



	Current Balance as of 10/7/2020	Expenditures as of 11/30/2020	Request for Commission Authorization on 1/7/2021	Balance after approved Requests as of 1/7/2021
Public Investor Education				
Jump Start Coalition	\$ -	\$ -	\$ -	\$ -
AARP Grant	\$ -	\$ -	\$ -	\$ -
Junior Achievement	\$ -	\$ -	\$ -	\$ -
Utah Aging Services	\$ -	\$ -	\$ -	\$ -
Utah Financial Empowerment Coalition	\$ 15,000.00	\$ -	\$ -	\$ 15,000.00
Employee Travel	\$ 3,000.00	\$ -	\$ -	\$ 3,000.00
Pamphlets, Books, etc.	\$ 4,000.00	\$ -	\$ -	\$ 4,000.00
TV/Radio Spots	\$ -	\$ -	\$ -	\$ -
Miscellaneous	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00
Industry Education				
Mountain West Capital Network	\$ -	\$ -	\$ -	\$ -
VentureCapital.org	\$ 10,000.00	\$ -	\$ -	\$ 10,000.00
Pamphlets, Books, etc.	\$ -	\$ (11,424.18)	\$ 11,424.18	\$ -
Utah Financial Planners	\$ -	\$ -	\$ -	\$ -
Miscellaneous/Presentations	\$ 1,000.00	\$ -	\$ -	\$ 1,000.00
Investigation & Litigation				
Enforcement Investigation & Litigation	\$ 10,000.00	\$ (1,634.11)	\$ 1,634.11	\$ 10,000.00
Compliance Investigation & Litigation	\$ 19,382.87	\$ -	\$ -	\$ 19,382.87
NASAA Membership	\$ 2,500.00	\$ -	\$ -	\$ 2,500.00
Registration Examination Expense	\$ -	\$ -	\$ -	\$ -
Expert Witnesses	\$ 30,000.00	\$ -	\$ -	\$ 30,000.00
Computers & Software	\$ 5,000.00	\$ (3,267.04)	\$ 3,267.04	\$ 5,000.00
Dept. of Technology Services	\$ 30,000.00	\$ (10,396.67)	\$ 10,396.67	\$ 30,000.00
Enforcement Database Maintenance	\$ -	\$ (42,398.00)	\$ 42,398.00	\$ -
Employees/Law Clerk/Transcriptionist	\$ 40,000.00	\$ (45,581.95)	\$ 45,581.95	\$ 40,000.00
Whistleblower Awards	\$ 29,997.80	\$ (29,977.80)	\$ -	\$ -
Totals	\$ 201,880.67	\$ (144,679.75)	\$ 114,701.95	\$ 171,882.87

Education Fund Balance as of January 7, 2021 is \$272,217

Gary Cornia

Gary Cornia (Jan 8, 2021 06:36 MST)

01/08/2021

Gary Cornia, Commission Chair

Date

Jason Sterzer
Jason Sterzer, Acting Director

1/8/2021
Date

Margaret W. Busse
Margaret W. Busse, Interim Executive Director

1/13/21
Date