

UTAH DIVISION OF SECURITIES | COMMISSION MEETING | **AGENDA**  
MAY 7, 2020 | ELECTRONIC MEETING | 9:00 AM-12:00 PM

**COMMENCEMENT & ROLL CALL**

**9:00 AM**

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**TAB 1**

- o Approval of Minutes

March 19, 2020

**DIRECTOR'S REPORT**

Thomas Brady

**SECTION REPORTS**

- o Compliance
- o Enforcement
- o Investor Education
- o Registration and Licensing

Ken Barton  
Dave Hermansen  
Karen McMullin  
Bryan Cowley

**TAB 2**

- o Education Fund Expenditure Request

Bryan Cowley

**TABS 3-4 | CONSIDERATION & APPROVAL OF PROPOSED ORDERS**

	PROPOSED ORDER	DOCKET NO.	IN THE MATTER OF	PRESENTER
3	Stipulation and Consent Order	SD-17-0025	Ryan L. Farr	Francswai Grayson
4	Stipulation and Consent Order	SD-17-0028	Benjamin D. Williams	Francswai Grayson