

REQUEST FOR COUNCIL ACTION

SUBJECT: Award and Execute a Contract for the West Jordan Justice Center Chiller Repair Project

SUMMARY: Award a contract to Carrier Corporation and authorize the Mayor to execute a contract with Carrier Corporation for the West Jordan Justice Center Chiller Repair project in an amount not to exceed \$68,524.00.

FISCAL AND/OR

ASSET IMPACT: Funding for this project is available from the Building Capital Fund budget.

STAFF RECOMMENDATION:

Staff recommends approval of a contract to Carrier Corporation and authorize the Mayor to execute a contract with Carrier Corporation for the West Jordan Justice Center Chiller Repair project in an amount not to exceed \$68,524.00.

MOTION RECOMMENDED:

"I move to adopt Resolution No. 19-159 awarding a contract to Carrier Corporation and authorize the Mayor to execute a contract with Carrier Corporation for the West Jordan Justice Center Chiller Repair project in an amount not to exceed \$68,524.00."

Roll Call vote required.

Prepared and Presented by:

David Murphy

David Murphy (Aug 14, 2019)

David Murphy, P.E.
Capital Project Manager

Recommended by:

David Murphy

David Murphy (Aug 14, 2019)

Brian Clegg
Public Works Director

Authorized for Council Consideration:

David R. Brickey

David R. Brickey (Aug 15, 2019)

David R. Brickey
City Manager

Reviewed as to Legal Sufficiency:

Jared C. Tingey

Jared C. Tingey (Aug 15, 2019)

City Attorney's Office

BACKGROUND DISCUSSION:

The West Jordan Thomas M. Rees Justice Center was completed in 2005, and HVAC system has had routine maintenance performed over the last 14 years. The system has had problems over the past few years and has been difficult to diagnose for a long-term solution. With expert help, staff has identified the proper solution required to allow the HVAC system to perform correctly. Unfortunately, the repair is expensive because of the unit and expertise required to replace the mal-functioning unit. Please see the attached Bid for a full description of the work that is required.

The City of West Jordan received three bids, one was deemed non-responsive. Carrier Corporation was the lowest responsible bidder at a price of \$68,524.00. Staff recommends award to Carrier Corporation.

Attachments:

Resolution

Contract

THE CITY OF WEST JORDAN, UTAH

A Municipal Corporation

RESOLUTION NO. 19-159

**A RESOLUTION AWARDING A CONTRACT WITH CARRIER CORPORATION AND
AUTHORIZING THE MAYOR TO EXECUTE A CONTRACT BETWEEN
THE CITY AND CARRIER CORPORATION
FOR THE THOMAS M. REES JUSTICE CENTER CHILLER REPAIR PROJECT**

Whereas, the City of West Jordan has received proposals for the Thomas M. Rees Justice Center Chiller Repair Project. Carrier Corporation is the recommended contractor for the Thomas M. Rees Justice Center Chiller Repair Project in an amount not to exceed \$68,524.00; and

Whereas, the City Council desires to execute a Contract with Carrier Corporation which award shall not be binding upon the City of West Jordan unless and until the Contract is fully executed by the parties; and

Whereas, the proposed Contract between the City of West Jordan and Carrier Corporation (a copy of which is attached as **Exhibit A**) for the Thomas M. Rees Justice Center Chiller Repair Project has been reviewed; and

Whereas, the City Council of the City of West Jordan has determined that the attached Contract with Carrier Corporation for the Thomas M. Rees Justice Center Chiller Repair Project, in an amount not to exceed \$68,524.00, is acceptable.

NOW, THEREFORE, IT IS RESOLVED BY THE CITY COUNCIL OF WEST JORDAN, UTAH; THAT:

- Section 1. The Contract for the Thomas M. Rees Justice Center Chiller Repair Project is hereby awarded to Carrier Corporation, which award shall not be binding upon the City of West Jordan until the Contract is fully executed by the parties.
- Section 2. The Mayor is hereby authorized to execute the attached Contract between the City of West Jordan and Carrier Corporation for the Thomas M. Rees Justice Center Chiller Repair Project in the amount of \$68,524.00; and
- Section 3. This Resolution shall take effect immediately.

Adopted by the City Council of West Jordan, Utah, this 27th day of August 2019.

Jim Riding
Mayor

ATTEST:

Jamie Brooks, CMC
Interim City Recorder

RESOLUTION NO. 19-159

**A RESOLUTION AWARDING A CONTRACT WITH CARRIER CORPORATION AND
AUTHORIZING THE MAYOR TO EXECUTE A CONTRACT BETWEEN
THE CITY AND CARRIER CORPORATION
FOR THE THOMAS M. REES JUSTICE CENTER CHILLER REPAIR PROJECT**

Voting by the City Council	"YES"	"NO"
Council Member Alan Anderson	_____	_____
Council Member Dirk Burton	_____	_____
Council Member Zach Jacob	_____	_____
Council Member Chad R. Lamb	_____	_____
Council Member Chris McConnehey	_____	_____
Council Member Kayleen Whitelock	_____	_____
Mayor Jim Riding	_____	_____



CITY OF WEST JORDAN CONTRACT

1. **CONTRACTING PARTIES:** This contract is between the City of West Jordan, a municipality and political subdivision of the State of Utah and the following CONTRACTOR:

Carrier Corporation
3759 West 2340 South, Ste. E
Salt Lake City, UT 84120
2. **GENERAL PURPOSE OF CONTRACT:** The general purpose of this contract is to repair and replace the existing heat exchange on a chiller located at the West Jordan Justice Center.
3. **PROCUREMENT:** This contract is entered into as a result of the Bid, which was submitted to the City on August 8, 2019, by the contractor.
4. **CONTRACT PERIOD:** The Work to be performed under this Contract shall be commenced on the date specified in the Notice to Proceed by the CITY, and the Work shall be fully completed within **80 calendar days** from the date of the Notice to Proceed. , unless terminated early or extended in accordance with the terms and conditions of this contract.
5. **CONTRACT COSTS:** CONTRACTOR will be paid a maximum of **\$68,524.00** for costs authorized by this contract.
6. **INSURANCE:** The contractor shall maintain not less than (a) \$3,000,000.00 automobile insurance, (b) \$2,000,000 general liability insurance with \$3,000,000 general aggregate, and (c) worker's compensation as required by state statute, during the duration of this contract.
7. **ATTACHMENT A:** Standard Terms and Conditions
ATTACHMENT B: Scope of Work
ATTACHMENT C: Insurance Certificate
ATTACHMENT D: Payment Bond – N/A
ATTACHMENT E: Performance Bond N/A
Any conflicts between Attachment A and other Attachments will be resolved in favor of Attachment A.
8. **DOCUMENTS INCORPORATED INTO THIS CONTRACT BY REFERENCE BUT NOT ATTACHED:**
 - a. All other governmental laws, regulations, or actions applicable to the goods and/or services authorized by this contract.
 - b. City of West Jordan's Procurement Policies, and the Bid) which was submitted to the City on August 8, 2019, by the contractor.

