

Project Logic Model

I. Situation: program partners and stakeholders	
Your institution's name	Wasatch County Library 465 E 1200 S, Heber City UT 84032
Your institution's DUNS Number	159560135
Your institution's FSCS ID Number	UT0067
What is the program's name?	The Wasatch Back meets the Uintah Basin: Implementing an Open-Source ILS-based Collaboration to Enhance User Experience
Who is the project director?	Juan Tomás Lee, Library Director Wasatch County Library
What partners are involved?	<p>(1) Wasatch County Library Contact: Juan Tomás Lee, Library Director jlee@wasatch.utah.gov 435-654-1511</p> <p>(2) Uintah County Library Contact: Sam Passey, Library Director passey@uintah.utah.gov 435-789-0091</p> <p>(3) Duchesne County Library Contact: Daniel Mauchley, Library Director dmauchley@duchesne.utah.gov 435-738-2800</p>
Who are the program's other stakeholders?	What does each stakeholder want to know? (Be sure to include yourself, your target audience, partners, and any other stakeholders.)
Wasatch County Library Users	<ul style="list-style-type: none"> • Why is this project necessary? • How is this project going to help me? • Will there be an interruption of library services throughout the project? • What will I have to do differently as a result of this project? • Will I be able to borrow materials from Duchesne and Uintah libraries?
Wasatch County Library Staff	<ul style="list-style-type: none"> • Why is this project necessary? • What happens if we do nothing? • Can we use the same ILS we have and establish the collaboration with Duchesne and Uintah libraries? • How much is this going to cost the library? • Do we have the money in the budget? • How long will it take to implement? • How much work will I have to do to implement the project?

	<ul style="list-style-type: none"> • If the collaboration does not work out, can we get out of it?
Wasatch County Library Board	<ul style="list-style-type: none"> • Why is this project necessary? • What happens if we do nothing? • What improvements can we expect to see in the user experience? • What improvements can we expect to see in library workflows and productivity of staff? • How much is the project going to cost to implement? • How much is the project going to cost annually to maintain? • Is this the right time to migrate to a new ILS? • Is this the right time to try this collaboration? • How long will the implementation of a new ILS take?
Wasatch County Manager	<ul style="list-style-type: none"> • Why is this project necessary? • What happens if we do nothing? • How much is the project going to cost to implement? • How much is the project going to cost annually to maintain? • If the library receives a grant to help fund the project, what are the strings attached to the grant?
Uintah County Library (UCL)	<ul style="list-style-type: none"> • How can the Wasatch County Library enhance the Basin Libraries Consortium? • How will my users benefit from adding Wasatch County Library to the consortium? • How much work will my library staff have to do to include Wasatch County Library in the consortium? • Will my library see an increase in annual costs for ILS operation and maintenance?
Duchesne County Library (DCL)	<ul style="list-style-type: none"> • How can the Wasatch County Library enhance the Basin Libraries Consortium? • How will my users benefit from adding Wasatch County Library to the consortium? • How much work will my library staff have to do to include Wasatch County Library in the consortium? • Will my library see an increase in annual costs for ILS operation and maintenance?
Utah State Library Division (USL)	<ul style="list-style-type: none"> • Why is this project necessary? • What happens if nothing changes? • Why should the USL's invest in this project? • How does this project fit into USL's strategic goals? • How will Utah residents outside of Wasatch, Duchesne, and Uintah counties benefit from this project? • How much will this project cost in its first year? • How much will the project cost after that to maintain it? • Are there cheaper and more efficient ways to achieve the same project goals? • What sources of funding will be used?
Institute of Museum and Library Services (IMLS)	<ul style="list-style-type: none"> • Why is this project necessary? • What happens if nothing changes? • How does this project fit into IMLS' focal areas and intents?

	<ul style="list-style-type: none"> • How much will this project cost in its first year? • How much will the project cost after that to maintain it? • What sources of funding will be used?
II. Program planning: connecting needs, solutions, and results	
<p>Who are the audiences?</p>	<p>This project contemplates three audiences:</p> <ol style="list-style-type: none"> (1) Residents of Wasatch County. Over 32,000 people live in Wasatch County, and almost 15,000 reside in Heber City, the county seat. (2) Staff of the Wasatch County Library. The staff at the Wasatch County Library consists of 14 individuals (a little over 10 FTEs) working as library clerks or librarians. Most library staff have direct interaction with the public. (3) Residents of Duchesne and Uintah Counties. Duchesne County has over 21,000 residents and Uintah County almost 37,000. The Duchesne County Library has two facilities: One in the city of Duchesne (population 1,826) and another one in Roosevelt (population 6,771). The city of Roosevelt is located on the Duchesne-Uintah County line. The Uintah County Library has one facility located in Vernal (population 10,650). Both libraries have active outreach programs to extend services to other areas of their respective counties away from the principal cities.
<p>What are the needs of the audience?</p>	<ol style="list-style-type: none"> (1) Residents of Wasatch County. <ol style="list-style-type: none"> a. Residents of Wasatch County do not have access to a modern integrated library system that meets their expectations for online service providers, including other governmental agencies. b. Residents of Wasatch County have access to a limited number of physical library materials, such as books, audiobooks, and DVDs. (2) Staff of the Wasatch County Library. <ol style="list-style-type: none"> a. Staff of the Wasatch County Library do not have access to a modern integrated library system that allows them to provide a better experience to all library users. (3) Residents of Duchesne and Uintah Counties. <ol style="list-style-type: none"> a. Residents of Duchesne and Uintah County who travel to the Wasatch Front must drive through Wasatch County. However, they are not able to borrow materials from the Wasatch County Library without paying the non-resident fee.
<p>What are some audience considerations?</p>	<ol style="list-style-type: none"> (1) Residents of Wasatch County. <ol style="list-style-type: none"> a. According to the Utah Population Committee convened by the Kem C. Gardner Policy Institute at the University of Utah, the population of Wasatch County in 2018 exceeded 32,000 residents. Source: Kem C. Gardner Policy Institute, State, and County-Level Estimates. https://gardner.utah.edu/demographics/state-and-county-level-population-estimates/

