

Utah Securities Commission
March 26th, 2015
Room 403—9:00 A.M.
Agenda (Amended 1)

Welcome & Call to Order

Tab 1: Approval of the January 22, 2015 Minutes

Tab 2: Director's Report: Keith Woodwell – personnel update
-- legislation update
-- Commission terms expiration
-- future meeting dates

Section Reports:Enforcement: Dave Hermansen
Compliance: Ken Barton
Registration & Licensing: Benjamin Johnson
Investor Education: Karen McMullin

Tab 3: Grant Request: Mountain West Capital Network: Keith Woodwell

Tab 4: Education and Training Fund Report: Benjamin Johnson

Consideration and Approval of Proposed Orders:

Tab 5: Gregory K. Howell: SD-09-0026: Recommended Order on Motion for Default: Dave Hermansen

Tab 6: Dustin Endsley; Fusion Energy, LLC: SD-15-0001, SD-15-0002: Recommended Order on Motion for Default: Dave Hermansen

Tab 7: Andres Esquivel, dba XTAGGED: SD-11-0033: Recommended Order on Motion for Default: Dave Hermansen

Tab 8: Synergy Funding, LLC; Joshua Paul Chapman: SD-08-0045, SD-08-0046: Recommended Order on Motion for Default: Dave Hermansen

Tab 9: Robert R. Ty; SD-08-0099: Recommended Order on Motion for Default: Dave Hermansen

Tab 10: Nolen Paul Isom; Senergy Investments, LC; Senergy Technologies, LC; Senergy Systems, LC; Greenstep, LC: SD-14-0013, SD-14-0014, SD-14-0015, SD-14-0016, SD-14-0017: Recommended Order on Motion for Default: Dave Hermansen

Tab 11: Dean Loren Casutt; SD-14-0050: Recommended Order on Motion for Default: Dave Hermansen

Tab 12: John Patrick Laing; SD-11-0051: Recommended Order on Motion for Default: Dave Hermansen

Tab 13: Bradley Jackson Neufeld; Affinity Guidance Services, LLC: SD-14-0061, SD-14-0062 Stipulation and Consent Order: KristiLyn Wilkinson

Tab 14: Jeffrey L. Miller; SD-14-0035, Order on Default: Dave Hermansen

Tab 15: Yaede & Sons, LLC; Jeff S. Yaede, George H. Yaede, JR., John R. Yaede, SD 14-0057, SD-14-0058, SD-14-0059, SD-14-0060: Stipulation and Consent: Kenneth Barton