IN WITNESS WHEREOF, the parties sign and cause this contract to be executed.

CITY OF WEST JORDAN

Mayor's signature

Attest:

City Recorder

Date: _____

Approved As To Form:

Jared C. Tingey
Jared C. Tingey (Aug 15, 2019)

City Attorney

Date: _____

CONTRACTOR:

Signature

Print Name and Title

Date: _____

STATE OF _____)
COUNTY OF _____) : SS

On this _____ day of _____, 20____, personally appeared before me, _____
_____, who being by me duly sworn did say that he/she/they is/are the _____
_____ Of _____, by
authority of its members or its articles of organization, and he/she acknowledged to me that said limited liability company
executed the same.

NOTARY PUBLIC

My Commission Expires:

Residing in _____ County, _____

ATTACHMENT A: STANDARD TERMS AND CONDITIONS

1. **AUTHORITY:** Provisions of this Contract are pursuant to the authority set forth in the West Jordan Municipal Code Section 3-1-2 et seq. Mandatory applicable state and federal law and regulations also apply.
- 2.
3. **CONTRACT JURISDICTION, CHOICE OF LAW, AND VENUE:** The provisions of this Contract shall be governed by the laws of the State of Utah. The parties will submit to the jurisdiction of the courts of the State of Utah for any dispute arising out of this Contract or the breach thereof. Venue shall be in Salt Lake County.
4. **RECORDS ADMINISTRATION:** The CONTRACTOR shall maintain, or supervise the maintenance of all records necessary to properly account for the payments made to the CONTRACTOR for costs authorized by this contract. These records shall be retained by the CONTRACTOR for at least four years after the contract terminates, or until all audits initiated within the four years, have been completed, whichever is later.
5. **AUDIT OF RECORDS:** The CONTRACTOR agrees to allow City, State, and Federal auditors, access to all the records to this contract, for audit and inspection, and monitoring of services. Such access will be during normal business hours, or by appointment.
6. **CONFLICT OF INTEREST:** Contractor represents that none of its officers or employees are officers or employees of the City of West Jordan unless disclosure has been made in accordance with City ordinances and policies. Further, contractor certifies that it has not offered or given any gift or compensation prohibited by local, state, or federal law, to any officer or employee of the City of West Jordan to secure favorable treatment with respect to being awarded this Contract.
7. **INSURANCE:** The contractor shall maintain not less than (a) \$3,000,000.00 automobile insurance, (b) \$2,000,000.00 general liability insurance with \$3,000,000.00 general aggregate, and (c) worker's compensation as required by state statute, during the duration of this contract.
8. **CONTRACTOR, AN INDEPENDENT CONTRACTOR:** Contractor shall be an independent contractor, and as such shall have no authorization, express or implied to bind the City of West Jordan to any agreements, settlements, liability or understanding whatsoever, and agrees not to perform any acts as agent for the City, except as expressly set forth herein. The CONTRACTOR shall be responsible for the payment of all income tax and social security amounts due as a result of payments received from the CITY for these contract services. Persons employed by the CITY and acting under the direction of the CITY shall not be deemed to be employees or agents of the CONTRACTOR.
9. **INDEMNITY CLAUSE:** The CONTRACTOR agrees to indemnify, save harmless, and release the CITY OF WEST JORDAN, and all its officers, agents, volunteers, and employees from and against any and all loss, damages, injury, liability, suits, and proceedings arising out of the performance of this contract which are caused in whole or in part by the negligence of the CONTRACTOR'S officers, agents, volunteers, or employees, but not for claims arising from the City's sole negligence.
10. **EQUAL OPPORTUNITY CLAUSE:** The CONTRACTOR agrees to abide by the provisions of Title VI and VII of the Civil Rights Act of 1964 (42USC 2000e) which prohibits discrimination against any employee or applicant for employment or any applicant or recipient of services, on the basis of race, religion, color, or national origin; and further agrees to abide by Executive Order No. 11246, as amended, which prohibits discrimination on the basis of sex; 45 CFR 90 which prohibits discrimination on the basis of age; and Section 504 of the Rehabilitation Act of 1973, or the Americans with Disabilities Act of 1990 which prohibits discrimination on the basis of disabilities. Also, the CONTRACTOR agrees to abide by Utah's Executive Order, dated June 30, 1989, which prohibits sexual harassment in the workplace.
11. **SEPARABILITY CLAUSE:** A declaration by any court, or any other binding legal source, that any provision of this contract is illegal and void shall not affect the legality and enforceability of any other provision of this contract unless the provisions are mutually dependent.
12. **RENEGOTIATION OR MODIFICATIONS:** This contract may be amended, modified, or supplemented only by written amendment to the contract, executed by the parties hereto, and attached to the original signed copy of the contract.
13. **DEBARMENT:** The CONTRACTOR certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction (contract), by any governmental department or agency. If the CONTRACTOR cannot certify this statement, attach a written explanation for review by the City.
14. **TERMINATION:** Unless otherwise stated in the Special Terms and Conditions, this contract may be terminated, with cause by either party, in advance of the specified termination date, upon written notice being given by the other party. The party in violation will be given ten (10) working days after notification to correct and cease the violations, after which the contract may be terminated for cause. This contract may be terminated without cause, in advance of the specified expiration date, by either party, upon 30 days prior written notice being given the other party. On termination of this contract, all accounts and payments will be processed according to the financial arrangements set forth herein for approved services rendered to date of termination.
15. **SALES TAX EXEMPTION:** The City of West Jordan's sales and use tax exemption number is E39555. The tangible personal property or services being purchased are being paid from City funds and used in the exercise of the City's essential functions. If the items being purchased are construction materials, they will be converted into real property by employees of the City.
16. **WARRANTY:** The contractor agrees to warrant and assume responsibility for all products or services that it licenses, contracts, or sells to the City of West Jordan under this contract for a period of one year unless otherwise specified and mutually agreed upon elsewhere in this contract. Product liability disclaimers and/or warranty disclaimers from the seller are not applicable to this contract unless otherwise specified and mutually agreed upon elsewhere in this contract. In general, the contractor warrants that: (1) the product or services will do what the salesperson said it would do, (2) the product or services will live up to all specific claims that the manufacturer makes in their advertisements, (3) the product or services will be suitable for the ordinary purposes for which such product is used, (4) the product or services will be suitable for any special purposes that the City of West Jordan

has relied on the contractor's skill or judgment to consider when it advised the City about the product or services, (5) the product has been properly designed and manufactured, and (6) the product is free of significant defects or unusual problems about which the City has not been warned. Remedies available to the City of West Jordan include the following: The contractor will repair or replace (at no charge to the City) the product or services whose nonconformance is discovered and made known to the contractor in writing. If the repaired and/or replaced product or services prove to be inadequate or fails of its essential purpose, the contractor will refund the full amount of any payments that have been made. Nothing in this warranty will be construed to limit any rights or remedies the City of West Jordan may otherwise have under this contract or provided under the Uniform Commercial Code of the State of Utah.

