

- 44 **Annual assurance required in code or rule.**
- 25 **Staff recommend keeping the assurance because it is not monitored in another capacity.**
- 22 **Assurance can be removed because it is monitored (or will be) in another capacity.**
- 91 Total

LEA COMPLIANCE AND ASSURANCE CHECKLIST
State and Federal Assurances
For 2026 - 2027 School Year

Federal regulations (34 CFR 76.708) require that formula grant funds from the Department of Education may not be obligated nor incur costs until the latter of the following two dates: July 1 or the date the LEA submits its application to the state in substantially approvable form.
 In order to incur any costs associated for these programs as of July 1, the LEA must first request substantially approvable status which includes certifying federal assurances by completion of this preapplication. USBE staff will review and communicate changes and send back or approve. The application must be completed and approved prior to the release of funds. In order to incur any costs associated with this program as of July 1, the LEA must complete and submit the preapplication before or on June 30.

Item #	Mark Yes, No*, or N/A *If No is marked attach corrective action plan	Compliance and Assurance Item	Authorizing, Implemented, or Interpreted Law	Documentation
STATE ASSURANCES				
The LEA assures that it has complied with each of the following requirements as outlined in Code and Rule:				
1	Yes No	School Emergency Response Plan By July 1 of each year, the Emergency Preparedness Response Plan has been practiced at the school level and presented to and reviewed by its staff, administrators, students, parents, local public safety representatives and a copy has been filed with the LEA. Emergency Drills (Fire, Earthquake, Violence) Compliance Statement: Required drills have been held, students have proper supervision, training is age appropriate, student assistance programs are in place, school staff maintain control of schools and facilities.	53G-4-402(2) Rule 277-400-3 R277-400-6 15A-5-202.5	*Link to LEA's policy and procedure
2	Yes No	Student Enrollment Options Student enrollment options are provided as specified in Code and Rule.	53G-6-402 , 53G-6-404 , 53G-6-405 , R277-437	
3	Yes No	Employee Insurance Coverage Notification Against Legal Liability For LEAs that choose to participate in the fund, employees are provided information in writing upon hire and by April 15th annually regarding insurance coverage and legal liability.	63A-4-204 , 63A-4-204.5 , 53G-5-407	
4	Yes No	Collective Bargaining Agreements Collective bargaining agreements have been posted as required.	53G-11-207	
5	Yes No	Truancy Prevention Procedures for absenteeism and truancy prevention have been established and are being followed.	53G-6-201 , R277-607	
6	Yes No	Annual Dropout Prevention The LEA assures that they submitted their Annual Dropout Prevention and Recovery Services report.	53G-9-802	
7	Yes No	Child Abuse-Neglect Reporting by Education Personnel The LEA has a policy in accordance with R277-401 and annually notifies employees of their legal obligation to report suspected abuse to the appropriate authorities.	53E-6-701 , 80-2-602 , R277-401	*Link to LEA's policy and procedure
8	Yes No	Student Search Policies and rules have been established and procedures implemented to ensure protection of individual rights against excessive and unreasonable intrusion.	53G-8-509 , R277-615	*Link to LEA's policy and procedure
9	Yes No	Medical Recommendations by School Personnel to Parents A policy has been adopted and implemented regarding prohibitions and exceptions, as required.	53G-9-203	*Link to LEA's policy and procedure
10	Yes No	Electronic Devices Policy A policy governing the possession and use of electronic devices while on public school premises has been adopted and implemented, as required.	53G-8-202 , R277-495	*Link to LEA's policy and procedure
11	Yes No	Educational Freedom Each LEA shall provide an annual assurance to the state board that the LEA's professional learning, administrative functions, displays, and instructional and curricular materials, are consistent with the principles of individual freedom.	53G-10-206	

