amounts on providing special education and related services (including direct services) to parentally-placed or student who is an adult private school student with disabilities:
    - (1) For students aged three through 22, an amount that is the same proportion of the school district's total subgrant under Section 611(f) of the IDEA as the number of private school student with disabilities aged three through 22 who are enrolled by their parents or student who is an adult in private, including religious, elementary schools and secondary schools located in the school district, is to the total number of student with disabilities in its jurisdiction aged three through 22.
    - (2) For student aged three through five, an amount that is the same proportion of the school district's total subgrant under Section 619(g) of the IDEA as the number of parentally-placed or student who is an adult private school student with disabilities aged three through five who are enrolled by their parents or student who is an adult in private, including religious, elementary schools located in the school district, is to the total number of student with disabilities in its jurisdiction aged three through five. Appendix B to 34 CFR § 300 provides an example of how to make this calculation.
  - b. All students who have been evaluated and found eligible for special education and related services—not solely those students who receive services through an IEP or services plan—must be included in the count to

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calculate the proportionate share. See 34 C.F.R. §§ 300.132(c) and 300.133(c). As described in 34 C.F.R. § 300.133(a), each school district must determine the total number of private school students with disabilities who are enrolled by their parents or students who is an adult in private elementary and secondary schools located in the school district, and the total number of students with disabilities enrolled in public and private elementary and secondary schools located in the school district, in order to calculate the proportionate share of IDEA Part B funds that must be expended on equitable services.

- c. As specified in 34 CFR. § 300.131(a), each school district must locate, identify, and evaluate all students with disabilities who are enrolled by their parents or student who is an adult in private, including religious, elementary schools and secondary schools located in the school district. The number of parentally-placed or student who us an adult private school student with disabilities is used to determine the amount that the school district must spend on providing special education and related services to parentally-placed or student who is an adult private school student with disabilities in the next subsequent fiscal year. 34 CFR § 300.133(b) and (c)(2). Under 34 CFR § 300.300(d)(4), if a parent of a home-schooled student, student who is an adult being home-schooled, or parentally placed or student who is an adult private school student declines to consent to the initial evaluation or the reevaluation, the public agency may not use the mediation or due process procedures to seek to conduct the evaluation and, thus, may not include the student in the annual count of the number of parentally-placed or student who is an adult private school student with disabilities. If the school district evaluates a parentally-placed private or student who is an adult school student, subject to the consent of the parent or student who is an adult, and determines that the student qualifies as a student with a disability under the IDEA, but the parent or student who is an adult declines the offer of special education and related services, the school district still must include this student in the annual count of the number of parentally-placed or student who is an adult private school students with disabilities. 34 CFR § 300.133(c); see also 34 CFR § 300.132(c). A school district must include in its proportionate share calculation all eligible students with disabilities enrolled by their parents or student who is an adult in private,

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including religious, elementary and secondary schools located in the LEA, and also include those students whose parents or student who is an adult decline all publicly funded services and place their students with disabilities in a private school at their own expense.

- d. Each school district must spend the following on providing special education and related services (including direct services) to parentally placed or student who is an adult nonprofit private school student with disabilities:
  - (1) For students ages 3–21, an amount that is the same proportion of the school district's total subgrant under Section 611(f) of Part B of the IDEA as the number of private school students with disabilities ages 3–21 who are enrolled by their parent(s), or a student who is an adult, in nonprofit private, including religious, elementary schools and secondary schools located in the school district, is to the total number of students with disabilities in its jurisdiction ages 3–21.
  - (2) For students ages 3–5, an amount that is the same proportion of the school district's total subgrant under Section 619(g) of the Part B of the IDEA as the number of parentally placed private school students with disabilities ages 3–5 who are enrolled by their parent(s) in nonprofit private, including religious, elementary schools located in the school district, is to the total number of students with disabilities in its jurisdiction ages 3–5.
    - (a) Students ages 3–5 are considered to be parentally placed private school students with disabilities enrolled by their parent(s) in nonprofit private, including religious, elementary schools, if they are enrolled in a private school that meets the definition of elementary school in Rule VI.B.1.a.
  - (3) If a school district has not expended for equitable services all the required funds by the end of the fiscal year for which Congress appropriated the funds, the school district must obligate the remaining funds for special education and related services (including direct services) to parentally placed or student who is an adult nonprofit private school students with disabilities during a carryover period of one additional year.

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- (a) If a school district has not expended all the required funds by the end of the second fiscal year (carry over period), the school district may reallocate the funds to the general special education program for the remaining three months of the award.
  - e. In calculating the proportionate amount of Federal funds to be provided for parentally placed or student who is an adult nonprofit private school student with disabilities, the school district, after timely and meaningful consultation with representatives of private schools, must conduct a thorough and complete child find process to determine the number of parentally placed or student who is an adult, student with disabilities attending nonprofit private schools located in the school district.
  - f. Annual count of the number of parentally placed or student who is an adult private school student with disabilities.
    - (1) Each school district must:
      - (a) After timely and meaningful consultation with representatives of parentally placed or student who is an adult private school student with disabilities, determine the number of parentally placed or student who is an adult private school student with disabilities attending nonprofit private schools located in the school district; and
      - (b) Ensure the count is conducted on any date between October 1 and December 1, inclusive, of each year.
    - (2) The count must be used to determine the amount the LEA must spend on providing special education and related services to parentally placed or student who is an adult nonprofit private school student with disabilities in the subsequent fiscal year.
  - g. State and local funds may supplement, and in no case supplant, the proportionate amount of Federal funds required to be expended for parentally placed or student who is an adult nonprofit private school student with disabilities.
6. Consultation (34 CFR § 300.134).

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- a. To ensure timely and ongoing meaningful consultation, a school district must consult with nonprofit private school representatives and representatives of parent(s) of parentally placed or student who is an adult private school student with disabilities during the design and development of special education and related services for the students regarding the following:
  - (1) The child find process, including:
    - (a) How parentally placed or student who is an adult nonprofit private school student suspected of having a disability can participate equitably; and
    - (b) How the parent(s) or student who is an adult, teachers, and nonprofit private school officials will be informed of the process.
  - (2) The determination of the proportionate share of Federal funds available to serve parentally or student who is an adult placed nonprofit private school student with disabilities, including the determination of how the proportionate share of those funds was calculated.
  - (3) The consultation process among the school district, nonprofit private school officials, and representatives of parent(s) of parentally placed or student who is an adult private school student with disabilities, including how the process will operate throughout the school year to ensure that parentally placed, or student who is an adult, students with disabilities identified through the child find process can meaningfully participate in special education and related services.
  - (4) How, where, and by whom special education and related services will be provided for parentally placed or student who is an adult nonprofit private school student with disabilities, including a discussion of:
    - (a) The types of services, including direct services and alternate service delivery mechanisms (supplies, equipment, and professional learning for private school staff); and
    - (b) How special education and related services will be apportioned if funds are insufficient to serve all parentally placed or student who is an adult private school student; and



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- (c) How and when those decisions will be made;
  - (5) How, if the school district disagrees with the views of the nonprofit private school officials on the provision of services or the types of services (whether provided directly or through a contract), the school district will provide to the nonprofit private school officials a written explanation of the reasons why the school district chose not to provide services directly or through a contract.
7. Written affirmation (34 CFR § 300.135).
- a. When timely and meaningful consultation has occurred, the school district must obtain a written affirmation signed by the representatives of participating nonprofit private schools.
  - b. If the representatives do not provide the affirmation within a reasonable period of time, the school district must forward the documentation of the consultation process to the State Director of Special Education.
    - (1) Documentation may include meeting agendas, attendance sheets, signatures of participants at consultation meetings, written records regarding topics addressed and decisions made.
8. Compliance (34 CFR § 300.136).
- a. A nonprofit private school official has the right to submit a complaint to the State Director of Special Education that the school district:
    - (1) Did not engage in consultation that was meaningful and timely; or
    - (2) Did not give due consideration to the views of the nonprofit private school official.
  - b. Procedure.
    - (1) If the nonprofit private school official wishes to submit a complaint, the official must provide to the State Director of Special Education the basis of the noncompliance by the school district with the applicable nonprofit private school provisions in this part; and
    - (2) The school district must forward the appropriate documentation to the State Director of Special Education.

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- (3) If the nonprofit private school official is dissatisfied with the decision of the State Director of Special Education, the official may submit a complaint to the Secretary by providing the information on noncompliance described above; and the State Director of Special Education must forward the appropriate documentation to the Secretary.
9. Equitable services determined (34 CFR § 300.137).
  - a. No parentally placed or student who is an adult nonprofit private school student with a disability has an individual right to receive some or all the special education and related services the student would receive if enrolled in a public school.
  - b. Decisions about the services that will be provided to parentally placed or adult student nonprofit private school students with disabilities by school districts must be made in accordance with the consultation and proportionate share requirements.
  - c. The school district must make the final decisions with respect to the services to be provided to eligible parentally placed or student who is an adult nonprofit private school student with disabilities.
  - d. If a student with a disability is enrolled in a nonprofit religious or other private school by the student's parent(s) or student who is an adult and will receive special education or related services from a school district, the school district must:
    - (1) Initiate and conduct meetings to develop, review, and revise a services plan for the student; and
    - (2) Ensure that a representative of the religious or other nonprofit private school attends each meeting. If the representative cannot attend, the school district shall use other methods to ensure participation by the religious or other nonprofit private school, including individual or conference telephone calls.
10. Equitable services provided (34 CFR § 300.138).
  - a. The services provided to parentally placed or student who is an adult nonprofit private school student with disabilities must be provided by

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personnel meeting the same standards as personnel providing services in the public schools, except that private elementary school and secondary school teachers who are providing equitable services to parentally placed or student who is an adult private school student with disabilities do not have to meet the USBE and IDEA special education teacher requirements.

- b. Parentally placed or student who is an adult nonprofit private school students with disabilities may receive a different amount of services than students with disabilities in public schools.
- c. Services provided in accordance with a services plan.
  - (1) Each parentally placed or student who is an adult nonprofit private school student with a disability who has been designated to receive services must have a services plan that describes the specific special education and related services the school district will provide to the student in light of the services the school district has determined it will make available to parentally placed or adult student nonprofit private school students with disabilities.
  - (2) The services plan must, to the extent appropriate:
    - (a) Meet the same content requirements as the IEP, including access and progress in the general curriculum, or for a student ages 3–5, including access and progress in age-appropriate activities, with respect to the services provided; and
    - (b) Be developed, reviewed, and revised consistent with the IEP provisions in these SpEd Rules III.I.
- d. Provision of equitable services.
  - (1) Services must be provided:
    - (a) By employees of a school district; or
    - (b) Through contract by the school district with an individual, association, agency, organization, or other entity.
  - (2) Special education and related services provided to parentally placed or adult student nonprofit private school students with disabilities,

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including materials and equipment, must be secular, neutral, and non-ideological.

### 11. Location of services and transportation (34 CFR § 300.139).

- a. Services to parentally placed or student who is an adult nonprofit private school student with disabilities may be provided on the premises of private, including religious, schools, to the extent consistent with law.
- b. Transportation.
  - (1) If necessary for the student to benefit from or participate in the services provided under this part, a parentally placed or adult student nonprofit private school student with a disability must be provided transportation:
    - (a) From the student's school or the student's home to a site other than the private school; and
    - (b) From the service site to the private school, or to the student's home, depending on the timing of the services.
  - (2) School districts are not required to provide transportation from the student's home to the private school.
  - (3) The cost of the transportation may be included in calculating whether the school district has met the requirements for proportionate share spending.

### 12. Due process complaints, State complaints, and mediation (34 CFR § 300.140).

- a. Due process not applicable, except for child find.
  - (1) Except as provided in these SpEd Rules VI.B.11.b, the procedures in 34 CFR § 300.504 through 300.519 do not apply to complaints that a school district has failed to meet the requirements of Part B of the IDEA and these SpEd Rules, including the provision of services indicated on the student's services plan.
- b. Child find complaints to be filed with the school district in which the private school is located.

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- (1) The procedures in 34 CFR § 300.504 through 300.519 apply to complaints that a school district has failed to meet the child find requirements in Part B of the IDEA and these SpEd Rules.
- (2) Any due process complaint regarding the child find requirements as described in these SpEd Rules VI.B.11.b.(1) must be filed with the school district in which the private school is located, and a copy must be forwarded to the State Director of Special Education.
- (3) As provided in 34 CFR § 300.140(b), a parent or student who is an adult who has enrolled their student in a private school has the right to file a due process complaint, requesting a due process hearing, and to use the mediation procedures in 34 CFR § 300.506 regarding the child find requirements in 34 CFR § 300.131, including the requirements in 34 CFR §§ 300.300 through 300.311.

### 13. State complaints.

- a. Any complaint that a school district has failed to meet the requirements for provision of services, expenditures, consultation, written affirmation, determination of equitable services, location of services, due process and State complaints, funds not to benefit a private school, use of personnel, separate classes prohibited, and use of property, equipment, and supplies must be filed in accordance with the State complaint procedures described in these SpEd Rules IV.G.
- b. A complaint filed by a nonprofit private school official under the meaningful and timely consultation, or due consideration to views of private school official requirements, must be filed with the State Director of Special Education in accordance with the procedures in these SpEd Rules VI.B.7.b.

### 14. Requirement that funds not benefit a private school (34 CFR § 300.141).

- a. An LEA may not use funds provided under Section 611 or 619 of the IDEA to finance the existing level of instruction in a private school or to otherwise benefit the private school.
- b. The school district must use funds provided under Part B of the IDEA to meet the special education and related services needs of parentally placed

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or student who is an adult nonprofit private school student with disabilities, but not for meeting:

- (1) The needs of a private school; or
- (2) The general needs of the students enrolled in the private school.

### 15. Use of personnel (34 CFR § 300.142).

- a. A school district may use funds available under sections 611 and 619 of the IDEA to make public school personnel available in other than public facilities:
  - (1) To the extent necessary to provide services contained in service plans for parentally placed or student who is an adult nonprofit private school student with disabilities; and
  - (2) If those services are not normally provided by the private school.
- b. A school district may use funds available under sections 611 and 619 of the IDEA to pay for the services of an employee of a private school to provide services contained in service plans for parentally placed or student who is an adult nonprofit private school student with disabilities if:
  - (1) The employee performs the services outside of the employee's regular hours of duty; and
  - (2) The employee performs the services under public supervision and control.

### 16. Separate classes prohibited (34 CFR § 300.143).

- (1) An LEA may not use funds available under Section 611 or 619 of the IDEA for classes that are organized separately on the basis of school enrollment or religion of the students if:
  - b. The classes are at the same site; and
  - c. The classes include students enrolled in public schools and students enrolled in private schools.

### 17. Property, equipment, and supplies (34 CFR § 300.144).

- a. A school district must control and administer the funds used to provide special education and related services, and hold title to and administer

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materials, equipment, and property purchased with those funds for the uses and purposes provided in the IDEA.

- b. The school district may place equipment and supplies in a private school for the period of time needed for the Part B program.
- c. The school district must ensure the equipment and supplies placed in a private school:
  - (1) Are used only for Part B purposes; and
  - (2) Can be removed from the private school without remodeling the private school facility.
- d. The school district must remove equipment and supplies from a private school if:
  - (1) The equipment and supplies are no longer needed for Part B purposes; or
  - (2) Removal is necessary to avoid unauthorized use of the equipment and supplies for other than Part B purposes.
- e. No funds under Part B of the IDEA may be used for repairs, minor remodeling, or construction of private school facilities.

### **VI.C. STUDENTS WITH DISABILITIES ENROLLED BY THEIR PARENT(S) IN PRIVATE SCHOOLS WHEN FAPE IS AT ISSUE (34 CFR § 300.148).**

1. An LEA or USDB is not required to pay for the cost of education, including special education and related services, of a student with a disability at a private school or facility if that LEA or USDB made a FAPE available to the student and the parent(s) or student who is an adult elected to place the student in a private school or facility. However, the LEA or USDB must include that student in the population whose needs are addressed consistent with these SpEd Rules VI.B.
2. Disagreements between the parent(s) or student who is an adult and an LEA or USDB regarding the availability of a program appropriate for the student, and the question of financial reimbursement, are subject to the State complaint and due process procedures in these SpEd Rules IV.G.-R.

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3. If the parent(s) of a student with a disability or student who is an adult, who previously received special education and related services under the authority of an LEA or USDB, enroll the student in a private preschool, elementary school, or secondary school without the consent of or referral by the LEA or USDB, a court or a hearing officer may require the LEA or USDB to reimburse the parent(s) or student who is an adult for the cost of that enrollment if the court or hearing officer finds that the LEA or USDB had not made a FAPE available to the student in a timely manner prior to that enrollment and that the private placement is appropriate. A parental placement may be found to be appropriate by a hearing officer or a court even if it does not meet the State standards that apply to education provided by the USBE and LEAs.
4. The cost of reimbursement may be reduced or denied if:
  - a. At the most recent IEP Team meeting the parent(s) or adult student attended prior to removal of the student from the public school, the parent(s) or adult student did not inform the IEP Team they were rejecting the placement proposed by the LEA or USDB to provide a FAPE to the student, including stating their concerns and their intent to enroll their student in a private school at public expense; or
  - b. At least ten business days (including any holidays that occur on a business day) prior to the removal of the student from the public school, the parent(s) or student who is an adult did not give written notice to the LEA or USDB of the information described in these SpEd Rules VI.C.4.a;
  - c. Prior to the parent(s)'s or student who is an adult's removal of the student from the public school, the LEA or USDB informed the parent(s) or student who is an adult, through the prior written notice requirements, of its intent to evaluate the student (including a statement of the purpose of the evaluation that was appropriate and reasonable), but the parent(s) or student who is an adult did not make the student available for the evaluation; or
  - d. Upon a judicial finding of unreasonableness with respect to actions taken by the parent(s) or student who is an adult.
5. Notwithstanding the requirements for the parent(s) or student who is an adult to provide notice to the LEA or USDB prior to removal of the student, the cost of reimbursement:



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- a. Must not be reduced or denied for failure to provide the notice if:
  - (1) The school prevented the parent(s) or student who is an adult from providing the notice;
  - (2) The parent(s) or student who is an adult had not received prior written notice of the notice requirement in these SpEd Rules VI.C.4.a-c; or
  - (3) Compliance with the notice requirements in these SpEd Rules VI.C.4.a.-c. would likely result in physical harm to the student; and
- b. May, in the discretion of the court or a hearing officer, not be reduced or denied for failure to provide this notice if:
  - (1) The parent(s) or student who is an adult are not literate or cannot write in English; or
  - (2) Compliance with these SpEd Rules VI.C.4.a.-c. would likely result in serious emotional harm to the student.

### VI.D. STUDENTS WITH DISABILITIES ENROLLED IN HOME SCHOOL.

1. The school district is responsible for location, identification, and evaluation for eligibility for home schooled students in its boundaries.
2. A student who is home schooled shall meet the eligibility criteria for students with disabilities in conformity with these SpEd Rules II.C.-H., including proper documentation, using comparable procedures to those required for identifying a student eligible in a public school.
3. If a parent of a student or student who is an adult who is home schooled or placed in a private school by the parent(s) at their own expense does not provide consent for the initial evaluation or the reevaluation, or the parent or student who is an adult fails to respond to a request to provide consent (34 CFR § 300.300):
  - a. The school district may not use the dispute resolution procedures provided in the procedural safeguards, including mediation or due process procedures; and

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- b. The school district is not required to consider the student as eligible for services.
4. Students enrolled in home school full time.
  - a. No student with a disability who is home schooled full time has an individual right to receive any of the special education and related services the student would receive if enrolled in a public school.
5. The LEA must make the final decision with respect to the services, if any, to be provided to eligible home-schooled students with disabilities.
6. The school district may develop a services plan for students who are home schooled with disabilities who are eligible for special education and related services under Part B of the IDEA and these SpEd Rules. The services plan shall describe the special education and related services, if any, the LEA will provide to the student, and must, to the extent appropriate:
  - a. Meet the IEP content requirements with respect to the services provided; and
  - b. Be developed, reviewed, and revised consistent with the IEP provisions in these SpEd Rules III.I.
7. The school district shall determine where and when any services specified in the services plan will be provided.
8. Dual enrollment (Board Rules R277-438 and UCA 53G-6-702).
  - a. A student with a disability who is simultaneously enrolled in both home school or private school and a public school is considered a student in dual enrollment.
  - b. A student with a disability seeking dual enrollment is entitled to special education and related services, under an IEP, for the time, or for the number of courses, the student is enrolled in the public school, based on the decision of the student's IEP Team. The IEP Team must consider the amount of time and courses needed for the provision of FAPE.
9. Home schools do not meet the definition of private schools (Board Rules R277-438).

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### **VI.E. STUDENTS WITH DISABILITIES ENROLLED IN ADULT EDUCATION (BOARD RULES R277-733; UCA 53E-10-205).**

1. Students with disabilities enrolled in Adult Education remain entitled to special education and related services until determined no longer meeting eligibility criteria, graduate with a regular high school diploma, or reach maximum age (i.e., age 22).
2. The responsibility for FAPE for students with disabilities enrolled in Adult Education classes remains with the school district of residence.

### **VI.F. STUDENTS WITH DISABILITIES ENROLLED IN VIRTUAL SETTINGS.**

1. Students with disabilities enrolled in public education virtual settings remain entitled to special education and related services until determined no longer meeting eligibility criteria, graduate with a regular high school diploma, or reach maximum age.
2. The responsibility for FAPE for students with disabilities enrolled in public education virtual settings remains with the LEA of enrollment, unless Board Rule specifies otherwise.

### **VI.G. APPLICABILITY OF PART B OF THE IDEA TO STATE AND LOCAL AGENCIES (34 CFR § 300.2).**

1. The provisions of Part B of the IDEA and these SpEd Rules:
  - a. Apply to all political subdivisions of the State that are involved in the education of students with disabilities, including:
    - (1) The State educational agency (USBE).
    - (2) Local educational agencies (LEAs) and public charter schools that are not otherwise included as LEAs and are not a school of an LEA.
    - (3) Other State agencies and schools (such as the Department of Human Services and USDB).
    - (4) State and local juvenile and adult correctional facilities; and
  - b. Are binding on each educational and non-educational public agency in the State that provides special education and related services to students with

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disabilities, regardless of whether that agency is receiving funds under Part B of the IDEA.

### **VI.H. USBE RESPONSIBILITIES FOR STUDENTS WITH DISABILITIES IN PRIVATE INSTITUTIONS AND FACILITIES (34 CFR § 300.118).**

1. The USBE must ensure the LRE requirements of Part B of the IDEA and these SpEd Rules are effectively implemented, including, if necessary, making arrangements with public and private institutions (such as a memorandum of agreement or special implementation procedures).
2. USBE responsibility for general supervision (34 CFR § 300.149).
  - a. The USBE is responsible for ensuring:
    - (1) The requirements of Part B of the IDEA are carried out; and
    - (2) Each educational program for students with disabilities administered within the State, including each program administered by any other State or local agency:
      - (a) Is under the general supervision of the persons responsible for educational programs for students with disabilities in the USBE; and
      - (b) Meets the educational standards of the USBE.
  - b. Part B of the IDEA does not limit the responsibility of agencies other than educational agencies for providing or paying some or all the costs of FAPE to students with disabilities in the State.
  - c. The Governor (or another individual pursuant to State law) may assign to any public agency in the State the responsibility of ensuring the requirements of Part B of the IDEA are met with respect to students with disabilities who are convicted as adults under State law and incarcerated in adult prisons (34 CFR § 300.149).

### **VI.I. METHODS AND PAYMENT FOR FAPE IN RESIDENTIAL FACILITIES AND PRIVATE SCHOOLS (34 CFR § 300.103).**

1. Each state may use whatever state, local, Federal, and private sources of support are available in the State to meet the requirements of Part B of the

## VI. Students with Disabilities in Other Settings

IDEA. For example, if it is necessary to place a student with a disability in a residential facility or private school, a State could use joint agreements between the agencies involved for sharing the cost of that placement.

2. Nothing in this part relieves an insurer or similar third party from an otherwise valid obligation to provide or to pay for services provided to a student with a disability.
3. The USBE must ensure there is no delay in implementing a student's IEP, including any case in which the payment source for providing or paying for special education and related services to the student is being determined.
4. The USBE ensures that an interagency agreement is in effect between the noneducational public agency and the USBE, in order to ensure that special education and related services needed for FAPE are provided, including during the pendency of any interagency disputes (34 CFR § 300.154).

### **VI.J. STUDENTS WITH DISABILITIES CONVICTED AS ADULTS AND INCARCERATED IN ADULT PRISONS (34 CFR § 300.324).**

1. The obligation to make FAPE available, including special education and related services under Part B of the IDEA and these SpEd Rules, to all students with disabilities applies to students ages 18–21 who are incarcerated in adult prisons, with the following exceptions:
  - a. The requirements relating to participation of students with disabilities in State- and LEA-wide assessments.
  - b. The requirements in 34 CFR § 300.320(b) relating to postsecondary transition planning and postsecondary transition services with respect to students whose eligibility under Part B of the IDEA will end, because of their age, before they will be eligible to be released from prison based on consideration of their sentence and eligibility for early release.
2. The IEP Team of a student with a disability who is convicted as an adult under State law and incarcerated in an adult prison may modify the student's IEP or placement if the State has demonstrated a bona fide security or compelling penological interest that cannot otherwise be accommodated. The IEP and LRE requirements do not apply with respect to such modifications.

## VI. Students with Disabilities in Other Settings

3. Custodial status alone does not qualify an individual for services under the IDEA (Board Rules R277-709-5(2)).
4. Responsibility for the provision of FAPE resides with the school district in which the prison facility is located.

### **VI.K. STUDENTS WITH DISABILITIES WHO ARE ALSO IN STATE CUSTODY/CARE (BOARD RULES R277-709 AND UCA 62A-4A-701).**

1. The obligation to make FAPE available in the LRE, including special education and related services under Part B of the IDEA and these SpEd Rules, applies to all students with disabilities in state custody/care.
2. All requirements of these SpEd Rules apply to students with disabilities in State custody/care, including child find, LRE, and continuum of alternative placements.
3. Special education programs provided through youth in custody programs shall be monitored, through UPIPS monitoring visits.
4. The USBE will develop and implement a Memorandum of Understanding (MOU) with other State agencies responsible for placing students in State custody/care across LEAs or in private facilities. The MOU will address, at a minimum, payment for education and special education services, timelines for placement, and notification of LEAs of changes in placement, and assign responsibility for FAPE.
5. LEAs must develop and implement a MOU, policies, and procedures to address the process and timelines for interstate and intrastate transfers of students with disabilities in State custody/care, including the transfer of special education files, including the IEP, and the implementation of the IEP and provision of FAPE in the LRE, even in temporary placements.
  - a. The LEA transferring the records must keep a copy of the records for three years after the transfer.

### **VI.L. STUDENTS WITH DISABILITIES WHO RESIDE IN NURSING HOMES.**

1. Students with disabilities residing in nursing homes and their parent(s) or students who is an adult have the same rights under IDEA as all other students who are IDEA-eligible students with disabilities.

## VII. PRESCHOOL AND POSTSECONDARY TRANSITIONS

### VII.A. PRESCHOOL TRANSITION FROM PART C TO PART B OF THE IDEA.

1. At the beginning of each school year, each LEA must have an IEP in effect for each student with a disability ages 3–5 within its jurisdiction (34 CFR § 300.323).
2. The USBE and LEA must have in effect policies and procedures to ensure that (34 CFR § 300.124):
  - a. Students participating in early intervention programs assisted under Part C of the IDEA, and who will participate in preschool programs assisted under Part B of the IDEA, experience a smooth and effective transition to those preschool programs;
  - b. By the eligible student’s third birthday, an IEP has been developed and is being implemented for the student;
  - c. If a student’s third birthday occurs after the end of the school year, the student’s IEP Team shall determine the date in the next school year when services under the IEP will begin, except that the IEP Team may determine that extended school year services are needed outside the school year; and
  - d. Each affected LEA will participate in preschool transition planning conferences arranged by the designated lead agency for Part C.
3. In developing the IEP for a student with a disability ages 3–5 or, at the discretion of the LEA, a student who is two-years-old with a disability who will turn age three during the school year, the IEP Team must consider the contents of an IFSP that contains the natural environments statement and an educational component that promotes school readiness and incorporates pre-literacy, language, and numeracy skills (34 CFR § 300.323).
4. In the case of a student who was previously served under Part C of the IDEA, an invitation to the initial IEP meeting must, at the request of the parent, be sent to the Part C service coordinator or other representatives of the Part C system to assist with the smooth transition of services (34 CFR § 300.321).

**VII.B. POSTSECONDARY TRANSITION SERVICES—SCHOOL TO POST-SCHOOL.**

1. Purpose (34 CFR § 300.1).
  - a. To ensure that all students with disabilities have available to them a FAPE that emphasizes special education and related services designed to meet their unique needs and prepare them for further education, employment, and independent living.
2. Definition (34 CFR § 300.43).
  - a. *Postsecondary Transition services* means a coordinated set of activities for a student with a disability that:
    - (1) Is designed to be within a results-oriented process that is focused on improving the academic and functional achievement of the student with a disability, to facilitate the student's movement from school to post-school activities, including postsecondary education, vocational education, competitive integrated employment (including supported employment), continuing and adult education, adult services, independent living, or full community participation;
    - (2) Is based on the individual student's needs, taking into account the student's strengths, preferences, and interests, and includes:
      - (a) Instruction;
      - (b) Related services;
      - (c) Community experiences;
      - (d) The development of employment and other post-school adult living objectives; and
      - (e) If appropriate, acquisition of daily living skills and provision of a functional vocational evaluation.
  - b. Postsecondary Transition services for students with disabilities may be special education, if provided as specially designed instruction, or a related service, if required to assist a student with a disability to benefit from special education.
3. Parent or student who is an adult participation (34 CFR § 300.322).