ATTACHMENT B: SCOPE OF WORK



**INVITATION FOR BIDS
ADDENDUM #2**

BID No.: PW20073101

BID DUE DATE: August 8, 2019 @ 2:00 p.m.

QUESTIONS DEADLINE: August 5, 2019 @10:00 a.m.

West Jordan Justice Center Chiller Repair

Please complete:

Fed Tax ID No.: 06-0991716

COMPANY Name Carrier Corporation Contact Person: Jeffrey J. Cody

Phone Number: 801-598-9798 Email Address: Jeff.Cody@carrier.utc.com

Address (orders): 3759W 2340S STE E City: SLC State: UT Zip: 84120

Remit Address: P.O. Box 93844 City: Chicago State: IL Zip: 60673

GENERAL INFORMATION

West Jordan City (OWNER) is seeking bids for the repair and replacement of an existing heat exchanger on a chiller located at the West Jordan Justice Center.

DETAILED SCOPE OF WORK

Bidder shall provide all labor, tools, materials to repair and replace the North Side Chiller Barrel. Work to be completed during regular working hours of 8 a.m. to 5 p.m. and must include the following mandatory work elements.

Base Bid:

1. Disconnect, remove and replace / install barrel with a factory OEM part for RAE Industries.
2. Crain service (set and tie into system) for new barrel.
3. Recover and reuse glycol water on second connection to heat exchanger. Note: there is no isolation valve on the second connection. System must be taken offline for a minimum of two (2) days during heat exchange swap.
4. Initial flush with existing R22 refrigerant and change filters
5. Supply new R22 refrigerant, flush and change filters (used and recovered refrigerant will not be allowed).

6. Double evacuate system to remove moisture.
7. Charge system with new R22 refrigerant and change filters (used and recovered refrigerant will not be allowed).
8. Replace filter dryers a total of three (3) times to ensure all particulate and moisture are removed from system.
9. Pressure test refrigerant side once connections are made and check for leaks.
10. Replace oil in compressors.
11. Check operation of chiller and provide report of any further issues found.
12. Parts and labor must be warrantied for a minimum period of twelve (12) months for date of complete installation. To include base bid and any or all bid alternates.
13. Pricing submitted shall be considered as "All-Inclusive". No additional charges shall be allowed unless approved through the change order process.

Alternate #1 Bid:

1. One (1)-Additional new R22 refrigerant, flush and change filters.

Alternate #2 Bid:

1. Deleted

Alternate #3 Bid:

1. Replacement of all compressor and condenser fan contactors before work begins.

The Bidder shall familiarize itself with the nature and extent of the project requirements, work site, legal requirements including state and local laws, ordinances, rules and regulations, and the conditions affecting cost, progress of performance of the work, and shall make all such independent investigations, as Bidder deems necessary to submit a responsible bid.

TIME AND SCHEDULE TO COMPLETE THE WORK

All work shall be completed within **80** calendar days of receipt of the notice to proceed.
Time is of the essence.

BID SCHEDULE

Base Bid: \$ 57,365.00

Bid Alternate #1: \$ 8,156.00

Bid Alternate #2: \$ 000.00

Bid Alternate #3: \$ 3,003.00

AWARD:

The award of this project shall be given to the responsive and responsible qualified bidder who provides the lowest bid pricing. The City reserves the right to determine the overall lowest bid based upon the Base Bid or Base Bid plus any or all Bid Alternates.

MINIMUM QUALIFICATIONS

1. 10 Years of experience with demonstrated experience in servicing and repairing similar system(s) to that listed above.
2. Provide a detailed list of three examples that demonstrate your previous experience.
3. S350 Contractors License.
4. Must have sufficient staff dedicated to the completion of this project within the time schedule posted within.

GENERAL TERMS AND CONDITIONS

The COMPANY shall maintain, or supervise the maintenance of all records necessary to properly account for the payments made to the COMPANY for costs authorized by the City. The COMPANY shall retain these records for at least four years after this procurement terminates, or until all audits initiated within the four years have been completed, whichever is later.

The COMPANY agrees to allow City, State, and Federal auditors access to all records to this contract, for audit and inspection, and monitoring of services.

The COMPANY represents that none of its officers or employees are officers or employees of the City of West Jordan unless disclosure has been made in accordance with City ordinances and policies. Further, the COMPANY certifies that it has not offered or given any gift or compensation prohibited by local, state, or federal law, to any officer or employee of the City of West Jordan to secure favorable treatment with respect to being awarded this contract.

The COMPANY shall be an independent COMPANY and as such shall have no authorization, express or implied to bind the City of West Jordan to any agreements, settlements, liability or understanding whatsoever, and agrees not to perform any acts as an agent for the City, except as expressly set forth herein. The COMPANY shall be responsible for the payment of all income tax and social security amounts due as a result of payments received from the City for these contract services. Persons employed by the City and acting under the direction of the City shall not be deemed employees or agents of the COMPANY.

The COMPANY agrees to indemnify, save harmless, and release the City of West Jordan, and all its officers, agents, volunteers, and employees from and against any and all loss, damages, injury, liability, suits, and proceedings arising out of the performance of this contract which are caused in whole or in part by the negligence of the COMPANY's officers, agents, volunteers, or employees, but not for claims arising from the City's sole negligence.

INSURANCE REQUIREMENTS

X *Applicable* *Not Applicable*

Workers' Compensation Insurance: Statutory workers' compensation insurance (Part A). Such insurance shall also include employer's liability (Part B) insurance in a limit of no less than \$1,000,000 for each: accident, disease, employee. No owner or officer may be excluded.

General Liability Insurance: Commercial general liability insurance on an occurrence basis arising out of claims for bodily injury (including death) and property damage. Such insurance shall provide coverage for ongoing operations and products-completed operations, blanket contractual, broad form property damage, personal and advertising injury, independent contractors and sudden and accidental pollution liability with a \$2,000,000 minimum per occurrence limit combined bodily injury and property damage, with a \$3,000,000 minimum aggregate limit, provided the general policy aggregate shall apply separately to the Contractor on a per project basis. Any aggregate limit that does not apply separately to the premises shall be at least double the required per occurrence limit.

Automobile Liability Insurance: Automobile liability insurance for the Contractor's liability arising out of the use of owned (if any), leased (if any), non-owned and hired vehicles of the Contractor, with a \$3,000,000 minimum limit per accident for combined bodily injury and property damage and containing appropriate no-fault insurance provisions wherever applicable.

The selected COMPANY's insurance shall at all times name the City of West Jordan, its officers, employees, and volunteers as additional insured with respect arising from any work that results from this bid/contract.

SUBMITTAL:

Bids will only be considered if they are submitted on the forms provided by the City.

