



	Balance as of 11/30/2020	Expenditures 12/1/2020 - 2/28/2021	Request for Commission Authorization on 4/8/2021	Balance After Approved Requests
Public Investor Education				
Jump Start Coalition	\$ -	\$ -	\$ -	\$ -
AARP Grant	\$ -	\$ -	\$ -	\$ -
Junior Achievement	\$ -	\$ -	\$ -	\$ -
Utah Aging Services	\$ -	\$ -	\$ -	\$ -
Utah Financial Empowerment Coalition	\$ 15,000.00	\$ -	\$ -	\$ 15,000.00
Wayne Brown Inst. dba VentureCapital.org	\$ -	\$ -	\$ -	\$ -
Employee Travel	\$ 3,000.00	\$ -	\$ -	\$ 3,000.00
Pamphlets, Books, etc.	\$ 4,000.00	\$ -	\$ -	\$ 4,000.00
TV/Radio Spots	\$ -	\$ -	\$ -	\$ -
Miscellaneous	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00
Industry Education				
Mountain West Capital Network	\$ -	\$ -	\$ -	\$ -
VentureCapital.org	\$ 10,000.00	\$ -	\$ -	\$ 10,000.00
Pamphlets, Books, etc.	\$ -	\$ (3,971.68)	\$ 3,971.68	\$ -
Utah Financial Planners	\$ -	\$ -	\$ -	\$ -
Miscellaneous/Presentations	\$ 1,000.00	\$ -	\$ -	\$ 1,000.00
Investigation & Litigation				
Enforcement Investigation & Litigation	\$ 10,000.00	\$ (1,164.93)	\$ 1,164.93	\$ 10,000.00
Compliance Investigation & Litigation	\$ 19,382.87	\$ -	\$ -	\$ 19,382.87
NASAA Membership	\$ 2,500.00	\$ -	\$ -	\$ 2,500.00
Registration Examination Expense	\$ -	\$ -	\$ -	\$ -
Expert Witnesses	\$ 30,000.00	\$ (8,610.00)	\$ 8,610.00	\$ 30,000.00
Computers & Software	\$ 5,000.00	\$ -	\$ -	\$ 5,000.00
Dept. of Technology Services	\$ 30,000.00	\$ (10,392.99)	\$ 10,392.99	\$ 30,000.00
Enforcement Database Maintenance	\$ -	\$ -	\$ -	\$ -
Employees/Law Clerk/Transcriptionist	\$ 40,000.00	\$ (41,957.12)	\$ 41,957.12	\$ 40,000.00
Whistleblower Awards	\$ -	\$ -	\$ -	\$ -
Totals	\$ 171,882.87	\$ (66,096.72)	\$ 66,096.72	\$ 171,882.87
	Balance 11/30/2021	Revenues 12/1 - 2/28	Requested Allocations	Balance 2/28/2021
Unallocated Funds	\$ 108,339.81	\$ 35,202.76	\$ (66,096.72)	\$ 77,445.85
Fund Balance	\$ 280,222.68	\$ (30,893.96)	\$ -	\$ 249,328.72
			Check figure	\$ -

Commission Chair _____ Date

Division Director _____ Date

Executive Director _____ Date