## VII. Preschool and Postsecondary Transition

- a. For a student with a disability age 14 and older, or younger if determined appropriate by the IEP Team, the notice of meeting must indicate:
  - (1) That a purpose of the meeting will be the consideration of the postsecondary goals and postsecondary transition services for the student;
  - (2) That the LEA will invite the student; and
  - (3) Identify any other agency that will be invited, with the consent of the parent(s) or student who is an adult, to send a representative.
4. IEP Team (34 CFR § 300.321).
  - a. For an IEP Team meeting that includes as a purpose the development of a postsecondary transition plan:
    - (1) The LEA must invite the student with a disability to attend the student's IEP meeting if a purpose of the meeting will be the consideration of the postsecondary goals for the student and the postsecondary transition services needed to assist the student in reaching those goals.
    - (2) If the student does not attend the IEP meeting, the LEA must take other steps to ensure that the student's preferences and interests are considered.
    - (3) To the extent appropriate, with the consent of the parent(s) or adult student, the LEA must invite a representative of any participating agency that is likely to be responsible for providing or may be paying for postsecondary transition services.
5. Definition of IEP (34 CFR § 300.320(b)).
  - a. For a student with a disability, ages 14 and older, an annual IEP goal related to the student's postsecondary transition service needs.
  - b. Postsecondary transition services. For a student with a disability, ages 14 and older, or younger if determined appropriate by the IEP Team, and updated annually thereafter, the IEP must include:
    - (1) Realistic and reasonable measurable postsecondary goals based upon annual age-appropriate postsecondary transition assessments related

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to training or education, employment, and, where appropriate, independent living skills;

- (2) Postsecondary transition services (e.g., activities, experiences, specially designed instruction), that will reasonably enable the student to reach the postsecondary goals identified on the IEP;
  - (3) Multi-year courses of study that will reasonably enable the student to reach the postsecondary goals identified on the IEP;
  - (4) Evidence that the student was invited to the IEP Team meeting where transition services are to be discussed. If the student does not attend the IEP meeting, the IEP Team must take other steps to ensure the student's preferences and interests are considered;
  - (5) If appropriate, evidence that a representative of any participating agency that might be providing or paying for any postsecondary transition services was invited to the IEP Team meeting with written consent of the parent or adult student prior to the meeting; and
  - (6) Any modifications to graduation requirements, as permitted under Board Rules R277-700.
- c. Students with disabilities must have access to all school services related to college and career readiness planning and must be actively invited and included in school activities which address course planning (including online courses), graduation, and postsecondary education and employment (i.e., college week, scholarship opportunities, ACT, and concurrent enrollment) (Board Rules R277-462).
6. Transfer of rights at age of majority (34 CFR §§ 300.320(c), 300.520).
- a. Not later than the student's 17th birthday, the IEP must include a dated statement, signed by the student, parent, and an LEA Representative, that the student and the student's parent(s) have been informed of parent's rights under Part B of the IDEA (i.e., Procedural Safeguards) that will transfer to the student on reaching the age of majority (i.e., age 18), except for a student with a disability who has been determined to be incompetent by a court.

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- b. All rights accorded to parents under Part B of the IDEA transfer to the student on the student's 18th birthday unless the IEP Team determines that:
    - (1) The parent has obtained legal guardianship, power of attorney, or conservatorship; or
    - (2) The student has married or become emancipated (in which case the rights transfer at that time).
  - c. All rights accorded to parents under Part B of the IDEA transfer to students who are incarcerated in an adult or juvenile State or local correctional institution.
  - d. When a student with a disability reaches the age of majority under State law (i.e., age 18) that applies to all students, except for a student with a disability who has been determined to be incompetent under State law, or the student with a disability marries or becomes emancipated:
    - (1) The LEA must provide any notice required by Part B of the IDEA to both the individual and the parent(s); and
    - (2) All other rights accorded to parents under Part B of the IDEA transfer to the student;
    - (3) All rights accorded to parents under Part B of the IDEA transfer to students who are incarcerated in an adult or juvenile State or local correctional institution; and
  - e. Whenever a state transfers rights, the LEA must notify the individual and the parent(s) of the transfer of rights within a reasonable time frame.
7. Termination of eligibility as a change of placement (34 CFR § 300.305).
- a. An evaluation is not required before the termination of a student's eligibility under this part due to graduation from secondary school with a regular high school diploma, or due to exceeding the age of eligibility for FAPE under Utah law.
  - b. For a student whose eligibility terminates due to graduation from secondary school with a regular diploma, or due to exceeding the age of eligibility for FAPE under Utah law, an LEA must provide the student with a

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summary of the student's academic achievement and functional performance which shall include a statement of the student's postsecondary goals, recommendations on how to assist the student in meeting the student's postsecondary goals, and a statement of when and how accommodations were used for instruction and assessment.

- (1) The LEA develops the summary of student's academic achievement and functional performance with the IEP Team and additional individuals as appropriate (e.g., school counselors, CTE teachers, Pre-Employment Transition Service representatives).
    - c. Receipt of a general educational development (GED) credential does not end eligibility for FAPE.
8. Failure to meet postsecondary transition objectives (34 CFR § 300.324)
  - a. If a participating agency, other than the LEA, fails to provide the postsecondary transition services described in the IEP, the LEA must reconvene the IEP Team to identify alternative strategies to meet the postsecondary transition objectives for the student set out in the IEP.
  - b. Nothing relieves any participating agency, including a State vocational rehabilitation agency, of the responsibility to provide or pay for any postsecondary transition service that the agency would otherwise provide to students with disabilities who meet the eligibility criteria of that LEA (34 CFR § 300.324).
  - c. If any public agency other than an educational agency is otherwise obligated under Federal or State law, or assigned responsibility under State policy or an interagency agreement, to provide or pay for any services that are also considered special education or related services such as, but not limited to, services relating to assistive technology devices, assistive technology services, related services, supplementary aids and services, and postsecondary transition services, that are necessary for ensuring a FAPE to students with disabilities within the State, the public agency must fulfill that obligation or responsibility, either directly or through contract or other arrangement or as provided in an interagency agreement.
9. Students with disabilities in adult prisons (34 CFR § 300.324).

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- a. The requirements relating to postsecondary transition planning and postsecondary transition services do not apply with respect to those students whose eligibility under Part B of the IDEA will end, because of their age, before they will be eligible to be released from prison based on consideration of their sentence and eligibility for early release.
- b. The obligation to make FAPE available to all students with disabilities does not apply with respect to students ages 18–21 to the extent that State law does not require that special education and related services under Part B of the IDEA be provided to students with disabilities who, in the last educational placement prior to their incarceration in an adult correctional facility (34 CFR § 300.102):
  - (1) Were not actually identified as being a student with a disability; and
  - (2) Did not have an IEP under Part B of the IDEA.
- c. The exception does not apply to students with disabilities ages 18–21 who:
  - (1) Had been identified as a student with a disability and had received services in accordance with an IEP, but who left school prior to their incarceration; or
  - (2) Did not have an IEP in their last educational setting, but who had actually been identified as a student with a disability.

### VII.C. GRADUATION (UCA 53E-7-202; BOARD RULES R277-705).

1. The obligation of the LEA to make FAPE available to all students with disabilities does not apply to students with disabilities who have graduated from high school with a regular high school diploma (34 CFR §300.102(a)(3)(i)).
  - a. The exception in these SpEd Rules VII.C.1 does not apply to students that have graduated from high school but have not been awarded a regular high school diploma (34 CFR § 300.102(a)(3)(ii)).
  - b. The LEA may not withhold a regular high school diploma from a student who has met State or LEA graduation requirements.
  - c. Graduation from high school with a regular high school diploma constitutes a change in placement, requiring prior written notice that must contain all the requirements in these SpEd Rules IV.D, including being

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given a reasonable time before the LEA proposed to terminate the student's eligibility under the IDEA by issuing the student a diploma (34 CFR § 300.503).

- d. The term "regular high school diploma" does not include an alternative degree that is not fully aligned with the State's academic standards, such as a certificate or a GED (34 CFR § 300.102(a)(3)(iv)).
2. A student with a disability served by a special education program shall satisfy high school completion or graduation criteria, consistent with State and federal law and the student's IEP. The LEA may modify graduation requirements consistent with the student's IEP (Board Rules R277-700-6(25)). An LEA may award a student a certificate of completion consistent with state and federal law and the student's IEP.
3. The IEP Team must refer to the USBE Special Education Graduation Guidelines for additional information regarding modifying graduation requirements and IEP substitutions.

### **VII.D. TERMINATION OF SERVICES UPON REACHING AGE 22 (UCA 53E-7-201; BOARD RULES R277-419-2(23)(B)).**

1. If a student with a disability turns 22 any time after July 1, LEAs must continue to provide FAPE until the end of that school year.

### **VII.E. USBE USE OF PART B FUNDS (34 CFR § 300.704).**

1. The USBE may use funds from Part B of the IDEA for development and implementation of postsecondary transition programs, including coordination of services with agencies involved in supporting the postsecondary transition of students with disabilities to postsecondary activities.

## VIII. RESPONSIBILITIES OF THE UTAH STATE BOARD OF EDUCATION

### VIII.A. GENERAL SUPERVISORY AUTHORITY.

1. Authority and responsibility to make policy and set standards in the area of education of students with disabilities rests with the USBE by virtue of its constitutional mandate to provide general control and supervision of the public school system (Article X, Section 3) and by specific legislative enactment found in UCA 53E-7-202 through 53E-7-208. The statutes cited indicate an intended partnership arrangement between the USBE and LEAs. The statute provides for general supervision of educational programs for students with disabilities served by all LEAs and institutions concerned with the education of students with disabilities, as follows:
2. FAPE for eligible students (UCA 53E-7-202).
  - a. All students with disabilities, who are between the ages of 3 and 22 and have not graduated from high school with a regular diploma, are entitled to a FAPE.
    - (1) The USBE shall adopt rules consistent with applicable State and Federal law to implement this chapter.
  - b. The rules adopted by the USBE shall include the following:
    - (1) Appropriate and timely identification of students with disabilities.
    - (2) Diagnosis, evaluation, and classification by qualified personnel.
    - (3) Standards for classes and services.
    - (4) Provision for multi-district programs.
    - (5) Provision for delivery of service responsibilities.
    - (6) Certification/licensure and qualifications for instructional staff.
    - (7) Services for dual enrollment students attending public school on a part-time basis under UCA 53G-6-702.

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- c. The USBE shall have general control and supervision over all educational programs for students within the State who have disabilities.
    - (1) Those programs must comply with the rules adopted by the USBE under this section.
  - d. The USBE shall appoint a State Director of Special Education, who shall be qualified and experienced in the area of special education.
  - e. The State Director of Special Education has the following duties and responsibilities:
    - (1) To assist the USBE and State Superintendent of Public Instruction in performing their duties under this chapter.
    - (2) To encourage and assist school districts and public charter schools and other authorized public agencies in the organization of programs for students with disabilities.
    - (3) To provide general supervision over all public programs offered through a public school, public agency, public institution, or private agency for students with disabilities.
    - (4) To cooperate with private schools and other private agencies concerned with educating and training students with disabilities.
    - (5) To coordinate all State programs for students with disabilities.
3. LEA special education program funding
- a. Each LEA shall provide, either singly or in cooperation with other school districts or public institutions, a FAPE for all students with disabilities who are residents of the district or enrolled in a public charter school. The program shall include necessary special facilities, instruction, and education-related services. The costs of an LEA's program, or share of a joint program, shall be paid from LEA funds.
  - b. LEAs shall receive funds under UCA 53F-2-1, State Funding—Minimum School Program (MSP), and other applicable laws to provide special education services in accordance with these SpEd Rules.
  - c. LEAs may, singly or in cooperation with other public entities, provide education and training for persons with disabilities who are younger than



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3 or older than 22. The cost of such a program may be paid from fees, contributions, and other funds received by LEA for support of the program but may not be paid from public education funds.

- d. In order to obtain funds under Part B of the IDEA, the USBE must submit to the U.S. Department of Education, on behalf of the State of Utah as a whole, written policies and procedures governing the operation of special education programs and must comply with a series of Federal administrative requirements described in these SpEd Rules. Therefore, all provisions under Part B of the IDEA apply to each political subdivision of the State that is involved in the education of students with disabilities, irrespective of whether the subdivision receives any funds under Part B of the IDEA. This includes State and local juvenile and adult correctional facilities. The requirements of Part B of the IDEA and these SpEd Rules are binding on each LEA and other public agency that has direct or delegated authority to provide special education and related services in the State of Utah.

### VIII.B. STATE ELIGIBILITY.

1. Eligibility for assistance (34 CFR § 300.100).
  - a. A state is eligible for assistance under Part B of the IDEA for a fiscal year if the state submits a plan that provides assurances to the Secretary that the state has in effect policies and procedures to ensure that the state meets the conditions in this section.
2. State eligibility for preschool (34 CFR § 300.804).
  - a. A state is eligible for a grant under Section 619 of the IDEA if the state is eligible under Section 612 of the IDEA to receive a grant under Part B of the IDEA; and makes FAPE available to all students with disabilities, ages 3–5, residing in the state.
3. Program options (34 CFR § 300.110).
  - a. The State must ensure that each LEA takes steps to ensure that its students with disabilities have available to them the variety of educational programs and services available to students who are nondisabled in the

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area served by the agency, including art, music, industrial arts, consumer and homemaking education, and vocational education.

4. Individualized education programs (IEP) (34 CFR § 300.112).
  - a. The State must ensure that an IEP is developed, reviewed, and revised for each student with a disability in accordance with these SpEd Rules III.
5. Technical assistance and training activities (34 CFR § 300.119).
  - a. USBE must carry out activities to ensure that teachers and administrators in all public agencies:
    - (1) Are fully informed about their responsibilities for implementing the least restrictive environment (LRE) requirements of Part B of the IDEA; and
    - (2) Are provided with technical assistance and training necessary to assist them in this effort.
6. Procedural safeguards (34 CFR § 300.121).
  - a. General.
    - (1) The State must have procedural safeguards in effect to ensure that each public agency in the State meets the requirements of 34 CFR §§ 300.500–300.536.
  - b. Procedural safeguards identified.
    - (1) Students with disabilities and their parent(s) must be afforded the procedural safeguards identified in these SpEd Rules IV.
7. Evaluation (34 CFR § 300.122).
  - a. Students with disabilities must be evaluated accordance with 34 CFR §§ 300.300–300.311 and these SpEd Rules II.
8. Confidentiality of PII (34 CFR § 300.123).
  - a. The State must have policies and procedures in effect to ensure that public agencies in the State comply with 34 CFR §§ 300.610–300.626 related to protecting the confidentiality of any PII collected, used, or maintained under Part B of the IDEA.

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9. State responsibility regarding students in private schools (34 CFR § 300.129).
  - a. The State must have in effect policies and procedures that ensure that LEAs, and, if applicable, the USBE, meet the private school requirements in 34 CFR §§ 300.130–300.148.
10. USBE implementation of procedural safeguards (34 CFR § 300.150).
  - a. The USBE (and any agency assigned responsibility pursuant to 34 CFR § 300.149(d)) must have in effect procedures to inform each public agency of its responsibility for ensuring effective implementation of procedural safeguards for the students with disabilities served by that public agency.
11. Responsibility of USBE and other public agencies (34 CFR § 300.500).
  - a. USBE must ensure that each public agency establishes, maintains, and implements procedural safeguards that meet the requirements these SpEd Rules IV.
12. Overidentification and disproportionality (34 CFR § 300.173).
  - a. The State has in effect, consistent with the purposes of Part B and with Section 618(d) of the IDEA and these SpEd Rules II., policies and procedures designed to prevent the inappropriate overidentification or disproportionate representation by race and ethnicity of students as students with disabilities, including students with disabilities with a particular impairment.

### VIII.C. STATE MONITORING AND ENFORCEMENT (34 CFR § 300.600).

1. The USBE staff must:
  - a. Monitor the implementation of Part B of the IDEA and these SpEd Rules;
  - b. Evaluate the standards and guidelines that establish the identifying criteria for disability classifications to assure strict compliance with those standards by LEAs (UCA 53F-2-307);
  - c. Make determinations annually about the performance of each LEA using the categories in 34 CFR § 300.603(b)(1);
  - d. Enforce implementation of Part B of the IDEA and these SpEd Rules using appropriate enforcement mechanisms, which must include, if applicable,

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the enforcement mechanisms identified in 34 CFR § 300.604(a)(1) (technical assistance) and (a)(3) (conditions on funding of an LEA), (b)(2)(i) (a corrective action plan or improvement plan) and (b)(2)(v) (withholding funds, in whole or in part, by the USBE), and (c)(2) (withholding funds in whole or in part, by the USBE); and

- e. Report annually on the performance of the State and of each LEA and USDB under the IDEA (34 CFR §300.602).

- (1) The USBE will report annually to the public on the performance of each LEA and USDB located in the State on the targets in the State's Performance Plan (SPP) as soon as practicable but no later than 120 days following the State's submission of its Annual Performance Report (APR) to the Secretary.
  - (2) The USBE will make each of the following items available through public means (i.e., SPP, APR, and the State's annual reports on the performance of each LEA located in the State). In doing so, the State must, at a minimum, post the plan and reports on the USBE website, and distribute the plan and reports to the media and through public agencies.
- 2. In exercising its monitoring responsibilities under these SpEd Rules VIII.C.1, the State must ensure that when it identifies noncompliance with the requirements of this part by LEAs, the noncompliance is corrected as soon as possible, and in no case later than one year after the State's identification of the noncompliance.
  - 3. The primary focus of the State's monitoring activities must be on:
    - a. Improving educational results and functional outcomes for all students with disabilities; and
    - b. Ensuring that public agencies meet the program requirements under Part B of the IDEA, with a particular emphasis on those requirements that are most closely related to improving educational results for students with disabilities.
  - 4. As a part of its responsibilities, the USBE uses quantifiable indicators and such qualitative indicators as are needed to adequately measure performance in the priority areas, and the indicators established by the Secretary for the SPP.

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These indicators will be posted on the USBE website for the public and distributed to LEAs and public agencies.

5. As required by this section, the USBE staff monitors the public agencies located in the State, using quantifiable indicators in each of the following priority areas, and using such qualitative indicators as are needed to adequately measure performance in those areas:
  - a. Provision of a FAPE in the least restrictive environment (LRE).
  - b. General supervision, including child find, effective monitoring, the use of resolution meetings, mediation, and a system of preschool and postsecondary transition services.
  - c. Disproportionate representation of racial and ethnic groups in special education and related services, to the extent the representation is the result of inappropriate identification.
6. Monitoring activities for Least Restrictive Environment (LRE) (34 CFR § 300.120).
  - a. The USBE staff must carry out activities to ensure that the LRE requirements are implemented by each LEA.
  - b. If there is evidence that an LEA makes placements that are inconsistent with the LRE requirements, the USBE staff must:
    - (1) Review the LEA's justification for its actions; and
    - (2) Assist in planning and implementing any necessary corrective action.

### VIII.D. USBE PROGRAM MONITORING.

1. The USBE staff is responsible for the monitoring and evaluation of all public agencies within the State of Utah involved in the education of students with disabilities, ages 3–21. Consistent with Federal efforts to create a monitoring system that focuses on program effectiveness and student results, the USBE staff has developed a model that emphasizes a systematic approach to improve and sustain the service delivery system and to positively affect student success. This monitoring system, Utah's Program Improvement Planning System (UPIPS), promotes a partnership between each LEA or other public agency and the USBE. UPIPS involves active strategic planning and continuous improvement within the framework of compliance.

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2. All LEAs are involved in the UPIPS monitoring system, as required under Part B of the IDEA, Board Rules R277-709, and Board Rules R277-114-3. The UPIPS system is revised periodically and goes through the process of stakeholder involvement and comment. UPIPS requirements:
  - a. Ensure a meaningful and continuous process that focuses on improving academic and social outcomes for students with disabilities by linking LEA data (including APR and dispute resolution data) to improvement efforts.
  - b. Ensure compliance with IDEA and these SpEd Rules.
  - c. Link program improvement activities with multi-year professional learning planning.
  - d. Support each LEA in the process of self-assessment, evaluation, implementation of corrective actions, if needed, and improvement of program effectiveness.
  - e. LEAs shall complete the required activities according to the timeline provided by the USBE staff.
  - f. All LEAs are provided with professional learning and details of all requirements.
  - g. Additional professional learning, technical assistance, and ongoing support for LEA staff in addressing the responsibilities and requirements is provided throughout the process, as needed. Details of the process and requirements of UPIPS are available on the [USBE website](#)
3. Results of the monitoring process are publicly available, upon request.

### VIII.E. STATE PERFORMANCE PLANS AND DATA COLLECTION (34 CFR § 300.601).

1. In conformance with Federal requirements, the USBE has in place a SPP that evaluates the USBE's efforts to implement the requirements and purposes of Part B of the IDEA and describes how the USBE will improve such implementation.
  - a. The USBE has submitted the SPP to the Secretary for approval in accordance with the approval process.

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- b. Each state must review its SPP at least once every six years and submit any amendments to the Secretary.
  - c. As part of the SPP, the USBE has established measurable and rigorous targets for the indicators established by the Secretary under the priority areas.
2. Data collection.
- a. The USBE must collect valid and reliable information as needed to report annually to the Secretary on the indicators established by the Secretary for the SPP.
  - b. The USBE, as permitted by the Secretary, collects data on specific indicators through USBE monitoring or sampling, and collects data on those indicators for each LEA at least once during the period of the SPP.
  - c. Nothing in Part B of the IDEA shall be construed to authorize the development of a nationwide database of PII on individuals involved in studies or other collections of data under Part B of the IDEA.
3. State use of targets and reporting (34 CFR § 300.602).
- a. The USBE uses the targets established in the SPP and the priority areas to analyze the performance of each LEA.
  - b. Public reporting and privacy.
    - (1) The USBE:
      - (a) Reports annually to the public on the performance of each LEA located in the State on the targets in the SPP as soon as practicable but no later than 120 days following the State's submission of its APR to the Secretary; and
      - (b) Makes each of the following items available through public means; the SPP; APR; and the State's annual reports on the performance of each LEA location in the State.
    - (2) In doing so, the State must, at a minimum, post the plan and reports on the USBE website, and distribute the plan and reports to the media and through public agencies.

**VIII.F. PERFORMANCE GOALS AND INDICATORS (34 CFR § 300.157).**

1. The USBE has established goals for the performance of students with disabilities in the State that:
  - a. Promote the purposes of these SpEd Rules I.A.;
  - b. Are the same as the State's objectives for progress by all students, including the State's objectives for progress by students with disabilities;
  - c. Address graduation rates and dropout rates; and
  - d. Are consistent, to the extent appropriate, with any other goals and academic standards for students established by the State.
2. The USBE has established performance indicators that the State will use to assess progress toward achieving those goals, including measurable annual objectives for progress by students with disabilities under the Elementary and Secondary Education Act (ESEA) currently titled the Every Student Succeeds Act (ESSA).
3. The USBE reports annually to the Secretary and the public on the progress of the State, and of students with disabilities in the State, toward meeting the goals established under these SpEd Rules VIII.F.1, which include elements of the reports required under Section 1111(h) of the ESEA.

**VIII.G. SECRETARY'S REVIEW AND DETERMINATION REGARDING STATE PERFORMANCE (34 CFR § 300.603).**

1. The Secretary annually reviews the USBE's submitted performance report.
2. Based on the information provided by the USBE in the State's APR, information obtained through monitoring visits, and any other public information made available, the Secretary determines if the USBE:
  - a. Meets the requirements and purposes of Part B of the IDEA;
  - b. Needs assistance in implementing the requirements of Part B of the IDEA;
  - c. Needs intervention in implementing the requirements of Part B of the IDEA; or
  - d. Needs substantial intervention in implementing the requirements of Part B of the IDEA.



3. Notice and opportunity for a hearing.
  - a. For such determinations, the Secretary provides reasonable notice and an opportunity for a hearing on those determinations.
  - b. The hearing consists of an opportunity to meet with the Assistant Secretary for Special Education and Rehabilitative Services to demonstrate why the Department should not make the determination described in these SpEd Rules VIII.G.2.
4. Enforcement (34 CFR § 300.604).
  - a. Needs assistance.
  - b. If the Secretary determines, for two consecutive years, that USBE needs assistance in implementing the requirements of Part B of the IDEA, the Secretary takes one or more of the following actions:
    - (1) Advises the State of available sources of technical assistance that may help USBE address the areas in which the USBE needs assistance, which may include assistance from the Office of Special Education Programs (OSEP), other offices of the Department of Education, other Federal agencies, technical assistance providers approved by the Secretary, and other federally funded nonprofit agencies, and requires the State to work with appropriate entities. Such technical assistance may include:
      - (a) The provision of advice by experts to address the areas in which the State needs assistance, including explicit plans for addressing the area for concern within a specified period of time;
      - (b) Assistance in identifying and implementing professional learning, instructional strategies, and methods of instruction that are based on scientifically-based research;
      - (c) Designating and using distinguished superintendents, principals, special education administrators, special education teachers, and other teachers to provide advice, technical assistance, and support; and,
      - (d) Devising additional approaches to providing technical assistance, such as collaborating with institutions of higher education,

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educational service agencies, national centers of technical assistance supported under Part D of the IDEA, and private providers of scientifically-based technical assistance.

- (2) Directs the use of State-level section 611(e) funds on the area or areas in which the State needs assistance.
  - (3) Identifies the State as a high-risk grantee and imposes special conditions on the USBE grant under Part B of the IDEA.
- c. Needs intervention.
- (1) If the Secretary determines, for three or more consecutive years, that USBE needs intervention in implementing the requirements of Part B of the IDEA, the following shall apply:
    - (a) The Secretary may take any of the actions described in the “needs assistance” section above.
    - (b) The Secretary takes one or more of the following actions:
      - (i) Requires the USBE to prepare a corrective action plan or improvement plan if the Secretary determines that the USBE should be able to correct the problem within one year.
      - (ii) Requires the State to enter into a compliance agreement under Section 457 of the GEPA, as amended, 20 USC § 1221 et seq., if the Secretary has reason to believe that the USBE cannot correct the problem within one year.
      - (iii) For each year of the determination, withholds not less than 20 percent and not more than 50 percent of the USBE's funds under section 611 of the IDEA, until the Secretary determines the USBE has sufficiently addressed the areas in which the State needs intervention.
      - (iv) Seeks to recover funds under section 452 of GEPA.
      - (v) Withholds, in whole or in part, any further payments to the State under Part B of the IDEA.
      - (vi) Refers the matter for appropriate enforcement action, which may include referral to the Department of Justice.

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### d. Needs substantial intervention.

(1) At any time that the Secretary determines that a State needs substantial intervention in implementing the requirements of Part B of the IDEA or that there is a substantial failure to comply with any condition of the USBE's or LEA's eligibility under Part B of the IDEA, the Secretary takes one or more of the following actions:

(a) Recovers funds under section 452 of GEPA.

(b) Withholds, in whole or in part, any further payments to the State under Part B of the IDEA.

(c) Refers the case to the Office of the Inspector General at the Department of Education.

(d) Refers the matter for appropriate enforcement action, which may include referral to the Department of Justice.

### e. Report to Congress.

(1) The Secretary reports to the Committee on Education and the Workforce of the House of Representatives and the Committee on Health, Education, Labor, and Pensions of the Senate within 30 days of taking enforcement action pursuant to needs assistance, needs intervention, and needs substantial intervention, on the specific action taken and the reasons why enforcement action was taken.

## 5. Withholding funds (34 CFR § 300.605).

a. Prior to withholding any funds under Part B of the IDEA, the Secretary provides reasonable notice and an opportunity for a hearing to the USBE involved, pursuant to the procedures in 34 CFR §§ 300.1803–300.183.

b. Pending the outcome of any hearing to withhold payments, the Secretary may suspend payments to a recipient, suspend the authority of the recipient to obligate funds under Part B of the IDEA, or both, after the recipient has been given reasonable notice and an opportunity to show cause why future payments or authority to obligate funds under Part B of the IDEA should not be suspended.

c. Nature of withholding.

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- (1) If the Secretary determines that it is appropriate to withhold further payments under 34 CFR § 300.604(b)(2) or (c)(2), the Secretary may determine:
    - (a) That the withholding will be limited to programs or projects, or portions of programs or projects, that affected the Secretary's determination; or
    - (b) That the USBE must not make further payments under Part B of the IDEA to specified State agencies or LEAs that caused or were involved in the Secretary's determination.
  - (2) Until the Secretary is satisfied that the condition that caused the initial withholding has been substantially rectified:
    - (a) Payments to the State under Part B of the IDEA must be withheld in whole or in part; and
    - (b) Payments by the USBE under Part B of the IDEA must be limited to State agencies and LEAs whose actions did not cause or were not involved in the Secretary's determination, as the case may be.
6. Public attention (34 CFR § 300.606).
    - a. Whenever a state receives notice that the Secretary is proposing to take or is taking an enforcement action, the state must, by means of a public notice, take such actions as may be necessary to notify the public within the state of the pendency of an action, including, at a minimum, by posting the notice on the USBE website and distributing the notice to the media and through public agencies (34 CFR § 300.604).
  7. Divided USBE responsibility for students convicted as adults (34 CFR § 300.607).
    - a. If responsibility for ensuring that the requirements of Part B of the IDEA are met with respect to students with disabilities who are convicted as adults under State law and incarcerated in adult prisons is assigned to an LEA rather than the USBE, and if the Secretary finds that the failure to comply substantially with the provisions of Part B of the IDEA are related to a failure by the LEA, the Secretary takes appropriate corrective action to ensure compliance with Part B of the IDEA, except that:

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- (1) Any reduction or withholding of payments to the State must be proportionate to the total funds allotted under section 611 of the IDEA to the State as the number of eligible students with disabilities in adult prisons under the supervision of the other LEA is proportionate to the number of eligible individuals with disabilities in the State under the supervision of the USBE; and
- (2) Any withholding of funds under must be limited to the specific LEA or other public agency responsible for the failure to comply with Part B of the IDEA.

### VIII.H. STATE ENFORCEMENT (34 CFR § 300.608).

1. If the USBE staff determines that an LEA is not meeting the requirements of Part B of the IDEA, including the targets in the SPP or has a determination level other than “meets requirements,” the USBE staff must prohibit the LEA from reducing the LEA's maintenance of effort for any fiscal year.
2. The Utah Results Driven Accountability (RDA) process includes annual LEA determinations, in alignment with the requirements applied to the annual Utah determination by the Secretary. Revisions to the RDA process, when needed, must be made through a public input and comment process, and posted on the USBE website.
3. Nothing in this subpart shall be construed to restrict a state from utilizing any other authority available to it to monitor and enforce the requirements of Part B of the IDEA.

### VIII.I. USBE REPORTING REQUIREMENTS.

1. The USBE must annually report to the Secretary on the information required by section 618 of the IDEA at times specified by the Secretary, and the USBE must submit the report on forms provided by the Secretary (34 CFR § 300.640).
2. Annual report of students served—information required in the report (34 CFR § 300.641).
  - a. For purposes of the annual report required by section 618 of the IDEA and 34 CFR § 300.640, the State and the Secretary of the Interior must count and report the number of students with disabilities receiving special

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education and related services on any date between October 1 and December 1 of each year.

- b. For the purpose of this reporting provision, a student's age is the student's actual age on the date of the child count.
  - c. The USBE may not report a student under more than one disability.
  - d. If a student with a disability has more than one disability, the USBE must report that student in accordance with the following procedure:
    - (1) If a student has only two disabilities and those disabilities are deafness and blindness, and the student is not reported as having a developmental delay, that student must be reported under the category "deafblindness."
    - (2) A student who has more than one disability and is not reported as having deafblindness or as having a developmental delay must be reported under the category "multiple disabilities."
3. Data reporting (34 CFR § 300.642).
- a. Protection of personally identifiable data.
    - (1) The data described in section 618(a) of the IDEA and in 34 CFR § 300.641 must be publicly reported by each state in a manner that does not result in disclosure of data identifiable to individual students.
  - b. Sampling.
    - (1) The Secretary may permit States and the Secretary of the Interior to obtain data in section 618(a) of the IDEA through sampling.
4. Annual report of students served—certification (34 CFR § 300.643).
- a. The USBE must include in its report a certification signed by an authorized official of the agency that the information provided under 34 CFR § 300.640 is an accurate and unduplicated count of students with disabilities receiving special education and related services on the dates in question.
5. Annual report of students served—criteria for counting students (34 CFR § 300.644).