Submit your bids electronically through the Utah Public Procurement Place (U3P) by 2:00 p.m. current Mountain Time on August 8, 2019. Proposals submitted electronically through the Utah Public Procurement Place may require uploading of electronic attachments. The Utah Public Procurement Place will accept a wide variety of document types as Word, Excel, and PDF attachments but not all. You **MAY NOT** submit documents that are embedded (zip files), movies, wmp and mp3 files or password protected files, etc. Such actions may cause your proposal(s) to be deemed as "Non-responsive".

When submitting an offer electronically through the Utah Public Procurement Place please allow sufficient time to complete the online forms and upload documents. The solicitation will end at the closing time listed in the offer. If you are in the middle of uploading your documents at the closing time, the system will stop the process and your offer will not be received by the system. It is recommended that the submission process be completed the day prior to the due date, with the knowledge that any changes/updates will be accepted through the due date and time.

Jaggaer customer support may be contacted at (800) 233-1121 for guidance on the Utah Public Procurement Place site.

Vendors are responsible for ensuring that their Utah Public Procurement Place registration information is current and correct. Stakeholders shall not be responsible for missing or incorrect information contained in the vendor registration in the Utah Public Procurement Place. Incorrect or missing vendor registration information may result in failure to receive notification from the Utah Public Procurement Place regarding this procurement.

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Bids received after this deadline will be late and ineligible for consideration. Following the deadline, the names of those responding to the bid will be made public.

Questions arising subsequent to the issuance of this bid, that could have a significant impact on the responses to the bid, should be submitted in the bid Question and Answer section Event Number # **PW20073101** at the Utah Public Procurement Place. All such questions should be received by **August 5, 2019 @ 10:00 a.m. MST**.

Answers to questions will be posted on the Utah Public Procurement Place which will then email the answer to all Vendors that downloaded the RFP.

Only written clarifications and addenda issued by the Purchasing Department will be binding for bid evaluation and award.

It is the intention of the City to issue a purchase order (or contract) to the lowest responsive and responsible bidder that meets the bid specifications, with reasonable promptness; however, the City does not guarantee to make any purchase based upon this Invitation for Bid.

### **PROTECTED INFORMATION**

(a) The Government Records Access and Management Act (GRAMA), codified as Utah Code Ann., Subsection 63G-2-101, et seq., as amended, allows for limited protection of disclosure of certain confidential records. Subject to Subsection (b), all information contained in any Bids submitted to the City shall be classified as public, but only after the City has awarded and executed a Contract with the winning Bidder.

(b) Any Claim of Business Confidentiality submitted pursuant to Utah law and in accordance with GRAMA may, in the sole discretion of the City, be classified as protected information. By submitting this Bid, Bidder agrees to be bound by the City's classification of its information submitted with its Bid and releases from liability and agrees to indemnify the City for any disclosure of confidential information. To ensure the information is protected, the bidder must clearly identify in the Executive Summary and in the body of the proposal any specific information for which a bidder claims business confidentiality protection as "PROTECTED".

c) All materials submitted become the property of the City, including originals, and will not be returned. Materials may be evaluated by anyone designated by West Jordan as part of the proposal evaluation committee.

All materials submitted become the property of West Jordan, Utah. Materials may be evaluated by anyone designated by West Jordan as part of the proposal evaluation committee. Informative Materials submitted may be returned only at West Jordan's option.

### **Bidder's Authorized Representative**

Bidder's Name Jeffrey J. Cody Bidder's Title Sales Representative  
Signature Jeffrey J. Cody Date 8/8/2019



**NON-COLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND  
SUBMITTED WITH BID**

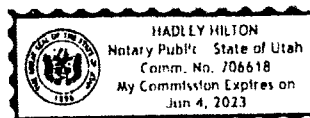
Jeffrey J. Cody, being first duly sworn, deposes and says that he or she is  
Representative of Carrier Corp., the party making the foregoing bid. The  
bidder, offeror, or contractor represents that it has not: 1) provided an illegal gift or payoff to a West Jordan City  
officer or employee or former West Jordan City officer or employee, or his or her relative or business entity; 2)  
retained any person to solicit or secure this contract upon an agreement or understanding for a commission,  
percentage, brokerage or contingent fee, other than as exempted in the city conflict of interest ordinance; or 3)  
knowingly influenced (and hereby promises that it will not knowingly influence) a West Jordan City officer or  
employee or former West Jordan City officer or employee to breach any of the ethical standards set forth in the  
city conflict of interest ordinance, title 1, chapter 11 of the West Jordan city code.

Responsible Managing Officer Signature Jeffrey J. Cody  
Responsible Managing Officer Name (printed) Jeffrey J. Cody  
Title Sales Representative  
Organization Carrier Corporation  
Address 3759 West 2340 South Suite E.  
Salt Lake City, UT 84120

STATE OF UTAH  
COUNTY OF UTAH :SS

On this 8 day of August, 2019, personally appeared before me, Hadley Hilton who being  
by me duly sworn did say that he or she is the Representative of Carrier, and that the foregoing  
instrument was signed in behalf of said entity by proper authority, and he or she acknowledged to me that said  
entity executed the same.

[Signature]  
NOTARY PUBLIC



My Commission Expires: June 4, 2023 Residing in Utah County, UT

**GENERAL INFORMATION****Carrier Corporation**

(Includes data on wholly-owned subsidiary Carrier Rental Systems, Inc. ("CRS"))

|                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|---------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b><u>Addresses:</u></b>        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| Headquarters:                   | Carrier Parkway, Syracuse, 13221                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| Principle Address:              | One Carrier Place, Farmington, CT 06032-2562;<br>(860) 674-3000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| Parent Company:                 | <b>United Technologies Corporation</b> , 1 Financial Plaza,<br>Floor 24, Hartford, CT 06103-2607; (860) 728-7000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <b><u>Annual Volume:</u></b>    | <u>Carrier Corporation</u> <u>CRS</u>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 2010                            | \$11.4 Billion                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 2009                            | \$11.4 Billion                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 2008                            | \$14.9 Billion                      \$94 Million                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 2007                            | \$14.644 Billion                      \$64 Million                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b><u>Annual Reports:</u></b>   | Last Five Years of Annual Reports can be found at:<br><a href="http://investors.utc.com/annuals.cfm">http://investors.utc.com/annuals.cfm</a>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b><u>Bank Reference:</u></b>   | <b>Please be advised, UTC Treasury has strictly forbidden our banks to provide "references" in any way, shape or form. All suppliers are required to use publicly available information, including <a href="http://www.utc.com">www.utc.com</a> . Please use the UTC Corporate site for any additional information that you may need.</b>                                                                                                                                                                                                                                                                                                                                                                |
| <b><u>Bankruptcy:</u></b>       | Carrier Corporation has never filed bankruptcy                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b><u>CAGE Codes:</u></b>       | Carrier Corporation, CT: 1GPA2<br>Carrier Transicold, NY: 10855                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b><u>Claims/Disputes:</u></b>  | Carrier Corporation ("Carrier") is a wholly owned subsidiary of United Technologies Corporation, a publicly traded corporation. Carrier is engaged in the research, development, manufacture and sale of heating, ventilating, air conditioning and refrigeration products. Carrier had net sales in 2010 of approximately \$11.4 Billion. In the normal course of business, Carrier becomes involved in various lawsuits and other disputes. Carrier strives to act responsibly in all circumstances. For further information, please see the documents which United Technologies Corporation has filed with the U.S. Securities and Exchange Commission, and in particular, its most recent Form 10-K. |
| <b><u>Controller:</u></b>       | Joseph Gest                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b><u>D &amp; B Rating:</u></b> | Since Carrier Corporation does not have its own financials, it cannot be rated by D&B. However, UTC has a 5A2 rating.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