- b. "Heber City, Wasatch County's county seat, is the fastest-growing micropolitan area in the United States according to the U.S. Census Bureau 2018 report. Concurrently Wasatch County is the third fastest-growing county in the country. Both have experienced a 5 percent growth since 2017." Source: Utah Business. <https://www.utahbusiness.com/wasatch-county-boom/>
- c. The cultural and socioeconomic composition of the population of Wasatch County continues to diversify: About 84% of the residents are white, while almost 14% are Hispanic or Latino. Source: US Census Bureau, data.census.gov
- d. About 8% of the population is under the age of 5.
- e. 10% of the population is 65 years of age and older.
- f. The median age in the county is 33 years old. The median in the US is about 38 years.
- g. The median housing value in Wasatch County is \$357,300, and the median gross rent is \$1,252.
- h. Most library users are comfortable using information technology, including computers, laptops, tablets, smartphones, and the Internet for everyday personal purposes.
- i. They are also comfortable using self-check stations as well as searching the online catalog, requesting items, and accessing digital materials like e-books and e-audiobooks.
- j. Most of the public schools in Wasatch County (elementary, middle, and high school) are located in Heber City. However, there are a couple of elementary schools and charter schools in the towns of Midway and Charleston.
- k. Several homeschooling families are avid library users.

(2) Staff of the Wasatch County Library.

- a. Most employees have been with the library for several years.
- b. Only the director and two part-time clerks have worked for less than one year at the library.
- c. The library employs two full-time catalogers and one full-time Information Services Director who also serves as the library's integrated library system (ILS) administrator.

(3) Residents of Duchesne and Uintah Counties.

- a. The population estimates for Duchesne County are about 21,000, and Uintah County almost 37,000. Source: Kem C. Gardner Policy Institute, State, and County-Level Estimates. <https://gardner.utah.edu/demographics/state-and-county-level-population-estimates/>
- b. Duchesne County has a little more than 10% of its population under the age of 5. Source: US Census Bureau, data.census.gov
- c. Almost 11% if age 65 years and older.
- d. The median age in Duchesne County is 30 years.
- e. In Uintah County, about 10% of the population is under the age of 5, and about 10% is 65 years of age and older.
- f. The median age in Uintah is the same as Duchesne County at 30 years.

	<ul style="list-style-type: none"> g. A little over 8% are Hispanic or Latino in both Duchesne and Uintah Counties. h. The median housing value in Duchesne County is \$178,100, and in Uintah County is \$194,800. i. The median gross rent in Duchesne County is \$848, and in Uintah County is \$952.
<p>What solution fulfills the needs?</p>	<ul style="list-style-type: none"> (1) Residents of Wasatch County. <ul style="list-style-type: none"> a. NEED – Residents of Wasatch County do not have access to a modern integrated library system that meets their expectations for online service providers, including other governmental agencies. <ul style="list-style-type: none"> i. SOLUTION – The Wasatch County Library will migrate from the current ILS Horizon (SirsiDynix) to the modern open-source platform <i>Koha</i> adding the innovative <i>Aspen Discovery</i> online catalog that includes features people nowadays expect from online service providers, thus enhancing their library experience. b. NEED – Residents of Wasatch County have access to a limited number of physical library materials, such as books, audiobooks, and DVDs. <ul style="list-style-type: none"> i. SOLUTION – Under the framework of Utah’s Interlocal Cooperation Act (Utah Code Annotated 11-13-101 <i>et seq</i>), the Wasatch County Library will establish an Interlocal agreement or memorandum of understanding with the Duchesne County Library and the Uintah County Library to join the Basin Libraries Consortium for the purposes of establishing reciprocal borrowing privileges for residents of Duchesne, Uintah, and Wasatch counties. (2) Staff of the Wasatch County Library. <ul style="list-style-type: none"> a. NEED – Staff of the Wasatch County Library do not have access to a modern integrated library system that allows them to provide a better experience for all library users. <ul style="list-style-type: none"> i. SOLUTION – The Wasatch County Library will migrate from the current ILS Horizon (SirsiDynix) to the modern open-source platform <i>Koha</i> adding the innovative <i>Aspen Discovery</i> online catalog that includes features people nowadays expect from online service providers, thus enhancing their library experience. (3) Residents of Duchesne and Uintah Counties. <ul style="list-style-type: none"> a. NEED – Residents of Duchesne and Uintah County who travel to the Wasatch Front must drive through Wasatch County. However, they are not able to borrow materials from the Wasatch County Library without paying the non-resident fee. <ul style="list-style-type: none"> i. SOLUTION – Under the framework of Utah’s Interlocal Cooperation Act (Utah Code Annotated 11-13-101 <i>et seq</i>), the Wasatch County Library will establish an Interlocal agreement or memorandum of understanding with the Duchesne County Library