12	Yes No N/A	Positive Behaviors Plan (Electronic Cigarettes and Other Substance Use Prevention) The LEA certifies that each school under the governing Board's jurisdiction has an approved Positive Behavior Plan as described in UCA 53G-10-407.	53G-10-407(5)(b), R277-910	
13	Yes No	Parent Education – Mental Health – Bullying – Safety The LEA certifies that the following has been met: (1) (a) Except as provided in Subsection (3), a school district shall offer a seminar for parents of students who attend school in the school district that: (i) (a) is offered at no cost to parents; (ii) (A) if in person, begins at or after 6 p.m.; (B) if in person, takes place on a Saturday; or (C) may be conducted at anytime online and recorded if the recording is made available on the school district's website, including the parent portal created in Section 53G-6-806; (iii) (A) is held in at least one school located in the school district; or (B) is provided through a virtual platform; and (iv) covers the topics described in Subsection (2) that includes information on: (i) substance abuse, including illegal drugs and prescription drugs and prevention; (ii) bullying; (iii) mental health, depression, suicide awareness, and suicide prevention, including education on limiting access to fatal means; (iv) internet safety, including pornography addiction; (v) the SafeUT Crisis Line established in Section 53H-4-210; and (vi) resources related to the topics described in this Subsection (2);	53G-9-703	
14	Yes No	Bullying, cyber-bullying, hazing, abusive conduct, and retaliation policy. The LEA has a policy requiring a signed statement from parents, students over the age of eight, and school employees that they have received the LEA Board's bullying policy annually.	53G-9-605	
15	Yes No	Employees Trained on Bullying Policy The LEA has trained employees on the model policy for bullying, as required in code: (2) The state board shall require an LEA governing board to report annually to the state board on: (a) the LEA governing board's policy, including implementation of the signed statement requirement described in Subsection 53G-9-605(3); (b) the LEA governing board's training of school employees relating to bullying, cyber-bullying, hazing, and retaliation described in Section 53G-9-607;	53G-9-606	
16	Yes No	Training, Education, and Prevention -- Bullying Standards. An LEA shall designate at least one individual at the LEA level who: (a) provides training to an individual described in Subsection (2); (b) oversees the implementation of an action plan; (c) for each incident, monitors implementation of the LEA's policy regarding a communication process with a parent described in Section 53G-9-605; (d) acts as the LEA liaison to the state board regarding bullying, cyber-bullying, hazing, abusive conduct, and retaliation; and (e) assists a school with case-specific needs when the school is addressing an incident.	53G-9-607	
17	Yes No	Child Sexual Abuse and Human Trafficking Prevention The LEA shall provide training and instruction on child sexual abuse prevention and awareness to school personnel and parents or guardians every three years. The school district or charter school uses Board-approved instructional materials to provide the training and instruction.	53G-9-207	
18	Yes No	Gang Prevention and Intervention Policies The LEA assures that faculty and personnel are trained to recognize early warning signs for students in trouble. Faculty and personnel shall report suspected gang activity to school administrators and law enforcement. Gang members are barred from extracurricular activities. Gang-related graffiti or damage to school shall result in parent/guardian notification. LEA gang policy is publicized on school websites, in handbooks, and in letters to parents/guardians. The LEA prohibits advocating or promoting a gang or any gang-related activities.	53E-3-509, R277-436	
19	Yes No	Underage Drinking and Substance Abuse Prevention Program The LEA assures that it shall offer to each student in grades 4 or 5, grades 7 or 8, and grades 9 or 10, respectively, the Underage Drinking and Substance Abuse Prevention Program procured by the Board.	53G-10-406, R277-910	
20	Yes No	Parental notification of certain incidents and threats required The LEA has a policy that requires: a) notification of a parent when a student threatens suicide; b) documentation that the school has notified the parents; and c) that the parents have been provided with suicide prevention materials and information on ways to limit the student's access to fatal means.	53G-9-604	
21	Yes No	Equal Opportunity in Education An LEA shall submit an annual assurance to the Board that the LEA's professional learning is consistent with this Rule and 53G-10-206.	R277-328	