| <b><u>DUNS Numbers:</u></b>                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|-------------------------|------|----------------------------|------|------------------------------------------|------|--------------------------------------------|------|--------------------------------------|------|--------------------------------------|------|----------------------------|
| Carrier Corporation:                                                                                                                                       | 00-131-7072                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| UTC:                                                                                                                                                       | 00-134-4142                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| <b><u>Employees:</u></b>                                                                                                                                   | 29,149 employees worldwide. Contact your H.R. representative for consolidation and/or breakdown of employees.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| <b><u>Establishment Date:</u></b>                                                                                                                          | 12/12/1978                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| <b><u>Federal Supply Group (FSG):</u></b>                                                                                                                  | <table> <tr> <th>Group</th><th>Name</th></tr> <tr> <td>4110</td><td>Refrigeration Equipment</td></tr> <tr> <td>4120</td><td>Air Conditioning Equipment</td></tr> <tr> <td>4130</td><td>Refrigeration and Air Conditioning Comps</td></tr> <tr> <td>4140</td><td>Fans, Air Circulators and Blower Equipment</td></tr> <tr> <td>4330</td><td>Centrifugals, Separators and Filters</td></tr> <tr> <td>4420</td><td>Heat Exchangers and Steam Condensers</td></tr> <tr> <td>4460</td><td>Air Purification Equipment</td></tr> </table>                                                                                                                              | Group                                                                                                                                                      | Name                                                                                                                                                                        | 4110 | Refrigeration Equipment | 4120 | Air Conditioning Equipment | 4130 | Refrigeration and Air Conditioning Comps | 4140 | Fans, Air Circulators and Blower Equipment | 4330 | Centrifugals, Separators and Filters | 4420 | Heat Exchangers and Steam Condensers | 4460 | Air Purification Equipment |
| Group                                                                                                                                                      | Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| 4110                                                                                                                                                       | Refrigeration Equipment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| 4120                                                                                                                                                       | Air Conditioning Equipment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| 4130                                                                                                                                                       | Refrigeration and Air Conditioning Comps                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| 4140                                                                                                                                                       | Fans, Air Circulators and Blower Equipment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| 4330                                                                                                                                                       | Centrifugals, Separators and Filters                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| 4420                                                                                                                                                       | Heat Exchangers and Steam Condensers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| 4460                                                                                                                                                       | Air Purification Equipment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| <b><u>Federal Tax ID (FTIN):</u></b>                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| Carrier Corporation:                                                                                                                                       | 06-0991716                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| UTC:                                                                                                                                                       | 06-0570975                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| CRS                                                                                                                                                        | 06-1480259                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| <b><u>Former Name(s):</u></b>                                                                                                                              | Carrier Engineering Corporation (1915-1930)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
|                                                                                                                                                            | Carrier Air Conditioning Company (1930-1978)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| <b><u>History of Carrier Corporation:</u></b>                                                                                                              | Carrier Engineering Corporation was incorporated in New York on June 26, 1915 by seven engineers led by Willis Carrier. In 1930, Carrier Air Conditioning was formed through the merger of Carrier Engineering Corporation with Brunswick-Kroeschell Company and York Heating & Ventilating Corporation. In 1978, Carrier Corporation, a corporation organized in the State of Delaware, became a wholly owned subsidiary of United Technologies Corporation. Carrier Corporation is the leading manufacturer of heating, ventilation and air conditioning equipment and service in the United States with sales totaling approximately \$11.4 Billion in 2009. |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| <b><u>Incorporation:</u></b>                                                                                                                               | State of Delaware, December 12, 1978                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| <b><u>Licenses:</u></b>                                                                                                                                    | Carrier Corporation is authorized to do business through qualification in 50 states plus the District of Columbia and Puerto Rico.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| <b><u>Lock Box Addresses:</u></b>                                                                                                                          | <table> <tr> <td>P.O. Box 905303<br/>Charlotte, NC 28290-5303;<br/>Bank One<br/>806 Tyvola Road<br/>Suite 108<br/>Charlotte, NC 28217<br/>Attn: Carrier Corporation<br/>Box 905303</td><td>P.O. Box 93844<br/>Chicago, IL 60673-3844;<br/>Bank One<br/>525 W. Monroe St.<br/>7<sup>th</sup> Floor Mailroom<br/>Chicago, IL 60661<br/>Attn: Carrier Corporation<br/>Box 93844</td></tr> </table>                                                                                                                                                                                                                                                                 | P.O. Box 905303<br>Charlotte, NC 28290-5303;<br>Bank One<br>806 Tyvola Road<br>Suite 108<br>Charlotte, NC 28217<br>Attn: Carrier Corporation<br>Box 905303 | P.O. Box 93844<br>Chicago, IL 60673-3844;<br>Bank One<br>525 W. Monroe St.<br>7 <sup>th</sup> Floor Mailroom<br>Chicago, IL 60661<br>Attn: Carrier Corporation<br>Box 93844 |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |
| P.O. Box 905303<br>Charlotte, NC 28290-5303;<br>Bank One<br>806 Tyvola Road<br>Suite 108<br>Charlotte, NC 28217<br>Attn: Carrier Corporation<br>Box 905303 | P.O. Box 93844<br>Chicago, IL 60673-3844;<br>Bank One<br>525 W. Monroe St.<br>7 <sup>th</sup> Floor Mailroom<br>Chicago, IL 60661<br>Attn: Carrier Corporation<br>Box 93844                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                            |                                                                                                                                                                             |      |                         |      |                            |      |                                          |      |                                            |      |                                      |      |                                      |      |                            |

