

CONTRACTOR PRE-QUALIFICATION DOCUMENTS

**For Construction of the
Sandy Suburban Improvement District
2013 Pipeline Rehabilitation Project**

February 11, 2013

OWNER

Sandy Suburban Improvement District
8855 South 700 West
Sandy, UT 84070

ENGINEER

Bowen, Collins & Associates
154 East 14000 South
Draper, UT 84020

CONTRACTOR PRE-QUALIFICATION REQUIREMENTS

GENERAL

The Sandy Suburban Improvement District (OWNER) requests General Contractor (Contractor) Pre-qualification Proposals for construction of its 2013 Pipeline Rehabilitation Project (Project). This document describes the requirements for pre-qualification of Contractors for the Project. The submittal requirements for Pre-qualification Proposals and the OWNER's evaluation criteria are included.

PROJECT DESCRIPTION

2013 Pipeline Rehabilitation Project

The project consists of Cured-In Place Pipe (CIPP) rehabilitation lining of approximately 735 feet of 12-inch sewer, 303 feet of 21-inch sewer, 2006 feet of 30-inch sewer, and 938 feet of 42-inch sewer, demolition and replacement of two (2) buried concrete sewer river crossing structures and associated work in accordance with the Contract Documents.

The Contractor will be responsible for obtaining all necessary permits. A Stormwater and Pollution Prevention Plan (SWPPP) will be required to comply with the Environmental Protection Agency's General Construction Permit program. Site security will be the responsibility of the Contractor.

PURPOSE AND APPROACH

Because of the complexity of the project, the OWNER has determined the necessity to pre-qualify Contractors. The purpose of the pre-qualification process is to select those Contractors that the OWNER deems to be qualified and capable of completing the Project in conformance with the Contract Documents.

Parties submitting pre-qualification information in accordance with these documents will be notified whether or not they are selected for pre-qualification. Pre-qualified Contractors will be identified in the Notice Inviting Bids for the construction of the Project.

TENTATIVE PROJECT SCHEDULE The tentative project schedule is as follows:

Pre-qualification documents available	February 11, 2013
Pre-qualification proposals due	February 25, 2013
Notification of qualified contractors	February 28, 2013
Pre-bid meeting	March 7, 2013
Bid opening	March 19, 2013
Project construction	April 2 to November 30, 2013

PROJECT ADMINISTRATION

All communications relative to this Work shall be directed to the Engineer prior to submittal of the Pre-qualification Information:

Bowen, Collins & Associates
154 East 14000 South
Draper, UT 84020
(801) 495-2224

Attention: Jared Oldroyd, P.E. (Project Manager)

SUBMITTAL PROCEDURE

Pre-qualification Proposals will be received at the office of Sandy Suburban Improvement District, 8855 South 700 West, Sandy, Utah 84070, until 2:00 pm on February 25, 2013. Late Pre-qualification Proposals will not be accepted. Two copies of the Contractor's Pre-qualification Proposal shall be submitted. The envelope shall be plainly marked in the upper left-hand corner with the name and address of the Contractor and shall bear the words "Contractor Pre-qualification Documents for Construction of the 2013 Pipeline Rehabilitation Project". Any documents failing to clearly present all the requested information or failing to be in the requested format may be considered non-responsive and may be rejected.

The OWNER reserves the right to request a Contractor to clarify any part of his statement. Response to such requests must be made in writing and will become part of the statement of qualifications. Unsolicited supplementary information and materials received after the deadline will not be considered in the evaluation.

OWNER'S RIGHTS RESERVED. The Owner reserves the right to reject any or all Pre-qualification Proposals, to waive any informality in the pre-qualification process, and to make selection and pre-qualify Contractors and to modify the schedule and scope of the Project as it may best serve the interest of the Owner.