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- a. The USBE may include in its report students with disabilities who are enrolled in a school or program that is operated or supported by a public agency, and that:
  - (1) Provides them with both special education and related services that meet State standards;
  - (2) Provides them only with special education, if a related service is not required, that meets State standards; or
  - (3) In the case of students with disabilities enrolled by their parent(s) or adult student in private schools, counts those students who are eligible under the IDEA and receive special education or related services or both that meet State standards under 34 CFR §§ 300.132–144.
6. Annual report of students served—other responsibilities of the USBE (34 CFR § 300.645).
  - a. In addition to meeting the other reporting requirements, the USBE must:
    - (1) Establish procedures to be used by LEAs and other educational institutions in counting the number of students with disabilities receiving special education and related services (e.g., Student Information System (SIS) and Utah eTranscript and Record Exchange [UTREx]);
    - (2) Set dates by which those agencies and institutions must report to the USBE to ensure that the State complies with 34 CFR § 300.640(a);
    - (3) Obtain certification from each agency and institution that an unduplicated and accurate count has been made;
    - (4) Aggregate the data from the count obtained from each agency and institution, and prepare the reports required under 34 CFR §§ 300.640–644; and
    - (5) Ensure that documentation is maintained that enables the State and the Secretary to audit the accuracy of the count.
7. Disproportionality (34 CFR § 300.646; 34 CFR § 300.647).

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- a. Each state that receives assistance under Part B of the IDEA must provide for the collection and examination of data to determine if significant disproportionality based on race and ethnicity is occurring in the state and the LEAs of the state with respect to:
  - (1) The identification of students as students with disabilities, including the identification of students as students with disabilities in accordance with a particular impairment described in section 602(3) of the IDEA;
  - (2) The placement in particular educational settings of these students; and
  - (3) The incidence, duration, and type of disciplinary actions, including suspensions and expulsions.
- b. Review and revision of policies, practices, and procedures. In the case of a determination of significant disproportionality with respect to the identification of students as students with disabilities, or the placement in particular educational settings of these students, in accordance with these SpEd Rules VIII.I.7.a, the USBE must:
  - (1) Provide for the annual review and, if appropriate, revision of the policies, procedures, and practices used in the identification or placement in particular education settings, including disciplinary removals, to ensure that the policies, procedures, and practices comply with the requirements of the IDEA.
  - (2) Require the LEA to publicly report on the revision of policies, practices, and procedures described under these SpEd Rules VIII.I.7.b.(1).
  - (3) Require any LEA identified under these SpEd Rules VIII.I.7.a to reserve the maximum amount (i.e., 15%) of funds under section 613(f) of the IDEA to provide comprehensive coordinated early intervening services to address factors contributing to the significant disproportionality.
    - (a) USBE shall not require any LEA that serves only students with disabilities identified under Rule VIII.I.7.a. to reserve funds to provide comprehensive coordinated early intervening services.



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- (b) Nothing in this section authorizes USBE or an LEA to develop or implement policies, practices, or procedures that result in actions that violate the requirements of this part, including requirements related to child find and ensuring that a FAPE is available to all eligible students with disabilities.

### VIII.J. PROVISION OF TECHNICAL ASSISTANCE (34 CFR § 300.704(b)(4)(i)).

1. Each LEA in the State may access technical assistance from the USBE staff in Special Education Services (SES).
  - a. The SES is responsible for establishing and maintaining communication links with all LEAs as well as offering technical assistance to support LEAs in providing appropriate special education services to students with disabilities.
  - b. The SES also assists LEA administrative and program staff in developing and delivering professional learning designed to provide personnel with the skills and competencies needed to work effectively with students with disabilities.
  - c. The SES staff assists LEAs in ensuring continued compliance with State and Federal special education law, including the resolution of allegations of noncompliance received under the State complaint, mediation, and due process hearing procedures.
2. The SES provides ongoing support to LEAs in response to newly emerging and long-term issues.

### VIII.K. PERSONNEL QUALIFICATIONS (34 CFR § 300.156).

1. Consistent with the provisions of Part B of the IDEA, the USBE has established and maintains qualifications to ensure that personnel necessary to carry out the purposes of this part are appropriately and adequately prepared and trained, including that those personnel have the content knowledge and skills to serve students with disabilities.
2. The USBE's system for ensuring that personnel are appropriately and adequately prepared and trained includes conformance with USBE Administrative Rule.

3. Qualifications for special education teachers (34 CFR § 300.156; Board Rules R277-301).
  - a. The USBE and IDEA established qualifications for each person employed as a public school special education teacher in the State who teaches in an elementary school, middle school, or secondary school.
  - b. The qualifications established by USBE and IDEA ensure that each person employed as a public school special education teacher in the State who teaches in an elementary school, middle school, or secondary school:
    - (1) Has obtained full State certification as a special education teacher (including certification obtained through an alternate route to certification as a special educator, if such alternate route meets minimum requirements described in 34 CFR 200.56(a)(2)(ii)), or passed the State special education teacher licensing examination, and holds a license to teach in the State as a special education teacher;
    - (2) Has not had special education certification or licensure requirements waived on an emergency, temporary, or provisional basis; and
    - (3) Holds at least a bachelor's degree.
  - c. A teacher will be considered to meet the standard of this section if that teacher is participating in an alternate route to special education certification program under which:
    - (1) The teacher:
      - (a) Receives high-quality professional development that is sustained, intensive, and classroom-focused in order to have a positive and lasting impact on classroom instruction, before and while teaching;
      - (b) Participates in a program of intensive supervision that consists of structured guidance and regular ongoing support for teachers or a teacher mentoring program;
      - (c) Assumes functions as a teacher only for a specified period of time not to exceed three years; and

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- (d) Demonstrates satisfactory progress toward full certification as prescribed by the State; and
    - (2) The State ensures, through its certification and licensure process, that the provisions of this section are met.
  - d. An adapted physical education endorsement, attached to a general or special education license, is requirement for educators to teach adapted physical education.
4. Related services personnel and paraeducators (Board Rules R277-301, R277-306, and R277-324).
- a. The qualifications include qualifications for related services personnel and paraeducators that:
    - (1) Are consistent with any State-approved or State-recognized certification, licensing, registration, or other comparable requirements that apply to the professional discipline in which those personnel are providing special education or related services; and
    - (2) Ensure that related services personnel who deliver services in their discipline or profession:
      - (a) Meet the requirements; and
      - (b) Have not had certification or licensure requirements waived on an emergency, temporary, or provisional basis; and
    - (3) Allow paraeducators and assistants who are appropriately trained and supervised, in accordance with State law, regulation, or written policy, in meeting the requirements of this part, to be used to assist in the provision of special education and related services under Part B of the IDEA to students with disabilities.
    - (4) Interpreters for the Deaf.
      - (a) Under UCA 35A-13-604, an individual is required to be certified as an interpreter if that individual provides interpreter services for students who are deaf and hard of hearing.
5. Notwithstanding any other individual right of action that a parent, student who is an adult, or student may maintain under this part, nothing in this part shall

be construed to create a right of action on behalf of an individual student or a class of students for the failure of the USBE or a particular, LEA, or other public agency employee to be highly qualified, or to prevent a parent or adult student from filing a State complaint or due process complaint about staff qualifications with the State Director of Special Education.

**VIII.L. INTERAGENCY COLLABORATION.**

1. It is the policy of this State that all agencies that provide services to persons with disabilities coordinate and ensure that services and supports are provided in a cost-effective manner. It is the intent of the legislature that services and supports provided be coordinated to meet the individual needs of persons with disabilities; and, within appropriations authorized by the legislature, the State Director of Special Education, the Director of the Utah State Office of Rehabilitation, the Executive Director of the Department of Human Services, and the Family Health Services Director within the Department of Health, or their designees, and the affected LEA, shall, to the extent possible, cooperatively develop a single coordinated education program, treatment services, and individual and family supports for students with disabilities entitled to a free appropriate education under UCA 62A-5a-105, who also require services from the Department of Human Services, the Department of Health, or the Utah State Office of Rehabilitation.
2. The Coordinating Council for People with Disabilities (CCPD) mandated by UCA 62A-5a-103 shall consist of:
  - a. The Director of the Division of Services for People with Disabilities within the Department of Human Services, or designee.
  - b. The Director of Family Health Services Programs, appointed under UCA 26-10-3, or designee.
  - c. The Director of the Utah State Office of Rehabilitation, or designee.
  - d. The State Director of Special Education, or designee.
  - e. The Director of the Division of Health Care Financing within the Department of Human Services, or designee.
  - f. The Director of the Division of Mental Health within the Department of Human Services, or designee.

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- g. The Superintendent of Schools for the Deaf and the Blind, or designee.
  - h. A person with a disability, a family member of a person with a disability, or an advocate for persons with disabilities appointed by the members listed above.
3. The CCPD has authority, if local or individual efforts have failed, to coordinate the appropriate transition of persons with disabilities who receive services and support from one State agency to receive services and support from another State agency; coordinate policies governing the provision of services and support for persons with disabilities by State agencies; and consider issues regarding eligibility for services and support and, where possible, develop uniform eligibility standards for State agencies.
  4. Utah's Administrative Procedures Act details the procedures by which issues of program and financial responsibility are determined and conflicts arising from interagency efforts are resolved. The Administrative Procedures Act also identifies procedures under which the LEAs and other public agencies may initiate proceedings in order to secure reimbursement from agencies that are parties to an agreed-upon education and treatment plan, or to otherwise implement the provisions of the agreement. CCPD is the State-level policy body responsible, under guidance of the Administrative Procedures Act and under mandate from the Omnibus Disability Services Act (1991), for:
    - a. Coordinating and ensuring that services and supports are provided in a cost-effective manner. It is the intent of the legislature that services and supports provided under this chapter be coordinated to meet the individual needs of persons with disabilities.
    - b. Whenever possible, regard an individual's personal choices concerning services and supports that are best suited to individual's needs and that promote the individual's independence, productivity, and integration in community life.
  5. Methods of ensuring services (34 CFR § 300.154).
    - a. The Chief Executive Officer of a State or designee of that Officer must ensure that an interagency agreement or other mechanism for interagency coordination is in effect between each noneducational public agency and the USBE, in order to ensure that all services that are needed

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to ensure FAPE are provided, including the provision of these services during the pendency of any dispute. All interagency agreements or mechanisms will include:

- (1) Policies and procedures for agencies to determine and identify the interagency coordination responsibilities of each agency to promote the coordination and timely and appropriate delivery of services described in this section, including the provision of these services during the pendency of any dispute.
  - (2) Identification of or a method for defining the financial responsibilities of each agency for providing services.
    - (a) The financial responsibility of each noneducational public agency obligated under Federal or State law, or assigned responsibility under State policy to provide or pay for any services that are also considered special education or related services, including the State Medicaid agency and other public insurers of students with disabilities, must precede the financial responsibility of the LEA, or the State agency responsible for developing the student's IEP.
  - (3) Procedures for resolving interagency disputes (including procedures under which LEAs may initiate proceedings) under the agreement or other mechanism to secure reimbursement from other agencies or otherwise implement the provisions of the agreement or mechanism (see Utah Administrative Procedures Act).
  - (4) The conditions, terms, and procedures under which an LEA must be reimbursed by other agencies.
  - (5) Procedures under which LEAs may initiate proceedings to secure reimbursement from agencies that are parties to the agreement or otherwise implement the agreement.
  - (6) Procedures for agencies to determine and identify the interagency coordination responsibilities of each agency to promote the coordination and timely and appropriate delivery of services.
6. Obligation of noneducational public agencies (34 CFR § 300.154(b)).

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- a. If any public agency other than an educational agency is otherwise obligated under Federal or State law, or assigned responsibility under State policy to provide or pay for any services that are also considered special education or related services as defined in these SpEd Rules (such as, but not limited to, related-services-described assistive technology devices and assistive technology services, supplementary aids and services, and postsecondary transition services) that are necessary for ensuring FAPE to students with disabilities within the State, the public agency must fulfill that obligation or responsibility, either directly or through contract or other arrangement.
  - b. A noneducational public agency described in this section may not disqualify an eligible service for Medicaid reimbursement because that service is provided in a school context.
  - c. If a public agency other than an educational agency fails to provide or pay for the special education and related services described in this section, the LEA (or State agency responsible for developing the student's IEP) must provide or pay for these services to the student in a timely manner. The LEA or State agency is authorized to claim reimbursement for the services from the noneducational public agency that failed to provide or pay for these services and that agency must reimburse the LEA or State agency in accordance with the terms of the interagency agreement or other mechanism described in this section.
7. Students with disabilities who are covered by public benefits or insurance (34 CFR § 300.154(d)).
- a. An LEA may use Medicaid or other public insurance benefits programs in which a student participates to provide or pay for services required under this section, as permitted under the public insurance program, except as provided in these SpEd Rules VIII.L.5.b.
  - b. With regard to services required to provide a FAPE to a student who is eligible as described in this section, the LEA:
    - (1) May not require parent(s) or student who is an adult to sign up for or enroll in public insurance programs in order for their student to receive a FAPE under Part B of the IDEA.

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- (2) May not require parent(s) or student who is an adult to incur an out-of-pocket expense, such as the payment of a deductible or co-pay amount incurred in filing a claim for services provided as described in this section, but may pay the cost that the parent or student who is an adult otherwise would be required to pay (SpEd Rules VIII.L.8).
- (3) May not use a student's benefits under a public insurance program if that use would:
  - (a) Decrease available lifetime coverage or any other insured benefit;
  - (b) Result in the family paying for services that would otherwise be covered by the public benefits or insurance program and that are required for the student outside of the time the student is in school;
  - (c) Increase premiums or lead to the discontinuation of benefits or insurance; or
  - (d) Risk loss of eligibility for home and community-based waivers, based on aggregate health-related expenditures.
- (4) Must obtain a one-time written consent from the parent or student who is an adult after providing the written notification described below, before accessing the student's or the parent's public benefits or insurance for the first time. This consent must specify the:
  - (a) PII that may be disclosed (e.g., records or information about services that may be provided to a particular student);
  - (b) Purpose of the disclosure (e.g., billing for services);
  - (c) Agency to which the disclosure may be made (e.g., Medicaid); and
  - (d) Parent or student who is an adult understands and agrees that the public agency may access the student's or parent's public benefits or insurance to pay for services.
- (5) Must provide written notification to the student's parents or student who is an adult before accessing the student's or the parent's public benefits or insurance for the first time and prior to obtaining the one-time parental or student who is an adult consent and annually



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thereafter. The written notification must explain all of the protections available to parents or student who is an adult under Part B, to ensure that parents or student who is an adult are fully informed of their rights before a public agency can access their or their student's public benefits or insurance to pay for services under the IDEA. The notice must be written in a language understandable to the general public and in the native language of the parent or student who is an adult or other mode of communication used by the parents or student who is an adult, unless it is clearly not feasible to do so.

- (6) Notify parent(s) or student who is an adult that the parent's or student who is an adult's refusal to allow access to their public benefits or insurance does not relieve the LEA or other public agency of its responsibility to ensure that all required services are provided at no cost to the parents or student who is an adult.
8. Students with disabilities who are covered by private insurance (34 CFR § 300.154(e)).
  - a. With regard to services required to provide a FAPE to a student eligible as described in these SpEd Rules, an LEA may access a parent's or student's, who is an adult, private insurance proceeds only if the parent or student who is an adult provides consent. Each time the LEA proposes to access the parent's or student's, who is an adult, private insurance proceeds, it must:
    - (1) Obtain parental or student who is an adult consent.
    - (2) Inform the parent(s) or student who is an adult that their refusal to permit the LEA to access their private insurance does not relieve the LEA of its responsibility to ensure that all required services are provided at no cost to the parent(s) or student who is an adult.
9. Use of Part B funds.
  - a. If an LEA is unable to obtain parental or student who is an adult consent to use a parent's or student who is an adult's, private insurance, public benefits, or insurance when the parent or student who is an adult would incur a cost for a specified service required under these SpEd Rules to ensure FAPE, the LEA may use its Part B funds to pay for the service.

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- b. To avoid financial cost to parent(s) or student who is an adult who otherwise would consent to use private insurance, public benefits, or insurance if the parent or student who is an adult would incur a cost, the LEA may use its Part B funds to pay the cost the parent(s) or student who is an adult otherwise would have to pay to use the parent's or student's, who is an adult, benefits or insurance (e.g., the deductible or co-pay amounts).

### 10. Proceeds from public or private insurance.

- a. Proceeds from public or private benefits or insurance will not be treated as program income for purposes of 34 CFR § 80.25.
- b. If an LEA spends reimbursements from Federal funds (e.g., Medicaid) for services under these SpEd Rules, those funds will not be considered "State or local" funds for purposes of the maintenance of effort provisions in these SpEd Rules.

### 11. Nothing in this section should be construed to alter the requirements imposed on a State Medicaid agency, or any other agency administering a public benefits or insurance program by Federal statute, regulations, or policy under Title XIX or Title XXI of the Social Security Act, or any other public benefits or insurance program.

## VIII.M. REPORTING ON SUSPENSION AND EXPULSION RATES (34 CFR § 300.170).

1. Through daily uploads, LEAs shall report to the USBE staff, through the UTREx reporting system, on the rates of long-term suspensions and expulsions of students with disabilities and students who are nondisabled, including data disaggregated by race and ethnicity. The USBE staff shall examine these data to determine if significant discrepancies are occurring:
  - a. Among LEAs in Utah; or
  - b. Between students who are nondisabled and students with disabilities within an LEA.
2. If discrepancies are occurring, the USBE staff shall review and, if appropriate, require revisions in both USBE and LEA policies, procedures, and practices to ensure compliance with Part B of the IDEA.

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3. Policies, procedures, and practices to be reviewed and, if appropriate, revised, include:
  - a. The development and implementation of IEPs;
  - b. The use of positive behavior interventions and supports; and
  - c. Procedural safeguards.

### VIII.N. PUBLIC PARTICIPATION (34 CFR § 300.165).

1. The USBE ensures that, prior to the adoption of any policies and procedures needed to comply with Part B of the IDEA, including any amendments to those policies and procedures, there are public hearings, an adequate notice of the hearings, and an opportunity for comment available to the general public, including individuals with disabilities and parent(s) of students with disabilities or student who is an adult.
2. The USBE complies with the requirement of these SpEd Rules VIII.N.1., by maintaining documentation that, prior to the adoption of policies and procedures, the policies and procedures were subjected to a public review and comment process consistent with these SpEd Rules and in accordance with the Utah Administrative Rulemaking Act, UCA 63G-3-101.

### VIII.O. UTAH STATE ADVISORY PANEL ON SPECIAL EDUCATION (34 CFR § 300.167–169).

1. The USBE has established and maintains the Utah Special Education Advisory Panel (USEAP) on the education of students with disabilities for the purpose of providing policy guidance to the Utah State Board of Education (Board) and the State Director of Special Education (Director), with respect to special education and related services for students with disabilities, as specified in this section.
2. The membership of USEAP, on which each member appointed by the USBE shall serve a three-year term, shall include, but not be limited to, the following individuals that are representative of the State population, and composed of individuals involved in, or concerned with, the education of students with disabilities:
  - a. Parents of students with disabilities (ages birth through 26);
  - b. Individuals with disabilities;

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- c. Teachers;
  - d. Representatives of institutions of higher education that prepare special education and related services personnel;
  - e. State and local education officials;
  - f. Administrators of programs (state and local) for students with disabilities, including administrators of the McKinney-Vento Homeless Act;
  - g. Representatives of other State agencies involved in the financing or delivery of related services to students with disabilities;
  - h. Representatives of private schools and public charter schools;
  - i. At least two representatives with expertise in the provision of postsecondary transition services to students with disabilities (such as vocational, community, or business organizations);
  - j. Representatives from State juvenile and adult corrections agencies; and
  - k. A representative from the State child welfare agency responsible for foster care.
3. A majority of the members of USEAP must be individuals with disabilities or parent(s) of students with disabilities.
  4. At the discretion of the USBE, USEAP may be expanded to include additional members who are involved in or concerned with the education of students with disabilities.
  5. The functions of USEAP shall include the following:
    - a. Advise the Board of unmet needs within the State in the education of students with disabilities.
    - b. Comment publicly on any Rules or regulations proposed for issuance by the State regarding the education of students with disabilities.
    - c. Advise the Board and Director in developing evaluations and reporting on data to the Secretary of the U.S. Department of Education under section 618 IDEA.

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- d. Advise the Board and Director in developing corrective action plans to address findings identified in Federal monitoring reports under Part B of the IDEA.
  - e. Advise the Board and Director in developing and implementing policies relating to the coordination of services for students with disabilities.
  - f. Review and comment on completed due process hearing findings.
6. USEAP shall:
- a. Meet as often as necessary to conduct its business.
  - b. Ensure that official minutes are kept on all panel meetings and shall be made available to the public.
  - c. Announce USEAP meetings and agenda items far enough in advance of the meeting to afford interested parties a reasonable opportunity to attend. Meetings must be open to the public.
  - d. Ensure that qualified interpreters and other necessary services are provided at panel meetings, upon notification, for panel members or participants.
  - e. The members of USEAP shall serve without compensation, but the State Director of Special Education shall reimburse panel members for reasonable and necessary expenses for attending meetings and performing duties.

## **VIII.P. AUTHORIZATION, ALLOTMENT, USE OF FEDERAL FUNDS, AND AUTHORIZATION OF APPROPRIATIONS (IDEA SUBPART G).**

1. The Secretary makes grants to states and provides funds to assist them to provide special education and related services to students with disabilities in accordance with Part B of the Act (34 CFR § 300.700).
2. Supplementation of State, local, and other Federal funds (34 CFR § 300.162).
  - a. Funds paid to a state under Part B of the IDEA must be expended in accordance with all the provisions of Part B of the IDEA.
  - b. Prohibition against commingling.

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- (1) Funds paid to a state under Part B must not be combined and commingled with state funds.
  - (2) The USBE may use a separate accounting system that includes an audit trail of the expenditure of funds under Part B paid to the State to ensure no commingling occurs.
  - (3) The USBE requires each LEA to use the Chart of Accounts as specified by the USBE School finance section for the reporting of State and Federal special education funds.
- c. Funds paid to a state under Part B of the IDEA must be used to supplement the level of Federal, state, and local funds (including funds that are not under the direct control of the USBE or LEAs) expended for special education and related services provided to students with disabilities under Part B of the IDEA, and in no case to supplant those Federal, state, and local funds.
3. Maintenance of State financial support (34 CFR § 300.163).
- a. A state must not reduce the amount of state financial support for special education and related services for students with disabilities, or otherwise made available because of the excess costs of educating those students, below the amount of that support for the preceding fiscal year.
  - b. The Secretary reduces the allocation of funds under section 611 of the IDEA for any fiscal year following the fiscal year in which the State fails to comply with the maintenance of State financial support (MSFS) requirement by the same amount by which the State fails to meet the requirement.
    - (1) In the occurrence that the State does not meet the MSFS requirement, the State may apply to the Secretary for a waiver.
      - (a) The Secretary may waive the MSFS requirement for a State, for one fiscal year at a time, if the Secretary determines that:
        - (i) Granting a waiver would be equitable due to exceptional or uncontrollable circumstances such as a natural disaster or a precipitous and unforeseen decline in the financial resources of the State; or

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- (ii) The State meets the standard in 34 CFR § 300.164 for a waiver of the requirement to supplement, and not to supplant, funds received under Part B of the Act.
  - (b) Subsequent years. If, for any fiscal year, a State fails to meet the MSFS requirement, including any year for which the State is granted a MSFS waiver, the financial support required of the State in future years shall be the amount that would have been required in the absence of that failure and not the reduced level.
- 4. Rule of construction (34 CFR § 300.166).
  - a. In complying with 34 CFR §§300.162 and §300.163, a State may not use funds paid to it under this part to satisfy State-law mandated funding obligations to LEAs. This means that the State may not use funds received under IDEA Part B to satisfy state financial obligations, including the funding of State initiatives, attendance-based funding, or State funding increases based on inflation.
- 5. Annual description of use of Part B funds. (34 CFR § 300.171)
  - a. In order to receive a grant in any fiscal year, a state must annually describe:
    - (1) How amounts retained for state administration and state-level activities will be used to meet the requirements of Part B of the IDEA; and
    - (2) How those amounts will be allocated among the activities described in 34 CFR § 300.704 to meet state priorities based on input from LEAs.
  - b. If a state's plans for use of its funds for the forthcoming year do not change from the prior year, the state may submit a letter to that effect to meet the requirement.
- 6. State administration (34 CFR §§ 300.704 and 300.812).
  - a. The State may reserve for each fiscal year not more than the maximum amount indicated by the awarding agency for the purpose of administering Part B of the Act, including:

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- (1) The high-cost fund (i.e., Intensive Services fund);
  - (2) Section 619 of the Act; and
  - (3) The coordination of activities under Part B of the Act with, and providing technical assistance to, other programs that provide services to students with disabilities.
7. Other State-level activities (34 CFR §§ 300.704 and 300.812).
- a. States may reserve a portion of their allocations for other state-level activities. The maximum amount that a state may reserve for other state-level activities is calculated based on requirements in 34 CFR § 300.704(b)(1)-(2).
  - b. Some portion of the reserved funds must be used to carry out the following activities:
    - (1) For monitoring, enforcement, and complaint investigation; and
    - (2) To establish and implement the mediation process required in Part B of the IDEA, including providing for the costs of mediators and support personnel.
  - c. Reserved funds also may be used to carry out the following activities:
    - (1) For support and direct services, including technical assistance, personnel preparation, and professional learning and training;
    - (2) To support paperwork reduction activities, including expanding the use of technology in the IEP process;
    - (3) To assist LEAs in providing positive behavior interventions and supports and mental health services for students with disabilities;
    - (4) To improve the use of technology in the classroom by students with disabilities to enhance learning;
    - (5) To support the use of technology, including technology with universal design principles and assistive technology devices, to maximize accessibility to the general education curriculum for students with disabilities;



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- (6) Development and implementation of postsecondary transition programs, including coordination of services with agencies involved in supporting the transition of students with disabilities to postsecondary activities;
  - (7) To assist LEAs in meeting personnel shortages;
  - (8) To support capacity building activities and improve the delivery of services by LEAs to improve results for students with disabilities;
  - (9) Alternative programming for students with disabilities who have been expelled from school, and services for students with disabilities in correctional facilities, students enrolled in State-operated or State-supported schools, and students with disabilities in charter schools;
  - (10) To support the development and provision of appropriate accommodations for students with disabilities, or the development and provision of alternate assessments that are valid and reliable for assessing the performance of students with disabilities; and
  - (11) To provide technical assistance to schools and LEAs, and direct services, including supplemental educational services to students with disabilities, in schools or LEAs identified for improvement on the sole basis of the assessment results of the disaggregated subgroup of students with disabilities, including providing professional learning to special and regular education teachers, who teach students with disabilities, based on scientifically-based research to improve educational instruction, in order to improve academic achievement to meet or exceed the objectives established by the State.
- d. Local education agency high-cost fund (i.e., Intensive Services fund).
- (1) For the purpose of assisting LEAs (including a charter school that is an LEA or a consortium of LEAs) in addressing the needs of students with high-need disabilities, the USBE staff has reserved for each fiscal year up to ten percent of the amount of funds reserved for other State-level activities in the Intensive Services fund:
    - (a) To finance and make disbursements from the high-cost fund (i.e., Intensive Services fund) to LEAs in accordance with these SpEd

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Rules VIII.P.5.d.(3) during the first and succeeding fiscal years of the high-cost fund; and

- (b) To support innovative and effective ways of cost sharing by the State, by an LEA, or among a consortium of LEAs, as determined by the State in coordination with representatives from LEAs.
- (2) A state must not use any of the funds the state reserves which are solely for disbursement to LEAs, for costs associated with establishing, supporting, and otherwise administering the fund.
- (a) A state must not use more than five percent of the funds the state reserves for each fiscal year to support innovative and effective ways of cost sharing among consortia of LEAs.
- (3) The USBE has developed and must annually review a State plan for the high-cost fund (i.e., Intensive Services fund, Board Rules R277-752). The plan must:
- (a) Establish, in consultation and coordination with representatives from LEAs, a definition of a student with a high-need disability that, at a minimum:
    - (i) Addresses the financial impact a student with a high-need disability has on the budget of the student's LEA; and
    - (ii) Ensures that the cost of the student with a high-need disability is greater than three times the average per-pupil expenditure in that State;
  - (b) Establish eligibility criteria for the participation of an LEA that, at a minimum, take into account the number and percentage of students with high-need disabilities served by an LEA;
  - (c) Establish criteria to ensure that placements supported by the fund are consistent with the LRE requirements;
  - (d) Develop a funding mechanism that provides distributions each fiscal year to LEAs that meet the criteria developed by the State;

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- (e) Establish an annual schedule by which the USBE staff must make its distributions from the high-cost fund (i.e., Intensive Services fund) each fiscal year; and
  - (f) If the State elects to reserve funds for supporting innovative and effective ways of cost sharing, describe how these funds will be used.
  - (g) The State makes its final State plan for the high-cost fund available to the public not less than 30 days before the beginning of each school year (i.e., July 1), including dissemination of such information on the State website.
- (4) The USBE staff makes all annual disbursements from the high-cost fund (i.e., Intensive Services fund) established in accordance with the published State plan.
  - (5) The costs associated with educating a student with a high-need disability are only those costs associated with providing direct special education and related services to the student that are identified in that student's IEP, including the cost of room and board for a residential placement determined necessary to implement a student's IEP.
  - (6) The funds in the high-cost fund (i.e., Intensive Services funds) remain under the control of the State until disbursed to an LEA to support a specific student who qualifies under the State plan for the high-cost funds (i.e., Intensive Services funds) or distributed to LEAs.
  - (7) The disbursements must not be used to support legal fees, court costs, or other costs associated with a cause of action brought on behalf of a student with a disability to ensure a FAPE for such student.
  - (8) Participation in the high-cost fund (i.e., Intensive Services fund) may not be used to:
    - (a) Limit or condition the right of a student with a disability who is assisted under Part B of the IDEA to receive a FAPE in the least restrictive environment; or

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- (b) Authorize the USBE or an LEA to establish a limit on what may be spent on the education of a student with a disability.
  - (9) Disbursements provided under this section must not be used to pay costs that otherwise would be reimbursed as medical assistance for a student with a disability under the State Medicaid program under Title XIX of the Social Security Act.
- e. A state may use funds the state reserves for administration, coordination, and technical assistance under these SpEd Rules VIII.P.1.-2. without regard to:
  - (1) The prohibition on commingling of funds.
  - (2) The prohibition on supplanting other funds.
- 8. Subgrants to LEAs for eligible students ages 3–21 (34 CFR § 300.705).
  - a. Each state that receives a grant under section 611 of the IDEA for any fiscal year must distribute any funds the state does not reserve under 34 CFR § 300.704 to LEAs (including public charter schools that operate as LEAs) in the state that have established their eligibility under section 613 of the IDEA for use in accordance with Part B of the IDEA.
  - b. Effective with funds that become available on July 1, 2009, each state must distribute funds to eligible LEAs including public charter schools that operate as LEAs, even if the LEA is not providing services to any students with disabilities.
    - (1) LEAs not providing services to any students with disabilities receive IDEA funding through the IDEA allocation calculation which considers base, population, and poverty. These funds may be used on child find activities and special education and related services for any enrolled eligible students.
  - c. Allocations to LEAs.
    - (1) For each fiscal year for which funds are allocated to states, each state shall allocate funds as follows:
      - (a) The state first must award each eligible LEA the amount the LEA would have received under section 611 of the IDEA for fiscal year

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1999, if the state had distributed 75 percent of its grant for that year under Section 611(d) of the IDEA, as that section was then in effect.