| <u>NAICS Codes:</u>                                 | <u>Current NAICS Codes</u>                                                                                                                                                                                                                             | <u>Former SIC Codes</u> |
|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| <b>BSS:</b>                                         | 333415 Air Conditioning, Heating, Refrigeration                                                                                                                                                                                                        | 3585                    |
| <b>BSS:</b>                                         | 335314 Control Devices (CCN)                                                                                                                                                                                                                           | 3625                    |
| <b>BSS:</b>                                         | 238220 Refrigeration, Heating, Ventilation and A/C HVAC Maintenance                                                                                                                                                                                    | 1711                    |
| <b><u>Carrier Officers:</u></b>                     | President – Geraud Darnis<br>Vice President and Secretary – Donald K. Cawley<br>Treasurer – Christopher Witzky                                                                                                                                         |                         |
| <b><u>Ownership:</u></b>                            | Carrier Corporation is a wholly owned subsidiary of United Technologies Corporation in Hartford, CT                                                                                                                                                    |                         |
| <b><u>Standard Industrial Codes (SIC):</u></b>      | See "NAICS Codes"                                                                                                                                                                                                                                      |                         |
| <b><u>Trade References:</u></b>                     | <div>Cambridgeport Air Systems    Thomas &amp; Betts</div> <div>10 Fanaras Dr.                      1555 Lynnfield Rd,</div> <div>Salisbury, MA 01950              Memphis, TN 38119</div> <div>800-648-2872                        901-252-5000</div> |                         |
|                                                     | <div>MicroMetl</div> <div>3419 Roosevelt Ave.</div> <div>Indianapolis, IN 46218</div> <div>800-662-4822</div>                                                                                                                                          |                         |
| <b><u>Type of Services Rendered by Carrier:</u></b> | Heating, ventilation, air-conditioning and refrigeration systems, controls, services and sustainable solutions for residential, commercial, industrial, food service and transportation applications.                                                  |                         |
| <b><u>Union Information:</u></b>                    |                                                                                                                                                                                                                                                        |                         |
| National Agreements with Unions:                    | United Association of Journeyman & Apprentices of the Plumbing and Pipefitting Industry of the U.S. and Canada, AFL-CIO; Term: 7/1/2006 – 6/30/2011                                                                                                    |                         |
| Other Areas:                                        | Contact the local branch office                                                                                                                                                                                                                        |                         |
| <b><u>Wire Payments</u></b>                         | <div>JP Morgan/Chase Manhattan Bank</div> <div>One Chase Manhattan Plaza, 7<sup>th</sup> Floor</div> <div>New York, NY 10005</div> <div>For the Account of: Carrier Corporation ABA# 021000021</div> <div>Account # 910-2630-960</div>                 |                         |

## SURETY INFORMATION

|                                       |                                                                                         |
|---------------------------------------|-----------------------------------------------------------------------------------------|
| <b><u>BONDING COMPANY:</u></b>        | Federal Insurance Company                                                               |
|                                       | 15 Mountain View Road                                                                   |
|                                       | P.O. Box 1615                                                                           |
|                                       | Warren, NJ 07061-1615                                                                   |
| Incorporation State:                  | Indiana (Indianapolis)                                                                  |
|                                       | Somerset County                                                                         |
| Contact:                              | Paul Rembo                                                                              |
|                                       | Tele: (908) 903-2000                                                                    |
|                                       | Fax: (908) 903-2027                                                                     |
| <b><u>GROUP AFFILIATION:</u></b>      | Chubb Group                                                                             |
| <b><u>BONDING AGENT:</u></b>          | Aon Risk Services Northeast, Inc.                                                       |
|                                       | f/k/a Aon Risk Services, Inc. of New York                                               |
|                                       | 199 Water Street, 11 <sup>th</sup> Floor                                                |
|                                       | New York, NY 10038-3551                                                                 |
| Contact:                              | Annette Leuschner                                                                       |
|                                       | Tele: (212) 479-3726                                                                    |
|                                       | Fax: (212) 479-4269                                                                     |
| <b><u>BONDING COST:</u></b>           | \$4.00/\$1,000 for Final Bonds                                                          |
|                                       | No cost for Bid Bonds                                                                   |
| <b><u>BONDING LIMIT:</u></b>          | Per Project: \$ 10,000,000.00                                                           |
|                                       | Aggregate/Contract: \$550,000,000.00                                                    |
|                                       | 5 year limit on bonds                                                                   |
| <b><u>BONDING COMPANY RATING:</u></b> | A.M. Best, A+ Rating                                                                    |
| <b><u>CLAIMS:</u></b>                 | Carrier Corporation has never had a claim or triggered a payment by the surety company. |

## INSURANCE INFORMATION

|                                                                                                                     |                                                                                                                                                                   |
|---------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b><u>Broker:</u></b>                                                                                               | Marsh USA Inc.<br>20 Church Street<br>Hartford, CT 06103<br>(860) 723-5600                                                                                        |
| <b><u>General Liability:</u></b><br>Policy #02CSET10004                                                             | <b>Effective Date: April 1, 2011 – April 1, 2012</b><br>Hartford Fire Insurance Co.<br>\$2,000,000 general aggregate per location/project;<br>\$10,000,000 policy |
| <b><u>Automobile Liability:</u></b>                                                                                 | <b>Effective Date: April 1, 2011– April 1, 2012</b>                                                                                                               |
| Policy #02CSET10000 (A/O)                                                                                           | Hartford Fire Insurance Company<br>\$1,000,000 combined single limit                                                                                              |
| Policy #02CSET10019 (HI)                                                                                            | Hartford Underwriters Ins.<br>\$1,000,000 combined single limit                                                                                                   |
| <b><u>Workers Compensation:</u></b>                                                                                 | <b>Effective Date: April 1, 2011– April 1, 2012</b>                                                                                                               |
| Policy #WC 061967374 (NJ)                                                                                           | New Hampshire Insurance Company                                                                                                                                   |
| Policy #WC 061967371 (TX)                                                                                           | Illinois National Ins. Co.                                                                                                                                        |
| Policy #WC 061967375 (MN)                                                                                           | New Hampshire Insurance Company                                                                                                                                   |
| Policy #WC 061967368 (AR, DC, GA, HI, IL, IN, KS, KY, LA, MD, MO, MS, NC, NM, OK, PA, SC, SD, TN, VA)               | Chartis Casualty Company                                                                                                                                          |
| Policy #WC 061967369 (CA)                                                                                           | Insurance Company of the State of PA                                                                                                                              |
| Policy #WC 061967367 (MA)                                                                                           | New Hampshire Insurance Company                                                                                                                                   |
| Policy #WC 061967373 (AK, AL, AZ, CO, DE, IA, ID, ME, MI, MT, NE, NH, OR, RI, VT, WV)                               | New Hampshire Insurance Company                                                                                                                                   |
| Policy #WC 061967372 (NV, NY, UT, WI) (Employer Liability only – ND, OH, WA, WY)                                    | New Hampshire Insurance Company                                                                                                                                   |
| Policy #WC 061967370 (FL)                                                                                           | Illinois National Insurance Co.                                                                                                                                   |
| Policy #XWC 1192382<br>Employer is a qualified self insurer, (CT) - excess of self insured retention of \$2,500,000 | National Union Fire Insurance Co.                                                                                                                                 |
| <b><u>Limit:</u></b>                                                                                                | \$10,000,000 limit on public liability, property damage and automobile liability                                                                                  |
| <b><u>Contacts:</u></b>                                                                                             | Janice Gore @ 860-723-5758<br>Jim Haggerty @ 860-723-5759                                                                                                         |