	<p>and the Uintah County Library to join the Basin Libraries Consortium for the purposes of establishing reciprocal borrowing privileges for residents of Duchesne, Uintah, and Wasatch counties.</p>
<p>What will be the desired results?</p>	<p>(1) Residents of Wasatch County</p> <p>a. Residents of Wasatch County will have a modern and innovative online catalog that meets their expectations for high-quality, personalized, online experiences.</p> <p>(2) Residents of Wasatch County</p> <p>a. Residents of Wasatch County will have a larger number and variety of physical library materials to choose from for their informational, educational, and recreational pursuits.</p> <p>(3) Staff of the Wasatch County Library</p> <p>a. Staff of the Wasatch County Library will have a modern, intuitive, highly customizable integrated library system that allows them to provide a better experience to all library users.</p> <p>(4) Residents of Duchesne and Uintah Counties</p> <p>a. Residents of Duchesne and Uintah County who travel through Wasatch County will be able to borrow materials from the Wasatch County Library without paying the non-resident fee.</p>
<p>LSTA Goal 1 - Overall Purposes of LSTA (20 U.S.C. § 9121) 2 - LSTA-specified Grants to States Priorities (20 U.S.C. § 9141) Source: https://www.ims.gov/grants/grants-state/purposes-and-priorities-lsta Accessed: 02-08-2019</p>	<p>LSTA FOCAL AREA</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Public Access to Information <input checked="" type="checkbox"/> Library Capacity Building <p>LSTA INTENT</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Information access: Improve users' ability to discover information. <input checked="" type="checkbox"/> Information access: Improve users' ability to obtain information resources. <input checked="" type="checkbox"/> Institutional capacity: Improve the library's physical and technology infrastructure.
<p>USL Goal Source: https://library.utah.gov/wp-content/uploads/2019/02/2018-2022-Strategic-Plan.pdf Accessed: 10-09-2019</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> GOAL 1: INFORMATION ACCESS. All residents of Utah will have access to the quality information resources they need to succeed at school, at work, and in their daily lives. <input checked="" type="checkbox"/> GOAL 2: INSTITUTIONAL CAPACITY. All residents of Utah will have convenient access to libraries and library services that are technologically advanced, efficiently operated, and staffed by knowledgeable, helpful workers who employ the best professional practices.
<p>DHA Goal Source: https://heritageandarts.utah.gov/goals/ Accessed: 10-10-2019</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Civic Engagement <input checked="" type="checkbox"/> Collaboration <input type="checkbox"/> Collections Management

III. Logic model summary: program purpose statement

We do what?	(1) Using a modern, open-source integrated library system (ILS), with a robust discovery layer, the Wasatch County Library, in collaboration with the Duchesne County and Uintah County libraries, will (2) establish a collaborative agreement of public library services.
For whom ?	(1) For the residents of Duchesne, Uintah, and Wasatch counties, and (2) the staff working at each library.
For what primary outcome /benefit(s)?	To provide residents (1) a modern online catalog that meets their expectations for service providers on the Internet, (2) a larger quantity of items, and a broader range of subjects available for borrowing without (3) having to pay a non-resident fee. Also, (4) library staff will use a modern ILS that allows them to provide a better experience to all library users.
For what secondary outcome /benefit(s)?	(1) Establish a community of practice; (2) pooling the efforts of catalogers across library systems; (3) strategic selections for efficient collection development; (4) professional expertise shared across county lines; (5) capitalize on investments; (6) enhanced goodwill between partnering Library Board members, county officials, and library staff.

IV. Program elements

INPUTS

People

- Wasatch County Library
 - All staff (13 individuals, approximately 9.275 FTE) participating in library collection inventory and weeding
 - Library Director as Project Manager and staff trainer (1 FTE)
 - Library Information Services Director as System Administrator and staff trainer (1 FTE)
 - Two Cataloguers (2 FTE)
- Wasatch County Information Services Department
 - Network configuration and security
- Duchesne County Library
 - Library Director as Project Manager and staff trainer (1 FTE)
 - Roosevelt Branch Manager as staff trainer (1 FTE)
- Uintah County Library
 - Library Director as Project Manager and staff trainer (1 FTE)
 - Systems Librarian as System Administrator and staff trainer (1 FTE)

Materials

- Wasatch County Library
 - Library supplies necessary for fixing physical collection errors in labeling
 - Library supplies for advertising changes in the library

Equipment and facilities

- Wasatch County Library
 - Space and equipment needed for staff training
 - Equipment needed for the operation of the Koha/Aspen Discovery ILS
- Duchesne County Library
 - Equipment needed for the operation of the Koha/Aspen Discovery ILS

- Uintah County Library
 - Equipment needed for the operation of the Koha/Aspen Discovery ILS

Standards and regulations

- Establish a “Community of Practice” among (1) collaborating libraries and (2) the greater KOHA User Community to define standards and rules for the development and implementation of library services.

Funding

- See Section VI. Budget below

ACTIVITIES

- Wasatch County Library
 - Prepare the project logic model and proposal
 - Obtain approval and authority to conduct project
 - Submit LSTA grant application
 - Develop Interlocal agreement and obtain approval
 - Train staff
 - Conduct pre-migration inventory and weeding of the collection
 - Conduct pre-migration bibliographic database clean up
 - Conduct pre-migration patron database clean up
- Duchesne County Library
 - Conduct planning meetings among stakeholders
 - Develop Interlocal agreement and obtain approval
 - Assist in staff training
- Uintah County Library
 - Conduct planning meetings among stakeholders
 - Develop Interlocal agreement and obtain approval
 - Assist in staff training

SERVICES

- Wasatch County Library
 - Implementation of Koha/Aspen Discovery ILS
 - Implementation of the material delivery system along the Wasatch Back and the Uintah Basin

OUTPUTS

- Total number of items borrowed
- Total number of items received from partner libraries
- Total number of items sent to partner libraries
- Total number of borrowers
- Total number of borrowers residing in each partner county
- Total number of interlibrary collaborations beyond borrowing of materials

MILESTONES

- April – October 2019: Observations, interviews with Wasatch County Library staff and users of the online public access catalog, and documentation of pros/cons of current integrated library system (ILS) in use SirsiDynix Horizon.
- April – May 2019: Observations and conversations with library users, library staff, and Library Board members about the services offered at the Wasatch County Library as well as their needs and desires for expansion and improvements.
- May 2019: Research the current library automation systems marketplace.

