

MEMORANDUM

TO: Members, Utah State Board of Education

FROM: Scott Jones
Deputy Superintendent of Operations

DATE: May 12-13, 2016

ACTION: Plan for Execution of Risk Management Funding

Background: The legislature appropriated \$1,012,000 of ongoing funds during the 2016 legislative session for the purpose of compliance and mitigation against risk. The plan is to announce the funded positions and fill them as soon as possible after July 1, 2016 in order to maximize the appropriation in its first year. The positions are assigned to different sections within the organization. There is one particular item that is not personnel related and that is for payment on the Utah Consolidated Application and for Individuals with Disabilities Education Act (IDEA) enhancement.

Board Strategic Plan: This item supports the following imperative(s) and strategies in the Board's Strategic Plan:

- System Values
 - Funding

Anticipated Action: The Finance Committee will recommend for approval the announcement of these vacant positions with the intent to hire and start personnel on or after July 1, 2016. The positions for approval and the specific amounts allocated to them from the appropriation are:

Managerial Accountants /Compliance Officer	\$ 580,000
School Finance UCA/IDEA	\$ 50,000
Policy Specialist/Rule Writer	\$ 82,200
IA FTE	\$ 150,000
Internal Contract Attorney INT ACCT	<u>\$ 150,000</u>
Total Risk Mitigation	\$1,012,200

The School Finance UCA/IDEA allocation of \$50,000 is an exception, not personnel related but program/system related.

Contact: Scott Jones, Deputy Superintendent of Operations, 801-538-7514



Plan of Execution for Risk Management Funding

Utah State Board of Education Finance Committee

May 12, 2016



Plan

- Compliance Officer interviews held week of April 25, 2016-new hire June/July
- Two of three Managerial Accountants on Board-three were at one point-one attrition-new hire July/August
- School Finance UCA/IDEA is for Utah Consolidated Application (UCA) systems improvement-moving forward while coordinating state level grants management system with State Finance
- Policy Specialist Rule Writer nearing job announcement-funding is at part time level may need to increase funding to the position to provide means for a full time hire
- Internal Audit position announcement/interviews in progress (Internal Audit Director Debbie Davis for more information)
- Internal Contract Attorney job description underway-forecasting a July/August fill

Risk Mitigation	
Managerial Accountants /Compliance Officer	580,000
School Finance UCA/IDEA	50,000
Policy Specialist/Rule Writer	82,200
IA FTE	150,000
Internal Contract Attorney INT ACCT	150,000
Total Risk Mitigation	1,012,200