- (b) For any fiscal year after 1999:
  - (i) If a new LEA is created, the state must divide the base allocation for the LEAs that would have been responsible for serving students with disabilities now being served by the new LEA, among the new LEA and affected LEAs based on the relative numbers of students with disabilities ages 3–21, currently provided special education by each of the LEAs;
  - (ii) If one or more LEAs are combined into a single new LEA, the state must combine the base allocations of the merged LEAs; and
  - (iii) If, for two or more LEAs, geographic boundaries or administrative responsibility for providing services to students with disabilities ages 3–21 change, the base allocations of affected LEAs must be redistributed among affected LEAs based on the relative numbers of students with disabilities ages 3–21 currently provided special education by each affected LEA.
  - (iv) If an LEA received a base payment of zero in its first year of operation, the USBE staff must adjust the base payment for the first fiscal year after the first annual child count in which the LEA reports that it is serving any students with disabilities. The USBE staff must divide the base allocation for the LEAs that would have been responsible for serving students with disabilities now being served by the LEA, among the LEA and affected LEAs based on the relative numbers of students with disabilities ages 3–21, or ages 6–21 currently provided special education by each of the LEAs. This requirement takes effect with funds that become available on July 1, 2009.
- d. Reallocation of LEA funds (34 CFR § 300.705).

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- (1) If the USBE staff determines that an LEA is adequately providing FAPE to all students with disabilities residing in the areas served by that agency with State and local funds, the USBE staff may reallocate any portion of the funds under this part that are not needed by that LEA to provide FAPE to other LEAs in the State that are not adequately providing special education and related services residing in the areas served by those other LEAs. The USBE staff may also retain those funds for use at the State level to the extent that the State has not reserved the maximum amount of funds it is permitted to reserve for State-level activities pursuant to 34 CFR § 300.704.
  - (2) After the USBE staff distributes funds under this part to an eligible LEA that is not serving any students with disabilities, the USBE staff must determine, within a reasonable period of time prior to the end of the carryover period, whether the LEA has obligated the funds. The USBE staff may reallocate any of those funds not obligated by the LEA to other LEAs in the State that are not adequately providing special education and related services to all students with disabilities residing in the areas served by those other LEAs. The USBE staff may also retain those funds for use at the State level to the extent the State has not reserved the maximum amount of funds it is permitted to reserve for State-level activities pursuant to 34 CFR § 300.705.
  - (3) Allocation of remaining funds. After making allocations and adjustments, the State must:
    - (a) Allocate 85 percent of any remaining funds to eligible LEAs on the basis of the relative numbers of students enrolled in public and private elementary schools and secondary schools within the LEA's jurisdiction; and
    - (b) Allocate 15 percent of those remaining funds to eligible LEAs in accordance with their relative numbers of students living in poverty, as determined by the USBE staff.
9. Annually, the USBE staff shall notify each eligible LEA of the availability of Part B funds (SpEd Rules IX.A).

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- a. Annual requests for Part B funds shall be submitted through the Utah Grants Management System to the Special Education Services Section of the USBE for review and recommendation for approval.
- b. Personnel of the Special Education Services Section shall initially review the request for consistency with Federal requirements and will approve appropriate requests.
- c. An LEA that receives approval for funding shall be notified in writing through the Utah Grants Management System of:
  - (1) The amount of the grant.
  - (2) The period during which the LEA may obligate the funds.
  - (3) The Federal requirements that apply to the grant.
- d. If an LEA makes an amendment to its policies and procedures, the LEA shall use the same steps as those noted in these SpEd Rules IX.A.1.-2. for submitting original policies and procedures. The State's procedures for approving amended policies and procedures are also contained in these SpEd Rules IX.A.

## VIII.Q. PRESCHOOL FOR STUDENTS WITH DISABILITIES (IDEA SUBPART H).

1. The Secretary provides grants under section 619 of the IDEA to assist States to provide special education and related services (34 CFR § 300.800):
  - a. To students with disabilities ages 3-5, including students who are participating in programs other than preschool, such as five-year-olds enrolled in kindergarten; and
  - b. To students, two-years-old, with disabilities who will turn three during the school year.
2. Reservation for State activities (34 CFR § 300.812).
  - a. Each state may reserve not more than the amount described in these SpEd Rules VIII.P.5 for administration and other state-level activities.
  - b. For each fiscal year, the Secretary determines and reports to the USBE an amount that is 25 percent of the amount the State received under Section

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619 of the IDEA for fiscal year 1997, cumulatively adjusted by the Secretary for each succeeding fiscal year by the lesser of:

- (1) The percentage increase, if any, from the preceding fiscal year in the State's allocation under section 619 of the Act; or
  - (2) The rate of inflation, as measured by the percentage increase, if any, from the preceding fiscal year in the Consumer Price Index for All Urban Consumers, published by the Bureau of Labor Statistics of the Department of Labor.
3. State administration of section 619, preschool (34 CFR § 300.813).
    - a. For the purpose of administering section 619 of the IDEA (including the coordination of activities under Part B of the IDEA with, and providing technical assistance to, other programs that provide services to students with disabilities), a state may use not more than 20 percent of the maximum amount the state may reserve under 34 CFR § 300.812 for any fiscal year.
  4. Other State-level activities under section 619 (34 CFR § 300.814).
    - a. Each state must use any funds the state reserves and does not use for administration:
      - (1) For support services (including establishing and implementing the mediation process required by section 615(e) of the IDEA), which may benefit students with disabilities younger than three or older than five as long as those services also benefit students with disabilities ages 3–5;
      - (2) For direct services for students eligible for services under section 619 of the IDEA;
      - (3) For activities at the state and local levels to meet the performance goals established by the state under section 612(a)(15) of the IDEA; or
      - (4) To supplement other funds used to develop and implement a Statewide coordinated services system designed to improve results for students and families, including students with disabilities and their families, but not more than one percent of the amount received by the state under Section 619 of the IDEA for a fiscal year.



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5. Subgrants to LEAs under section 619 for eligible students ages 3–5 (34 CFR § 300.815).
  - a. Each state that receives a grant under 619 of the IDEA for any fiscal year must distribute all of the grant funds the state does not reserve under 34 CFR § 300.812 to LEAs (including public charter schools that operate as LEAs) in the state that have established their eligibility under section 613 of the IDEA. Effective with funds that become available on July 1, 2009, each state must distribute funds to eligible LEAs that are responsible for providing education to students ages 3–5 years, including public charter schools that operate as LEAs, even if the LEA is not serving any students in preschool with disabilities.
  - b. Allocations to LEAs (34 CFR § 300.816).
    - (1) The State must first award each eligible LEA the amount that agency would have received under section 619 of the IDEA for fiscal year 1997 if the State had distributed 75 percent of its grant for that year under section 619(c)(3), as such section was then in effect.
    - (2) For fiscal year 1998 and beyond:
      - (a) If a new LEA is created, the State must divide the base allocation determined under these SpEd Rules VIII.Q.5.a for the LEAs that would have been responsible for serving students with disabilities now being served by the new LEA, among the new LEA and affected LEAs based on the relative numbers of students with disabilities ages 3–5 currently provided special education by each of the LEAs;
      - (b) If one or more LEAs are combined into a single new LEA, the USBE staff must combine the base allocations of the merged LEAs:
      - (c) If for two or more LEAs, geographic boundaries or administrative responsibility for providing services to students with disabilities ages 3–5 changes, the base allocations of affected must be redistributed among affected LEAs based on the relative numbers of students with disabilities ages 3–5 currently provided special education by each LEA; and

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- (d) If an LEA received a base payment of zero in its first year of operation, the USBE must adjust the base payment for the first fiscal year after the first annual child count in which the LEA reports that it is serving any students with disabilities aged three through five years. The State must divide the base allocation determined for the LEAs that would have been responsible for serving students with disabilities aged three through five years now being served by the LEA, among the LEA and affected LEAs based on the relative numbers of students with disabilities aged three through five years currently provided special education by each of the LEAs.
- (3) After making allocations as described above, the State must:
- (a) Allocate 85 percent of any remaining funds to eligible LEAs on the basis of the relative numbers of students enrolled in public and private elementary schools and secondary schools within the LEA's jurisdiction; and
  - (b) Allocate 15 percent of those remaining funds to eligible LEAs in accordance with their relative numbers of students living in poverty, as determined by the USBE staff.
- c. For the purpose of making grants under this section, States must apply on a uniform basis across all LEAs the best data that are available to them on the numbers of students enrolled in public and private elementary and secondary schools and the numbers of students living in poverty.
6. Reallocation of LEA funds (34 CFR § 300.817).
- a. If the USBE staff determine that an LEA is adequately providing FAPE to all students with disabilities ages 3–5 residing in the area served by the LEA with State and local funds, the USBE staff may reallocate any portion of the funds under section 619 of the IDEA that are not needed by that LEA to provide FAPE to other LEAs in the State that are not adequately providing special education and related to all students with disabilities ages 3–5 residing in the areas the other LEAs serve. The USBE staff may also retain those funds for use at the State level to the extent the State has not reserved the maximum amount of funds it is permitted to reserve for State-level activities pursuant to 34 CFR § 300.812.

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- b. After the USBE staff distributes section 619 funds to an eligible LEA that is not serving any children with disabilities ages 3–5 years, as provided in 34 CFR § 300.815, the USBE staff must determine, within a reasonable period of time prior to the end of the carryover period, whether the LEA has obligated the funds. The USBE staff may reallocate any of those funds not obligated by the LEA to other LEAs in the State that are not adequately providing special education and related services to all students with disabilities aged three through five residing in the areas served by those other LEAs. The USBE staff may also retain those funds for use at the State level to the extent the State has not reserved the maximum amount of funds it is permitted to reserve for State-level activities pursuant to 34 CFR § 300.812.

### VIII.R. USBE RESPONSIBILITIES.

1. Direct Services by the USBE (34 CFR § 300.227).
  - a. The USBE must use the payments that would otherwise have been available to an LEA or to a State agency to provide special education and related services directly to students with disabilities residing in the area served by that LEA, or for whom that State agency is responsible, if the USBE determines that the LEA or State agency:
    - (1) Has not provided the information needed to establish the eligibility of the LEA under Part B of the IDEA or elected not to apply for its Part B allotment.
    - (2) Is unable to establish and maintain programs of FAPE that meet the requirements of these SpEd Rules.
    - (3) Is unable or unwilling to be consolidated with one or more LEAs in order to establish and maintain the programs.
    - (4) Has one or more students with disabilities who can best be served by a regional or State program or service delivery system designed to meet the needs of these students.
2. The USBE may provide special education and related services under these SpEd Rules VIII.Q.1 in the manner and at the locations as the USBE considers

appropriate. The services must be provided in accordance with Part B of the IDEA and these SpEd Rules.

3. If the USBE after reasonable notice and an opportunity for a hearing, finds that an LEA or State agency that has been determined to be eligible under this subpart is failing to comply with any requirement for eligibility (34 CFR §§ 300.201–213, SpEd Rules IX.), the USBE must reduce or must not provide any further payments to the LEA or State agency until the USBE is satisfied that the LEA or State agency is complying with that requirement (34 CFR § 300.222(a)).
  - a. Any LEA in receipt of a notice described in these SpEd Rules VIII.R.3 above, shall, by means of public notice, take the measures necessary to bring the pendency of an action pursuant to this section to the attention of the public within the jurisdiction of the agency (34 CFR § 300.222(b)).
  - b. The USBE must consider any decision resulting from a hearing held under the procedural safeguards in 34 CFR §§ 300.511–300.533 that is adverse to the LEA or State agency involved in the decision (34 CFR § 300.222(c)).
4. If the LEA is found to be in noncompliance with the provisions of the IDEA and the requirement for submission of local policies and procedures, as described in USBE policy, the LEA shall be given reasonable notice and provided an opportunity for a hearing (34 CFR § 300.155).
  - a. If an LEA disagrees with the decision of the USBE staff, it shall request a hearing within 30 days of the action.
  - b. Within 30 days after it receives a request for a hearing, the USBE staff shall hold a hearing on the record and shall review its action.
  - c. No later than ten days after the hearing, the USBE staff shall issue its written ruling, including findings of fact and reasons for the ruling.
  - d. If the USBE staff determines that its action was contrary to State or Federal statutes, regulations, or rules that govern the program, the USBE staff shall rescind its action.
5. If the USBE staff determines that an LEA is unable to establish and maintain programs of sufficient size and scope to effectively meet the educational needs of students with disabilities, a consolidated request for Part B funds shall be required in order to establish joint eligibility (34 CFR § 300.223).

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- a. Annually, the State Director of Special Education shall notify those LEAs within the State that are required to submit a consolidated request.
- b. A consolidated request shall meet the same minimum requirements of a single district request, including adopting policies and procedures consistent with these SpEd Rules, and must be signed by the superintendent of each participating LEA (34 CFR § 300.224).
- c. LEAs participating in a consolidated request shall be jointly responsible for implementing a program of FAPE for all their students with disabilities.
- d. Each LEA participating in a consolidated request shall use an accounting system that permits identification of the costs paid for under its subgrant.
- e. The State shall not make a subgrant that exceeds the sum of the entitlements of the separate local LEAs.
- f. The provision of services through the establishment of joint eligibility must be consistent with these SpEd Rules III.P.

### VIII.S. RECORDS RETENTION REQUIREMENTS.

1. As required by Federal regulations, all records related to Federal grant funds and compliance shall be retained by the USBE staff and the LEA for three years (or longer if under an audit exception) from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report (2 CFR § 200.334).
2. Records related to grant funds shall be kept that fully show:
  - a. The amount of funds under the grant;
  - b. How the funds were used;
  - c. The total cost of the project;
  - d. The share of that cost provided from other sources; and
  - e. Other records to facilitate an effective audit.
3. Records related to program compliance shall include:
  - a. Interim and final monitoring reports;

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- b. Negotiated action plans, including documentation of corrective actions taken by the LEA and the USBE and/or staff;
- c. Actions taken by an LEA to resolve a formal complaint with the USBE;
- d. Documentation supporting the implementation of a hearing officer's final decision in a due process hearing;
- e. Documentation of LEA submissions to the USBE data systems (e.g.,
- f. UTREx, the Utah Grants Management System, etc.); and
- g. Individual student records, including IEPs, evaluation data, and required consent and prior written notice forms.

### VIII.T. PRIVATE SCHOOL APPROVAL.

- 1. The USBE shall ensure that a student with a disability who is placed in a private school or facility by an LEA or USDB as a means of providing a FAPE through an IEP has all the rights of a student with a disability who is served by an LEA.
- 2. Prior to placement of the student, the USBE staff and the LEA or USDB will review the program of the private school, to determine its compliance with State and Federal regulations for serving students with disabilities.

### VIII.U. DISSEMINATION OF INFORMATION.

- 1. The USBE staff shall disseminate information throughout the State on program requirements and successful practices in the education of students with disabilities. This shall be ongoing and include activities such as conducting state-wide professional learning, publishing annual committee reports, developing technical assistance papers, guidelines, and manuals, and sponsoring state conferences.

### VIII.V. FISCAL MONITORING PROCEDURES.

- 1. The USBE shall adopt and implement fiscal monitoring procedures as required by Uniform Administrative Requirements (2 CFR § 200). Annually, the USBE School Finance section shall conduct a review of fiscal records in every LEA in the State. The results of these reviews shall be shared with the Special Education Services section for the primary purposes of:

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- a. Coordinating the training of LEA personnel in fiscal management and
- b. Incorporating the findings into RDA process in order to reduce duplication of effort.
- c. If a FAPE is not being provided by an LEA, the USBE staff may determine that a special education fiscal audit is required.

### VIII.W. ACCESS TO INSTRUCTIONAL MATERIALS (34 CFR § 300.172 AND UCA 53E-8-409).

1. Utah has adopted the National Instructional Materials Accessibility Standard (NIMAS) and is coordinating with the National Instructional Materials Accessibility Center (NIMAC) for the purpose of providing instructional materials to blind persons or other persons with print disabilities, in accordance with publication of the NIMAS in the Federal Register on July 19, 2006 (71 FR 41084).
2. For all purposes of this section, timely manner is defined as follows: the USBE staff and LEAs must take reasonable steps to provide instructional materials in accessible formats to students with disabilities who need those instructional materials at the same time as other students receive instructional materials.
3. The USBE and LEA staff are responsible for ensuring that students with disabilities who need instructional materials in accessible formats, and who are not included under the definition of blind or other persons with print disabilities in this section or who need materials that cannot be produced from NIMAS files, receive those instructional materials in a timely manner.
4. USBE staff coordinates with NIMAC by, as part of any print instructional materials adoption process, procurement contract, or other practice or instrument used for purchase of print instructional materials, entering into a written contract with the publisher of the print instructional materials to:
  - a. Require the publisher to prepare and, on or before delivery of the print instructional materials, provide to NIMAC electronic files containing the contents of the print instructional materials using the NIMAS; or
  - b. Purchase instructional materials from the publisher that are produced in, or may be rendered in, specialized formats.

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5. The USBE staff, to the maximum extent possible, works collaboratively with the State agency responsible for assistive technology programs.
6. The USBE staff, in coordination with the Utah State Instructional Materials Access Center (USIMAC) and the USDB, ensures accessible materials are available to LEAs for qualifying students, as described in UCA 53E-8-409 and Board Rules R277-800-9.
7. Definitions for this section and these SpEd Rules IX.I:
  - a. *Blind persons or other persons with print disabilities* means students served under Part B of the IDEA who may qualify to receive books and other publications produced in specialized formats in accordance with the Act entitled "An Act to provide books for adult blind," approved March 3, 1931, (2 USC § 135a).
  - b. *National Instructional Materials Access Center (NIMAC)* means the center established pursuant to section 674(e) of the IDEA.
  - c. *National Instructional Materials Accessibility Standard (NIMAS)* has the meaning given the term in section 674(e)(3)(B) of the IDEA.
  - d. *Specialized formats* has the meaning given the term in Appendix C of Part B of the IDEA.
8. The definitions in these SpEd Rules VIII.W.7. apply to each LEA, whether or not the LEA chooses to coordinate with the NIMAC.

## VIII.X. PROHIBITION ON MANDATORY MEDICATION (34 CFR § 300.174).

1. The USBE prohibits State and LEA personnel from requiring parents or student who is an adult to obtain a prescription for substances identified under schedules I, II, III, IV, or V in section 202(c) of the Controlled Substances Act for a student as a condition of attending school, receiving an evaluation, or receiving services under Part B of the IDEA (21 USC § 812(c)).
2. Nothing in these SpEd Rules VIII.X.1. shall be construed to create a Federal prohibition against teachers and other school personnel consulting or sharing classroom-based observations with parent(s) or student who is an adult regarding a student's academic and functional performance, or behavior in the



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classroom or school, or regarding the need for evaluation for special education or related services related to child find.

### VIII.Y. STATE ADMINISTRATION (34 CFR § 300.199).

1. Each State that receives funds under Part B of the IDEA must:
  - a. Ensure that any State rules, regulations, and policies relating to Part B of the IDEA conform to the purposes of this part;
  - b. Identify in writing to LEAs located in the State and the Secretary any such rule, regulation, or policy as a state-imposed requirement that is not required by Part B of the IDEA and Federal regulations; and
  - c. Minimize the number of rules, regulations, and policies to which the LEAs and schools located in the State are subject under Part B of the IDEA.
2. State rules, regulations, and policies under Part B of the IDEA must support and facilitate LEA and school-level system improvement designed to enable students with disabilities to meet the challenging State student academic achievement standards.

### VIII.Z. NOTIFICATION OF LEA OR STATE AGENCY IN CASE OF INELIGIBILITY (34 CFR § 300.221).

1. If the USBE determines that an LEA or State agency is not eligible under Part B of the IDEA, then the USBE must notify the LEA or State agency of that determination, and provide the LEA or State agency with reasonable notice and an opportunity for a hearing, in compliance with Board Rules R277-114.

## IX. LEA ELIGIBILITY AND RESPONSIBILITIES

### IX.A. LEA ELIGIBILITY FOR IDEA PART B FUNDS (34 CFR §§ 300.211–212, 220).

1. Federal special education funding is made available through a grant to the state from the OSEP. These funds are restricted and may only be used to provide services and program for students who qualify under Part B of the IDEA. Funds are available for students who are 3–5 (section 619 Preschool) and for students ages 3–21 (section 611 School-Age). Some funds are retained at the state level for administration and for state level activities. The remaining funds are distributed to Utah Local Education Agencies (LEAs) by formula.
2. Annually, the USBE staff shall notify LEAs of the availability of Federal funds under Part B of the IDEA. In order to receive IDEA Part B flow-through funds, each LEA must have in effect a USBE-approved special education program (SpEd Rules X.B.2.), including policies and procedures that are consistent with these SpEd Rules.
3. The LEA must have a USBE-approved special education program (UCA 53F-2-307). The LEA's program is approved by the state board when the LEA's special education policies and procedures are approved by the USBE special education staff and then by the LEA's local board in a public meeting. The LEA must submit documentation of the local board's approval to the USBE special education staff.
  - a. Newly authorized LEAs must submit policies and procedures for approval by USBE by October 1 of the year first year of operation and complete local board approval by December 1 of the first year of operation.
4. The USBE approval of the LEA's policies and procedures includes the approval of any supporting documentation necessary to ensure their implementation. At a minimum, the LEA must address the following components:
  - a. General Information.
  - b. Budget Information and Categories (34 CFR §§ 300.301–328; 300.703; 300.800).
  - c. Assurances (34 CFR § 300.200).

d. Narrative.

(1) Introduction.

(2) Requirements.

- (a) General program description, including a requirement for all students with disabilities to access grade-level State Standards (or, if eligible, grade-level State Alternate Standards), and prohibiting the development of other alternate or modified academic achievement standards.
- (b) FAPE/exception to FAPE for certain ages (34 CFR § 300.101-102).
- (c) A detailed timeline for accomplishing Full Educational Opportunity Goal, including meaningful inclusive practices that provide access for all students with disabilities to the grade-level State Standards or, for students with significant cognitive disabilities, the grade-level State Alternate Achievement Standards (i.e., the Essential Elements) (34 CFR § 300.109).
- (d) Child find (34 CFR § 300.111).
- (e) Evaluation and determination of eligibility (34 CFR § 300.301-306).
- (f) Confidentiality of PII (34 CFR § 300.623).
- (g) IEPs, including availability of a continuum of alternative placements (34 CFR § 300.320-328).
- (h) Procedural safeguards (34 CFR § 300.500-599).
- (i) LRE (34 CFR § 300.114).
- (j) Meaningful inclusionary practices to provide a full educational opportunity (34 CFR § 300.109).
- (k) For school districts, preschool transition of students from Part C to Part B preschool program (34 CFR § 300.124).
- (l) Participation in statewide assessments and reporting assessment results, including monitoring and reporting the number of percent of students with significant cognitive disabilities in an alternate assessment, and if the LEA exceeds the one percent

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- cap, submitting justification to the USBE on the need to exceed the cap (34 CFR § 200.6).
- (m) Public participation in policies and procedures development and public posting of USBE monitoring results (34 CFR § 300.165).
  - (n) Methods of ensuring services (34 CFR § 300.154).
  - (o) Supervision (34 CFR § 300.207).
  - (p) Students in private schools (34 CFR § 300.325; 34 CFR § 300.130; 34 CFR § 300.148).
  - (q) Use of Part B funds (34 CFR § 300.700).
  - (r) Personnel standards (34 CFR § 300.156).
  - (s) Performance goals and indicators in the SPP (e.g., Program Improvement Plan (PIP) and APR) (34 CFR § 300.157).
- e. Additional procedures and information which the USBE may require in order to meet Federal requirements including suspension and expulsion rates, LRE environments, disproportionality data, and others (34 CFR § 300.211).
5. As part of establishing eligibility for Part B funds, the LEA must have revised policies and procedures in alignment with the IDEA 2004 final regulations and current rules within one year of the final Board approval of these SpEd Rules.
6. Policies and procedures submitted by the LEA in accordance with this section, and approved by the USBE staff, remain in effect until any of the following occur (34 CFR § 300.220):
- a. The LEA submits modifications to the USBE staff that the USBE or LEA determines are necessary;
    - (1) The provisions of these SpEd Rules apply to any modifications in an LEA's policies and procedures in the same manner and to the same extent as the LEA's original policies and procedures.
  - b. The USBE staff gives the LEA notice of a new interpretation of the IDEA by Federal or State courts, or a change in Federal statute; or

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- c. There is an official finding of noncompliance with Federal or State law or regulations that requires a change in the LEA's policy and procedures.
7. The LEA must have on file with the USBE staff information to demonstrate that it will make available to parents of students with disabilities or student who is an adult and to the general public all documents relating to the eligibility of the LEA under Part B of the IDEA (34 CFR § 300.212).
8. Any State agency that desires to receive a subgrant for any fiscal year must demonstrate to the satisfaction of the USBE that:
  - a. All students with disabilities who are participating in programs and projects funded under Part B of the IDEA receive FAPE, and that those students and their parent(s) are provided all the rights and procedural safeguards described in these SpEd Rules; and
  - b. The agency meets the other conditions of this subpart that apply to LEAs.

### **IX.B. USE OF PART B FEDERAL FUNDS BY THE LEA (34 CFR §§ 300.200–206, 208).**

1. An LEA is eligible for assistance under Part B of the IDEA for a fiscal year if the agency submits a plan that provides assurances to the USBE that the LEA meets each of the conditions in this section (34 CFR § 300.200).
2. The LEA, in providing for the education of students with disabilities within its jurisdiction, must have in effect policies, procedures, and programs that are consistent with the State policies and procedures established in these SpEd Rules (34 CFR § 300.201).
3. Use of amounts (34 CFR § 300.202).
  - a. The LEA must have on file with the USBE staff information to demonstrate that amounts provided to the LEA under Part B of the IDEA:
    - (1) Must be expended in accordance with the applicable provision of these SpEd Rules;
    - (2) Must be used only to pay the excess costs of providing special education and related services to students with disabilities consistent with these SpEd Rules; and

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- (3) Must be used to supplement State, local, and other Federal funds and not to supplant those funds.
4. The excess cost requirement prevents an LEA from using funds provided under Part B of the IDEA to pay for all the costs directly attributable to the education of a student with a disability.
5. An LEA meets the excess cost requirement if it has spent at least a minimum average amount for the education of its students with disabilities before funds under Part B of the IDEA are used.
6. Maintenance of effort (MOE) (34 CFR § 300.203).
  - a. Eligibility standard.
    - (1) For purposes of establishing the LEA's eligibility for an award for a fiscal year, the USBE must determine that the LEA budgets for the education of students with disabilities for at least the same amount, from at least one of the following sources, as the LEA spent for that purpose from the same source for the most recent fiscal year for which information is available:
      - (a) Local funds only;
      - (b) The combination of State and local funds;
      - (c) Local funds only on a per capita basis; or
      - (d) The combination of State and local funds on a per capita basis.
    - (2) When determining the amount of funds that the LEA must budget to meet the requirement in these SpEd Rules IX.B.6.a.(1), the LEA may take into consideration, to the extent the information is available, the exceptions and adjustment provided in 34 CFR §§ 300.204 and 300.205 that the LEA:
      - (a) Took in the intervening year or years between the most recent fiscal year for which information is available and the fiscal year for which the LEA is budgeting; and
      - (b) Reasonably expects to take in the fiscal year for which the LEA is budgeting.

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- (3) Expenditures made from funds provided by the Federal government for which the USBE is required to account to the Federal government or for which the LEA is required to account to the Federal government directly or through the USBE may not be considered in determining whether an LEA meets the standard in these SpEd Rules IX.B.6.a.(1).
- b. Compliance standard.
- (1) Except as provided in 34 CFR §§ 300.204 and 300.205, funds provided to an LEA under Part B of the IDEA must not be used to reduce the level of expenditures for the education of students with disabilities made by the LEA from local funds below the level of those expenditures for the preceding fiscal year.
    - (a) An LEA may (UCA 53F-2-209):
      - (i) Use up to 35% of the LEA's state restricted funding for each state special education funding program listed in these SpEd Rules X.D-L to flexibly and without restriction respond to changing circumstances and student needs, and
      - (ii) Transfer fund balances between funds as necessary to flexibly expend funds as described in SpEd Rules IX.B.6.b.(a)(i).
      - (iii) An LEA may not:
        - (A) Expend the transferred funds for capital projects or improvements;
        - (B) Violate federal law or federal restrictions on the LEA's funds.
    - (b) An LEA that takes an action that this section authorizes shall ensure that the LEA continues to meet federal maintenance of effort requirements (UCA 53F-2-209).
  - (2) An LEA meets this standard if it does not reduce the level of expenditures for the education of students with disabilities made by the LEA from at least one of the following sources below the level of those expenditures from the same source for the preceding fiscal year, except as provided in 34 CFR §§ 300.204 and 300.205:

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- (a) Local funds only;
  - (b) The combination of State and local funds;
  - (c) Local funds only on a per capita basis; or
  - (d) The combination of State and local funds on a per capita basis.
- (3) Expenditures made from funds provided by the Federal government for which the USBE is required to account to the Federal government or for which the LEA is required to account to the Federal government directly or through the USBE may not be considered in determining whether an LEA meets the standard of these SpEd Rules IX.B.6.b.(1) and IX.B.6.b.(2).
- c. Subsequent years.
- (1) If, in the fiscal year beginning on July 1, 2013, or July 1, 2014, an LEA fails to meet the requirements of 34 CFR § 300.203 in effect at that time, the level of expenditures required of the LEA for the fiscal year subsequent to the year of the failure is the amount that would have been required in the absence of that failure, not the LEA's reduced level of expenditures.
  - (2) If, in any fiscal year beginning on or after July 1, 2015, an LEA fails to meet the requirement of these SpEd Rules IX.B.6.b.(2)(a) or IX.B.6.b.(2)(c) and the LEA is relying on local funds only, or local funds only on a per capita basis, to meet the requirements of these SpEd Rules IX.B.6.a or IX.B.6.b, the level of expenditures required of the LEA for the fiscal year subsequent to the year of the failure is the amount that would have been required under these SpEd Rules IX.B.6.b.(2)(a) or IX.B.6.b.(2)(c) in the absence of that failure, not the LEA's reduced level of expenditures.
  - (3) If, in any fiscal year beginning on or after July 1, 2015, an LEA fails to meet the requirement of these SpEd Rules IX.B.6.b.(2)(b) or IX.B.6.b.(2)(d) and the LEA is relying on the combination of State and local funds, or the combination of State and local funds on a per capita basis, to meet the requirements of these SpEd Rules IX.B.6.a or IX.B.6.b, the level of expenditures required of the LEA for the fiscal year subsequent to the year of the failure is the amount that would



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have been required under these SpEd Rules IX.B.6.b.(2)(b) or IX.B.6.b.(2)(d) in the absence of that failure, not the LEA's reduced level of expenditures.

d. Consequence of failure to maintain effort.

- (1) If an LEA fails to maintain its level of expenditures for the education of students with disabilities in accordance with these SpEd Rules IX.B.6.b, the USBE is liable in a recovery action under section 452 of the GEPA (20 USC § 1234a) to return to the Department, using non-Federal funds, an amount equal to the amount by which the LEA failed to maintain its level of expenditures in accordance with these SpEd Rules IX.B.6.b. in that fiscal year, or the amount of the LEA's Part B subgrant in that fiscal year, whichever is lower.
- (2) If the USBE is required to return funds to the Department because of LEA failure to meet the Maintenance of Effort requirement, the USBE shall reduce the amount provided to the LEA's MSP Basic Program on a 1/12 basis.