## WHO DO YOU CALL?

|                                                                      |                                                                                         |
|----------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| <b>Annual Reports/Fact Books:</b>                                    | Karin Namislo, Farmington, (860) 674-3206                                               |
| <b>Bonds:</b>                                                        | Annette Leuschner<br>Aon Risk Services Northeast, Inc. (212) 479-3726                   |
| <b>Confidentiality/<br/>Non-Disclosure Agreements</b>                | Kristin Gross, Farmington, CT (860) 674-3104                                            |
| <b>D&amp;B Credit Reports:</b>                                       | Joyce Kuppel, Syracuse, NY (315) 432-3243                                               |
| <b>Delegation of Authority,<br/>Certifications, Resolutions</b>      | Andrea Quercia, Farmington, (860) 674-3261                                              |
| <b>EEO/AAP:</b>                                                      | Contact your HR representative                                                          |
| <b>EH&amp;S Issues:</b>                                              |                                                                                         |
| CCS:                                                                 | Stephen Tibbetts, Farmington, CT, (860) 674-3455                                        |
| Salaried On-Site:                                                    | Cheryl Statz, Farmington, CT, (860) 674-3389                                            |
| <b>Environmental Issues:</b>                                         | Bill Leikin, UTC Hartford, (860) 728-6430                                               |
| <b>Ethics:</b>                                                       | Diana Morales, Farmington, CT, (860) 284-3843                                           |
| <b>Financial Reports:</b>                                            | Nancy Melly, Farmington, (860) 674-3486                                                 |
| <b>Franchise Numbers:</b>                                            | Dave Blanzaco, UTC, Hartford, (860) 284-3296                                            |
| <b>Government Affairs:</b>                                           |                                                                                         |
| (Political Contributions, Lobbying)                                  | John Mandyck, (860) 674-3006, Fax: (860) 998-3505                                       |
| (State & Local Government Affairs)                                   | Neil Beup, (860) 728-7054, Fax: (860) 998-9735                                          |
| <b>Insurance:</b>                                                    |                                                                                         |
| Claims:                                                              | Karen Vencus, (860) 674-3275                                                            |
| Risk Management:                                                     | Jennifer Giantonio, (860) 728-7518                                                      |
| Certificate Questions:                                               | Nancy Pultorak (315) 432-6122                                                           |
| <b>Patents, Trademarks,<br/>Copyrights, Software<br/>Development</b> | Lisa Bongiovi, Farmington, (860) 3457                                                   |
| <b>Sales Tax Numbers:</b>                                            | Jim Hebert, Farmington, CT, (860) 676-6402                                              |
| <b>State Unemployment<br/>Insurance Registration<br/>Numbers:</b>    | Marc Fafard (860) 731-9335<br>Nick Phillips (860) 731-9991<br>Jim Reamer (860) 731-9316 |
| <b>Union Information:</b>                                            |                                                                                         |
| CCS Union Administrator:                                             | Robin Ramos, Syracuse, NY, (315) 432-7497                                               |
| National Relations Manager:                                          | Gregg Maurer, (941) 371-4864                                                            |
| <b>Workers' Compensation:</b>                                        |                                                                                         |
| Claims Questions:                                                    | Frank Foge, (315) 433-4728                                                              |
| Financial Questions:                                                 | Jennifer Giantonio, UTC Risk Mgr., (860) 728-7518                                       |
|                                                                      |                                                                                         |

## UTC GENERAL INFORMATION

|                                      |                                                                                                                                                                |
|--------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b><u>Business Address:</u></b>      | United Technologies Building<br>One Financial Plaza<br>Hartford, CT 06101<br>(860) 728-7000                                                                    |
| <b><u>Date of Incorporation:</u></b> | 7/21/34 in the State of Delaware                                                                                                                               |
| <b><u>Officers:</u></b>              | Louis Chenevert, Chairman and CEO<br>Peter F. Longo, VP and Controller<br>George David, Chairman<br>Gregory Hayes, Sr. VP and CFO                              |
| <b><u>DUNS No.:</u></b>              | 00-134-4142                                                                                                                                                    |
| <b><u>Revenue:</u></b>               | \$54.3 Billion Net Sales                                                                                                                                       |
| <b><u>Employees:</u></b>             | 208,200                                                                                                                                                        |
| <b><u>Bank References:</u></b>       | Chase Manhattan Bank<br>4 Chase Metrotech Center, 6 <sup>th</sup> Floor<br>Brooklyn, NY 11245<br>Relations Manager, PH (718) 242-7774;<br>FAX: (718) 242-9216  |
| <b><u>Trade References:</u></b>      | Cambridgeport Air Systems<br>10 Fanaras Dr.<br>Salisbury, MA 01950<br>800-648-2872                                                                             |
|                                      | Thomas & Betts<br>1555 Lynnfield Rd.<br>Memphis, TN 38119<br>901-252-5000                                                                                      |
|                                      | MicroMetl<br>3419 Roosevelt Ave.<br>800-662-4822                                                                                                               |
| <b><u>SIC Code/NAICS Code:</u></b>   |                                                                                                                                                                |
| 3724/336412                          | Aircraft engines and Engine Parts (except research and development not producing prototypes/Aircraft engine and Engine Parts Manufacturing                     |
| 3724/541710                          | Aircraft engines and engine parts (research and development not producing prototypes)/Research and Development in all Physical, Engineering, and Life Sciences |
| <b><u>CAGE CODE:</u></b>             | OCBP5                                                                                                                                                          |

**STATE OF UTAH**  
**DEPARTMENT OF COMMERCE**  
**DIVISION OF OCCUPATIONAL & PROFESSIONAL LICENSING**

**ACTIVE LICENSE**

**EFFECTIVE DATE:** 11/30/2013

**EXPIRATION DATE:** 11/30/2019

**ISSUED TO:** Carrier Corporation  
120 S CENTRAL AVE STE 400  
CLAYTON MO 63105



**REFERENCE NUMBER(S), CLASSIFICATION(S) & DETAIL(S)**

266887-5501

Contractor With LRF

DBAs: None Associated

S350



## **Executive Summary**

This proposal is being requested maintenance/repairs/installation that may be required of Carrier by the West Jordan Justice Center. This is the bread and butter of the Carrier Service Department scope of work. Working with a selected few contractors that we know meet the expectations and requirements of Carrier Corporation regarding performance/quality/and safety, we have designed/installed/modified/repared all types of chillers/air handlers/ductwork/controls and control systems. With that being said I can't think of any work that Carrier could not do short of some proprietary software in controls or equipment that is not allow to general contractors and must be done directly by factory service personnel only.

The local Carrier branch can show proposals for just about any kind of project work short of building new construction projects from 1 million dollars on down. We do about 12 million dollars of service/parts/startup/controls/equipment sales locally in the Utah market each year. We cover (4) states as well as Cruise ship repairs.