22	Yes No	Sensitive Instructional Materials LEAs establish a policy and accompanying procedures for the selection and reconsideration of instructional materials selected for a school that: (i) is consistent with current state law, including Sections 53G-10-103, 53G-4- 402 and 53G-5-404.	53G-10-103 , R277-628 , R277-123-6	*Link to LEA's policy and procedure
23	Yes No	Public School Library Transparency Amendments LEAs that provide school libraries will provide an online platform that allows a parent to view information regarding materials the parent's child borrows from the school library.	53G-4-402 , 53G-5-405	
24	Yes No	Language Access Policy The LEA Assures that the LEA Board has approved the Language Access Policy, in accordance with 53G-7-221, 63A-2-501, and 63A-2-502.	53G-7-221	*Link to LEA's policy and procedure
25	Yes No	CPR Training Health Education The LEA certifies that hands-on CPR training is part of the Health Education Core Standards or offered online for an online-only school.	53G-10-408	
ADULT EDUCATION The LEA has complied with the following requirements:				
26	Yes No N/A	Use of Amounts The LEA assures that all adult education funds will only be expended on adult education and literacy activities as defined in WIOA.	WIOA-Sec.-203(2) , R277-733 , 53F-2-401(6)	
27	Yes No N/A	Information for the State The LEA assures that required adult education program data will be collected and entered in the state sponsored adult education student information system according to state and federal guidelines for federal reporting purposes.	WIOA-Sec.-116((b)(2)) , R277-733 , Utah-Adult-Education-Policy-and-Procedures-Guide-Section-6	
CAREER & TECHNICAL EDUCATION Federal Perkins Program Strengthening Career & Technical Education for 21st Century Act (Perkins V) The LEA has reviewed the requirements below, and on behalf of the LEA agrees to comply with or abide by the Federal regulations below:				
28	Yes No N/A	Compliance with the Law The LEA certifies they will comply with the requirements of this Act and the provisions of the State plan, including: 1) The provision of a financial audit of funds received under this Act, which may be included as part of an audit of other Federal or State programs; 2) None of the funds expended under this Act will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the acquiring entity or the employees of the acquiring entity or any affiliate of such an organization.	Perkins-V-Sec.122(c)(13)(a-e)	
29	Yes No N/A	College and Career Awareness (CCA) The LEA certifies that the CCA course is taught in 7th or 8th grade and that the LEA is in compliance with program criteria.	53E-3-507 , 53F-2-311 , R277-916	
30	Yes No N/A	Size, Scope and Quality The LEA certifies that they will provide a career and technical education program that is of such size, scope, and quality to bring about improvement in the quality of career and technical education programs. Federal Perkins funds are intended to support or assist in developing programs of size, scope, and quality that meets the definition outlined in the Utah Perkins V Plan.	Perkins-V-Sec.122(c)(13)(a-e) , Utah-Perkins-V-Plan	
31	Yes No N/A	Limitation for Certain Students The LEA certifies that NO funds received under this Act may be used to provide career and technical education programs or programs of study to students prior to secondary school grades (as outlined in the Utah Perkins V Plan).	Perkins-V , Utah-Perkins-V-Plan	
32	Yes No N/A	Supplanting The LEA certifies that, if awarded Perkins funds, Career and Technical Education activities shall supplement, and shall not supplant, non-Federal funds expended to carry out career and technical education activities.	2-CFR-200.201(b)	
33	Yes No N/A	Non-Discrimination The LEA certifies that the LEA will not discriminate against members of special populations because of their status as special populations.	34-CFR-Part-100 , 34-CFR-Part-104 , 34-CFR-Part-106 , 45-CFR-Part-90 , 28-CFR-Part-35 , Perkins-V-Section-216	
34	Yes No N/A	Nonprofit Private School Participation in Professional Development Programs The LEA certifies that, upon written request and to the extent practicable, it will permit CTE secondary school teachers, administrators, and other personnel in nonprofit private schools located in the geographical area served by such eligible recipient, to participate in professional development programs.	Title-II-General-Provisions-Part-A-Sec-217	