7. Exception to maintenance of effort (34 CFR § 300.204).

- a. An LEA may reduce the level of expenditures by the LEA under Part B of the IDEA below the level of those expenditures for the preceding fiscal year if the reduction is attributable to any of the following:
  - (1) The voluntary departure, by retirement or otherwise, or departure for just cause, of special education or related services personnel.
  - (2) A decrease in the enrollment of students with disabilities.
  - (3) The termination of the obligation of the LEA, consistent with this part, to provide a program of special education to a particular student with a disability that is an exceptionally costly program, as determined by the USBE staff, because the student:
    - (a) Has left the jurisdiction of the LEA;
    - (b) Has reached the age at which the obligation of the LEA to provide a FAPE to the student has terminated; or
    - (c) No longer needs the program of special education.

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- (4) The termination of costly expenditures for long-term purchases, such as the acquisition of equipment or the construction of school facilities.
  - (5) The assumption of cost by the high-cost fund (i.e., Intensive Services fund) operated by the USBE staff.
8. Adjustment to local fiscal efforts in certain fiscal years (34 CFR § 300.205).
- a. For any fiscal year for which the allocation received by an LEA under Part B of the IDEA exceeds the amount the LEA received for the previous fiscal year, the LEA may reduce the level of expenditures otherwise required by maintenance of efforts requirements by not more than 50 percent of the amount of that excess.
  - b. Use of amounts to carry out activities under ESEA/ESSA.
    - (1) If an LEA exercises the authority to reduce the level of expenditures due to an increase in Part B funds, the LEA must use an amount of local funds equal to the reduction in expenditures to carry out activities that could be supported with funds under the ESEA/ESSA, regardless of whether the LEA is using funds under the ESEA/ESSA for those activities.
  - c. The USBE staff must prohibit the LEA from reducing the level of expenditures for a fiscal year, if the USBE staff determines that:
    - (1) An LEA is unable to establish and maintain programs of FAPE that meet the requirements of Part B of the IDEA, or
    - (2) The USBE staff has taken action against the LEA under Section 616 of the IDEA and subpart F of the regulations (Monitoring, Technical Assistance, and Enforcement).
  - d. The amount of funds expended by an LEA for mandatory or voluntary Coordinated Early Intervening Services shall count toward the maximum amount of expenditures that the LEA may reduce under the requirements of this section.
9. If the USBE staff determines that an LEA is not meeting the requirements of these SpEd Rules, the USBE staff may prohibit the LEA from treating funds received under Part B of the IDEA as local funds under this section for any

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fiscal year, but only if it is authorized to do so by the State constitution or State statute.

10. School-wide programs under Title I of the ESEA/ESSA (34 CFR § 300.206).
  - a. An LEA may use funds received under Part B of the IDEA for any fiscal year to carry out a school-wide program under section 1114 of the ESEA/ESSA, except that the amount used in any school-wide program may not exceed the amount received by the LEA under Part B of the IDEA for that fiscal year:
    - (1) Divided by the number of students with disabilities in the jurisdiction of the LEA; and
    - (2) Multiplied by the number of students with disabilities participating in the school-wide program.
  - b. The funds described in this section must be considered as Federal Part B funds for purposes of the calculations required for excess costs and supplanting.
  - c. The funds may be used without regard to the requirements of 34 CFR § 300.202(a)(1) of the IDEA.
  - d. All other requirements of Part B of the IDEA must be met by an LEA using Part B funds for school-wide programs under section 1114 of the ESEA/ESSA, including ensuring that students with disabilities in school-wide program schools:
    - (1) Receive services in accordance with a properly developed IEP; and
    - (2) Are afforded all of the rights and services guaranteed to students with disabilities under Part B of the IDEA.

### IX.C. CHARTER SCHOOLS AND THEIR STUDENTS (34 CFR § 300.209).

1. Students with disabilities ages 3–21 who attend public charter schools and their parent(s) or adult students retain all rights under Part B of the IDEA and these SpEd Rules.
2. If the public charter school is an LEA that receives funding under Part B or State special education funding, that charter school is responsible for ensuring

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that all of the requirements of Part B of the IDEA and these SpEd Rules are met. Charter schools may not refer potential or enrolled students with disabilities back to their school district of residence due to a disability, child find, or need for special education and related services, including placements.

3. If the public charter school is not an LEA receiving funding under Part B or State special education funding, or a school that is part of an LEA receiving funding under Part B or State special education funding, the USBE is responsible for ensuring that the requirements of Part B and these SpEd Rules are met.
  - a. Nothing in these SpEd Rules prohibit school districts and charter schools from developing a Memorandum of Understanding (MOU) to address student specific needs and/or placements.
4. For charter schools that are public schools of an LEA, in carrying out Part B of the IDEA and these SpEd Rules, the LEA must:
  - a. Serve students with disabilities attending those charter schools in the same manner as the LEA serves students with disabilities in its other schools, including providing supplementary and related services on-site at the charter school to the same extent to which the LEA has a policy or practice of providing such services on the site of its other public schools; and
  - b. Provide funds under Part B of the IDEA and State special education funding to those charter schools:
    - (1) On the same basis as the LEA provides funds to the LEA's other public schools, including proportional distribution based on relative enrollment of students with disabilities; and
    - (2) At the same time as the LEA distributes other Federal funds to the LEA's other public schools, consistent with the State's charter school law.
  - c. If the public charter school is a school of an LEA that receives funding under Part B of the IDEA, State special education funding, and these SpEd Rules, and includes other public schools:

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- (1) The LEA is responsible for ensuring that the requirements of Part B of the IDEA and these SpEd Rules are met; and
- (2) The LEA must meet the requirements of these SpEd Rules.

### IX.D. COORDINATED EARLY INTERVENING SERVICES (CEIS) (34 CFR § 300.226).

1. An LEA may not use more than 15 percent of the amount the LEA receives under Part B of the IDEA for any fiscal year, less any amount reduced by the LEA pursuant to maintenance of effort, if any, in combination with other amounts (which may include amounts other than education funds), to develop and implement coordinated early intervening services (CEIS), which may include interagency financing structures, for students in kindergarten through grade 12 (with a particular emphasis on students in kindergarten through grade three) who are not currently identified as needing special education or related services, but who need additional academic and behavioral support to succeed in a general education environment.
2. In implementing CEIS, an LEA may carry out activities that include:
  - a. Professional learning (which may be provided by entities other than LEAs) for teachers and other school staff to enable such personnel to deliver scientifically based academic and behavior interventions, including scientifically based literacy instruction, and, where appropriate, instruction on the use of adaptive and instructional software; and
  - b. Providing educational and behavioral evaluations, services, and supports, including scientifically based literacy instruction.
3. CEIS may not be used to limit or create a right to FAPE under Part B of the IDEA or to delay appropriate evaluation of a student suspected of having a disability.
4. Each LEA that develops and maintains coordinated early intervening services (either mandatory or voluntarily) under this section must annually report to the USBE staff on:
  - a. The number of students served under this section who received early intervening services; and
  - b. The number of students served under this section who received early intervening services and subsequently receive special education and

related services under Part B of the IDEA during the preceding two-year period.

5. Funds made available to carry out this section may be used to carry out coordinated early intervening services aligned with activities funded by, and carried out under, the ESEA/ESSA if those funds are used to supplement, and not supplant, funds made available under the ESEA/ESSA for the activities and services assisted under this section.

**IX.E. PERSONNEL DEVELOPMENT (34 CFR § 300.207).**

1. The LEA must ensure that all personnel necessary to carry out Part B of the IDEA are appropriately and adequately prepared, subject to the requirements related to personnel qualifications and section 2122 of the ESEA/ESSA, as well as 34 CFR § 300.156; Board Rules R277-304, R277-306, R277-320, and R277-324.
2. Paraeducators, when used to carry out Part B of the IDEA, must be appropriately trained and supervised, and utilized in accordance with the USBE Paraeducator Standards.
  - a. LEAs shall provide documentation of training and supervision to USBE staff upon request.

**IX.F. FUNDED PREVALENCE OF DISABLING CONDITIONS (UCA 53F-2-307).**

1. When calculating and applying the growth factor, a school district's total special education average daily membership (ADM) for a given year is limited to the following percentage of the school district's total student ADM for the same year:
  - a. For a school district in a county of the first, second, or third class, 14%; and
  - b. For a school district in the county of the fourth, fifth, or sixth class, 20%.

**IX.G. LEA PROVISION OF FAPE (34 CFR § 300.101).**

1. An LEA remains obligated to provide a student with a disability with a FAPE even when the LEA has not personally engaged with the student during the prior ten consecutive days and therefore may no longer count the student as an eligible student under pupil accounting (Board Rules R277-419).

## IX. LEA Eligibility and Responsibilities

2. The LEA will oversee the caseload of each special educator (including psychologists, social workers, speech language pathologists, occupational therapists, physical therapists, adapted physical education specialists, and any other related servers) to ensure that a FAPE is available to all eligible students with disabilities.

### **IX.H. ROUTINE CHECKING OF HEARING AIDS AND EXTERNAL COMPONENTS OF SURGICALLY IMPLANTED MEDICAL DEVICES (34 CFR § 300.113).**

1. Hearing aids. Each public agency must ensure that hearing aids worn in school by students with hearing loss, including deafness, are functioning properly.
2. External components of surgically implanted medical devices.
  - a. Subject to these SpEd Rules IX.H.2.b, each public agency must ensure that the external components of surgically implanted medical devices are functioning properly.
  - b. For a student with a surgically implanted medical device who is receiving special education and related services, a public agency is not responsible for the post-surgical maintenance, programming, or replacement of the medical device that has been surgically implanted (or of an external component of the surgically implanted medical device).

### **IX.I. EDUCATOR LICENSE REQUIREMENTS (BOARD RULES R277-301, R277-304, R277-306, AND R277-320).**

1. Professionals providing services to students with disabilities must hold a Utah Professional Educator License or Endorsement in the area in which they provide services. This includes special education teachers, speech/language pathologists, school psychologists, school social workers, and other professionals. Physical and occupational therapists must hold appropriate Utah licensure. The school district superintendent or charter school administration shall be responsible for the evaluation of the appropriateness of licenses and endorsements when assigning staff members. LEAs should refer to the USBE Teaching, Leadership, and Paraeducator Standards.
2. *License areas of concentration* or *license area* means a designation on a license of the specific educational setting or role for which the individual is qualified, to include the following:

## IX. LEA Eligibility and Responsibilities

- a. Early Childhood;
  - b. Elementary;
  - c. Secondary;
  - d. School Leadership
  - e. Career and Technical Education or "CTE";
  - f. School Counselor;
  - g. School Psychologist;
  - h. Special Education;
  - i. Preschool Special Education;
  - j. Deaf Education;
  - k. Speech-Language Pathologist;
  - l. Speech-Language Technician;
  - m. School Social Worker; and
  - n. Audiologist. (Board Rules R277-301-2.7(a)).
3. Individuals providing psychological evaluation services for students with disabilities must hold a Utah education license for school psychologists or State licensure and meet the assessment publisher's criteria for administration.
  4. An adapted physical education endorsement is required for special educators and general educators to teach adapted physical education.

### **IX.J. PURCHASE OF INSTRUCTIONAL MATERIALS IN ACCESSIBLE FORMATS (34 CFR § 300.210).**

1. An LEA that chooses to coordinate with the NIMAC, when purchasing print instructional materials, must acquire those instructional materials in the same manner, and subject to the same conditions as the USBE under these SpEd Rules VIII.W.
2. If an LEA chooses not to coordinate with the NIMAC, the LEA must provide an assurance to the USBE that the LEA will provide instructional materials to blind persons or other persons with print disabilities in a timely manner.



## IX. LEA Eligibility and Responsibilities

3. Nothing in this section relieves an LEA of its responsibility to ensure that students with disabilities who need instructional materials in accessible formats but are not included under the definition of blind or other persons with print disabilities or who need materials that cannot be produced from NIMAS files, receive those instructional materials in a timely manner.
4. For all purposes of this section, the USBE defines timely manner as follows: the USBE and LEAs must take reasonable steps to provide instructional materials in accessible formats to students with disabilities who need those instructional materials at the same time as other students receive instructional materials.

### **IX.K. SCHOOL DISTRICTS TO PROVIDE USDB CLASS SPACE (UCA 53E-8-410)**

1. A school district with students who reside within the school district's boundaries and are served by the USDB shall make a good faith effort to provide the USDB with space required for programs offered by the USDB.

## X. SPECIAL EDUCATION FUNDING

1. The USBE has a responsibility under both Federal and State law to monitor implementation of the IDEA by LEAs through a system of general supervision that improves educational results and functional outcomes and ensures that public agencies meet program requirements. The special education program that is funded both from federal and state funds and it is critical to understand the similarities and differences of these funding sources.
2. *Federal special education funds* means funds paid to the State under IDEA Part B for the purposes of special education.
3. *State special education funds* means state funds appropriated to public education for the purposes of special education.
4. Federal special education funds are calculated, allocated, and classified differently than state special education funds. These SpEd Rules outline the regulations, restrictions, and allowable costs and activities applicable to each funding source; some requirements are the same for both funding sources and some provisions apply only to one or the other.

### X.A. STATE SPECIAL EDUCATION FUNDS GENERALLY (UCA 53F-2-307).

1. State special education funds may be spent only for direct costs and construction or altering existing facilities, as outlined in these SpEd Rules.
  - a. Direct costs are those elements of cost which can be easily, obviously, and conveniently identified with specific special education activities or programs, as distinguished from those costs incurred for several different activities or programs and whose elements are not readily identifiable with specific special education activities.
  - b. Constructing facilities or altering existing facilities if:
    - (1) The costs are necessary costs and reasonable costs;
    - (2) The costs are not for the general purpose of bringing facilities into compliance with:
      - (a) Section 504 of the Rehabilitation Act of 1973; or

- (b) The Americans with Disabilities Act of 1990, 42 U.S.C. 12101 et seq.;
  - (3) The construction or alteration meets the needs of one or more students with disabilities; and
  - (4) The LEA submits an application for review by the state board;
  - (5) The state board approves the expenditure in accordance with rules, including requirements that:
    - (a) The LEA has not been identified with significant disproportionality;
    - (b) The LEA has no outstanding uncorrected findings of non-compliance;
    - (c) The LEA has no dispute resolution findings related to FAPE in the past year;
    - (d) The LEA has been determined to “meet requirements” based on the USBE’s programmatic Results Driven Accountability/Annual Performance Report (RDA/APR); and
    - (e) No other evidence, e.g., from school accreditation, fiscal audits, etc., indicators that the LEA is not adequately providing FAPE.
2. State special education funds are appropriated to the MSP and provide restricted (categorical) monies that must be spent for the education of students with disabilities. MSP funding is based on a weighted pupil unit. Each year, the Utah State Legislature establishes the total number of weighted pupil units (WPU) assigned for educational programs, including programs for students with disabilities. The Legislature also approves a dollar value for each WPU, which then indicates the amount available in the State for each program. The number of WPU for students with disabilities shall reflect the direct cost of programs for those students and be used to calculate the amount of State special education funds allocated.
3. State special education funds from the MSP are provided for each LEA that provides special education and related services to students with disabilities ages 3–21 (UCA 53F-2-307–308). The USBE receives some State special

education funding for the administration or implementation of special education programs, supports, technical assistance, or service.

4. If State special education funds appropriated under this section for programs for students with disabilities do not meet the costs of LEAs for those programs, each LEA shall first receive the amount generated for each student with a disability under the basic program.

**X.B. ALLOCATION OF STATE SPECIAL EDUCATION FUNDS FOR PROGRAMS FOR STUDENTS WITH DISABILITIES (UCA 53F-2-307 AND BOARD RULES R277-479).**

1. Each LEA shall receive its allocation of State special education funds appropriated for implementation of the LEA's approved program for students with disabilities as provided in this section.
2. Per UCA 53F-2-204(1) "Funds appropriated under this chapter [which includes appropriations for special education funds] shall only be used for programs approved by the state board."
  - a. The LEA's program is approved by the USBE when the LEA's special education policies and procedures are approved by the USBE special education staff and then by the LEA's local board in a public meeting. The LEA must submit documentation of the local board's approval to the USBE special education staff within 30 days of the local board's approval (SpEd Rules IX.A.2.).
  - b. The LEA must have a state-board approved special education program to be eligible for State special education funds.
3. The LEA must be current with the UPIPS monitoring requirements, including correction of noncompliance within one year of notification, annual Corrective Action Plan (CAP) and PIP reports, and desk audit submissions to be eligible for State special education funds.

**X.C. SPECIAL EDUCATION ADD-ON ALLOWABLE USE (FUND 1205) (UCA 53F-2-307(1)).**

1. Funds must be used in accordance with these SpEd Rules X.B. and to cover the direct costs of providing special education to students with disabilities.

**X.D. SPECIAL EDUCATION ADD-ON CALCULATION (UCA 53F-2-307).**

1. The USBE shall use an LEA's average number of special education add-on WPU's determined by the prior year's average daily membership plus growth and the preceding four years' average daily membership data as a foundation for the special education add-on appropriation.
2. For new charter schools, the foundation cannot be calculated; the calculation of foundation shall be based on the average special education ADM for the number of years the new charter school has been in operation beyond the first year. In the first operational year, new charter school funding shall be based on estimated enrollment (Board Rules R277-479).
3. An LEA's special education add-on WPU's for the current year may not be less than the foundation special education add-on WPU's.
4. Growth WPU's shall be added to the prior year special education add-on WPU's, and growth WPU's shall be determined as follows:
  - a. The special education student growth factor is the percentage change in total enrollment of students kindergarten through grade 12 on the first school day of October in the current school year as compared to the total enrollment of students kindergarten through grade 12 on the first school day of October in the previous school year.
  - b. When calculating and applying the growth factor, a school district's total special education ADM for a given year is limited to the following percentage of the school district's total student ADM for the same year:
    - (1) For a school district in a county of the first, second, or third class, 14%;  
and
    - (2) For a school district in a county of the fourth, fifth, or sixth class, 20%.
    - (3) K-12 (S2) total special education ADM for a given year is limited to 12.18 percent of the school district's S2 total student ADM for the same year.
  - c. Growth ADMs are calculated by applying the growth factor to the total special education average daily membership of one year previous to the current year.

d. Growth ADMs for each LEA are multiplied by the following WPUs and added to the prior year special education add-on WPUs to determine each LEA's total allocation:

- (1) For fiscal year 2023, 1.35 weighted pupil units;
- (2) For fiscal year 2024, 1.15 weighted pupil units; and
- (3) Beginning in fiscal year 2025, and every fiscal year thereafter, 1.00 weighted pupil units.

5. The formula is described in UCA 53F-2-307.

**X.E. SPECIAL EDUCATION SELF-CONTAINED ALLOWABLE USE (FUND 1210) (UCA 53F-2-307; AND 53F-2-308(3)).**

1. *Self-contained* means a student in public-school with an IEP or a youth in custody/care (YIC) who receives 180 minutes or more of special education or YIC services during a typical school day per Board Rules R277-419-2(35).
2. Special Education Self-Contained funds must be used only for direct costs attributable to the cost of the special education of students with disabilities whose placement is a special class or self-contained environment.
3. Funds must be used in accordance with these SpEd Rules X.B. and to cover the direct costs of providing special education to SWDs.

**X.F. STATE SPECIAL EDUCATION SELF-CONTAINED CALCULATION.**

1. State special education funds appropriated for self-contained regular special education programs may not be used to supplement other school programs. (UCA 53F-2-308(3)(a)). The WPU calculated is equal to Self-Contained ADM from two years prior. Students who are reported to the Data Clearinghouse as receiving 180 minutes or more of service per day (TIME=C) generate Self-Contained WPU. These students are not included in the calculation of "Regular Basic School Program" WPU. TIME is calculated based on services listed in the IEP, regardless of the location of services. Self-Contained funding has no relationship to placement options such as Separate Class, Special School, Residential, etc.

2. The formula is number of self-contained ADM, pulled from the Self-Contained Resource Attendance Management (SCRAM) in the prior fiscal year, multiplied by the WPU value.

**X.G. STATE SPECIAL EDUCATION PRESCHOOL ALLOWABLE USE (FUND 1215) (UCA 53F-2-308(1)).**

1. Funds must be used in accordance with SpEd Rules X.B. and to cover the direct costs, including the cost of creating an inclusive preschool environment, providing special education to students with disabilities who are ages 3–5 and are enrolled in a preschool program.

**X.H. STATE SPECIAL EDUCATION PRESCHOOL CALCULATION (UCA 53F-2-308).**

1. State special education funds appropriated to the State Board of Education for the preschool special education program shall be allocated to districts to provide a FAPE to students in preschool with a disability, ages 3–5.
2. State special education funds shall be distributed on the basis of a district's count of preschool children with a disability for December 1 of the previous year, as mandated by Federal law.
3. The State Board of Education shall compute preschool funding by a factor of 1.47 times the current December 1 child count of eligible preschool aged three-, four-, and five-year-olds times the WPU value, limited to eight percent growth statewide over the prior year December 1 count.
4. The formula is based on the count of students with disabilities ages 3-5 enrolled in preschool and reported by the district in the December 1 UTREx submission.
  - a. The current year (December 1) enrollment is multiplied by a factor of 1.47 to determine the number of WPU for the district.
  - b. If the total WPU allocated for the State is different from the allocation amount, the difference is prorated across all districts.
  - c. The Statewide Child Counts of the previous two years is limited to a growth rate of no greater than 8%. If the State enrollment exceeds 8%, funds will be distributed to each district based on their proportion of the total statewide enrollment of preschoolers ages 3-5, limited to 8%.

**X.I. STATE SPECIAL EDUCATION IMPACT AID ALLOWABLE USE (FUND 1225) (UCA 53F-2-307(1)).**

1. Must be used for direct costs attributable to the cost of administering the special education program as follows:
  - a. Costs for students in state custody (prisons, detention facilities, and the state hospital), and
  - b. Additional costs attributable for services to students with low-incidence disabilities.
2. Funds must be used in accordance with these SpEd Rules X.B. and to cover the direct costs of providing special education to students with disabilities.

**X.J. STATE SPECIAL EDUCATION IMPACT AID CALCULATION.**

1. LEA December 1 count for ages 3–22 divided by Statewide December 1 count for ages 3–22 multiplied by the total impact aid state allocation.
2. The formula is the total allocation minus \$409,409 split between South Sanpete School District (\$188,977) and Salt Lake City School District (\$220,432) for the education of incarcerated students, then the remaining total is allocated based on each LEA's percentage enrollment of students with disabilities from the December 1 UTREx submission.

**X.K. STATE SPECIAL EDUCATION EXTENDED SCHOOL YEAR (ESY) ALLOWABLE USE (FUND 1220) (UCA 53F-2-308(2)).**

1. Must be used for direct costs attributable to the cost of ESY provided to students with disabilities, determined by the student's IEP team to require ESY in order to receive a FAPE and in accordance with Board Rules R277-751.
2. Funds must be used in accordance with these SpEd Rules X.B. and to cover the direct costs of providing special education to students with disabilities in accordance with Board Rules R277-751.

**X.L. STATE SPECIAL EDUCATION EXTENDED SCHOOL YEAR (ESY) CALCULATION.**

1. LEAs end of school year count of number of students eligible for ESY services are pulled from UTREx.



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2. The state allocation is then divided by the total number of students statewide receiving ESY and each LEA is allocated funding per students who are eligible.
3. Funds will be disbursed on the August allotment memo.
4. The formula is calculated using a base plus a distribution by population, from the December 1 UTREx submission.
  - a. The base was established in 2010 and is \$2,259.
  - b. Distribution by population is calculated for those LEAs who reported providing ESY services in the prior school year. The total current year enrollment of students with disabilities ages 3- 21 is multiplied by the total state enrollment of students with disabilities ages 3-21. This percentage is multiplied by the (total allocation minus base allocation) and distributed among participating LEAs.
  - c. If the total WPU for the state is different from the allocation amount determined by formula, the difference is prorated across all LEAs.
  - d. Estimates: Enrollment of students with disabilities for new LEAs is estimated at 10% of projected total enrollment.

### **X.M. STATE EXTENDED SCHOOL YEAR STIPEND FOR SPECIAL EDUCATORS (EYSE) ALLOWABLE USE (FUND 1278) (UCA 53F-2-310).**

1. Must be used for salaries and allowable benefits of Special Education Teachers, or Speech Language Pathologists who provide eligible services under Board Rules R277-525-2.
2. A special educator receiving a stipend shall:
  - a. Work an additional day beyond the number of days contracted with the special educator's school district or school for each daily stipend;
  - b. Schedule the additional days of work before or after the school year; and
  - c. Use the additional days of work to perform duties related to the IEP process, including: administering student assessments, conducting IEP meetings, writing IEP's, conferring with parent(s) or student who is an adult, and preparing and maintaining records.

**X.N. STATE EXTENDED YEAR STIPEND FOR SPECIAL EDUCATORS (EYSE) CALCULATION.**

1. The Legislature shall annually appropriate money for stipends to special educators (special education teacher or speech language pathologist) for additional days of work.
2. The USBE shall distribute State special education funds appropriated under this section on a reimbursement basis to school districts, charter schools, and the USDB for stipends for special educators in the amount of \$200 per day plus the actual cost of benefits (allowable benefit costs are retirement, workers' compensation, Social Security and Medicare) for up to the annually approved number of additional working days.
3. A person who does not hold a full-time position as a special educator is eligible for a partial stipend equal to the percentage of a full-time special educator position the person assumes.
4. Services must be rendered during the allowable time period as defined by Board Rules R277-525-5. Stipends must be paid to employees during the LEA's regular pay period disbursements.
5. Stipends funds will be provided to LEAs on a reimbursement basis.
6. May not be used for indirect costs.
7. The formula is described in UCA 53F-2-310.

**X.O. STATE SPECIAL EDUCATION INTENSIVE SERVICES ALLOWABLE USE (FUND 1230) (UCA 53F-2-309(1)).**

1. Must be used for direct costs attributable to the cost of implementing IEPs for students with disabilities.
2. Cost of services to a student with a disability must be in excess of three times the annual average per pupil expenditure (APPE) as calculated by USBE Financial Operations.
3. Costs must meet the eligibility requirements outlined in Board Rules R277-752.
4. The formula is described in R277-752.

**X.P. STATE SPECIAL EDUCATION FUNDS ALLOWABLE USE (UCA 53F-2-307).**

1. State special education funds may be spent only for direct costs and construction or altering existing facilities as outlined in SpEd Rules X.A. and X.B., Direct costs are those elements of cost which can be easily, obviously, and conveniently identified with specific special education activities or programs, as distinguished from those costs incurred for several different activities or programs and whose elements are not readily identifiable with specific special education activities (SpEd Rules X.A.1.).
2. The costs of providing for specially designed instruction, related services, and supplementary aids and services provided in a regular class or other education-related setting to a student with a disability in accordance with the IEP of the student are allowable.
3. The costs of providing inclusive special education preschool services are an allowable excess cost.
4. The costs of including peer models in IEP services that require a peer model are allowable.
5. The costs of providing co-teaching, in which both a licensed general educator and licensed special education teacher plan and provide specially designed instruction are allowable.
6. The tables below list allowable costs for State special education funds. If an item is not listed, it still may be allowed but LEAs must ask USBE SES staff for approval before expending State special education funds.

**a. Table of Allowances for State Special Education Funds**

(1) Symbol Key:

Always allowed.

May be allowed, but special requirements or additional information required. Must align with students' IEPs. LEA must ask USBE special education staff for approval before expending State special education funds. Supporting documentation must be readily available for review upon request.

