



STATE OF UTAH
DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES
SECURITIES COMMISSION MEETING | AGENDA
AUG 5, 2021 | 9:00 AM-10:00 AM | ROOM 250

COMMENCEMENT

9:00 AM

1. Approval of April 8, 2021 meeting minutes.

DIRECTOR'S REPORT

Jason E. Sterzer

SECTION REPORTS

- Licensing and Registration
- Compliance
- Enforcement
- Investor Education

Bryan Cowley

Ken Barton

Dave Hermansen

Karen McMullin

GRANT REQUESTS FROM THE SECURITIES INVESTOR EDUCATION AND TRAINING FUND

2. Junior Achievement of Utah
 - Requesting: \$5,000Christy Tribe
 3. Utah Financial Empowerment Coalition
 - Requesting: \$10,000Susan Speirs
 4. Education Fund Expenditure Request
- Aaron Burgoyne

CONSIDERATION AND APPROVAL OF PROPOSED ORDER(S)

- | PROPOSED ORDER | DOCKET NO | IN THE MATTER OF | PRESENTER |
|----------------------------------|------------|--------------------|-------------------|
| 5. Stipulation and Consent Order | SD-19-0005 | Richard R. Baldwin | Francswai Grayson |