35	Yes No N/A	<p>Nonprofit Private School Participation in CTE Programs</p> <p>The LEA certifies that the LEA will:</p> <p>1) Student participation – Except as prohibited by State or local law, an eligible recipient may, upon written request, use funds made available under this Act to provide for the meaningful participation, in career and technical education programs, and activities, including programs of study, receiving funding under this Act, of secondary school student attending a nonprofit private school in areas served by the eligible recipient.</p> <p>2) Consultation – An eligible recipient shall consult, upon written request, in a timely and meaningful manner with representative of nonprofit private schools in the areas served by the eligible recipient described in paragraph (1) regarding the meaningful participation, in career and technical education programs and activities, including programs of study, receiving funding under this Act, of secondary school students attending nonprofit private school.</p>	Title-II-General-Provisions-Part-A-Sec-217	
36	Yes No N/A	<p>Meeting Needs of Special Populations</p> <p>The LEA certifies that the LEA will provide members of protected classes with equal access to activities and programs funded under this Act. The LEA will also certify compliance with:</p> <p>1) Perkins V Section 3(48);</p> <p>2) Title VI and VII of the Civil Rights Act of 1964; (34 C.F.R. Part 100);</p> <p>3) Title IX of the Education Amendments of 1972; (34 C.F.R. Part 106);</p> <p>4) Section 503 and 504 of the Rehabilitation Act of 1973; (34 C.F.R. Part 104);</p> <p>5) Executive Order 11246 prohibiting employment discrimination based on race, color, sex, religion, and national origin;</p> <p>6) The Age Discrimination Act of 1975 and the Age Discrimination in Employment Act of 1967;</p> <p>7) The Equal Pay Act of 1963;</p> <p>8) The Americans with Disabilities Act of 1990;</p> <p>9) All health and safety laws and regulations issued by the U.S. Department of Education, pursuant to their laws, when classroom facilities will be used by students and/or faculty.</p>	Perkins-V-sec-3(48)	

Federal Consolidated Pre-Application

FEDERAL ASSURANCES

The LEA assures that it has complied with each of the following requirements as outlined in Code and Rule:

37	Yes No	<p>Financial Management</p> <p>The LEA provides assurances that it will expend and account for Federal awards in accordance with Federal and State law and USBE Rules, including compliance with the USBE published chart of accounts. The LEA provides assurance that they comply with the provisions of 200.302, that their financial management system (e.g., software, records, documentation, controls) meets the minimum standards established in section (b)(1)-(7) including:</p> <p>1) identification, and accurate, current, and complete disclosure, of federal awards and expenditures - including records;</p> <p>2) effective control over all funds, property and other assets purchased with Federal funds, including adequately safeguarding all assets and ensuring they are used solely for authorized purposes; and</p> <p>3) establishment of written procedures to 1) implement cash management requirements and 2) for the determination of allowability of costs in accordance with 2 CFR 200 Subpart E- Cost Principles parts 400-475;</p>	2-CFR-200.302, R277-113, 2-CFR-200-Subpart-E	*Link to LEA's policy and procedures
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		<p>The LEA provides assurance that they comply with the provisions of 2 CFR 200 Subpart E, including:</p> <p>4) The LEA has established written procedures and agrees to maintain documentation to provide sufficient evidence to support the determination of allowable direct costs, cost allocations, and application of indirect costs;</p> <p>5) the LEA agrees to abide by the terms of the indirect costs agreement renewed annually between the USBE and the LEA;</p> <p>6) the LEA has implemented adequate internal controls to ensure compliance with factors affecting the allowability of cost (2 CFR 200.420-475), in particular:</p> <p>a) 200.421 Advertising and public relations;</p> <p>b) 200.425 Audit services;</p> <p>c) 200.430 Compensation – personal services;</p> <p>d) 200.431- Compensation – fringe benefits;</p> <p>e) 200.434 Contributions and donations;</p> <p>f) 200.437 Employee health and welfare costs;</p> <p>g) 200.438 Entertainment costs;</p> <p>h) 200.442 Fund raising and investment management costs;</p> <p>i) 200.445 Goods or services for personal use;</p> <p>j) 200.449 Interest;</p> <p>k) 200.450 Lobbying;</p> <p>l) 200.469 Student activity costs;</p> <p>m) 200.475 Travel</p>		
38	Yes No	<p>Internal Controls</p> <p>The LEA certifies that it has:</p> <p>1) established and maintained effective internal controls over Federal awards to provide reasonable assurance that Federal awards are being managed in compliance with Federal statutes, regulations and the terms and conditions of subawards. Internal controls should be in compliance with Standards for Internal Control in the Federal Government or the Internal Control Integrated Framework;</p> <p>2) complied with Federal statutes, regulations and the terms and conditions of the Federal awards;</p> <p>3) evaluated and monitored the LEA’s compliance with Federal statutes, regulations, and the terms and conditions of subawards;</p> <p>4) taken prompt corrective action when instances of noncompliance are noted in audit findings or monitoring reports;</p> <p>5) taken reasonable measures to safeguard and protect personally identifiable information and other sensitive information.</p>	2-CFR-200.303	
39	Yes No	<p>Record Retention</p> <p>The LEA agrees to abide by the following:</p> <p>Financial records, supporting documents, statistical records, and all other subrecipient records pertinent to Federal awards, must be retained for a period of three years from the date of the submission of the final expenditure report, or longer in accordance with Utah records retention schedules (see: archives.utah.gov/recordsmanagement/retention-schedules.html) and the Utah Government Records Access and Management Act.</p> <p>The USBE submits a final expenditures report by December 31 of the year grants expire. Subrecipient’s three-year retention cycles start January 1 of the year subsequent to the grant expiration date.</p>	2-CFR-200.334 , 63G-2	
40	Yes No	<p>Access to Records</p> <p>The LEA must grant access to any documents, papers, or other records which are pertinent to the Federal award, to the Federal awarding agency, Inspectors General, the Comptroller General of the United States, and the pass-through entity (i.e., the USBE) or their authorized representatives for them to make audits, examination, excerpts, and transcripts. The right also includes timely and reasonable access to the subrecipient’s personnel for the purpose of interview and discussion related to such documents.</p>	2-CFR-200.337	
41	Yes No	<p>Cost Sharing, Matching, Maintenance of Effort</p> <p>The LEA agrees to review and abide by all matching, maintenance of effort, or earmarking requirements as outlined in subaward agreements and in accordance with 2 CFR 200.306.</p>	2-CFR-200.306	
42	Yes No	<p>Period of Performance</p> <p>The LEA agrees to only charge allowable costs incurred during the period of performance as outlined in grant-specific subaward letters.</p>	2-CFR-200.309	