Never allowed

TABLE 1: ALLOWANCES FOR STATE SPECIAL EDUCATION FUNDS (100–290)

| Allowable                           | Object/Function Code                | Code Description  |
|-------------------------------------|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 100<br>(Header Only—<br>Do Not Use) | Salaries—Amounts paid to employees of the LEA who are in positions of a permanent nature or hired temporarily, including personnel substituting for those in permanent positions. This includes gross salary for personnel services rendered <i>while on the payroll of the LEA</i> . |
| <input checked="" type="checkbox"/> | 111                                 | Compensation paid to school board members.  |
| <input checked="" type="checkbox"/> | 112                                 | Salaries paid to the Superintendent.  |
| <input checked="" type="checkbox"/> | 113                                 | Salaries paid to associate, deputy, or assistant superintendent(s).   |
| <input checked="" type="checkbox"/> | 114                                 | Salaries paid to the Business Administrator.  |
| <input checked="" type="checkbox"/> | 115                                 | Properly licensed special education personnel in direct supervision or coordination of special education programs (salaries paid to administrative supervisors and directors not in direct supervision or coordination of special education programs is not allowed).                 |
| <input checked="" type="checkbox"/> | 121                                 | Salaries paid to principals and assistant principals.   |
| <input checked="" type="checkbox"/> | 131                                 | Salaries paid to licensed teachers, including those with associate status who teach students in special education.  |
| <input checked="" type="checkbox"/> | 132                                 | Salaries paid to substitute teachers who teach students in special education.   |
| <input checked="" type="checkbox"/> | 133                                 | Salaries paid to replacement teachers covering teachers who teach students in special education programs on sabbatical leave.   |
| <input checked="" type="checkbox"/> | 141                                 | Salaries paid to attendance specialists and social workers who work directly with students in special education and the work that is related directly to students' IEPs.  |

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| Allowable | Object/Function Code | Code Description  |
|-----------|----------------------|---|
| ☑         | 142                  | Salaries paid to guidance personnel who work directly with students in special education programs and the work that is related directly to students' IEPs.  |
| ☑         | 143                  | Salaries paid to health personnel who are properly licensed and who are assigned to work with students in special education programs and the work that is related directly to students' IEPs.             |
| ☑         | 144                  | Salaries paid to psychological services providers. Properly licensed personnel providing for the identification and evaluation of students with disabilities and for instructional or treatment services. |
| ☒         | 145                  | Salaries paid to licensed media personnel.  |
| ☒         | 151                  | Salaries paid to accounting, purchasing and other business service professional personnel.  |
| ☑         | 152                  | Secretarial personnel assigned to work directly with special education programs.  |
| ☑         | 161                  | Teachers' aides and paraprofessionals who teach students in special education and are properly trained and supervised by licensed special education personnel.  |
| ☒         | 162                  | Unlicensed media personnel.   |
| ☒         | 171                  | Salaries paid to student transportation supervisor/director.  |
| ⓘ         | 172                  | Salaries paid to bus drivers when related directly to students' IEPs.   |
| ☒         | 173                  | Salaries paid to mechanics and other garage employees.  |

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| Allowable | Object/Function Code                | Code Description   |
|-----------|-------------------------------------|--|
| ⓘ         | 174                                 | Salaries paid to other student transportation employees not identified in any of the other 17x codes when related directly to students' IEPs.  |
| ⓘ         | 175                                 | Salaries paid to bus aides when related directly to students' IEPs.  |
| ☒         | 181                                 | Salaries paid to maintenance supervisor/director.  |
| ⓘ         | 182                                 | Salaries paid to custodial and maintenance personnel.  |
| ☑         | 184                                 | Salaries paid to technology personnel who work directly with students in special education programs and the work that is related directly to students' IEPs.   |
| ☒         | 191                                 | Salaries paid to food service personnel.   |
| ☒         | 195                                 | Salaries paid to athletic coaches.   |
| ⓘ         | 198                                 | Salaries paid to classified personnel other than those coded to Object 170 or 180.   |
| ☒         | 200<br>(Header Only—<br>Do Not Use) | Employee Benefits—Amounts paid by the LEA on behalf of employees; these amounts are not included in the gross salary but are over and above. Such payments are fringe benefits. While not paid directly to employees, they are part of the cost of personnel services. |
| ☑         | 210                                 | State retirement—Employer's share of the cost of the State retirement plan (LEA 401(k) contributions to URS are coded here).   |
| ☑         | 220                                 | Social security—Employer's share of the cost of social security contributions.   |
| ☑         | 230                                 | Local retirement (for individual special education personnel).   |

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| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 240                  | Group insurance (licensed and classified personnel assigned to programs for students with disabilities—prorated, if part-time).                                      |
| <input checked="" type="checkbox"/> | 241                  | If the LEA wishes to track these separately, group insurance licensed personnel.   |
| <input checked="" type="checkbox"/> | 242                  | If the LEA wishes to track these separately, group insurance classified personnel.   |
| <input checked="" type="checkbox"/> | 270                  | Industrial Insurance/Worker’s Compensation Insurance (licensed and classified personnel assigned to programs for students with disabilities—prorated, if part-time). |
| <input checked="" type="checkbox"/> | 280                  | Unemployment insurance (for individual special education personnel).   |
| <input checked="" type="checkbox"/> | 290                  | Other employee benefits (for individual special education personnel).  |

TABLE 2: ALLOWANCES FOR STATE SPECIAL EDUCATION FUNDS (300–490)

| Allowable                           | Object/Function Code                | Code Description  |
|-------------------------------------|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 300<br>(Header Only—<br>Do Not Use) | Purchased Professional and Technical Services—<br>Purchased services which by their nature can be performed only by persons with specialized skills and/or knowledge. Although a product may or may not result from the transaction, the primary reason for the purchase is the service provided. Excluded are services purchased from another LEA (see Objects 590–592). |

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| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 310                  | Official/Administrative Services—Services in support of the various policymaking and managerial activities of the LEA. Includes management consulting activities oriented to general governance or business and financial management of the LEA, school management support activities, and election and tax assessing and collection services.  |
| <input checked="" type="checkbox"/> | 320                  | Professional Educational Services—Services supporting the instructional program and its administration. Includes instructional services, counseling and guidance services, and library and media support.   |
| <input checked="" type="checkbox"/> | 330                  | Employee Training and Development—Services supporting the professional and technical development of school district personnel, including instructional, administrative, and service employees. Includes course registration fees (that are not tuition reimbursement), charges from external vendors to conduct training courses (at either school district facilities or off-site), and other expenditures associated with training or professional development by third-party vendors including conference or workshop registration fees associated with conferences or workshops that do not require an overnight stay (those that do require an overnight stay should be coded to Object 580). All expenditures should be captured in this account regardless of the type or intent of the training course or professional development activity. Training for instructional staff should be used with Function 2213 when directly related to the special education program (usually used with Functions 2570 and 3100). |



X. Special Education Funding

| Allowable                           | Object/Function Code                | Code Description  |
|-------------------------------------|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 340                                 | Other Contracted Professional Services. Professional services other than educational in support of the operation of the LEA. Included are the services of accountants, auditors, architects, engineers, consultants, dentists, medical doctors, teachers, therapists, audiologists, dieticians, editors, negotiations specialists, planners, etc. |
| <input checked="" type="checkbox"/> | 341                                 | Other Contracted Professional Services—Teacher salaries for those working directly with students in special education programs.   |
| <input checked="" type="checkbox"/> | 342                                 | Other Contracted Professional Services—Teacher benefits for those working directly with students in special education programs.   |
| <input checked="" type="checkbox"/> | 343                                 | Other Contracted Professional Services—LEA administration salaries (Special Education Director or Coordinator only).  |
| <input checked="" type="checkbox"/> | 344                                 | Other Contracted Professional Services—LEA administration benefits (Special Education Director or Coordinator only).  |
| <input checked="" type="checkbox"/> | 345                                 | Other Contracted Professional Services—Business Services.   |
| <input checked="" type="checkbox"/> | 349                                 | Purchased Legal Services—Payments made for contracted legal services.   |
| <input checked="" type="checkbox"/> | 350                                 | Technical Services—Contracted services to the LEA which are not regarded as professional but require basic scientific knowledge and/or manual skills. Includes data processing services, system analysts, purchasing and warehousing services, graphic arts etc.  |
| <input checked="" type="checkbox"/> | 400<br>(Header Only—<br>Do Not Use) | Purchased Property Services—Amounts paid for services rendered by organizations or personnel not on the payroll of the LEA to operate, repair, maintain, insure, and rent property owned and/or used by the LEA.  |

X. Special Education Funding

| Allowable | Object/Function Code | Code Description  |
|-----------|----------------------|---|
| ①         | 410                  | Utility Services—Expenditures for utility services other than energy services supplied by public or private organizations. Water and sewage as well as garbage collection/disposal services are included here (telephone services are coded to Object 530). |
| ①         | 411                  | Water/Sewage related to the operation of special education only building.   |
| ①         | 412                  | Disposal Service related to the operation of special education only building.   |
| ①         | 420                  | Cleaning Services—Services purchased to provide custodial and other building cleaning services other than those provided by LEA personnel.  |
| ①         | 430                  | Repairs and Maintenance Services—Expenditures for repairs and maintenance services not provided directly by LEA personnel and includes both non-technology and technology-related repairs and maintenance for programs for students with disabilities.      |
| ①         | 431                  | Non-Technology Repairs and Maintenance for programs for students with disabilities.   |
| ①         | 432                  | Technology-Related Repairs and Maintenance for programs for students with disabilities.   |
| ①         | 433                  | Custodial Services.   |
| ①         | 440                  | Costs for temporary and long-term renting or leasing of land and buildings, equipment and vehicles, and computers, and related equipment.   |
| ☒         | 441                  | Rental of land and buildings.   |








X. Special Education Funding

| Allowable | Object/Function Code | Code Description  |
|-----------|----------------------|---|
| ☑         | 442                  | Rental of Equipment and Vehicles—Costs for temporary and long-term rental of equipment and vehicles for programs for students with disabilities.  |
| ☑         | 443                  | Rental of Computers and Related Equipment—Costs for temporary and long-term rental of computers and related equipment for programs for students with disabilities.  |
| ⓘ         | 450                  | Construction Services—Costs for constructing, renovating, and remodeling buildings or infrastructure assets by contractors (use only with Function 4000). Capital assets being constructed are coded here whereas capital assets being purchased are coded to Object 7xx. |
| ☒         | 490                  | Other Purchased Property Services—Purchased property services that are not classified above.  |

TABLE 3: ALLOWANCES FOR STATE SPECIAL EDUCATION FUNDS (500–580)

| Allowable | Object/Function Code                | Code Description   |
|-----------|-------------------------------------|--|
| ☒         | 500<br>(Header Only—<br>Do Not Use) | Amounts paid for services rendered by organizations or personnel not on the payroll of the LEA other than Professional and Technical Services (300) or Property Services (400) |
| ⓘ         | 510                                 | Student Transportation Services (to/from school)—when related directly to students' IEPs.  |
| ⓘ         | 511                                 | Student Transportation Services (from another LEA within the State)—when related directly to students' IEPs.   |
| ⓘ         | 512                                 | Student Transportation Services (from another LEA outside of State)—when related directly to students' IEPs.   |

X. Special Education Funding

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 513                  | Student Transportation Services (commercial)—when related directly to students' IEPs.  |
|    | 514                  | Student Transportation Services (student allowances)—when related directly to students' IEPs.  |
|    | 515                  | Payments in lieu of transportation when related directly to students' IEPs.  |
|    | 516                  | Payments in lieu of dead miles when related directly to students' IEPs.  |
|    | 517                  | Student travel overnight when related directly to students' IEPs.  |
|   | 518                  | Student Day Trips/Field Trips (including admission charges) when related directly to students' IEPs.   |
|  | 520                  | <p>Insurance (Other than employee benefits)—Expenditures for all types of insurance coverage such as property (the loss of or damage to property owned or leased by the LEA from fire, theft, storm, etc.) and liability (loss caused by school board members and their employees due to accident or neglect, or judgments awarded against the LEA or its officers). Premiums for fidelity bonds guaranteeing the LEA against losses resulting from the actions of the treasurer, employees, or other persons of the LEA. Expenditures made in lieu of fidelity bonds. (Payments of judgments awarded against the LEA, not covered by insurance or fidelity bonds, are coded to Object 820. Employee insurance costs provided as benefits such as group medical, dental, workmen's compensation, etc., are coded to Object 2xx. Pupil transportation insurance are coded to Object 510.)</p> |

X. Special Education Funding

| Allowable | Object/Function Code | Code Description  |
|-----------|----------------------|---|
| ☒         | 521                  | Property Insurance.   |
| ☒         | 522                  | Liability Insurance.  |
| ☒         | 523                  | Fidelity Bond Premiums.   |
| ⓘ         | 530                  | <p>Communication (Telephone and Other)—Services provided by persons or businesses to assist in transmitting and receiving messages or information. This category includes telephone and voice communication services; data communication services to establish or maintain computer-based communications, networking, and internet services; video communications services to establish or maintain one- or two-way video communications via satellite, cable, or other devices; and postal communications services to establish or maintain postage machine rentals, postage, express delivery services, and couriers. Includes license fees and fees for services such as subscriptions to research materials over the internet. (Expenditures for software are coded to Object 650 [if not capitalized] or Object 736 [if eligible for capitalization].)</p> |
| ☒         | 540                  | <p>Advertising—Expenditures for printed announcements in professional periodicals and newspapers or announcements broadcast by radio and television networks. These expenditures include advertising for such purposes as personnel recruitment, bond sales, used equipment sales, property sales, etc. (Costs for professional fees for advertising or public relation services are coded to Object 340.)</p>  |








X. Special Education Funding

| Allowable                           | Object/Function Code                | Code Description  |
|-------------------------------------|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 550                                 | Printing and Binding—Expenditures for job printing and binding usually according to specifications of the LEA. Includes the designing and printing of forms and posters as well as printing and binding of LEA publications. (Preprinted standard forms are coded to Object 610.) |
| <input checked="" type="checkbox"/> | 560<br>(Header Only—<br>Do Not Use) | Tuition—Expenditures to reimburse other educational agencies for services rendered to students residing in the legal boundaries described for the paying LEA.   |
| <input checked="" type="checkbox"/> | 561                                 | Tuition—To other LEAs within the State.   |
| <input checked="" type="checkbox"/> | 562                                 | Tuition—To other LEAs outside the State.  |
| <input checked="" type="checkbox"/> | 563                                 | Tuition - To private schools.   |
| <input checked="" type="checkbox"/> | 564                                 | Tuition - To charter schools within the State.  |
| <input checked="" type="checkbox"/> | 565                                 | Tuition—To postsecondary schools.   |
| <input type="checkbox"/>            | 568                                 | Student tuition to other LEAs or voucher programs   |
| <input checked="" type="checkbox"/> | 569                                 | Tuition—Other.  |
| <input checked="" type="checkbox"/> | 570                                 | Food Service Management—Expenditures for the operation of a local food services facility other than by employees of the LEA. Includes contracted services, such as food preparation, associated with the food service operation. (Use only with Function 3100.)                   |

X. Special Education Funding








| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | <b>580</b>           | <p>Approved Travel for Personnel (in conjunction with their assignments to special education programs/services)—Expenditures for transportation, meals, hotel, conference registration, and other expenses associated with overnight staff travel for the LEA. Includes payments for per-diem in lieu of reimbursements for staff travel costs.</p> <p>Registration Fees for Conferences and Workshops—If attendance at the conference or workshop requires an overnight stay, the registration fee should be considered as part of the travel cost and coded to Object 580. The “overnight stay” criteria should be applied to all components of the travel cost including airfare, lodging, meals, ground transportation, etc. If attendance at the conference or workshop does not require an overnight stay, the registration fee should be considered professional development and coded to Object 330.</p> |

TABLE 4: ALLOWANCES FOR STATE SPECIAL EDUCATION FUNDS (590–689)









| Allowable   | Object/Function Code                | Code Description  |
|---|-------------------------------------|---|
|    | 590<br>(Header Only—<br>Do Not Use) | Inter-educational, Interagency Purchased Services—Purchased services other than those described above. Payments to another LEA outside the State for services rendered, other than tuition and transportation fees, are coded here. Examples of such services are data processing, purchasing, nursing and guidance. Where a question arises as to whether to code such payments to the 300 series Objects or to Object 592, 592 should be used in order that all inter-LEA payments can be eliminated when consolidating reports from multiple LEAs at State and Federal levels. |
|    | 591                                 | Services purchased from another LEA within the State.   |
|  | 592                                 | Services purchased from another LEA outside the State.  |
|  | 610                                 | General Supplies—Expenditures for all special education program supplies (other than those listed below) for the operation of an LEA, including freight. A more thorough classification of general supplies is achieved by identifying the object with the function. (Use with all Functions except 5000.)  |
|  | 615                                 | Student Uniforms, Clothing—Expenditures related to special shoes or items of clothing a school requires a student to wear related to a curricular, cocurricular or extra-curricular activity. General school uniforms are coded to Object 610.  |
|  | 621                                 | Natural Gas related to the operation of special education only building.  |
|  | 622                                 | Electricity related to the operation of special education only building.  |



X. Special Education Funding

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 623                  | Bottled Gas related to the operation of special education only building.   |
|    | 624                  | Fuel Oil related to the operation of special education only building.  |
|    | 625                  | Coal related to the operation of special education only building.  |
|    | 626                  | Motor Fuel (Gasoline and Diesel)—for vehicles used for programs for students with disabilities.  |
|    | 629                  | Other.   |
|    | 630                  | Expenditures for food to operate the school food service program. Food used in instructional programs is coded to Object 610.  |
|  | 640                  | <p>Expenditures for books, textbooks, and periodicals, whether in physical or electronic form, prescribed and available for general use by students, including any reference books. Includes workbooks or other organized systems or learning packages which constitute the instructional vehicle for a given course, subject, or grade level or a significant portion of a given course, subject, or grade level. This category also includes the cost of textbook and library book binding or repairs, as well as textbooks which are purchased to be resold or rented.</p> <p>Includes expenditures for purchases of library books, whether in physical or electronic form, which are those books provided for enrichment, extension, or study in depth. The materials may be general or specialized in nature. These are books purchased for general use and not primarily for use in certain classes, grades, or other particular student groups. They include reference sets and dictionaries.</p> |

X. Special Education Funding

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 641                  | Textbooks for special education programs/services.   |
|    | 642                  | eTextbooks for special education programs/services.  |
|    | 644                  | Library books for special education programs/services.   |
|    | 650                  | Technology-related supplies include supplies that are typically used in conjunction with technology-related hardware or software, where per unit costs are less than \$5,000. Some examples are CDs, flash or jump drives, parallel cables, and monitor stands. E-readers, including Kindles, and iPads, that fall below capitalization thresholds should be reported here as well. Software costs below the capitalization threshold should be reported here. Licenses and fees for services such as subscriptions to research materials over the Internet should be reported under 530 Communications. |
|  | 670                  | Software—used directly with special education programs/services and is related directly to students’ IEPs.   |
|  | 680                  | Maintenance Supplies and Materials—Expenditures for lubricants for school buses and other equipment, tires and tubes for school buses and other equipment, repair parts for school buses, other vehicles, and garage equipment for vehicles used only for/by the special education program.  |
|  | 681                  | Lubricants for vehicles used only for/by the special education program.  |
|  | 682                  | Tires and tubes for vehicles used only for/by the special education program.   |

X. Special Education Funding











| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|  | 683                  | Repair parts for buses and other vehicles used only for/by the special education program. |
|  | 684                  | Repair parts for garage equipment.  |
|  | 689                  | Miscellaneous for vehicles used only for/by the special education program.                |

TABLE 5: ALLOWANCES FOR STATE SPECIAL EDUCATION FUNDS (700–890)

| Allowable   | Object/Function Code                | Code Description   |
|---|-------------------------------------|--|
|  | 700<br>(Header Only—<br>Do Not Use) | Property—Expenditures for acquiring capital assets, including land, existing buildings, existing infrastructure assets, and equipment. Typically, these are assets that individually exceed the capitalization threshold established by the LEA.   |
|  | 710                                 | Land and Site Improvements—Expenditures for the purchase of land and the improvements thereon. Includes purchases of air rights, mineral rights and the like are included here, as well as special assessments against the LEA for capital improvements such as streets, curbs, and drains. (Expenditures for improving sites and adjacent ways after acquisition by the LEA are generally coded to Object 450 or 340 as appropriate.) |

X. Special Education Funding

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 720                  | Buildings—Expenditures for acquiring existing buildings, major permanent structural alterations, renovations, fire protection systems, and other service systems. Includes are expenditures for installment or lease payments (except interest) which have a terminal date and result in the acquisition of buildings, except payments to public school-housing authorities or similar agencies. Excludes expenditures for the contracted construction of buildings, contracted major permanent structural alterations, and for the initial or additional installation of heating and ventilating systems, electrical systems, plumbing systems, fire protection systems, and other service systems in existing buildings which are coded to Object 450. Also excludes buildings built and alterations performed by the LEA staff which are coded as Salary and Benefits (100 and 200), Supplies (610), or Equipment (730), etc. |
|  | 730                  | Equipment for the special education programs and for special education services. Includes items that individually exceed the capitalization threshold established by the LEA. Examples: machinery such as lathes or drill presses or printing presses, etc.; school buses; non-bus vehicles; furniture; technology-related equipment and technology infrastructure such as network equipment, servers, and software used for educational or administrative purposes; etc.  |
|  | 731                  | Machinery.   |
|  | 732                  | School Buses.  |
|  | 733                  | Furniture and fixtures for special education programs/services.  |

X. Special Education Funding

| Allowable                           | Object/Function Code                | Code Description   |
|-------------------------------------|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 734                                 | Technology related hardware for special education programs/services.   |
| <input checked="" type="checkbox"/> | 735                                 | Non-bus vehicles.  |
| <input checked="" type="checkbox"/> | 736                                 | Technology software for special education programs/services.   |
| <input checked="" type="checkbox"/> | 739                                 | Other equipment for special education programs/services.   |
| <input checked="" type="checkbox"/> | 740                                 | Infrastructure—Expenditures for purchased infrastructure assets by the LEA. Includes water/sewer systems, roads, bridges, and other assets that have significantly longer useful lives than other capital assets.  |
| <input checked="" type="checkbox"/> | 750                                 | Other Intangible Assets—Expenditures for intangible assets not coded in Object 734 or 736.   |
| <input checked="" type="checkbox"/> | 790                                 | Depreciation and Amortization—The portion of the cost of an asset which is charged as an expense during a particular period. In accounting for depreciation/amortization, the cost of an asset, less any salvage value, is apportioned over the estimated service life of such an asset, and each period is charged with a portion of such cost. Through this process, the cost of the asset is ultimately charged off as an expense. (Only use in Enterprise Funds and Non-Profit organizations.) |
| <input checked="" type="checkbox"/> | 795                                 | Lease depreciation and amortization.   |
| <input checked="" type="checkbox"/> | 800<br>(Header Only—<br>Do Not Use) | Debt Service and Miscellaneous—Amounts paid to service debt as well as for goods and services not otherwise classified above.  |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 810                  | Dues and Fees—Expenditures or assessments for membership in professional or other organizations or associations, or payments to a paying agent for services rendered for special education programs/services.  |
| <input checked="" type="checkbox"/> | 820                  | Judgments Against the LEA—Expenditures from current funds for all judgments (except as indicated below) against the LEA that are not covered by liability insurance but are of a type that might have been covered by insurance. Only amounts paid as the result of court decisions are recorded here. Judgments against the LEA resulting from failure to pay bills or debt service are coded under the appropriate expenditure accounts as though the bills or debt service had been paid when due. (Use only with Function 2310.) |
| <input checked="" type="checkbox"/> | 830                  | Interest on Debt—Expenditures for interest on bonds or notes. (Use only with Function 5000.) Expenditures for interest on short-term debt or tax anticipation notes. (Use only with Function 2510.)  |
| <input checked="" type="checkbox"/> | 831                  | Interest on leases.  |
| <input checked="" type="checkbox"/> | 833                  | Bond issuance costs other than on refundings. The amortization of bond discounts which are the portion of the sale of bonds below their par value that represents an adjustment of the interest rate. (Use only with Function 5000.) (Amortization of bond premiums is coded to a revenue code.)   |
| <input checked="" type="checkbox"/> | 840                  | Outlays from current funds to retire bonds, and long-term loans. (Use only with Function 5000.)  |
| <input checked="" type="checkbox"/> | 841                  | Outlays for leases.  |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 845                  | Bond issuance costs on refundings. (Use only with Function 5000.)   |
| <input checked="" type="checkbox"/> | 850                  | This account is provided for the budgeting of appropriations. Expenditures to be paid from the contingency should be coded to the appropriate function and object classification.   |
| <input checked="" type="checkbox"/> | 860                  | Indirect Costs (Non-restricted)—Indirect costs chargeable to grants which are subject to regulations or policies but costs include certain additional elements such as space, custodial and utility costs in the calculation of the rate. |
| <input checked="" type="checkbox"/> | 870                  | Indirect Costs (Restricted)—Indirect costs chargeable to grants which are subject to regulations or policies limiting the amount of allowable indirect costs.   |
| <input checked="" type="checkbox"/> | 890                  | Amounts paid for goods or services not properly classified in one of the Objects included above.  |

TABLE 6: ALLOWANCES FOR STATE SPECIAL EDUCATION FUNDS (1000–2290)

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 1000                 | Instruction—Activities dealing directly with the interaction between teachers and students. Teaching may be provided for students in a school classroom, in another location such as a home or hospital, and in other learning situations such as those involving co-curricular activities. It may also be provided through some other medium such as television, radio, telephone, and correspondence. Includes the activities of aides or assistants of any type (e.g., clerk, graders, teaching machines, etc.) which assist in the instructional process. |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 2100                 | Support Services (Students)—Those activities that are designed to assess and improve the well-being of students and to supplement the teaching process.   |
| <input checked="" type="checkbox"/> | 2110                 | Attendance and Social Workers—Those activities that are designed to assess and improve student attendance at school that attempt to prevent or solve student problems involving the home, the school, and the community and the work that is related directly to students' IEPs.  |
| <input checked="" type="checkbox"/> | 2120                 | Guidance Services—Those activities of counseling students and parents, providing consultation with other staff members on learning problems, assisting students in personal and social development, assessing the abilities of students, assisting students as they make their own educational and career plans and choices, providing referral assistance, and working with other staff members in planning and conducting guidance programs for students and the work that is related directly to students' IEPs. |
| <input checked="" type="checkbox"/> | 2130                 | Health Services—Physical and mental health services that are not direct instruction such as activities that provide students with appropriate medical, dental, and nurse services and the work that is related directly to students' IEPs.  |
| <input checked="" type="checkbox"/> | 2140                 | Psychological Services—Activities concerned with administering psychological tests and interpreting the results; gathering and interpreting information about student behavior; and working with other staff members in planning school programs to meet the special needs of students and related directly to students' IEPs.  |
| <input checked="" type="checkbox"/> | 2150                 | Speech Pathology and Audiology Services—Activities that identify, assess, and treat students with speech, hearing, and language impairments.  |



X. Special Education Funding

| Allowable | Object/Function Code | Code Description   |
|-----------|----------------------|--|
| ☑         | 2160                 | Occupational Therapy Related Services—Activities that assess, diagnose, or treat students for all conditions requiring the services of an occupational therapist.  |
| ☑         | 2170                 | Physical Therapy Related Services—Activities that assess, diagnose, or treat students for all conditions requiring the services of a physical therapist.   |
| ☑         | 2180                 | Visually Impaired/Vision Services—Activities that assess diagnose or treat students for all conditions relating to visual impairment.  |
| ☑         | 2190                 | Other Support Services (Students).   |
| ☑         | 2200                 | Support Services (Instructional Staff Assistance)—Activities associated with assisting the instructional staff with the content and process of providing learning experiences for students with disabilities and when uniquely related to the special education program.   |
| ☑         | 2210                 | Improvement of Instruction Services—Those activities that are designed primarily for assisting instructional staff in planning, developing, and evaluating the process of planning, developing, and evaluating the process of providing learning experiences for students that include curriculum development, techniques of instruction, child development and understanding, staff training, etc., when uniquely related to the special education program. |
| ☑         | 2212                 | Instruction and Curriculum Development Services—Activities designed to aid teachers in developing the curriculum, preparing and utilizing special curriculum materials, and understanding and appreciating the various techniques which stimulate and motivate students when uniquely related to the special education program.  |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 2213                 | Instruction Staff Training Services—Activities designed to contribute to the professional or occupational growth and competence of members of the instructional staff during the time of their service to the LEA or school that include workshops, demonstrations, school visits, courses for college credit, sabbatical leaves, and travel leaves when uniquely related to the special education program.   |
| <input checked="" type="checkbox"/> | 2219                 | Other Improvement of Instruction Services (when uniquely related to the special education program).   |
| <input checked="" type="checkbox"/> | 2220                 | Library/Media Services—Activities concerned with directing, managing, and supervising educational media services (e.g., supervisory personnel) as well as such activities as selecting, acquiring, preparing, cataloging, and circulating books and other printed materials; planning for the use of the library by students, teachers, and other members of the instructional staff; and guiding individuals in their use of library books, reference guides and materials, catalog materials, special collections, and other materials, whether maintained separately or as part of an instructional materials center and when uniquely related to the special education program. |
| <input checked="" type="checkbox"/> | 2230                 | Instruction Related Technology—Technology activities and services for the purpose of supporting instruction which include expenditures for internal technology support as well as support provided by external vendors using operating funds, costs associated with the administration and supervision of technology personnel, systems planning and analysis, systems application development, systems operations, network support services, hardware maintenance and support services, and other technology-related costs that relate to the support of instructional activities when uniquely related to the special education program.  |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 2240                 | Academic Student Assessment—Services rendered for the academic assessment of students that are not initiated by the teacher, but by the LEA or USBE when uniquely related to the special education program. |
| <input checked="" type="checkbox"/> | 2290                 | Other Support Services (Instructional Staff)—Services uniquely provided to the special education program.   |

TABLE 7: ALLOWANCES FOR STATE SPECIAL EDUCATION FUNDS (2300–2790)

| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 2300                 | Support Services (General LEA Administration)—Activities concerned with establishing and administering policy in connection with operating the LEA that include:<br>Board of Education Services—Activities of the elected body that have been created according to State law and vested with responsibilities for educational activities in a given administrative unit.<br>Executive Administration—Activities associated with the overall general administration of or executive responsibility for the entire LEA (Office of the Superintendent, Community Relations, State and Federal Relations, and Other Executive Administration). |







X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 2400                 | Support Services (School Administration)—Activities concerned with overall administrative responsibility for a single school that include: Office of the Principal—Activities concerned with directing and managing the operation of a particular school or schools. Included are the activities performed by the principal, assistant principals, and other assistants in general supervision of all operations of the school; evaluation of the staff members of the school; assignment of duties to staff members; supervision and maintenance of the school records; and coordination of school instructional activities with instructional activities of the LEA. Includes clerical staff for those activities. |
| <input checked="" type="checkbox"/> | 2500                 | Support Services (Central Services)—Activities that support other administrative and instructional functions.  |
| <input checked="" type="checkbox"/> | 2510                 | Fiscal Services—Activities concerned with the fiscal operations of the LEA. This function includes budgeting, receiving and disbursing, financial and property accounting, payroll, inventory control, internal auditing, and funds managing.  |
| <input checked="" type="checkbox"/> | 2520                 | Purchasing, Warehousing, and Distributing Services—Activities concerned with purchasing, receiving, storing, and distributing supplies and materials used in schools or school system operations.  |
| <input checked="" type="checkbox"/> | 2530                 | Printing, Publishing, and Duplicating Services—The activities of printing and publishing administrative publications such as annual reports, school directories, and manuals. Also includes centralized services for duplicating school materials and instruments such as school bulletins, newsletters, and notices.  |







X. Special Education Funding


| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 2540                 | Planning, Research, Development, and Evaluation Services—Activities associated with conducting and managing system-wide programs of planning, research, development, and evaluation for a school system.   |
| <input checked="" type="checkbox"/> | 2560                 | Public Information Systems—Activities concerned with writing, editing, and other preparation necessary to disseminate educational and administrative information to students, staff, managers, and the general public.   |
| <input checked="" type="checkbox"/> | 2570                 | Personnel Services—Activities concerned with maintaining efficient personnel for the school system including supervision of personnel services, recruitment and placement, personnel information, noninstructional personnel training, health services for LEA employees, and other personnel services.  |
| <input checked="" type="checkbox"/> | 2580                 | Administrative Technology Services—Activities concerned with supporting the LEA’s information technology systems, including supporting administrative networks, maintaining administrative information systems, and processing data for administrative and managerial purposes.  |
| <input checked="" type="checkbox"/> | 2590                 | Other Support Services.  |
| <input checked="" type="checkbox"/> | 2600                 | Operation and Maintenance of Plant Services—Activities concerned with keeping the physical plant open, comfortable, and safe for use, and keeping the grounds, buildings, and equipment in an effective working condition and state of repair. Includes activities that maintain safety in buildings, on the grounds, and in the vicinity of schools are included. |

X. Special Education Funding




| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 2610                 | Operation of Buildings—Activities concerned with keeping the physical plant clean and ready for daily use Includes operating the heating, lighting, and ventilating systems, and repair and replacement of facilities and equipment, as well as the costs of building rental and property insurance.  |
|    | 2620                 | Maintenance of Buildings—Activities associated with keeping buildings at an acceptable level of efficiency through repairs and preventative maintenance.  |
|    | 2630                 | Care and Upkeep of Grounds Services—Activities of maintaining the land and its improvements other than buildings. Includes snow removal, landscaping, grounds maintenance, etc.   |
|  | 2640                 | Care and Upkeep of Equipment Services—Activities concerned with maintaining, in good condition, equipment owned or used by the LEA. Includes activities such as servicing and repairing furniture, machines, and movable equipment, etc.  |
|  | 2650                 | Vehicle Servicing and Maintenance Services—Activities concerned with maintaining general-purpose vehicles such as trucks, tractors, graders, and staff vehicles in good condition. Includes activities such as repairing vehicles, replacing vehicle parts, cleaning, painting, greasing, fueling, and inspecting vehicles for safety (i.e., preventive maintenance). |
|  | 2660                 | Security Services—Activities concerned with maintaining a secure environment for students and staff, whether they are in transit to or from school, on a campus or administrative facility, or participating in school-sponsored events.  |