- June 2019: Research and learn about ILS solutions at the annual conference of the American Library Association.
- July – September 2019: Meetings with library directors of Duchesne and Uintah County libraries to learn about the Basin Libraries Consortium, their experience using the open-source ILS Koha and their reciprocal borrowing agreements.
- September – October 2019: Meetings and phone/email conversations with the Library Director and Assistant Director of the Grand County Library as well as the Director of the Spanish Fork Public Library to learn more about their experience using the open-source ILS Koha and the support company ByWater Solutions.
- September – October 2019: Attend the Utah State Library Division's (USL) Pre-Grant Application Webinar and Full Application Webinar.
- October 2019: Attend the Koha Summit Utah at the Giovale Library, Westminster College, Salt Lake City, Utah to learn more about the Koha community in the state/region and meet key stakeholders from public, academic, and school libraries.
- October – December 2019: Develop an outcomes-based logic model for a Library Services and Technology Act (LSTA) grant proposal to the USL.
- October 2019: Email communication with an Executive Account Manager and Senior Product Manager at SirsiDynix to discuss ILS renewal options.
- November 2019: Obtain feedback from partnering libraries about the project's logic model.
- November 2019: Obtain feedback from the USL Grants Coordinator about the 2019-2020 LSTA Grant Application.
- December 2019: Submit the USL 2019-2020 LSTA Grant Application.
- February 2020: Receive notification from the USL about the status of the Grant Application.

If the LSTA Grant is awarded:

- February 2020: Obtain approval from Library Board to sign an Interlocal agreement with Duchesne and Uintah County libraries to join the Basin Libraries Consortium.
- February 2020: Obtain approval from Library Board to sign service agreement with ByWater Solutions.
- February 2020: Public announcement of grant award and ILS migration plans.
- February 2020: Conduct library collection inventory and major weeding.
- March – June 2020: Plan, prepare, and implement ILS migration from Horizon to Koha/Aspen Discovery.
- April 2020: Submit first LSTA Grant Interim Report to the USL.
- June 2020: Submit second LSTA Grant Interim Report to the USL.
- July 2020: Public launch of Koha/Aspen Discovery ILS at Wasatch County Library.
- August 2020: Administer the project assessment survey #1 (30 days after public launch) and report findings to the USL, Library Board, library staff, and library users.
- September 2020: Administer the project assessment survey #2 (60 days after public launch) and report findings to the USL, Library Board, library staff, and library users.
- October 2020: Submit final LSTA Grant Report to the USL.
- December 2020: Administer the project assessment survey #3 (6 months after public launch) and report findings to the USL, Library Board, library staff, and library users.

V. Outcomes

Outcome 1: Residents of Wasatch County have a modern and innovative online catalog that meets their expectations for service providers on the Internet.

<i>Indicator(s)</i>	<i>Applied to</i>	<i>Data Source</i>	<i>Data Interval</i>	<i>Target</i>
The number and percentage of residents of Wasatch County who agree or strongly agree that the new online catalog gives them a superior experience than the previous catalog.	Users of the online public access catalog (OPAC).	Three online surveys and paper copy by self-checkout stations and OPACs inside the library.	30-days, 60-days, and six months after the public rollout of the project.	80%

Outcome 2: Residents of Wasatch County have more physical library materials, such as books, audiobooks, and DVDs to choose from.

<i>Indicator(s)</i>	<i>Applied to</i>	<i>Data Source</i>	<i>Data Interval</i>	<i>Target</i>
The number and percentage of residents of Wasatch County who agree or strongly agree that they now have more physical items to choose from than before.	All library users.	Three online surveys and paper copy by self-checkout stations and OPACs inside the library.	30-days, 60-days, and six months after the public rollout of the project.	80%

Outcome 3: Staff of the Wasatch County Library use a modern integrated library system to provide a better experience for all library users.

<i>Indicator(s)</i>	<i>Applied to</i>	<i>Data Source</i>	<i>Data Interval</i>	<i>Target</i>
The number and percentage of staff at the Wasatch County Library who agree or strongly agree that they now have an ILS that helps them provide a better experience for all library users.	Wasatch County Library staff.	Online survey.	30-days, 60-days, and six months after the public rollout of the project.	85% (11 out of 13)

Outcome 4: Residents of Duchesne and Uintah counties will borrow materials from the Wasatch County Library without paying the non-resident fee.

<i>Indicator(s)</i>	<i>Applied to</i>	<i>Data Source</i>	<i>Data Interval</i>	<i>Target</i>
The number and percentage of residents of Duchesne and Uintah counties who agree or strongly agree that they have borrowed items coming from the Wasatch County Library in the past six months.	Duchesne and Uintah counties' library users placing "holds" on items via the OPAC.	Three online surveys and paper copy by self-checkout stations and OPACs inside the library.	30-days, 60-days, and six months after the public rollout of the project.	20%

Outcome 5: Partnering Library Board members, county officials, and library staff have a positive attitude toward Interlocal collaborations involving the library.