GENERAL MINIMUM QUALIFICATIONS

Contractors must meet the following minimum requirements in order to be pre-qualified to bid the Project:

- The Contractor shall have proven track record of completed projects without unresolved, unrealistic, and unnecessary claims. Outstanding claims or frequent claims resulting in arbitration, mediation, or litigation may prevent the Contractor being pre-qualified.
- The Contractor shall hold a current State of Utah contractor's license in a classification appropriate to this Project.
- The Contractor shall have \$2 million minimum available bonding capacity at time of bidding. Contractor will be required to bond for 100% of bid price.

- The Contractor shall have completed the construction of a minimum of two CIPP lining sewer pipeline rehabilitation projects (including 30-in or larger) totaling 6,000 feet in the last ten years.
- The Contractor shall have experience in the construction of a minimum of two projects involving construction of large buried concrete sewer structures in the last ten years.
- The Contractor shall have experience with bypass pumping sewer flows (5,000 gpm or larger) on a minimum of two sewer pipeline projects in the last ten years.
- The Contractor's project superintendent shall each have at least ten years experience and shall have been superintendent on the construction of at least two CIPP lining sewer pipeline rehabilitation (30-in or larger) projects and two large buried concrete sewer structures projects.

JOINT VENTURES

In order for a joint venture to be pre-qualified, the joint venture team must demonstrate that the combined experience of the joint venture parties satisfies the minimum requirements set forth herein.

CONTENT OF PROPOSALS

A. CONTRACTOR

The Contractor Pre-qualification Proposal shall include the information requested below. All information shall be 3-hole punched and bound in a loose-leaf notebook with ring binder indexes separating the sections. Three complete copies of the Pre-qualification Proposal shall be submitted. The organization of the proposal shall be as follows:

- Title Page
- Section 1 – Pre-qualification Information Form
- Section 2 – Key Personnel and Qualifications
- Section 3 – Work Approach
- Section 4 - Previous Project Experience
- Section 5 - Financial Information
- Section 6 - Risk Assessment

Title Page

The title page shall identify the document as a Pre-qualification Proposal and shall include the name of the OWNER, the name of the Project and the name of the Contractor submitting the proposal.

Section 1 - Pre-qualification Information Form

The Contractor shall complete items 1 through 12 below.

1. Contractor's name: _____
2. Business address: _____

3. Telephone number: _____
4. Facsimile number: _____
5. E-mail address: _____
6. Web site address: _____
7. Firm type: _____ Corporation _____ Partnership _____ Individual _____ Joint Venture or
_____ Limited Liability Corporation
8. Date company was organized: _____
9. Name of current President or CEO: _____
Number of years in that position: _____
10. Number of permanent office and support employees: _____
Number of permanent field employees: _____
11. How long has company been doing work similar to proposed project: _____
12. Contractor's Utah License (required):
Primary trade classification: _____
License No. and expiration date: _____
State(s) in which licensed: _____
Name on license (if different than Contractor name): _____

Section 2 – Key Personnel and Qualifications

Complete items 1 to 3 below identifying key personnel who will be assigned to the Project. Provide resumes for the key personnel, including experience, education, position occupied and duties on each assignment. Focus on experience with large diameter CIPP lining sewer pipeline rehabilitation.

Key Personnel

1. Project Manager:_____

Number of years experience:_____

2. Project Superintendent:_____

Number of years experience:_____

Name two large diameter CIPP lining (30-in or larger) sewer rehabilitation projects superintendent has supervised in the last ten years:

a._____

b._____

3. The Contractor will use the same Project Manager and Superintendent listed in the pre-qualification documents throughout the duration of the Project, if awarded.

Section 3 – Work Approach

Identify which major trade work will be completed by the Contractor's forces and which will be performed by sub-contractors by name.

1. CIPP lining sewer pipeline rehabilitation: _____
2. Sewer bypass pumping: _____
3. Traffic control: _____
4. Asphalt road restoration: _____
5. Concrete Sewer Structures: _____
6. Sewer casing by trenchless construction: _____

Section 4 - Previous Project Experience for Company

List a minimum of two sewer pipeline projects (30-in or larger) totaling 6,000 feet constructed by the Contractor in the last ten years. Attach description of additional projects if needed to meet general minimum qualifications.

