



STATE OF UTAH
DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES

SECURITIES COMMISSION MEETING AGENDA

April 16th, 2026. | 9:00 AM - TBD | HEBER WELLS BLDNG - ROOM 451

9:00 a.m.

Welcome and call to order by Commission Chair

Agenda Items

1. Commission's selection of new chairman

2. Approval of January 15th, 2026, meeting minutes

3. Report and Grant application Jump Start Utah Coalition (by Shauna Barfuss)

4. Report and Grant application Utah Financial Planning Association (by Diana Wehrly)

5. (Item Removed)

- 6A. Introduction and welcome to Division's new forensic accountant, Katelyn Hale (by Robert B. Cummings)

- 6B. Investor Education Fund Report (by Katelyn Hale)

7. Division of Securities, Director's Report (by Robert B. Cummings)

8. Proposed Standing Order 2026-001 regarding administrative procedures for matters before the Utah Securities Commission (by Robert B. Cummings and Jennifer Korb)

9. Amendment to Utah Administrative Code R164-11-1 General Registration Provisions (by Robert Cummings)

Consideration and Approval of Proposed Orders

PROPOSED ORDER	CASE #	CASE NAME	PRESENTER
10. Respondent's Motion to dismiss	SD-25-0010 SD-25-0011 SD-25-0013	In the matter of William E Bozung, Peter J Bennee, Nathan Welch	Mark Holliday
11. Stipulation and Consent Order	SD-20-0024	In the matter of Timothy C. Williams	Mark Holliday

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