<i>Indicator(s)</i>	<i>Applied to</i>	<i>Data Source</i>	<i>Data Interval</i>	<i>Target</i>
The number and percentage of Library Board members, county officials, and library staff who agree or strongly agree that they are excited about the Interlocal collaboration between the libraries in Duchesne, Uintah, and Wasatch counties.	Library Board members, officials, and library staff in Duchesne, Uintah, and Wasatch counties.	Online survey and paper copy by self-checkout stations and OPACs inside the library.	Six months after the end of the project.	80%

VI. Budget

PROJECT COSTS COVERED BY GRANT

ByWater Solutions: Koha installation, OPAC customization, Year 1 support and hosting, On-site training. \$25,600	\$25,600	
ASPEN DISCOVERY: Installation, staff and administrator training. \$2,750	\$2,750	
RFID Wand for Inventory. \$4,330	\$4,330	
TOTAL GRANT FUNDS REQUESTED	\$32,680	73%

WASATCH COUNTY LIBRARY EXTRA COSTS (Cash)

BASIN LIBRARIES CONSORTIUM. Professional advice and technical assistance. \$2,000	\$2,000	
TOTAL WASATCH COUNTY LIBRARY EXTRA COSTS (Cash)	\$2,000	5%

WASATCH COUNTY LIBRARY EXTRA COSTS (In-Kind)

Travel – Mileage Reimbursement \$1782 256 miles round trip Heber-Vernal X 12 trips (1 per week for 3 months) = 3072 X 0.58 per mile = \$1781.76	\$1,782	
Travel – Lodging \$560 \$70 per night X 8 nights = \$560	\$560	
Travel – Per Diem \$720 \$45 per day X 16 days = \$720	\$720	
Labor (System Administrator) – \$4031 \$22.39 X 1.5 = OT RATE \$33.59 X 120 hrs = \$4030.80	\$4,031	
Labor (Cataloguer) – \$2927 \$16.26 X 1.5 = OT RATE \$24.39 X 120 hrs = \$2926.80	\$2,927	
TOTAL WASATCH COUNTY LIBRARY EXTRA COSTS (In-Kind)	\$10,020	22%

TOTAL PROJECT COST \$44,700

Juan Lee

From: noreply@salesforce.com on behalf of Dept of Heritage & Arts Customer Support <dhasupport@utah.gov>
Sent: Saturday, November 30, 2019 2:21 PM
To: Juan Lee
Subject: Utah Dept of Heritage & Arts portal: APP-013090 Library Application received



Dear: Juan Lee

Thank you for submitting your Library Application.

Should you have questions about the status of your application, please contact Rachel Cook at rcook@utah.gov.

Best Regards,

Utah Dept of Heritage & Arts



Library Application: Wasatch County Library

Id:	APP-013090
Organization:	Wasatch County Library
Contact:	Juan Lee
Phone:	(435) 654-1511
Email:	jlee@wasatch.utah.gov
Status:	Submitted

Identification

Question 1: Applicant meets all the eligibility criteria for its type of library.

Yes. JTL

Question 2: Library Type

- Academic*
- Consortium*
- Institutional*
- Public*
- School*
- Special*
- Tribal*

Question 3: Project Title

The Wasatch Back meets the Uintah Basin: Implementing an Open-Source ILS-based Collaboration to Enhance User Experience

Question 4: Name of Applicant Library

Wasatch County Library

Question 5: DUNS Number (For more information or to request a DUNS number, go to: <http://fedgov.dnb.com/webform>)

159560135

Question 6: Project Director's Name

Juan Tomás Lee

Question 7: Project Director's Email

jlee@wasatch.utah.gov

Question 8: Project Director's Phone Number

435-654-1511

Question 9: Library's Mailing Address

jlee@wasatch.utah.gov

Question 10: Project Fiscal Officer's Name

Mike Davis

Question 11: Project Fiscal Officer's Email

mdavis@wasatch.utah.gov

Question 12: Project Fiscal Officer's Phone Number

435-657-3180

Question 13: Project Fiscal Officer's Mailing Address

25 N Main, Heber City, UT 84032

Question 14: Did you read the LSTA grant guidelines?

Yes

No

Question 15: Did you complete an LSTA grant training hosted by USL?

Yes

No

Question 16: If you answered yes above, which training(s) did you complete?

Pre-application webinar training

Application webinar training

NA, I have not completed a training

Need

Question 17: Describe the community need you plan to address with this project. What challenge does your community face

that this project will help? Include the specific population you plan to serve and how you determined the need of this population.

For the past seven months, library administrators in Duchesne, Uintah, and Wasatch counties have discussed their observations of Wasatch County Library patrons and staff using the online library catalog and the integrated library system. Common patron and staff complaints include: "I type a keyword in the catalog and I get a very long list of results, many of which are not relevant to my search!" "Why are there so many different catalog entries for Harry Potter and the Prisoner of Azkaban just because one is a book, one is an audiobook, one is the e-book, and one is a downloadable audiobook? Why can't I see just one entry with the different formats?" "It would be so nice if I didn't have to switch between the catalog and OverDrive and RBDigital just to check out my stuff!" "Why can't I manage my account preferences online?" "Every day, I travel between Vernal and Salt Lake City delivering lab tests. I would love to borrow audiobooks to listen on the road and DVDs to watch during overnight trips from the library in Heber City!"

Goals and Evaluation

Question 18: Please select one or more IMLS intent(s) you plan to meet with this project. *You must have a major component (activity) of your project planned to support each intent you select.

- Information Access
- Civic Engagement
- Employment & Economic Development
- Human Services
- Institutional Capacity
- Lifelong Learning

Question 19: Please select ONE State Library goal you plan to meet with this project.

- Information Access
- Institutional Capacity
- Lifelong Learning
- Civic Engagement

Question 20: Please describe the outcomes you plan to see as a result of this project that correlate to the above stated need. What changes do you anticipate seeing in your target population based on this project?

Given the specific needs described in question #17, the project intends to achieve the following outcomes: Outcome 1 (Change in attitude) – The residents of Wasatch County will have a modern and innovative online catalog that meets their expectations for service providers on the Internet. Outcome 2 (Change in attitude) – The residents of Wasatch County will have more physical library materials, such as books, audiobooks, and DVDs to choose from. Outcome 3 (Change in behavior) – The residents of Duchesne and Uintah counties will borrow materials from the Wasatch County Library without paying the non-resident fee. Outcome 4 (Enhanced skill) – The staff of the Wasatch County Library will use a modern integrated library system to provide a better experience for all library users.