1. Project: _____
 - a. Owner (include reference and phone number): _____
 - b. Engineer (include reference and phone number): _____
 - c. Year completed: _____
 - d. Size and types of pipe installed: _____
 - e. Depth of sewer line installation: _____
 - f. Soil conditions: _____
 - g. Bypass pumping (if yes, list flow): _____
 - h. Contractor's superintendent(s): _____
 - i. Completed within time allowed? (if no, attach explanation): _____
 - j. Were any claims or disputes filed? (if yes, attach explanation) _____

2. Project: _____
 - a. Owner (include reference and phone number): _____
 - b. Engineer (include reference and phone number): _____
 - c. Year completed: _____
 - d. Size and types of pipe installed: _____
 - e. Depth of sewer line installation: _____
 - f. Soil conditions: _____
 - g. Bypass pumping (if yes, list flow): _____
 - h. Contractor's superintendent(s): _____

- i. Completed within time allowed? (if no, attach explanation): _____
 - j. Were any claims or disputes filed? (if yes, attach explanation) _____
3. Project: _____
- a. Owner (include reference and phone number): _____
 - b. Engineer (include reference and phone number): _____
 - c. Year completed: _____
 - d. Size and types of pipe installed: _____
 - e. Depth of sewer line installation: _____
 - f. Soil conditions: _____
 - g. Bypass pumping (if yes, list flow): _____
 - h. Contractor's superintendent(s): _____
 - i. Completed within time allowed? (if no, attach explanation): _____
 - j. Were any claims or disputes filed? (if yes, attach explanation) _____

Section 5 - Financial Information

Submit a financial statement for the Contractor's most recent accounting period. Submit a letter from Contractor's surety company specifying contractor's total bonding capacity and current unused bonding capacity. Submit additional references and information sufficiently comprehensive to permit an appraisal of Contractor's current financial condition.

Complete items 1 through 4 below. If additional space is needed, attach additional pages and submit with this section.

1. Contractor's Surety (name, address, telephone number and contact person): _____

What is the Contractor's approximate total bonding capacity? _____

2. Contractor's Bank or Financial Institution (name, address, telephone number and contact person): _____

3. Contractor's Insurance Company (name, address, telephone number and contact person):

4. Has the Contractor been refused surety, bond, or liability insurance in the last ten years? (if yes, explain) _____

5. Has the Contractor, or any of its parents or subsidiaries, ever had a bankruptcy petition filed in its name, voluntarily or involuntarily? If yes, specify date, circumstances, resolution and other details on separate page.

The OWNER shall be entitled to contact each reference or contact listed. A Contractor, by submitting a Pre-qualification Proposal, expressly agrees that any information concerning the Contractor in possession of said entities and references may be made communicated and released to the OWNER. Information requested, but not supplied by said entities and references may be grounds for adversely considering a Contractor's pre-qualification application.

Section 6 - Risk Assessment

The Contractor shall submit written responses to affirmative answers for Items 1 through 4 below.

1. Are there any unresolved claims or disputes on any work awarded to the contractor during the past five years? If yes, give Owner's name and details on separate page. _____

2. Has the Contractor ever failed to complete any work that it was awarded? If yes, give Owner's name and details on separate page. _____

3. Does the Contractor maintain a permanent safety program? If yes, provide a copy of the program. _____

4. Does the Contractor have a formal quality assurance program? If yes, provide a brief summary or outline of the program. _____

I hereby warrant that the information presented in this statement of qualifications is true, accurate and complete.

By: _____

Title: _____

Date: _____

NOTIFICATION OF PRE-QUALIFIED CONTRACTORS

All Contractors who submit Pre-qualification Proposals will be notified in writing if they did or did not pre-qualify. Only those Contractors which are pre-qualified will be allowed to bid on this Project. The OWNER's decision will be final.