X. Special Education Funding

| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 2670                 | <p>Safety—Activities concerned with maintaining a safe environment for students and staff, whether they are in transit to or from school, on a campus or administrative facility, or participating in school-sponsored events.</p> <p>Includes fire alarms, crossing guards, as well as other costs incurred to ensure the basic safety of students and staff.</p>                  |
|    | 2680                 | <p>Other Operation and Maintenance of Plant Services.</p>   |
|    | 2700                 | <p>Student Transportation Services—Activities concerned with the conveyance of students to and from school, as provided by State law. Includes trips between home and school and trips to school activities.</p>  |
|  | 2710                 | <p>Vehicle Operation Services—Activities concerned with operating vehicles for pupil transportation from the time the vehicles leave the point of storage until they return to that point. Includes driving buses or other pupil transportation vehicles.</p>   |
|  | 2720                 | <p>Monitoring Services—Activities concerned with supervising students in the process of being transported between home and school and while being transported for school activities. Includes supervision while in transit and while being loaded and unloaded and directing traffic at the loading and unloading stations.</p>   |
|  | 2730                 | <p>Vehicle Servicing and Maintenance Services—Activities concerned with maintaining pupil transportation vehicles in good condition, including repairing vehicles; replacing vehicle parts; and cleaning, painting, greasing, fueling, and inspecting vehicles for safety. Replacing a vehicle chassis or body individually is considered equipment and is charged to property.</p> |







| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|  | 2790                 | Other Student Transportation Services (Transportation Services from Special Activities). |

**TABLE 8: ALLOWANCES FOR STATE SPECIAL EDUCATION FUNDS (3000–5900)**

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
| <input checked="" type="checkbox"/>   | 3000                 | Operation of Non-Instructional Services—Activities concerned with providing non-instructional services to students, staff or the community.  |
| <input checked="" type="checkbox"/>   | 3300                 | Community Services—Activities concerned with providing community services to students, staff or other community participants which include community recreation programs, the operation of a community swimming pool, civic services, the operation of a public library, a childcare center, welfare activities services, LEA Foundations, and services provided to school-age children not related to public education. |
|  | 4000                 | Facilities Acquisition and Construction Services.  |
|  | 4100                 | Land Acquisition Services Activities—Pertaining to the initial acquiring and improving land.   |
|  | 4200                 | Land Improvement Services—Activities concerned with making permanent improvements to land, such as grading, fill, and environmental remediation.   |



X. Special Education Funding

| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 4300                 | Architecture and Engineering Services—The activities of architects and engineers related to site acquisition and improvement and to improvements to buildings. Codes are used with this function only for those preliminary activities that may or may not result in additions to the LEA's property. Otherwise, these services are used with Functions 4100, 4200, 4500, or 4600 as appropriate. |
|    | 4400                 | Educational Specification Development Services—Activities concerned with preparing and interpreting descriptions of specific space requirements for the various learning experiences of students to be accommodated in a building. These specifications are interpreted to the architects and engineers in the early stages of blueprint development.   |
|  | 4500                 | Building Acquisition and Construction Services—Activities concerned with building acquisition through purchase or construction.   |
|  | 4600                 | Site Improvement—Activities concerned with making nonpermanent improvements or enhancements to building sites. Includes fencing, walkways, tunnels, and temporary landscaping.  |
|  | 4700                 | Building Improvement—Activities concerned with building additions and with installing or extending service systems and other built-in equipment.  |
|  | 4900                 | Other Facilities Acquisition and Construction Services—Facilities acquisition and construction activities that cannot be classified above.  |

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| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 5000                 | Debt Service—Activities related to servicing the long-term debt of the LEA, including payments of both principal and interest. This function should be used to account for bond interest payments, retirement of bonded debt (including current and advance refunds), capital lease payments, and other long-term notes. Interest on short-term notes or loans (repayable within one year of receiving the obligation) is used with Function 2510. |
| <input checked="" type="checkbox"/> | 5100                 | Bonds.   |
| <input checked="" type="checkbox"/> | 5200                 | Tax Anticipation Notes.  |
| <input checked="" type="checkbox"/> | 5300                 | Lease-Purchase Agreements.   |
| <input checked="" type="checkbox"/> | 5900                 | Other Debt Service.  |

**X.Q. FEDERAL (IDEA) SPECIAL EDUCATION FUNDS GENERALLY.**

1. Federal special education funds are made available through a grant to the USBE from the OSEP. The OSEP has indicated that the components of the IDEA Part B General Supervision system should include:
  - a. An SPP;
  - b. Policies, procedures, and effective implementation;
  - c. Data on processes and results;
  - d. Targeted TA and professional learning;
  - e. Effective dispute resolution;

- f. Integrated monitoring activities;
  - g. Improvement, correction, incentives, and sanctions; and
  - h. Fiscal management.
2. These Federal special education funds are restricted and may only be used to provide enumerated services and programs for students who qualify under Part B of the IDEA. Funds are available for students ages 3–5 (Section 619 Preschool, 34 CFR § 300.800) and for students ages 3–21 (Section 611 School-Age, 34 CFR § 300.703). Some funds are retained at the State level for administration and for State level activities. The USBE uses a formula to allocate the remaining Federal special education funds to LEAs (i.e., public school districts, public charter schools, and the USDB); these flow-through funds are distributed as subgrants.
  3. The LEA must have a USBE-approved special education program (UCA 53F-2-307).
    - a. The LEA's program is approved by the USBE when the LEA's special education policies and procedures are approved by the USBE special education staff and then by the LEA's local board in a public meeting. The LEA must submit documentation of the local board's approval to the USBE special education staff within 30 days of the local board's approval.
    - b. The LEA must have board-approved special education program to be eligible for Federal special education funds.
  4. The LEA must be current with the UPIPS monitoring requirements, including correction of noncompliance within one year of notification, annual CAP and PIP reports, and submissions to be eligible for Federal special education funds.

**X.R. ALLOWABLE COSTS FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS.**

1. Funds paid to the State under IDEA Part B for the purposes of special education ("Federal special education funds") are calculated, allocated, and classified according to 34 CFR §300.705.
2. The Federal government provides Federal special education funds under the IDEA to help states meet the costs associated with providing special education and related services to students who are eligible (34 CFR §300.700).

3. All Federal special education fund requests are directed to USBE by LEAs that are providing FAPE to students with disabilities. Parents of a student with a disability have no individual entitlement to Federal special education funds (34 CFR § 300.200).
4. The costs of providing for specially designed instruction, related services, and supplementary aids and services provided in a regular class or other education-related setting to a student with a disability in accordance with the IEP of the student are allowable.
5. The costs of providing inclusive special education preschool services are an allowable excess cost under IDEA (34 CFR § 300.16).
6. The costs of including peer models in IEP services that require a peer model are allowable.
7. The costs of providing co-teaching, in which both a licensed general educator and licensed special education teacher plan and provide specially designed instruction are allowable.
8. The tables below list allowable costs for Federal special education funds, including both IDEA Part B flow-through and preschool grants. If an item is not listed, it still may be allowed but LEAs must ask USBE staff for approval before expending Federal (IDEA) special education funds. The items that are allowed may not be charged to funds budgeted for CEIS.

**a. Table of Allowances for Federal (IDEA) Special Education Funds**

(1) Symbol Key:

Always allowed.

May be allowed, but special requirements or additional information required. Must align with students' IEPs. LEA must ask USBE special education staff for approval before expending Federal (IDEA) special education funds. Supporting documentation must be readily available for review upon request.

Never allowed.

TABLE 9: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (100–198)

| Allowable                           | Object/Function Code              | Code Description  |
|-------------------------------------|-----------------------------------|---|
| <input checked="" type="checkbox"/> | 100<br>(Header Only – Do Not Use) | Salaries – Amounts paid to employees of the LEA who are in positions of a permanent nature or hired temporarily, including personnel substituting for those in permanent positions. This includes gross salary for personnel services rendered while on the payroll of the LEA. |
| <input checked="" type="checkbox"/> | 111                               | Compensation paid to school board members.  |
| <input checked="" type="checkbox"/> | 112                               | Salaries paid to the Superintendent.  |
| <input checked="" type="checkbox"/> | 113                               | Salaries paid to associate, deputy, or assistant superintendent(s).   |
| <input checked="" type="checkbox"/> | 114                               | Salaries paid to the Business Administrator.  |
| <input checked="" type="checkbox"/> | 115                               | Properly licensed special education personnel in direct supervision or coordination of special education programs (salaries paid to administrative supervisors and directors not in direct supervision or coordination of special education programs is not allowed).           |
| <input checked="" type="checkbox"/> | 121                               | Salaries paid to principals and assistant principals.   |
| <input checked="" type="checkbox"/> | 131                               | Salaries paid to licensed teachers, including those with associate status who teach students in special education.  |
| <input checked="" type="checkbox"/> | 132                               | Salaries paid to substitute teachers who teach students in special education.   |
| <input checked="" type="checkbox"/> | 133                               | Salaries paid to replacement teachers covering teachers who teach students in special education programs on sabbatical leave.   |

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| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 141                  | Salaries paid to attendance specialists and social workers who work directly with students in special education and the work that is related directly to students' IEPs.   |
| <input type="checkbox"/>            | 142                  | <p>Guidance counselors must be employees of an LEA or contracted with an LEA. Costs must be IEP-driven or related to the evaluation of a student. Day-to-day costs of services provided to all students are not allowed. If the position is not 100% dedicated to special education, work must be documented with personnel activity reports. Only time devoted to students with disabilities and special education activities is allowable.</p> <p>Salaries paid to guidance personnel who work directly with students in special education programs and the work that is related directly to students' IEPs.</p>         |
| <input checked="" type="checkbox"/> | 143                  | <p>Salaries paid to health personnel who are properly licensed and who are assigned to work with students in special education programs and the work that is related directly to students' IEPs. Health personnel costs must be IEP-driven or related to the evaluation of a student. Day-to-day costs of nursing services provided to all students are not allowed.</p> <p>Only the actual time providing related services required by IEPs or performing evaluations is allowed. If the position is not dedicated 100% to special education, school nurses must document their work with personnel activity reports.</p> |

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| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 144                  | Salaries paid to psychological services providers. Properly licensed personnel providing for the identification and evaluation of students with disabilities and for instructional or treatment services. |
| <input checked="" type="checkbox"/> | 145                  | Salaries paid to licensed media personnel.  |
| <input checked="" type="checkbox"/> | 151                  | Salaries paid to accounting, purchasing and other business service professional personnel.  |
| <input checked="" type="checkbox"/> | 152                  | Secretarial personnel assigned to work directly with special education programs.  |
| <input checked="" type="checkbox"/> | 161                  | Teachers' aides and paraprofessionals who teach students in special education and are properly trained and supervised by licensed special education personnel.  |
| <input checked="" type="checkbox"/> | 162                  | Unlicensed media personnel.   |
| <input checked="" type="checkbox"/> | 171                  | Salaries paid to student transportation supervisor/director.  |
| <input type="checkbox"/>            | 172                  | Salaries paid to bus drivers when related directly to students' IEPs.   |
| <input checked="" type="checkbox"/> | 173                  | Salaries paid to mechanics and other garage employees.  |

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| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 174                  | Salaries paid to other student transportation employees not identified in any of the other 17x codes when related directly to students' IEPs.                |
|    | 175                  | Salaries paid to bus aides when related directly to students' IEPs.  |
|    | 181                  | Salaries paid to maintenance supervisor/director.  |
|    | 182                  | Salaries paid to custodial and maintenance personnel.  |
|   | 184                  | Salaries paid to technology personnel who work directly with students in special education programs and the work that is related directly to students' IEPs. |
|  | 191                  | Salaries paid to food service personnel.   |
|  | 195                  | Salaries paid to athletic coaches.   |
|  | 198                  | Salaries paid to classified personnel other than those coded to Object 170 or 180.   |



TABLE 10: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (200–290)

| Allowable                           | Object/Function Code              | Code Description   |
|-------------------------------------|-----------------------------------|--|
| <input checked="" type="checkbox"/> | 200<br>(Header Only – Do Not Use) | Employee Benefits – Amounts paid by the LEA on behalf of employees; these amounts are not included in the gross salary but are over and above. Such payments are fringe benefits. While not paid directly to employees, they are part of the cost of personnel services. |
| <input checked="" type="checkbox"/> | 210                               | State retirement – Employer’s share of the cost of the State retirement plan (LEA 401(k) contributions to URS are coded here).   |
| <input checked="" type="checkbox"/> | 220                               | Social security – Employer’s share of the cost of social security contributions.   |
| <input checked="" type="checkbox"/> | 230                               | Local retirement (for individual special education personnel).   |
| <input checked="" type="checkbox"/> | 240                               | Group insurance (licensed and classified personnel assigned to programs for students with disabilities-prorated, if part-time).  |
| <input checked="" type="checkbox"/> | 241                               | If the LEA wishes to track these separately, group insurance licensed personnel.   |
| <input checked="" type="checkbox"/> | 242                               | If the LEA wishes to track these separately, group insurance classified personnel.   |
| <input checked="" type="checkbox"/> | 270                               | Industrial Insurance/Worker’s Compensation Insurance – (licensed and classified personnel assigned to programs for students with disabilities—prorated, if part-time).   |
| <input checked="" type="checkbox"/> | 280                               | Unemployment insurance (for individual special education personnel).   |
| <input checked="" type="checkbox"/> | 290                               | Other employee benefits (for individual special education personnel). Includes post-employment benefits for special education personnel.   |

TABLE 11: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (300–350)

| Allowable                           | Object/Function Code              | Code Description  |
|-------------------------------------|-----------------------------------|---|
| <input checked="" type="checkbox"/> | 300<br>(Header Only – Do Not Use) | Purchased Professional and Technical Services – Purchased services which by their nature can be performed only by persons with specialized skills and/or knowledge. Although a product may or may not result from the transaction, the primary reason for the purchase is the service provided. Excluded are services purchased from another LEA (see Objects 590–592). |
| <input checked="" type="checkbox"/> | 310                               | Official/Administrative Services – Services in support of the various policymaking and managerial activities of the LEA. Includes management consulting activities oriented to general governance or business and financial management of the LEA, school management support activities, and election and tax assessing and collection services.                        |
| <input checked="" type="checkbox"/> | 320                               | Professional Educational Services – Services supporting the instructional program and its administration. Includes instructional services, counseling and guidance services, and library and media support.   |








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| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 330                  | Employee Training and Development – Services supporting the professional and technical development of school district personnel, including instructional, administrative, and service employees. Includes course registration fees (that are not tuition reimbursement), charges from external vendors to conduct training courses (at either school district facilities or off-site), and other expenditures associated with training or professional development by third-party vendors including conference or workshop registration fees associated with conferences or workshops that do not require an overnight stay (those that do require an overnight stay should be coded to Object 580). All expenditures should be captured in this account regardless of the type or intent of the training course or professional development activity. Training for instructional staff should be used with Function 2213 when directly related to the special education program (usually used with Functions 2570 and 3100). |
| <input checked="" type="checkbox"/> | 340                  | Other Contracted Professional Services. Professional services other than educational in support of the operation of the LEA. Included are the services of accountants, auditors, architects, engineers, consultants, dentists, medical doctors, teachers, therapists, audiologists, dieticians, editors, negotiations specialists, planners, etc.   |
| <input checked="" type="checkbox"/> | 341                  | Other Contracted Professional Services – Teacher salaries for those working directly with students in special education programs including job coaches  |







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| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 342                  | Other Contracted Professional Services – Teacher benefits for those working directly with students in special education programs.  |
| <input checked="" type="checkbox"/> | 343                  | Other Contracted Professional Services – LEA administration salaries (Special Education Director or Coordinator only).   |
| <input checked="" type="checkbox"/> | 344                  | Other Contracted Professional Services – LEA administration benefits (Special Education Director or Coordinator only).   |
| <input checked="" type="checkbox"/> | 345                  | Other Contracted Professional Services – Business Services.  |
| <input type="checkbox"/>            | 349                  | <p>Purchased Legal Services – Payments made for contracted legal services. Contracted staff training, in-service, or policy development and review are allowable.</p> <p>Not allowable: Attorney fees for IDEA State complaints, due process hearings, representation at IEP Team meetings, facilitated IEP Team meetings, mediation sessions, or any student-specific consultation.</p> |
| <input checked="" type="checkbox"/> | 350                  | Technical Services – Contracted services to the LEA which are not regarded as professional but require basic scientific knowledge and/or manual skills. Includes data processing services, system analysts, purchasing and warehousing services, graphic arts etc.   |

TABLE 12: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (400–490)

| Allowable   | Object/Function Code              | Code Description  |
|---|-----------------------------------|---|
|    | 400<br>(Header Only – Do Not Use) | Purchased Property Services – Amounts paid for services rendered by organizations or personnel not on the payroll of the LEA to operate, repair, maintain, insure, and rent property owned and/or used by the LEA.  |
|    | 410                               | Utility Services – Expenditures for utility services other than energy services supplied by public or private organizations. Water and sewage as well as garbage collection/disposal services are included here (telephone services are coded to Object 530). |
|    | 411                               | Water/Sewage related to the operation of special education only building.   |
|  | 412                               | Disposal Service related to the operation of special education only building.   |
|  | 420                               | Cleaning Services – Services purchased to provide custodial and other building cleaning services other than those provided by LEA personnel.  |
|  | 430                               | Repairs and Maintenance Services – Expenditures for repairs and maintenance services not provided directly by LEA personnel and includes both non-technology and technology-related repairs and maintenance for programs for students with disabilities.      |
|  | 431                               | Non-Technology Repairs and Maintenance for programs for students with disabilities.   |

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| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 432                  | Technology-Related Repairs and Maintenance for programs for students with disabilities.  |
|    | 433                  | Custodial Services.  |
|    | 440                  | <p>Costs for temporary and long-term renting or leasing of land and buildings, equipment and vehicles, and computers, and related equipment.</p> <p>LEAs may rent space for alternative special education programs under the following limited circumstances: the special education program must be housed off district property, it must serve only students with disabilities, and it must be required as part of the student's placement. A detailed description is required in the grant budget.</p> |
|  | 441                  | Rental of land and buildings.  |
|  | 442                  | Rental of Equipment and Vehicles – Costs for temporary and long-term rental of equipment and vehicles for programs for students with disabilities.   |
|  | 443                  | Rental of Computers and Related Equipment – Costs for temporary and long-term rental of computers and related equipment for programs for students with disabilities.   |

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| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|  | 450                  | Construction Services – Costs for constructing, renovating, and remodeling buildings or infrastructure assets by contractors (use only with Function 4000). Capital assets being constructed are coded here whereas capital assets being purchased are coded to Object 7xx. |
|  | 490                  | Other Purchased Property Services – Purchased property services that are not classified above.  |

TABLE 13: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (500–550)

| Allowable   | Object/Function Code              | Code Description   |
|---|-----------------------------------|--|
|  | 500<br>(Header Only – Do Not Use) | Amounts paid for services rendered by organizations or personnel not on the payroll of the LEA other than Professional and Technical Services (300) or Property Services (400) |
|  | 510                               | Student Transportation Services (to/from school) – when related directly to students’ IEPs.  |
|  | 511                               | Student Transportation Services (from another LEA within the State) – when related directly to students’ IEPs.   |
|  | 512                               | Student Transportation Services (from another LEA outside of State) – when related directly to students’ IEPs.   |
|  | 513                               | Student Transportation Services (commercial)—when related directly to students’ IEPs.  |



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| Allowable  | Object/Function Code | Code Description   |
|--|----------------------|--|
|   | 514                  | Student Transportation Services (student allowances)—when related directly to students' IEPs.        |
|   | 515                  | Payments in lieu of transportation when related directly to students' IEPs.                          |
|   | 516                  | Payments in lieu of dead miles when related directly to students' IEPs.                              |
|   | 517                  | Student travel overnight when related directly to students' IEPs.                                    |
|  | 518                  | Student Day Trips/Field Trips (including admission charges) when related directly to students' IEPs. |



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| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 520                  | <p>Insurance (Other than employee benefits) – Expenditures for all types of insurance coverage such as property (the loss of or damage to property owned or leased by the LEA from fire, theft, storm, etc.) and liability (loss caused by school board members and their employees due to accident or neglect, or judgments awarded against the LEA or its officers). Premiums for fidelity bonds guaranteeing the LEA against losses resulting from the actions of the treasurer, employees, or other persons of the LEA. Expenditures made in lieu of fidelity bonds. (Payments of judgments awarded against the LEA, not covered by insurance or fidelity bonds, are coded to Object 820. Employee insurance costs provided as benefits such as group medical, dental, workmen's compensation, etc., are coded to Object 2xx. Pupil transportation insurance are coded to Object 510.)</p> |
| <input checked="" type="checkbox"/> | 521                  | Property Insurance.  |
| <input checked="" type="checkbox"/> | 522                  | Liability Insurance.   |
| <input checked="" type="checkbox"/> | 523                  | Fidelity Bond Premiums.  |

| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | <p>530</p>           | <p>Communication devices are allowed ONLY for special education activities. If a device is also used for other non-special education activities, documentation is required of the extent to which it is used for special education and the other activities. Costs for personal use are not allowed.</p> <p>Communication (Telephone and Other) – Services provided by persons or businesses to assist in transmitting and receiving messages or information. This category includes telephone and voice communication services; data communication services to establish or maintain computer-based communications, networking, and internet services; video communications services to establish or maintain one- or two-way video communications via satellite, cable, or other devices; and postal communications services to establish or maintain postage machine rentals, postage, express delivery services, and couriers. Includes license fees and fees for services such as subscriptions to research materials over the internet. (Expenditures for software are coded to Object 650 [if not capitalized] or Object 736 [if eligible for capitalization.]).</p> |
|  | <p>540</p>           | <p>Advertising – Expenditures for printed announcements in professional periodicals and newspapers or announcements broadcast by radio and television networks. These expenditures include advertising for such purposes as personnel recruitment.</p>  |










| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|  | 550                  | Printing and Binding – Expenditures for job printing and binding usually according to specifications of the LEA. Includes the designing and printing of forms and posters as well as printing and binding of LEA publications. (Preprinted standard forms are coded to Object 610.) |

TABLE 14: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (560–580)

| Allowable   | Object/Function Code              | Code Description   |
|---|-----------------------------------|--|
|    | 560<br>(Header Only – Do Not Use) | Tuition – Expenditures to reimburse other educational agencies for services rendered to students residing in the legal boundaries described for the paying LEA.  |
|  | 561                               | Tuition – To other LEAs within the State. Tuition for a preschool program is allowed if charged for a placement made by an LEA to provide a student with a FAPE. Only the cost of the time necessary to provide FAPE is allowed, including time when special education services are provided by LEA staff in the preschool setting. If the parent enrolls the student in the non-LEA preschool program for additional time, the parent is responsible for the tuition, and the cost of the additional time is not allowed. |

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 562                  | <p>Tuition – To other LEAs outside the State. Tuition for a preschool program is allowed if charged for a placement made by an LEA to provide a student with a FAPE. Only the cost of the time necessary to provide FAPE is allowed, including time when special education services are provided by LEA staff in the preschool setting. If the parent enrolls the student in the non-LEA preschool program for additional time, the parent is responsible for the tuition, and the cost of the additional time is not allowed.</p>     |
|  | 563                  | <p>Tuition – To private schools. Tuition for a preschool program is allowed if charged for a placement made by an LEA to provide a student with a FAPE. Only the cost of the time necessary to provide FAPE is allowed, including time when special education services are provided by LEA staff in the preschool setting. If the parent enrolls the student in the non-LEA preschool program for additional time, the parent is responsible for the tuition, and the cost of the additional time is not allowed.</p>                  |
|  | 564                  | <p>Tuition – To charter schools within the State. Tuition for a preschool program is allowed if charged for a placement made by an LEA to provide a student with a FAPE. Only the cost of the time necessary to provide FAPE is allowed, including time when special education services are provided by LEA staff in the preschool setting. If the parent enrolls the student in the non-LEA preschool program for additional time, the parent is responsible for the tuition, and the cost of the additional time is not allowed.</p> |






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| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 565                  | <p>Tuition – To postsecondary schools.<br/>                     Tuition for a preschool program is allowed if charged for a placement made by an LEA to provide a student with a FAPE. Only the cost of the time necessary to provide FAPE is allowed, including time when special education services are provided by LEA staff in the preschool setting. If the parent enrolls the student in the non-LEA preschool program for additional time, the parent is responsible for the tuition, and the cost of the additional time is not allowed.</p>               |
|  | 568                  | <p>Student tuition to other LEAs or voucher programs<br/>                     Tuition for a preschool program is allowed if charged for a placement made by an LEA to provide a student with a FAPE. Only the cost of the time necessary to provide FAPE is allowed, including time when special education services are provided by LEA staff in the preschool setting. If the parent enrolls the student in the non-LEA preschool program for additional time, the parent is responsible for the tuition, and the cost of the additional time is not allowed.</p> |
|  | 569                  | <p>Tuition – Other.<br/>                     Tuition for a preschool program is allowed if charged for a placement made by an LEA to provide a student with a FAPE. Only the cost of the time necessary to provide FAPE is allowed, including time when special education services are provided by LEA staff in the preschool setting. If the parent enrolls the student in the non-LEA preschool program for additional time, the parent is responsible for the tuition, and the cost of the additional time is not allowed.</p>                                  |









X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input type="checkbox"/>            | <b>570</b>           | Food Service Management – Expenditures for the operation of a local food services facility other than by employees of the LEA. Includes contracted services, such as food preparation, associated with the food service operation. (Use only with Function 3100.)   |
| <input checked="" type="checkbox"/> | <b>580</b>           | Approved Travel for Personnel (in conjunction with their assignments to special education programs/services) – Expenditures for transportation, meals, hotel, conference registration, and other expenses associated with overnight staff travel for the LEA. Includes payments for per-diem in lieu of reimbursements for staff travel costs.<br>Registration Fees for Conferences and Workshops<br>If attendance at the conference or workshop requires an overnight stay, the registration fee should be considered as part of the travel cost and coded to Object 580. The “overnight stay” criteria should be applied to all components of the travel cost including airfare, lodging, meals, ground transportation, etc.<br>If attendance at the conference or workshop does not require an overnight stay, the registration fee should be considered professional development and coded to Object 330. |





TABLE 15: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (590–689)



| Allowable   | Object/Function Code              | Code Description  |
|---|-----------------------------------|---|
|    | 590<br>(Header Only – Do Not Use) | Inter-educational, Interagency Purchased Services – Purchased services other than those described above. Payments to another LEA outside the State for services rendered, other than tuition and transportation fees, are coded here. Examples of such services are data processing, purchasing, nursing and guidance. Where a question arises as to whether to code such payments to the 300 series Objects or to Object 592, 592 should be used in order that all inter-LEA payments can be eliminated when consolidating reports from multiple LEAs at State and Federal levels. |
|  | 591                               | Services purchased from another LEA within the State.   |
|  | 592                               | Services purchased from another LEA outside the State.  |
|  | 610                               | General Supplies – Expenditures for all special education program supplies (other than those listed below) for the operation of an LEA, including freight. A more thorough classification of general supplies is achieved by identifying the object with the function. (Use with all Functions except 5000.)  |
|  | 615                               | Student Uniforms, clothing – Expenditures related to special shoes or items of clothing a school requires a student to wear related to a curricular, cocurricular or extra-curricular activity. General school uniforms are coded to Object 610.  |

X. Special Education Funding

| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 621                  | Natural Gas related to the operation of special education only building.  |
|    | 622                  | Electricity related to the operation of special education only building.  |
|    | 623                  | Bottled Gas related to the operation of special education only building.  |
|    | 624                  | Fuel Oil related to the operation of special education only building.   |
|   | 625                  | Coal related to the operation of special education only building.   |
|  | 626                  | Motor Fuel (Gasoline and Diesel) – for vehicles used for programs for students with disabilities.                             |
|  | 629                  | Other.  |
|  | 630                  | Expenditures for food to operate the school food service program. Food used in instructional programs is coded to Object 610. |



| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 640                  | <p>Expenditures for books, textbooks, and periodicals, whether in physical or electronic form, prescribed and available for general use by students, including any reference books. Includes workbooks or other organized systems or learning packages which constitute the instructional vehicle for a given course, subject, or grade level or a significant portion of a given course, subject, or grade level. This category also includes the cost of textbook and library book binding or repairs, as well as textbooks which are purchased to be resold or rented. Includes expenditures for purchases of library books, whether in physical or electronic form, which are those books provided for enrichment, extension, or study in depth. The materials may be general or specialized in nature. These are books purchased for general use and not primarily for use in certain classes, grades, or other particular student groups. They include reference sets and dictionaries.</p> |
|  | 641                  | <p>Textbooks for special education programs/services.</p>   |
|  | 642                  | <p>eTextbooks for special education programs/services.</p>  |
|  | 644                  | <p>Library books for special education programs/services.</p>   |

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | <p>650</p>           | <p>If an LEA has decided to equip classrooms in a school and charges the IDEA grant a prorated amount based upon the number of students with disabilities in the school, acquisition of computers is NOT an excess cost, and therefore NOT allowed. The equipment is an excess cost when related to the unique needs of a particular student with a disability. It may be provided in a regular education class or other education-related setting, even if one or more students who are nondisabled benefit. When the equipment is no longer needed to meet the unique needs of a student with a disability, it must be managed or disposed of in accordance with 34 CFR § 80.32.</p> <p>Technology-related supplies include supplies that are typically used in conjunction with technology-related hardware or software, security equipment, where per unit costs are less than \$5,000. Some examples are CDs, flash or jump drives, parallel cables, and monitor stands. E-readers, including Kindles, and iPads, that fall below capitalization thresholds should be reported here as well. Software costs below the capitalization threshold should be reported here. Licenses and fees for services such as subscriptions to research materials over the Internet should be reported under 530 Communications.</p> |
|  | <p>670</p>           | <p>Software – used directly with special education programs/services and is related directly to students’ IEPs.</p>  |

X. Special Education Funding














| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 680                  | Maintenance Supplies and Materials – Expenditures for lubricants for school buses and other equipment, tires and tubes for school buses and other equipment, repair parts for school buses, other vehicles, and garage equipment for vehicles used only for/by the special education program. |
|    | 681                  | Lubricants for vehicles used only for/by the special education program.   |
|    | 682                  | Tires and tubes for vehicles used only for/by the special education program.  |
|   | 683                  | Repair parts for buses and other vehicles used only for/by the special education program.   |
|  | 684                  | Repair parts for garage equipment.  |
|  | 689                  | Miscellaneous for vehicles used only for/by the special education program.  |

TABLE 16: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (700–795)

| Allowable   | Object/Function Code              | Code Description   |
|---|-----------------------------------|--|
|  | 700<br>(Header Only – Do Not Use) | Property – Expenditures for acquiring capital assets, including land, existing buildings, existing infrastructure assets, and equipment. Typically, these are assets that individually exceed the capitalization threshold established by the LEA. |

| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 710                  | <p>Land and Site Improvements – Expenditures for the purchase of land and the improvements thereon. Includes purchases of air rights, mineral rights and the like are included here, as well as special assessments against the LEA for capital improvements such as streets, curbs, and drains. (Expenditures for improving sites and adjacent ways after acquisition by the LEA are generally coded to Object 450 or 340 as appropriate.)</p>   |
|  | 720                  | <p>Buildings – Expenditures for acquiring existing buildings, major permanent structural alterations, renovations, fire protection systems, and other service systems. Includes are expenditures for installment or lease payments (except interest) which have a terminal date and result in the acquisition of buildings, except payments to public school-housing authorities or similar agencies. Excludes expenditures for the contracted construction of buildings, contracted major permanent structural alterations, and for the initial or additional installation of heating and ventilating systems, electrical systems, plumbing systems, fire protection systems, and other service systems in existing buildings which are coded to Object 450. Also excludes buildings built and alterations performed by the LEA staff which are coded as Salary and Benefits (100 and 200), Supplies (610), or Equipment (730), etc.</p> |