Question 21: Please describe how you will evaluate each of the above-listed outcomes to determine your success. Include the evaluation method, when you will evaluate, and the metrics you will observe to determine a change.

To evaluate the degree of success the proposed activities have had in achieving the four stated outcomes, the project intends to implement the following assessments: Outcome 1 (Change in attitude) – The residents of Wasatch County will have a modern and innovative online catalog that meets their expectations for service providers on the Internet. We will administer three online surveys and paper surveys (placed by self-checkout stations and online public access catalogs – OPACs - inside the library) for all users of the OPAC 30-days, 60-days, and six months after the public rollout of the project. The metrics we will use are the number and percentage of residents of Wasatch County who agree or strongly agree that the new online catalog gives them a superior experience than the previous catalog. The target metric is 80% or higher. Outcome 2 (Change in attitude) – The residents of Wasatch County will have more physical library materials, such as books, audiobooks, and DVDs to choose from. We will administer three online surveys and paper surveys (placed by self-checkout stations and OPACs inside the library) for all library users 30-days, 60-days, and six months after the public rollout of the project. The metrics we will use are the number and percentage of residents of Wasatch County who agree or strongly agree that they now have more physical items to choose from than before. The target metric is 80% or higher. Outcome 3 (Change in behavior) – The residents of Duchesne and Uintah counties will borrow materials from the Wasatch County Library without paying the non-resident fee. We will administer three online surveys and paper surveys (placed by self-checkout stations and OPACs inside the library) for Duchesne and Uintah counties’ library users who place “holds” on items via the OPAC 30-days, 60-days, and six months after the public rollout of the project. The metrics we will use are the number and percentage of residents of Duchesne and Uintah counties who agree or strongly agree that they have borrowed items coming from the Wasatch County Library in the past six months. The target metric is 20% or higher. Outcome 4 (Enhanced skill) – The staff of the Wasatch County Library will use a modern integrated library system to provide a better experience for all library users. We will administer three online surveys for Wasatch County Library staff 30-days, 60-days, and six months after the public rollout of the project. The metrics we will use are the number and percentage of staff at the Wasatch County Library who agree or strongly agree that they now have an ILS that helps them provide a better experience for all library users. The target metric is 85% or higher (i.e. at least 11 out of 13 staff members).

Description of Activities

Question 22: Please give a brief overview of your project. Include in your description how your project addresses the stated need and why your organization is the right organization to address the issue.

In this project, Wasatch County Library will establish Interlocal agreements with Duchesne County Library and Uintah County Library to join the Basin Libraries Consortium and migrate from the current ILS Horizon (SirsiDynix) to the modern open-source platform Koha with the innovative Aspen Discovery online catalog already in use by the consortium. By using Koha and Aspen Discovery, the project will address the identified needs number 1 and 4, which is the lack of a robust ILS solution that meets the expectations of customers using online services. In addition, the agreements will establish reciprocal borrowing privileges for residents of Duchesne, Uintah, and Wasatch counties, thus addressing the identified needs number 2 and 3 which involves access to more physical library materials, free of charge.

Question 23: Please describe activity #1.

In Activity #1, the Wasatch County Library will establish Interlocal agreements with the Duchesne County Library and the Uintah County Library under the framework of Utah’s Interlocal Cooperation Act (Utah Code Annotated 11-13-101 et seq), to join the Basin Libraries Consortium (BLC). By joining BCL, the Wasatch County Library will migrate from the current ILS Horizon (SirsiDynix) to the open-source Koha ILS and the Aspen Discovery online catalog, already in use by member libraries. This activity will directly address need #1 identified in question #17 “Residents of Wasatch County do not have access to a modern integrated library system that meets their expectations for online service providers, including other governmental agencies.” In addition, by joining BCL and establishing reciprocal borrowing agreements, this activity will directly address need #2 identified in question #17 “Residents of Wasatch County have access to a limited number of physical library materials, such as books, audiobooks, and DVDs” as well as need #3 “Residents of Duchesne and Uintah County ... are not able to borrow materials from the Wasatch County Library without paying the non-resident fee.”

Question 24: Who does activity #1 benefit?

- Library Workforce
- Library Patrons

Question 25: Will you have partners on activity #1? If so, please list them and how they will be involved.

Yes. The Wasatch County Library will join the Basin Libraries Consortium created in 2014 by the Duchesne County Library and the Uintah County Library when they successfully implemented Koha and more recently, the Aspen Discovery online catalog. By joining this consortium and migrating to Koha/Aspen Discovery, the Wasatch County Library will see lower ongoing ILS hosting and maintenance costs when compared to the current ILS. In addition, the consortium will provide invaluable assistance with library staff training, ongoing troubleshooting, and consulting services for the implementation of best practices.

Question 26: Please describe activity #2.

Activity #2 is essentially the same as activity #1, except this aspect of the project specifically intends to address need #4 identified in question #17 "Staff of the Wasatch County Library do not have access to a modern integrated library system that allows them to provide a better experience to all library users." By migrating to Koha/Aspen Discovery, the Wasatch County Library staff will have a modern, intuitive, highly customizable integrated library system that allows them to provide a better experience to all library users.

Question 27: Who does activity #2 benefit?

Library Workforce

Library Patrons

Question 28: Will you have partners on activity #2? If so, please list them and how they will be involved.

Yes. As in activity #1, The Wasatch County Library will benefit immensely from the experience of the Duchesne County and the Uintah County libraries working with Koha/Aspen Discovery. The project intends to use this expertise in library staff training, ongoing troubleshooting, and consulting services for the implementation of best practices.

Question 29: Please describe activity #3.

N/A

Question 30: Who does activity #3 benefit?

