



UTAH DIVISION OF SECURITIES
SECURITIES COMMISSION MEETING | AGENDA
JANUARY 7, 2021 | ELECTRONIC MEETING | 9:00 AM-12:00 PM

COMMENCEMENT AND ROLL CALL

1. Approval of October 7, 2020 Minutes

DIRECTOR'S REPORT | JASON STERZER

SECTION REPORTS

- | | |
|------------------------------|----------------|
| → Compliance | Ken Barton |
| → Enforcement | Dave Hermansen |
| → Investor Education | Karen McMullin |
| → Licensing and Registration | Bryan Cowley |
-
2. Education Fund Expenditure Request
- Bryan Cowley

CONSIDERATION AND APPROVAL OF PROPOSED ORDER

PROPOSED ORDER	DOCKET NO	IN THE MATTER OF	PRESENTER
3. Stipulation and Consent Order	SD-20-0030	Brandon C. Stimpson	Chip Lyons
4. Stipulation and Consent Order	SD-20-0036 and SD-20-0037	Allan C. Millet and PC Capital LP	Francswai Grayson