Not only can Carrier do the basic required tasks being asked in this RFQ, but Carrier can also supply equipment and controls systems for any application that the Alpine School district would need. We have engineers that we work with on a regular basis, or will work with Alpine's School Districts selected engineers. We can provide factory direct service engineering and support. Temporary chiller or air handler rentals through Carrier Rentals. Vibration testing on the spot with our new service tech hand held device with real time on site reports as well as extended reports sent to owner later.

Carrier Service Utah looks forward to the opportunity to work with West Jordan Justice Center as a partner and consultant for all your needs.

Regards

Jeff Cody

Carrier sales representative

25 year service technician for Carrier

## **Centurylink communication**

30 locations in 3 states

Equipment = Bard, Marvair, unitary package units from Carrier/Trane/York/BDP

Erin Parisi

[Erin.parisi@centurylink.com](mailto:Erin.parisi@centurylink.com)

(720)578-4305

## **Genesis Alkali mining**

(2) Locations with over 15 site buildings

Equipment = Carrier/Aaon/York/Contempo from 1 ton to 4500 ton units

Troy Purser

[Troy.purser@genlp.com](mailto:Troy.purser@genlp.com)

(307)747-0001

## **Washington County School District**

(8) locations

Equipment = York/Carrier/McQuay chillers

Stan Demillie

[Stan.demille@washk12.org](mailto:Stan.demille@washk12.org)

(435) 652-4730

## **Jordan Valley School dist now Canyon School dist**

Before agreement lost to MHI Carrier did work on their Dunham & Bush chillers as well as other manufactures brands like Trane/Dikan/Westinghouse

## **Park City School Dist**

Equipment = York/Arctic Chill/Carrier/

Todd Hansen

[thansen@pcschoools.us](mailto:thansen@pcschoools.us)

(435) 731 0253

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## ATTACHMENT C: INSURANCE CERTIFICATE

WEST JORDAN JUSTICE CENTER CHILLER REPAIR

West Jordan Justice Center Chiller Repair – Carrier Corporation



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
11/12/2012

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER  
MARSH USA INC.  
20 CHURCH STREET  
HARTFORD, CT 06103

CONTACT  
NAME:  
PHONE (A/C, No, Ext):  
FAX (A/C, No):  
E-MAIL:  
ADDRESS:

INSURED  
CARRIER CORPORATION  
ONE CARRIER PLACE  
FARMINGTON, CT 06034-4015

| INSURER(S) AFFORDING COVERAGE                                      |  | NAIC # |
|--------------------------------------------------------------------|--|--------|
| INSURER A :Hartford Fire Insurance Company                         |  | 19682  |
| INSURER B :The Insurance Company of the State of Pennsylvania      |  | 19429  |
| INSURER C :Illinois National Insurance Co.                         |  | 23817  |
| INSURER D :National Union Fire Insurance Company of Pittsburgh, PA |  | 19445  |
| INSURER E :New Hampshire Insurance Company                         |  | 23841  |
| INSURER F :                                                        |  |        |

## COVERAGES

CERTIFICATE NUMBER:H2D7WVC6

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR LTR         | TYPE OF INSURANCE                                                                                                                                                                                                                                                                                                          | ADDL INSR | SUBR WVD | POLICY NUMBER                                                                                                                                    | POLICY EFF (MM/DD/YYYY) | POLICY EXP (MM/DD/YYYY) | LIMITS                                                                                                                                                                                                                                          |
|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|--------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A                | <b>GENERAL LIABILITY</b><br><input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY<br><input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR<br><br>GEN'L AGGREGATE LIMIT APPLIES PER:<br><input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC |           |          | 02CSET10004<br>\$2,000,000 general aggregate per location/project<br>\$10,000,000 policy general aggregate                                       | 04/01/2012              | 04/01/2013              | EACH OCCURRENCE \$ 1,000,000<br>DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000<br>MED EXP (Any one person) \$ 10,000<br>PERSONAL & ADV INJURY \$ 1,000,000<br>GENERAL AGGREGATE \$ 2,000,000<br>PRODUCTS - COMP/OP AGG \$ 2,000,000<br>\$ |
| A                | <b>AUTOMOBILE LIABILITY</b><br><input checked="" type="checkbox"/> ANY AUTO<br><input type="checkbox"/> ALL OWNED AUTOS<br><input type="checkbox"/> HIRED AUTOS<br><input type="checkbox"/> SCHEDULED AUTOS<br><input type="checkbox"/> NON-OWNED AUTOS                                                                    |           |          | 02CSET10000 (A/O)<br>02CSET10019 (HI)<br>Hartford Underwriters Ins                                                                               | 04/01/2012              | 04/01/2013              | COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000<br>BODILY INJURY (Per person) \$<br>BODILY INJURY (Per accident) \$<br>PROPERTY DAMAGE (Per accident) \$<br>\$                                                                                 |
| A                | <input checked="" type="checkbox"/> <b>UMBRELLA LIAB</b> <input checked="" type="checkbox"/> OCCUR<br><input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE<br><br>DED \$ RETENTION \$                                                                                                                 |           |          | 02HUT10021                                                                                                                                       | 04/01/2012              | 04/01/2013              | EACH OCCURRENCE \$ 10,000,000<br>AGGREGATE \$ 10,000,000<br>\$                                                                                                                                                                                  |
| B<br>C<br>D<br>E | <b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b><br>ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)<br>If yes, describe under DESCRIPTION OF OPERATIONS below                                                                                                                              | Y/N<br>N  | N/A      | CA-019736909<br>FL-019736910<br>CT WC(SIR 2.5MM)EX COV-1192493<br>NJ-019736911, MULTI-019736912<br>MULTI-019736913<br>MN-019736914, MA-019736919 | 04/01/2012              | 04/01/2013              | <input checked="" type="checkbox"/> WC STATU-TORY LIMITS <input type="checkbox"/> OTH-ER<br>E.L. EACH ACCIDENT \$ 1,000,000<br>E.L. DISEASE - EA EMPLOYEE \$ 1,000,000<br>E.L. DISEASE - POLICY LIMIT \$ 1,000,000<br>\$<br>\$<br>\$<br>\$      |

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

## EVIDENCE OF INSURANCE

Certificate holder is an additional insured for general, automobile and umbrella liability, but only with respect to liability arising out of Carrier Corporation's work for the additional insured as required by written contract. Policies provide for a waiver of subrogation to the extent agreed to under written contract. The liability policies are primary and non-contributory to any maintained by the additional insured.

## CERTIFICATE HOLDER

## CANCELLATION

CARRIER CORPORATION  
ONE CARRIER PLACE  
FARMINGTON, CT 06034-4015

AUTHORIZED REPRESENTATIVE

# WJ Justice Center Chiller Repair

Final Audit Report

2019-08-16

|                 |                                                     |
|-----------------|-----------------------------------------------------|
| Created:        | 2019-08-14                                          |
| By:             | Maureen Casper (Maureen.Casper@westjordan.utah.gov) |
| Status:         | Signed                                              |
| Transaction ID: | CBJCHBCAABAAYYA6RpFhWHYFzi_Zg9Xth-gZ6dDr7zTII       |

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