Library Workforce

Library Patrons

Question 31: Will you have partners on activity #3? If so, please list them and how they will be involved.

N/A

Question 32: Please describe activity #4.

N/A

Question 33: Who does activity #4 benefit?

Library Workforce

Library Patrons

Question 34: Will you have partners on activity #4? If so, please list them and how they will be involved.

N/A

Question 35: Please describe activity #5.

N/A

Question 36: Who does activity #5 benefit?

- Library Workforce
- Library Patrons

Question 37: Will you have partners on activity #5? If so, please list them and how they will be involved.

N/A

Question 38: For your project schedule, include a list of bulleted actions that will take place each month of your project.

• April – October 2019: Observations, interviews with Wasatch County Library staff and users of the online public access catalog, and documentation of pros/cons of current integrated library system (ILS) in use SirsiDynix Horizon. • April – May 2019: Observations and conversations with library users, library staff, and Library Board members about the services offered at the Wasatch County Library as well as their needs and desires for expansion and improvements. • May 2019: Research the current library automation systems marketplace. • June 2019: Research and learn about ILS solutions at the annual conference of the American Library Association. • July – September 2019: Meetings with library directors of Duchesne and Uintah County libraries to learn about the Basin Libraries Consortium, their experience using the open-source ILS Koha and their reciprocal borrowing agreements. • September – October 2019: Meetings and phone/email conversations with the Library Director and Assistant Director of the Grand County Library as well as the Director of the Spanish Fork Public Library to learn more about their experience using the open-source ILS Koha and the support company ByWater Solutions. • September – October 2019: Attend the Utah State Library Division’s (USL) Pre-Grant Application Webinar and Full Application Webinar. • October 2019: Attend the Koha Summit Utah at the Giovale Library, Westminster College, Salt Lake City, Utah to learn more about the Koha community in the state/region and meet key stakeholders from public, academic, and school libraries. • October – December 2019: Develop an outcomes-based logic model for a Library Services and Technology Act (LSTA) grant proposal to the USL. • October 2019: Email communication with an Executive Account Manager and Senior Product Manager at SirsiDynix to discuss ILS renewal options. • November 2019: Obtain feedback from partnering libraries about the project’s logic model. • November 2019: Obtain feedback from the USL Grants Coordinator about the 2019-2020 LSTA Grant Application. • December 2019: Submit the USL 2019-2020 LSTA Grant Application. • February 2020: Receive notification from the USL about the status of the Grant Application. If the LSTA Grant is awarded: • February 2020: Obtain approval from Library Board to sign an Interlocal agreement with Duchesne and Uintah County libraries to join the Basin Libraries Consortium. • February 2020: Obtain approval from Library Board to sign service agreement with ByWater Solutions. • February 2020: Public announcement of grant award and ILS migration plans. • February 2020: Conduct library collection inventory and major weeding. • March – June 2020: Plan, prepare, and implement ILS migration from Horizon to Koha/Aspen Discovery. • April 2020: Submit first LSTA Grant Interim Report to the USL. • June 2020: Submit second LSTA Grant Interim Report to the USL. • July 2020: Public launch of Koha/Aspen Discovery ILS at Wasatch County Library. • August 2020: Administer the project assessment survey #1 (30 days after public launch) and report findings to the USL, Library Board, library staff, and library users. • September 2020: Administer the project assessment survey #2 (60 days after public launch) and report findings to the USL, Library Board, library staff, and library users. • October 2020: Submit final LSTA Grant Report to the USL. • December 2020: Administer the project assessment survey #3 (6 months after public launch) and report findings to the USL, Library Board, library staff, and library users.

Question 39: Upload partnership statements for all partners listed in your activities here.

1. LSTA Grant Partnership Statement UINTAH-signed.

Indirect Cost Rates

Question 40: Please indicate your decision for using indirect cost rates.

- I will not include indirect costs in my project budget.
- I will use a current, negotiated rate with a federal agency in my project budget.
- I will use a proposed rate that has been submitted to a federal agency.

I will use the de Minimis rate of 10% for indirect costs in my project budget.

Question 41: If you selected a current, previously negotiated indirect cost rate, please enter the name of the agency that you negotiated with, the expiration date of the rate, and the negotiated rate. If you did not select this option, please enter NA.

NA

Question 42: If you selected a submitted indirect cost rate that hasn't been negotiated yet, please include the name of the agency with which you are negotiating, the proposal date of the rate, and the proposed rate. If you did not select this option, please enter NA

NA

Budget

Question 43: Total Project Costs, including local funds and in-kind donations.

\$44,700.00

Question 44: Amount of Local Funds (Local funds are encouraged, but not required)

\$2,000.00

Question 45: Amount of In-Kind Services (In-kind services include employee time paid by the library, volunteer time, facility rental, etc. that are donated to support this project.)

\$10,020.00

Question 46: Amount of LSTA Funds Requested

\$32,680.00

Question 47: Salaries/Wages/Benefits: Salaries, wages, and fringe benefits paid with LSTA funds for staff contributing directly to the project. List position titles (not names), number of full-time equivalent (FTE) in that position, and anticipated hours.

NA

Question 48: Consultant Fees: Includes all expenses related to acquiring the services of a consultant for a specific activity within the project. List costs that will be paid to the consultant, including fees, travel, accommodations, and support services.

NA

Question 49: Travel: Include travel costs for project staff and/or participants. Travel must be related to project activities and considered educational, not entertainment.

NA

Question 50: Supplies/Materials: Include costs for supplies and materials purchased specifically for the project. This includes books, non-print resources, hardware, software, virtual or tangible materials, and equipment that is less than \$5,000 per unit.

\$4,330 – *For the purchase of an RFID wand to expedite conducting the physical inventory of the collection.*

Question 51: Equipment: Equipment has an acquisition cost that equals or exceeds \$5,000 per one single unit and is an

article of non-expendable, tangible property having a useful life of more than one year.

