



**STATE OF UTAH
DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES**

SECURITIES COMMISSION MEETING AGENDA

HEBER WELLS BUILDING - ROOM 451

October 22nd – 23rd, 2026 | 9:00 AM - TBD

9:00 a.m.

Welcome and call to order by Commission Chair Lyndon L. Ricks

Agenda Items

1. Approval of July 16th, 2026, meeting minutes
2. Commissioners Appointments – Oath of Office
3. Grant Application – Junior Achievement of Utah and Idaho
4. Director's Report (Robert B. Cummings)
5. Investor Education Fund Report (Katelyn Hale)
6. Division of Securities, Default Cases (by Liz Blaylock)
7. Consideration and Approval of Proposed Orders

PROPOSED ORDER	CASE #	CASE NAME	PRESENTER
8. Argument before the Commission on Respondent William E Gozung, Peter J Bennee, and Nathan Welch's Motion to Dismiss	SD-25-0010 SD-25-0011 SD-25-0013	<i>In the matter of William E. Bozung Peter Bennee Nathan Welch</i>	(intro) Judge Amy Gowan (argument): Counsel Jake Taylor Jennifer Korb
9. Stipulation and Consent Order (three separate stipulations)	SD-25-0026 SD-25-0027 SD-25-0028 SD-25-0029	<i>In the matter of Rogue Liquidity LLC Jacob 'Dusky Rogue' Dalton Todd Bezates Jon H. Andrus</i>	Landon Evans
10. Stipulation and Consent Order	SD-26-0001 SD-26-0002	<i>In the matter of Kenny Dirk Vander Spek and K&K Strategies LLC</i>	Jennifer Korb

PRIVACY NOTICE: This meeting may be recorded. If you attend, join, or participate in this meeting, the personal data that you provide, or that is collected from you, is generally classified as a public record pursuant to Utah Code § 63G-2-301 of the Government Records Access and Management Act (GRAMA), and may be made available to the public as provided by Utah Code § 63G-2-201, except where the meeting is closed under Utah Code § 52-4-205.