43	Yes No	<p>Procurement</p> <p>In addition to following the LEA's own documented procurement policies, which reflect applicable State laws and regulations (i.e., 63G-6a and R33) the LEA must follow 2 CFR 200.317- General Procurement Standards through 200.327 Contract Provisions when procuring property and services under Federal awards. Specifically:</p> <p>1) The LEA must maintain oversight to ensure that contractors perform in accordance with the terms, conditions, and specifications of their contracts or purchase orders – the LEA must award contracts only to responsible contractors possessing the ability to perform successfully;</p> <p>2) The LEA must maintain written standards of conduct covering conflicts of interest and gratuities. No employee may participate in the selection, award, or administration of a contract supported by a Federal award if he or she has a real or apparent conflict of interest.</p> <p>3) If an LEA has a parent, affiliate, or subsidiary organization that is not a state, local government, or Indian tribe, they must also maintain written standards of conduct covering organizational conflicts of interest.</p> <p>4) The LEA must maintain records sufficient to detail the history of procurement adequate to demonstrate compliance with Federal and State law and LEA policy.</p>	2-CFR-200.317-327 , 63G-6a	*Link to LEA's policy and procedures
44	Yes No	<p>Payments</p> <p>The USBE complies with the provisions of cash management required in 200.305 by requesting funds from the Federal government only after expenditures have been incurred and paid.</p> <p>The LEA certifies that adequate internal controls and monitoring – including a written procedures as per 2 CFR 200.302(b)(6) - exists to provide assurances that the LEA requests reimbursement from the USBE only when expenditures have been incurred and paid, unless otherwise provided for in the terms and conditions of a Federal subaward from the USBE.</p> <p>The LEA acknowledges and agrees that in the event it is determined that an LEA has requested funds in advance of an expenditure, a cash advance has occurred, and interest will be calculated on the portion of Federal funds deemed to be an advance. Interest will be calculated from the time of the advance until allowable expenditures were incurred or such date as the funds were returned to the USBE. Interest may be required to be repaid to the USBE immediately.</p>	2-CFR-200.305 , R277-113 , R277-114	
45	Yes No	<p>Remedies for Noncompliance</p> <p>The LEA agrees to abide by the provisions found in 2 CFR 200-207, and 200.338, and USBE R277-114 in the event noncompliance with the provisions of Federal awards is determined.</p>	2-CFR-200.208 , 2-CFR-200.339-343 , R277-114	
46	Yes No	<p>Mandatory Disclosures</p> <p>The LEA agrees to disclose in writing, within 24 hours to the USBE, all notification of suspected or actual violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting Federal awards.</p>	2-CFR-200.113	
47	Yes No	<p>Conflict of Interest</p> <p>The LEA must establish conflict of interest policies for Federal awards and disclose in writing any potential conflict of interest to the Federal awarding agency or the USBE.</p>	2-CFR-200.112	*Link to LEA's policy and procedures
48	Yes No	<p>Equipment</p> <p>The LEA will establish and implement internal controls to ensure compliance with the equipment provisions found in 200.313. Specifically,</p> <p>a) the purchase of equipment will be authorized by USBE prior to purchase;</p> <p>b) equipment will be purchased by and used for the specific Federal program for authorized purposes only;</p> <p>c) the LEA will use and dispose of equipment in accordance with the provision of 200.313;</p> <p>d) the LEA will track the purchase of equipment by specific Federal program, and at least once every two years will conduct a physical inventory and reconcile to property records;</p> <p>e) an internal control system must be in place to adequately safeguard and prevent loss, damage, or theft;</p> <p>f) Federal funds will not be used to acquire equipment or software when the acquisition results in direct financial benefit to any organization representing the interests of the purchasing entity or its employees or its affiliates.</p>	2-CFR-200.313	
49	Yes No	<p>Suspension or Debarment</p> <p>1) The LEA certifies that the LEA is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.</p> <p>2) The LEA certifies that the LEA will notify the USBE within 24 hours of any notice of potential or actual debarment, suspension, ineligibility or exclusion by any Federal department or agency.</p> <p>3) The LEA certifies that they have not awarded, subawarded, or contracted with certain parties that are debarred, suspended, or otherwise excluded from or ineligible for participation in Federal programs or activities.</p> <p>4) The LEA certifies that it has not within a three-year period preceding this application been convicted of or had a civil judgment rendered against then for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of Federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.</p> <p>5) The LEA certifies that it is not presently indicted for or otherwise criminally or civil charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in this certification.</p> <p>6) The LEA certifies that it has not, within a three-year period preceding this application, had one or more public transactions (Federal, State, or local) terminated for cause or default.</p>	2-CFR-180 2-CFR-3485 2-CFR-200.214	

