



UTAH DIVISION OF SECURITIES | COMMISSION MEETING | **AGENDA**
OCTOBER 7, 2020 | ELECTRONIC MEETING | 9:00 AM-12:00 PM

COMMENCEMENT & ROLL CALL

9:00 AM

TAB

1. Approval of Minutes August 6, 2020

DIRECTOR'S REPORT

Jason E. Sterzer

SECTION REPORTS

- Compliance Ken Barton
- Enforcement Dave Hermansen
- Investor Education Karen McMullin
- Registration and Licensing Bryan Cowley

2. Education Fund Expenditure Request Bryan Cowley

CONSIDERATION & APPROVAL OF PROPOSED ORDERS

	PROPOSED ORDER	DOCKET NO.	IN THE MATTER OF	PRESENTER
3.	Stipulation and Consent Order	SD-20-0031	Todd Seeholzer	Chip Lyons
4.	Stipulation and Consent Order	SD-20-0010 and SD-20-0012	Ship Group Inc and Thomas R. Sanford	Francswai Grayson
5.	Stipulation and Consent Order	SD-20-0009	Blake D. Young	Francswai Grayson
6.	Stipulation and Consent Order	SD-20-0008	Paul N. Jensen	Francswai Grayson