



STATE OF UTAH

DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES

SECURITIES COMMISSION MEETING | AGENDA

October 13, 2022 | 9:00 AM-10:00 AM | GIANI HEARING ROOM 250

COMMENCEMENT

9:00AM

1. Approval of August 20, 2022 meeting minutes.
2. Recognize Brent Cochran and swear in new Securities Commissioner, Dawn Dachenhausen

DIRECTOR'S REPORT

Jason Sterzer

SECTION REPORTS

- Licensing and Registration Bryan Cowley
- Compliance Ken Barton
- Enforcement Liz Blaylock
- Communications and Outreach Francswai Grayson

GRANT REQUESTS FROM THE SECURITIES INVESTOR EDUCATION AND TRAINING FUND

3. GRANT REQUESTS PRESENTER
 - Venture Capital Peter Callister
 - Junior Achievement of Utah Christy Tribe
4. Education Fund Expenditure Request Aaron Burgoyne

CONSIDERATION AND APPROVAL OF PROPOSED ORDER(S)

PROPOSED ORDER	DOCKET ORDER	IN THE MATTER OF	PRESENTER
Stipulation and Consent Order	SD-21-0021	Dirk Ewing	Jennifer Korb
Stipulation and Consent Order	SD-22-0008	Troy William Graf	Jennifer Korb

HEARING IN THE MATTER OF

Power Funnel Funnel Software, LLC and Dale Duane Christoferson

Case: SD-2021-0001 and SD-2021-0002