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 730                  | <p>LEAs' computer networking costs are provided LEA-wide and are not excess costs of special education.</p> <p>Equipment for the special education programs and for special education services. Includes items that individually exceed the capitalization threshold established by the LEA. Examples: machinery such as lathes or drill presses or printing presses, etc.; school buses; non-bus vehicles; furniture; technology-related equipment and technology infrastructure such as network equipment, servers, and software used for educational or administrative purposes; etc.</p>                                   |
|   | 731                  | Machinery.   |
|  | 732                  | <p>School Buses. Vehicles purchased with IDEA funds may be used ONLY to transport students with disabilities who require special assistance in transportation (special transportation or additional transportation), including students with disabilities attending regular classes. Buses or other vehicles purchased and used in part for students with disabilities may be purchased with a prorated portion of IDEA funds. A detailed description is required in the grant budget.</p> <p>Costs must be necessary and reasonable. LEAs must have prior approval from the USBE to use IDEA funds to purchase a vehicle.</p> |
|  | 733                  | Furniture and fixtures for special education programs/services.  |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 734                  | Technology related hardware for special education programs/services.   |
| <input checked="" type="checkbox"/> | 735                  | Non-bus vehicles.  |
| <input checked="" type="checkbox"/> | 736                  | Technology software for special education programs/services.   |
| <input checked="" type="checkbox"/> | 739                  | Other equipment for special education programs/services.   |
| <input checked="" type="checkbox"/> | 740                  | Infrastructure – Expenditures for purchased infrastructure assets by the LEA. Includes water/sewer systems, roads, bridges, and other assets that have significantly longer useful lives than other capital assets.  |
| <input checked="" type="checkbox"/> | 750                  | Other Intangible Assets – Expenditures for intangible assets not coded in Object 734 or 736.   |
| <input checked="" type="checkbox"/> | 790                  | Depreciation and Amortization – The portion of the cost of an asset which is charged as an expense during a particular period. In accounting for depreciation/amortization, the cost of an asset, less any salvage value, is apportioned over the estimated service life of such an asset, and each period is charged with a portion of such cost. Through this process, the cost of the asset is ultimately charged off as an expense. (Only use in Enterprise Funds and Non-Profit organizations.) |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description                     |
|-------------------------------------|----------------------|--------------------------------------|
| <input checked="" type="checkbox"/> | 795                  | Lease depreciation and amortization. |

TABLE 17: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (800–890)

| Allowable                           | Object/Function Code              | Code Description   |
|-------------------------------------|-----------------------------------|--|
| <input checked="" type="checkbox"/> | 800<br>(Header Only – Do Not Use) | Debt Service and Miscellaneous – Amounts paid to service debt as well as for goods and services not otherwise classified above.  |
| <input checked="" type="checkbox"/> | 810                               | Dues and Fees – Expenditures or assessments for membership in professional or other organizations or associations, or payments to a paying agent for services rendered for special education programs/services.  |
| <input checked="" type="checkbox"/> | 820                               | Judgments Against the LEA – Expenditures from current funds for all judgments (except as indicated below) against the LEA that are not covered by liability insurance but are of a type that might have been covered by insurance. Only amounts paid as the result of court decisions are recorded here. Judgments against the LEA resulting from failure to pay bills or debt service are coded under the appropriate expenditure accounts as though the bills or debt service had been paid when due. (Use only with Function 2310.) |
| <input checked="" type="checkbox"/> | 830                               | Interest on Debt – Expenditures for interest on bonds or notes. (Use only with Function 5000.) Expenditures for interest on short-term debt or tax anticipation notes. (Use only with Function 2510.)  |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 831                  | Interest on leases.  |
| <input checked="" type="checkbox"/> | 833                  | Bond issuance costs other than on refundings. The amortization of bond discounts which are the portion of the sale of bonds below their par value that represents an adjustment of the interest rate. (Use only with Function 5000.) (Amortization of bond premiums is coded to a revenue code.) |
| <input checked="" type="checkbox"/> | 840                  | Outlays from current funds to retire bonds, and long-term loans. (Use only with Function 5000.)  |
| <input checked="" type="checkbox"/> | 841                  | Outlays for leases.  |
| <input checked="" type="checkbox"/> | 845                  | Bond issuance costs on refundings. (Use only with Function 5000.)  |
| <input checked="" type="checkbox"/> | 850                  | This account is provided for the budgeting of appropriations. Expenditures to be paid from the contingency should be coded to the appropriate function and object classification.  |
| <input checked="" type="checkbox"/> | 860                  | Indirect Costs (Non-restricted) – Indirect costs chargeable to grants which are subject to regulations or policies but costs include certain additional elements such as space, custodial and utility costs in the calculation of the rate.  |



| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 870                  | <p>Indirect Costs (Restricted) – The LEA’s restricted indirect cost rate is calculated by the USBE. Individual cost may not be charged both directly to the grant and to the indirect cost pool.</p> <p>Indirect costs chargeable to grants which are subject to regulations or policies limiting the amount of allowable indirect costs.</p> |
| <input checked="" type="checkbox"/> | 890                  | <p>Amounts paid for goods or services not properly classified in one of the Objects included above.</p>   |

**TABLE 18: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (1000–2190)**

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 1000                 | <p>Instruction – Activities dealing directly with the interaction between teachers and students. Teaching may be provided for students in a school classroom, in another location such as a home or hospital, and in other learning situations such as those involving co-curricular activities. It may also be provided through some other medium such as television, radio, telephone, and correspondence.</p> <p>Includes the activities of aides or assistants of any type (e.g., clerk, graders, teaching machines, etc.) which assist in the instructional process.</p> |
| <input checked="" type="checkbox"/> | 2100                 | <p>Support Services (Students) – Those activities that are designed to assess and improve the well-being of students and to supplement the teaching process.</p>  |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 2110                 | Attendance and Social Workers—Those activities that are designed to assess and improve student attendance at school that attempt to prevent or solve student problems involving the home, the school, and the community and the work that is related directly to students’ IEPs.  |
| <input checked="" type="checkbox"/> | 2120                 | Guidance Services—Those activities of counseling students and parents, providing consultation with other staff members on learning problems, assisting students in personal and social development, assessing the abilities of students, assisting students as they make their own educational and career plans and choices, providing referral assistance, and working with other staff members in planning and conducting guidance programs for students and the work that is related directly to students’ IEPs.   |
| <input checked="" type="checkbox"/> | 2130                 | Health Services – Physical and mental health services that are not direct instruction such as activities that provide students with appropriate medical, dental, and nurse services and the work that is related directly to students’ IEPs. Costs for claiming Medicaid funds, including third-party administrators. The costs for administering the Medicaid school-based services (SBS) program, including fixed fees charged by third-party administrators, are eligible costs under the SBS program, either as direct costs or through the non-restricted indirect cost rate. Costs for administering the Medicaid SBS program may not be charged to the IDEA grant, because they are not necessary for the performance of the IDEA grant. |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 2140                 | Psychological Services– Activities concerned with administering psychological tests and interpreting the results; gathering and interpreting information about student behavior; and working with other staff members in planning school programs to meet the special needs of students and related directly to students’ IEPs. |
| <input checked="" type="checkbox"/> | 2150                 | Speech Pathology and Audiology Services – Activities that identify, assess, and treat students with speech, hearing, and language impairments.  |
| <input checked="" type="checkbox"/> | 2160                 | Occupational Therapy Related Services— Activities that assess, diagnose, or treat students for all conditions requiring the services of an occupational therapist.  |
| <input checked="" type="checkbox"/> | 2170                 | Physical Therapy Related Services— Activities that assess, diagnose, or treat students for all conditions requiring the services of a physical therapist.   |
| <input checked="" type="checkbox"/> | 2180                 | Visually Impaired/Vision Services— Activities that assess diagnose or treat students for all conditions relating to visual impairment.  |
| <input checked="" type="checkbox"/> | 2190                 | Other Support Services (Students).  |

TABLE 19: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (2200–2400)

| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 2200                 | Support Services (Instructional Staff Assistance)—Activities associated with assisting the instructional staff with the content and process of providing learning experiences for students with disabilities and when uniquely related to the special education program.   |
| <input checked="" type="checkbox"/> | 2210                 | Improvement of Instruction Services—Those activities that are designed primarily for assisting instructional staff in planning, developing, and evaluating the process of planning, developing, and evaluating the process of providing learning experiences for students that include curriculum development, techniques of instruction, child development and understanding, staff training, etc., when uniquely related to the special education program. |
| <input checked="" type="checkbox"/> | 2212                 | Instruction and Curriculum Development Services—Activities designed to aid teachers in developing the curriculum, preparing and utilizing special curriculum materials, and understanding and appreciating the various techniques which stimulate and motivate students when uniquely related to the special education program.  |
| <input checked="" type="checkbox"/> | 2213                 | Instruction Staff Training Services—Activities designed to contribute to the professional or occupational growth and competence of members of the instructional staff during the time of their service to the LEA or school that include workshops, demonstrations, school visits, courses for college credit, sabbatical leaves, and travel leaves when uniquely related to the special education program.  |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description   |
|-------------------------------------|----------------------|--|
| <input checked="" type="checkbox"/> | 2219                 | Other Improvement of Instruction Services (when uniquely related to the special education program).  |
| <input checked="" type="checkbox"/> | 2220                 | Library/Media Services—Activities concerned with directing, managing, and supervising educational media services (e.g., supervisory personnel) as well as such activities as selecting, acquiring, preparing, cataloging, and circulating books and other printed materials; planning for the use of the library by students, teachers, and other members of the instructional staff; and guiding individuals in their use of library books, reference guides and materials, catalog materials, special collections, and other materials, whether maintained separately or as part of an instructional materials center and when uniquely related to the special education program |
| <input checked="" type="checkbox"/> | 2230                 | Instruction Related Technology—Technology activities and services for the purpose of supporting instruction which include expenditures for internal technology support as well as support provided by external vendors using operating funds, costs associated with the administration and supervision of technology personnel, systems planning and analysis, systems application development, systems operations, network support services, hardware maintenance and support services, and other technology-related costs that relate to the support of instructional activities when uniquely related to the special education program.   |

X. Special Education Funding

| Allowable                           | Object/Function Code | Code Description  |
|-------------------------------------|----------------------|---|
| <input checked="" type="checkbox"/> | 2240                 | Academic Student Assessment—Services rendered for the academic assessment of students that are not initiated by the teacher, but by the LEA or USBE when uniquely related to the special education program.   |
| <input checked="" type="checkbox"/> | 2290                 | Other Support Services (Instructional Staff)—Services uniquely provided to the special education program.   |
| <input type="checkbox"/>            | 2300                 | <p>Support Services (General LEA Administration)—Activities concerned with establishing and administering policy in connection with operating the LEA that include:</p> <p>Board of Education Services—Activities of the elected body that have been created according to State law and vested with responsibilities for educational activities in a given administrative unit.</p> <p>Executive Administration—Activities associated with the overall general administration of or executive responsibility for the entire LEA (Office of the Superintendent, Community Relations, State and Federal Relations, and Other Executive Administration).</p> |











| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|  | 2400                 | Support Services (School Administration)—Activities concerned with overall administrative responsibility for a single school that include: Office of the Principal – Activities concerned with directing and managing the operation of a particular school or schools. Included are the activities performed by the principal, assistant principals, and other assistants in general supervision of all operations of the school; evaluation of the staff members of the school; assignment of duties to staff members; supervision and maintenance of the school records; and coordination of school instructional activities with instructional activities of the LEA. Includes clerical staff for those activities. |

TABLE 20: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (2500–2790)




| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|  | 2500                 | Support Services (Central Services) – Activities that support other administrative and instructional functions.   |
|  | 2510                 | Fiscal Services – Activities concerned with the fiscal operations of the LEA. This function includes budgeting, receiving and disbursing, financial and property accounting, payroll, inventory control, internal auditing, and funds managing. |
|  | 2520                 | Purchasing, Warehousing, and Distributing Services – Activities concerned with purchasing, receiving, storing, and distributing supplies and materials used in schools or school system operations.   |

X. Special Education Funding





| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 2530                 | Printing, Publishing, and Duplicating Services – The activities of printing and publishing administrative publications such as annual reports, school directories, and manuals. Also includes centralized services for duplicating school materials and instruments such as school bulletins, newsletters, and notices. |
|    | 2540                 | Planning, Research, Development, and Evaluation Services—Activities associated with conducting and managing system-wide programs of planning, research, development, and evaluation for a school system.  |
|    | 2560                 | Public Information Systems—Activities concerned with writing, editing, and other preparation necessary to disseminate educational and administrative information to students, staff, managers, and the general public.  |
|  | 2570                 | Personnel Services – Activities concerned with maintaining efficient personnel for the school system including supervision of personnel services, recruitment and placement, personnel information, noninstructional personnel training, health services for LEA employees, and other personnel services.               |
|  | 2580                 | Administrative Technology Services – Activities concerned with supporting the LEA's information technology systems, including supporting administrative networks, maintaining administrative information systems, and processing data for administrative and managerial purposes.                                       |
|  | 2590                 | Other Support Services.   |








X. Special Education Funding

| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 2600                 | <p>Operation and Maintenance of Plant Services – Activities concerned with keeping the physical plant open, comfortable, and safe for use, and keeping the grounds, buildings, and equipment in an effective working condition and state of repair. Includes activities that maintain safety in buildings, on the grounds, and in the vicinity of schools are included. Only allowable when uniquely related to the operation of to stand alone special education programs, postsecondary transition programs and special education preschools.</p> |
|  | 2610                 | <p>Operation of Buildings – Activities concerned with keeping the physical plant clean and ready for daily use Includes operating the heating, lighting, and ventilating systems, and repair and replacement of facilities and equipment, as well as the costs of building rental and property insurance. Only allowable when uniquely related to the operation of to stand alone special education programs, postsecondary transition programs and special education preschools.</p>   |
|  | 2620                 | <p>Maintenance of Buildings – Activities associated with keeping buildings at an acceptable level of efficiency through repairs and preventative maintenance. Only allowable when uniquely related to the operation of to stand alone special education programs, postsecondary transition programs and special education preschools.</p>   |

X. Special Education Funding

| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 2630                 | Care and Upkeep of Grounds Services – Activities of maintaining the land and its improvements other than buildings. Includes snow removal, landscaping, grounds maintenance, etc. Only allowable when uniquely related to the operation of to stand alone special education programs, postsecondary transition programs and special education preschools.   |
|    | 2640                 | Care and Upkeep of Equipment Services – Activities concerned with maintaining, in good condition, equipment owned or used by the LEA. Includes activities such as servicing and repairing furniture, machines, and movable equipment, etc. Only allowable when uniquely related to the operation of to stand alone special education programs, postsecondary transition programs and special education preschools.  |
|  | 2650                 | Vehicle Servicing and Maintenance Services – Activities concerned with maintaining general-purpose vehicles such as trucks, tractors, graders, and staff vehicles in good condition. Includes activities such as repairing vehicles, replacing vehicle parts, cleaning, painting, greasing, fueling, and inspecting vehicles for safety (i.e., preventive maintenance). Only allowable when uniquely related to the operation of to stand alone special education programs, postsecondary transition programs and special education preschools. |
|  | 2660                 | Security Services – Activities concerned with maintaining a secure environment for students and staff, whether they are in transit to or from school, on a campus or administrative facility, or participating in school-sponsored events.  |

X. Special Education Funding

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 2670                 | Safety – Activities concerned with maintaining a safe environment for students and staff, whether they are in transit to or from school, on a campus or administrative facility, or participating in school-sponsored events. Includes fire alarms, crossing guards, as well as other costs incurred to ensure the basic safety of students and staff. |
|    | 2680                 | Other Operation and Maintenance of Plant Services.   |
|    | 2700                 | Student Transportation Services— Activities concerned with the conveyance of students to and from school, as provided by State law. Includes trips between home and school and trips to school activities.   |
|  | 2710                 | Vehicle Operation Services—Activities concerned with operating vehicles for pupil transportation from the time the vehicles leave the point of storage until they return to that point. Includes driving buses or other pupil transportation vehicles.   |
|  | 2720                 | Monitoring Services – Activities concerned with supervising students in the process of being transported between home and school and while being transported for school activities. Includes supervision while in transit and while being loaded and unloaded and directing traffic at the loading and unloading stations.                             |












| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|  | 2730                 | Vehicle Servicing and Maintenance Services—Activities concerned with maintaining pupil transportation vehicles in good condition, including repairing vehicles; replacing vehicle parts; and cleaning, painting, greasing, fueling, and inspecting vehicles for safety. Replacing a vehicle chassis or body individually is considered equipment and is charged to property. |
|  | 2790                 | Other Student Transportation Services (Transportation Services from Special Activities).   |








TABLE 21: ALLOWANCES FOR FEDERAL (IDEA) SPECIAL EDUCATION FUNDS (3000–5900)

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|  | 3000                 | Operation of Non-Instructional Services – Activities concerned with providing non-instructional services to students, staff or the community.  |
|  | 3300                 | Community Services – Activities concerned with providing community services to students, staff or other community participants which include community recreation programs, the operation of a community swimming pool, civic services, the operation of a public library, a childcare center, welfare activities services, LEA Foundations, and services provided to school-age children not related to public education. |
|  | 4000                 | Facilities Acquisition and Construction Services.  |

X. Special Education Funding

| Allowable   | Object/Function Code | Code Description  |
|---|----------------------|---|
|    | 4100                 | Land Acquisition Services Activities – Pertaining to the initial acquiring and improving land.  |
|    | 4200                 | Land Improvement Services – Activities concerned with making permanent improvements to land, such as grading, fill, and environmental remediation.  |
|    | 4300                 | Architecture and Engineering Services – The activities of architects and engineers related to site acquisition and improvement and to improvements to buildings. Codes are used with this function only for those preliminary activities that may or may not result in additions to the LEA's property. Otherwise, these services are used with Functions 4100, 4200, 4500, or 4600 as appropriate. |
|  | 4400                 | Educational Specification Development Services – Activities concerned with preparing and interpreting descriptions of specific space requirements for the various learning experiences of students to be accommodated in a building. These specifications are interpreted to the architects and engineers in the early stages of blueprint development.   |
|  | 4500                 | Building Acquisition and Construction Services—Activities concerned with building acquisition through purchase or construction.   |
|  | 4600                 | Site Improvement – Activities concerned with making nonpermanent improvements or enhancements to building sites. Includes fencing, walkways, tunnels, and temporary landscaping.  |

X. Special Education Funding

| Allowable   | Object/Function Code | Code Description   |
|---|----------------------|--|
|    | 4700                 | Building Improvement – Activities concerned with building additions and with installing or extending service systems and other built-in equipment.   |
|    | 4900                 | Other Facilities Acquisition and Construction Services – Facilities acquisition and construction activities that cannot be classified above.   |
|    | 5000                 | Debt Service – Activities related to servicing the long-term debt of the LEA, including payments of both principal and interest. This function should be used to account for bond interest payments, retirement of bonded debt (including current and advance refunds), capital lease payments, and other long-term notes. Interest on short-term notes or loans (repayable within one year of receiving the obligation) is used with Function 2510. |
|  | 5100                 | Bonds.   |
|  | 5200                 | Tax Anticipation Notes.  |
|  | 5300                 | Lease-Purchase Agreements.   |
|  | 5900                 | Other Debt Service.  |

**X.S. LEAST RESTRICTIVE ENVIRONMENT (LRE) (34 CFR § 300.114).**

1. Each LEA must ensure that:
  - a. To the maximum extent appropriate, students with disabilities, including students in public or private institutions or other care facilities (e.g., nursing homes), are educated with similar-aged students who are nondisabled; and
  - b. Special classes, separate schooling, or other removal of students with disabilities from the regular educational environment occurs only if the nature or severity of the disability is such that education in regular classes with the use of supplementary aids and services cannot be achieved satisfactorily. In the case of a student who is deaf or hard of hearing, consideration of a special class or school may be the least restrictive environment in that it provides opportunities for direct communication and instruction in the student's language and communication mode with professional personnel and peers.
  - c. LRE provisions apply to preschool and postsecondary transition programs and placement.
2. A state funding mechanism must not result in placements that violate the LRE requirements of these SpEd Rules III.P.–S.
3. A state must not use a funding mechanism by which the state distributes funds on the basis of the type of setting in which a student is served that will result in the failure to provide a student with a disability a FAPE according to the unique needs of the student, as described in the student's IEP.

**X.T. DOCUMENTATION DEMONSTRATING THAT FUNDS WERE USED FOR ALLOWABLE COMPENSATION—PERSONNEL SERVICES.**

1. Documentation is required for personnel paid with State and Federal special education funds. Documentation requirements follow 2 CFR § 200.430.
2. Unless otherwise noted, the supporting documentation is sufficient if it conforms with the following requirements:

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- a. Be supported by a system of internal control which provides reasonable assurance that the charges are accurate, allowable, and properly allocated;
- b. Be incorporated into official records;
- c. Comply with established accounting policies and practices;
- d. Support the distribution of the employee's entire salary or wages among specific activities or cost c if the employee has more than one cost objective (Note: An employee may be paid out of multiple funding sources yet have only one cost objective.);
- e. State funds only: Sufficient documentation must reasonably reflect the total activity for which the employee is compensated by the LEA;
- f. Federal funds only: Sufficient documentation must reasonably reflect the total activity for which the employee is compensated by the LEA;
- g. Documented hours, activities, or costs must not exceed 100% of compensated activities encompassing both federally assisted and all other activities compensated by the LEA on an integrated basis.

### **X.U. PERMISSIVE USE OF FUNDS/INCIDENTAL BENEFIT: SERVICES AND SUPPORTS THAT ALSO BENEFIT STUDENTS WITHOUT DISABILITIES (34 CFR § 300.208(A)(1); UCA 53F-2-307.).**

1. An LEA shall use special education program money to pay the costs of providing an LEA special education program, even if the programs or services provide an incidental benefit (occurring merely by chance or without intention or calculation) to a student who is not a student with a disability, including for the uses described in 34 CFR. § 300.208.
2. Costs of providing an LEA special education program include only costs that are in excess of funds allocated to an LEA for general education.
  - a. Special education program money may be used only to pay the excess costs of providing special education and related services to children with disabilities.
  - b. Excess costs are those costs for the education of an elementary school or secondary school student with a disability that are in excess of the average annual per student expenditure in an LEA during the preceding school



year for an elementary school or secondary school student, as may be appropriate.

- c. An LEA must spend at least the average annual per student expenditure on the education of an elementary school or secondary school child with a disability.
3. In using special education program money, an LEA shall comply with federal regulations including:
    - a. The prohibition on comingling state special education program money with federal funds as described in 34 CFR § 300.162; and
    - b. The requirements described in 34 C.F.R. Sec. 300.203 regarding maintenance of effort.
  4. An LEA may use state special education program money to supplement other state funds, local funds, or federal funds.
  5. An LEA may not use state special education program money to supplant other state funds, local funds, or federal funds.
  6. One or more students without a disability may benefit from specially designed instruction, related services, and supplementary aids and services if:
    - a. The special education intentionally plans for and is providing instruction related to specific needs of at least one student with a disability as outlined in the student's IEP;
    - b. The task does not require additional time beyond what is required to address the needs of at least one student with a disability as outlined in the student's IEP; and
    - c. The provision of FAPE or any IEP services are not compromised if the special education provider performs the task.

**X.V. CORRELATION OF FISCAL REPORTS AND LEA MONITORING (BOARD RULES R277-114).**

1. In order to ensure accuracy, LEAs shall provide a procedure for program, financial, and statistical personnel to coordinate and correlate information required by the USBE. (Example: A count of students served, as reported in the

UTREx data submission, must agree with the official attendance records maintained by the LEA).

2. Documentation that may be reviewed during a fiscal monitoring visit, including state and federal special education funds:

**TABLE 22: FISCAL MONITORING DOCUMENTATION**

| <b>Category</b>                                   | <b>Document Type</b>   | <b>Rationale</b>  |
|---|--|---|
| <b>Contracts</b>                                  | Signed and dated contracts.  | To assure proper reporting of assigned duties, contracts may be reviewed.   |
| <b>IEPs</b>                                       | Student IEP documentation.   | To justify time claimed, IEPs may be reviewed.  |
| <b>Interviews</b>                                 | Face-to-face, by phone, or email.  | To clarify actual duties performed and to ensure LEA processes and procedures are appropriately documented and implemented, staff may be interviewed.                   |
| <b>Job Descriptions</b>                           | Documentation demonstrating personnel were assigned to work within the special education program.  | To assure proper reporting of assigned duties/responsibilities of all personnel charged to State and Federal special education funds, job descriptions may be reviewed. |
| <b>Official Records of Personnel Compensation</b> | Official LEA records that demonstrate the distribution of an employee’s entire salary or wages among specific activities or cost objectives, if the employee has more than one cost objective. | To ensure compliance with allowable State and Federal compensation documentation requirements, official records may be reviewed.  |
| <b>Payment Records</b>                            | General ledgers, receipts, invoices, purchase orders, other documentation that supports the use of special education funds.  | To verify amounts paid and funding source used, payment records may be reviewed.  |
| <b>Payroll Records</b>                            | Time sheets or payroll records   | To assure proper reporting of assigned duties for FTE and personnel contracted on an hourly basis, payroll records may be reviewed.                                     |

| Category                                   | Document Type   | Rationale   |
|--|---|---|
| <b>Qualifications</b>                      | Licenses, certifications, other documentation that demonstrates qualifications. | To verify that personnel whose assignments require specific credentials/licensure (i.e., special education teachers, interpreters, occupational therapists, counselors, etc.), credentials may be reviewed.   |
| <b>Training and/or Supervision Records</b> | Copies of training materials and attendance sheets of personnel in attendance.  | To assure the training and supervision of paraeducators is being provided, documentation of the training and supervision may be reviewed.<br><br>In addition, documentation of the services provided to individual students by those paraeducators may be reviewed. |
| <b>Work Products</b>                       | Written documentation of work provided, such as assessment reports.             | To verify that work is related to special education programs/ services, documentation of work (e.g., logs of services and supports provided, lesson plans, provider schedules, etc.) may be reviewed.   |

**X.W. RESPONSIBILITIES OF THE UTAH STATE BOARD OF EDUCATION (USBE).**

1. The purpose of the chart below is to help the USBE and other interested parties navigate funding throughout these SpEd Rules. Programming and funding are frequently interconnected in both federal and State law. This chart sets forth cited parts of these SpEd Rules where programming and funding are discussed together.

**TABLE 23: SPEDRULES DISCUSSING PROGRAMMING AND FUNDING (USBE)**

| State Rule   | Description  | Federal Regulation                   |
|--------------|--|--------------------------------------|
| VIII.A.2.    | General Supervisory Authority                                    | UCA 53E-7-204A                       |
| VIII.B.1.-2. | State Eligibility  | 34 CFR § 300.100<br>34 CFR § 300.804 |
| VIII.G.4.-7. | Secretary's Review and Determination Regarding State Performance | 34 CFR §§ 300.604-300.607            |
| VIII.H.1.    | State Enforcement  | 34 CFR § 300.608                     |

| State Rule | Description   | Federal Regulation   |
|------------|---|--|
| VIII.I.7.  | USBE Reporting Requirements   | 34 CFR § 300.646   |
| VIII.L.    | Interagency Collaboration   | 34 CFR § 300.154   |
| VIII.P.    | Authorization, Allotment, Use of Funds, and Authorization of Appropriations (Subpart G) | 34 CFR § 300.700<br>34 CFR § 300.162<br>34 CFR § 300.163<br>34 CFR § 300.166<br>34 CFR § 300.171<br>34 CFR § 300.704<br>34 CFR § 300.100<br>34 CFR § 300.812<br>34 CFR § 300.705 |
| VIII.Q.    | Preschool for Students with Disabilities (Subpart H)                                    | 34 CFR § 300.800<br>34 CFR § 300.812<br>34 CFR § 300.813<br>34 CFR § 300.814<br>34 CFR § 300.815<br>34 CFR § 300.816<br>34 CFR § 300.817   |
| VIII.R.    | USBE Responsibilities   | 34 CFR § 300.227<br>34 CFR § 300.222<br>34 CFR § 300.155<br>34 CFR § 300.223<br>34 CFR § 300.224   |
| VIII.S.    | Records Retention Requirements  | 34 CFR § 200.334   |
| VIII.V.    | Fiscal Monitoring Procedures  | 2 CFR § 200  |
| VIII.Y.    | State Administration  | 34 CFR § 300.199   |

**X.X. RESPONSIBILITIES OF THE LEA.**

1. The purpose of the chart below is to help LEAs and other interested parties navigate funding throughout these SpEd Rules. Programming and funding are frequently interconnected in both Federal and State law. This chart sets forth cited parts of these SpEd Rules where programming and funding are discussed together.

**TABLE 24: SPED RULES DISCUSSING PROGRAMMING AND FUNDING (LEAS)**

| State Rule | Description   | Federal Regulation                                       |
|------------|---|--|
| VI.B.      | Students with Disabilities Enrolled by their Parent (s) in Private Schools when FAPE is not at Issue (unilateral placement) | 34 CFR § 300.130   |
| IX.A.      | LEA Eligibility for IDEA Part B Funds   | 34 CFR § 300.211<br>34 CFR § 300.212<br>34 CFR § 300.220 |
| IX.B.      | Use of Part B Funds By the LEA  | 34 CFR §§ 300.200-206 and 300.208                        |
| IX.C.      | Coordinated Early Intervention Services   | 34 CFR § 300.226   |
| IX.E.      | Funded Prevalence of Disabling Conditions   | UCA 53F-2-307A   |
| IX.G.2.b.  | Routine Checking of Hearing Aids and External Components of Surgically Implanted Medical Devices                            | 34 CFR § 300.113   |
| IX.I.      | Purchase of Instructional Material in Accessible Formats  | 34 CFR § 300.210   |

**X.Y. RECOVERY OF STATE AND FEDERAL SPECIAL EDUCATION FUNDS FOR STUDENTS WHO ARE MISCLASSIFIED.**

1. These SpEd Rules II.C.-J. describe evaluation procedures for determining eligibility for special education and related services under Part B of the IDEA. An identified student with a disability whose evaluation report does not support or substantiate the classification of a disability condition will be considered a student erroneously classified, not eligible to be counted under the provisions of the State or Federal requirements, or to receive Federal or State special education funds.
2. An independent auditor shall be employed by each LEA to audit its student accounting records annually and report the findings to the local Board of Education and/or Charter Governing Board. Board Rules R277-484 lists the annual reporting requirements and due dates.
3. The USBE staff shall review student membership and fall enrollment audits as they relate to the allocation of state special education funds. These audits will determine whether criteria established by these SpEd Rules have been met by reviewing the required documentation of selected students who are classified.

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If a student is found to be misclassified, and expenditures of Federal and/or State special education funds have been made for direct or related service to that student, a notification of audit exception will be given to the LEA.

Reimbursement for expenditures made on a student erroneously classified as having a disability will be made to the USBE staff within 90 days of notification of audit exception and comply with Board Rules R277-114.

4. If an LEA disagrees with the findings of the independent auditor, an appeal may be requested to the USBE by following the procedure outlined in these VIII.R. and in Board Rules R277-114.

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