NA

Question 52: Services: Services may include, but aren't limited to, hiring a firm to provide instruction and training, technical assistance, outsourcing, and databases. Services are project activities to be provided by a third-party contractor or vendor.

\$25,600 – Paid to ByWater Solutions to conduct the migration of bibliographic and patron records, conduct the Koha ILS installation, conduct the OPAC customization, Year 1 of ILS support and hosting, and on-site training. \$2,750 – Paid to ByWater Solutions to install Aspen Discovery and conduct staff and administrator training.

Question 53: Local Funds: Include any local, donated, or non-LSTA dollars that will support this grant project that you intend to use as a match.

\$2,000 – Paid to Basin Libraries Consortium for professional advice and technical assistance.

Question 54: In-kind Services: Include costs of any donated services, donated products, or staff time worked for this project that will be paid locally. Only list these items here if you intend to include them in your match.

\$1,782 – Travel: To pay for mileage reimbursement costs (12 trips) between Heber City and Vernal, Utah. \$560 – Travel: To pay for lodging (8 nights) in Heber City or Vernal, Utah. \$720 – Travel: Per diem during overnight travels (16 days) in Heber City or Vernal, Utah. \$4,031 – Labor: To pay for Wasatch County Library System Administrator (120 hrs.) beyond regular scheduled work hours. \$2,927 – Labor: To pay for Wasatch County Library Cataloguer (120 hrs.) beyond regular scheduled work hours.

Question 55: Please describe how you will spend the money listed in the Salaries/Wages/Benefits category to support project goals. Be specific without adding too much detail. (I.e. list 100 juvenile nonfiction books for STEM program, but do not list specific titles).

NA

Question 56: Please describe how you are going to expend the money listed in the Consultant Fees category to support your project goals. Be specific without going into too much detail.

NA

Question 57: Please describe how you are going to expend the money listed in the Travel category to support your project goals. Be specific without going into too much detail.

NA

Question 58: Please describe how you are going to expend the money listed in the Supplies/Materials category to support your project goals. Be specific without going into too much detail.

The Wasatch County Library (WCL) will spend up to \$4,330 of grant funds to purchase an RFID wand to expedite conducting the physical inventory of the collection.

Question 59: Please describe how you are going to expend the money listed in the Equipment category to support your project goals. Be specific without going into too much detail.

NA

Question 60: Please describe how you are going to expend the money listed in the Services category to support your project goals. Be specific without going into too much detail.

The Wasatch County Library (WCL) will pay up to \$25,600 to ByWater Solutions to conduct the migration of bibliographic and patron records from the current Horizon ILS, conduct the Koha ILS installation, conduct the OPAC customization, provide one year of the ILS support and hosting, and conduct on-site training for staff and system administrators. In addition, WCL will pay up to \$2,750 to ByWater Solutions to install Aspen Discovery and conduct staff and administrator training.

Question 61: Please describe the source of local funds listed for this project.

The total amount of \$2,000 listed as Local Funds (cash contribution) for this project will be paid from the Wasatch County Library's 2020 budget. The largest portion of library's budget is supported by a dedicated tax levy on Wasatch County resident's property valuations.

Question 62: Please describe the source of the stated in-kind services for this project.

The total amount of \$10,020 listed as In-Kind Services for this project will be paid from the Wasatch County Library's 2020 budget. The largest portion of library's budget is supported by a dedicated tax levy on Wasatch County resident's property valuations.

Question 63: How will you continue to fund this project after the project period? This includes how you will replace and update items that were purchased with this project.

The annual costs for ILS hosting and support (ongoing development, maintenance, and system upgrades) are already funded in the library's regular budget. This project proposes to cover the Year 1 migration costs and implementation of Koha/Aspen Discovery with grant funds. For Year 2 and subsequent years, WCL expects to see a reduction of 30% in its annual ILS cost when compared to current ILS fees. In addition, the replacement cost of the RFID wand after its life cycle will be funded in the library's regular budget for equipment under \$5,000.

Question 64: If you are including indirect costs in this grant request, please enter the total here. *If you do not have an already negotiated indirect cost rate with the federal government & would like to use one, please contact the Grants Coordinator immediately.

NA

Spelling, Grammar, and Math

Question 65: Have you reviewed your application for proper spelling, grammar, and math?

Yes

No

Innovation

Question 66: Please describe how your LSTA grant is innovative or above-and-beyond your standard library service.

The Wasatch County Library (WCL) has served residents of the Heber Valley very well with a large and beautiful facility for the past 16 years. The addition in 2019 of two new spaces for the primary purposes of hosting library programs and events is a recognition that the library is a community destination for family-focused activities. However, WCL has not made comparable improvements to its digital presence and the services it provides through the online public access catalog do not meet the current expectations users have when compared to other public and private providers of services through the Internet. This LSTA-funded project intends to go above-and-beyond standard library services by substantially upgrading WCL's integrated library system with a state-of-the-art, open-source solution with a robust discovery layer that will exceed users' expectations. In addition, by joining the Basin Libraries Consortium, WCL will greatly enhance the number and the variety of library materials residents can obtain for their informational, educational, and recreational purposes. This project will serve as an example for other small and rural libraries by proving that adopting a low-cost, open-source ILS like Koha with a sophisticated-yet-highly-customizable discovery layer can elevate the community profile of the public library as a 21st-century institution that strives to exceed the expectations of its increasingly sophisticated communities.

Assurances and Certifications

Question 67: Enter Your Name:

Juan Tomás Lee

Question 68: Enter Your Title:

Library Director

Question 69: Name of Applicant Library:

Wasatch County Library

Question 70: Today's Date:

11/30/2019