50	Yes No	Audit Requirements The LEA agrees to comply with the single audit requirements outlined in Subpart F, particularly 2 CFR 200.501 and 508. LEAs that expend \$1,000,000 or more in any or all Federal awards annually must obtain a Federal single audit.	2-CFR-200.501	
INDIVIDUALS WITH DISABILITIES EDUCATION ACT - IDEA The LEA has complied with the following requirements:				
51	Yes No	Use of Amounts IDEA funding provided to the LEA must be expended in accordance with the applicable provisions of IDEA; must only be used to pay the excess costs of providing special education and related services to students with disabilities; and must be used to supplement State, local and other Federal funds and not to supplant those funds.	20-U.S.C.-1413(a)(2)(A) , 34-CFR-300.202	
52	Yes No	Schoolwide Programs Notwithstanding the provisions of Sec. 300.202 and 300.203 or any other provision of Part B of the Act, an LEA may use funds received under Part B of the Act for any fiscal year to carry out a schoolwide program under section 1114 of the ESEA. The amount used in any schoolwide program may not exceed the amount received by the LEA under Part B of the Act for that fiscal year; divided by the number of children with disabilities in the jurisdiction of the LEA; and multiplied by the number of children with disabilities participating in the schoolwide program. When using funds in this manner, the LEA must still meet the requirements of 300.202 and 300.203, and must ensure that students with disabilities in schoolwide program schools still receive services in accordance with a properly developed individualized education program and are afforded all the rights and services guaranteed to children with disabilities under IDEA.	20-U.S.C.-1413(a)(2)(D) , 34-CFR-300.206 , 34-CFR-300.202(a)(2)(3)	
53	Yes No	Personnel Development The LEA has established and maintains qualifications to ensure that personnel necessary to carry out this part are appropriately and adequately prepared and trained, including that those personnel have the content knowledge and skills to serve children with disabilities.	20-U.S.C.-1412(a)(14)(A)-(E) , 34-CFR-300.156 , 20-U.S.C.-1413(a)(3) , 34-CFR-300.207	
54	Yes No N/A	Treatment of Charter Schools and their Students Students with disabilities who attend public charter schools retain all rights under IDEA. Administrative and financial responsibilities of the LEA vary depending on whether the charter school is a public school of the LEA, the charter school is the LEA, or the charter school is neither a school of the LEA or an LEA.	20-U.S.C.-1413(a)(5) , 34-CFR-300.209	
55	Yes No	Procurement of Instructional Materials in Accessible Format Utah has elected to coordinate with the National Instructional Materials Access Center (NIMAC) through the Utah State Instructional Materials Access Center (USIMAC). Utah has elected to adopt the National Instructional Materials Accessibility Standard (NIMAS) and established USIMAC for the purpose of providing textbooks and related instructional materials to eligible students in a timely manner. Whether or not an LEA participates in USIMAC, the LEA will be responsible for ensuring that each student who requires instructional materials in an alternate format will receive these materials in a timely manner (at the same time as peers in the same class). The LEA provides assurance that the LEA will provide instructional materials to students who require accessible textbooks and related instructional material in a timely manner.	34-CFR-300.172 , 20-U.S.C.-1413(a)(6) , 34-CFR-300.210	
56	Yes No	Information for the State The LEA shall provide data to the State on any information that may be required by the State or the Secretary.	20-U.S.C.-1418(a)(3) , 20-U.S.C.-1413(a)(7) , 34-CFR-300.640-300.645 , 34-CFR-300.211	
57	Yes No	Public information The LEA must make available to parents of children with disabilities and to the general public all documents related to the eligibility of the agency for IDEA funds.	20-U.S.C.-1413(a)(8) , 34-CFR-300.212	
58	Yes No	Records Regarding Migratory Children with Disabilities The LEA must cooperate in the Secretary's efforts under section 1308 of the ESEA to ensure the linkage of records pertaining to migratory children with disabilities for the purpose of electronically exchanging, among the States, health and educational information regarding those children.	20-U.S.C.-1413(a)(9) , 34-CFR-300.213	
59	Yes No	Free Appropriate Public Education A free appropriate public education is available to all children with disabilities residing in the District or enrolled in the Charter School between the ages of 3 and 22, including children with disabilities who have been suspended or expelled.	20-U.S.C.-1412(a)(1) , 34-CFR-300.101-300.108	
60	Yes No	Child Find All children with disabilities residing in the District or enrolled in the Charter School, including children with disabilities who are homeless or are wards of the State and children with disabilities attending private schools, regardless of the severity of their disabilities, and who are in need of special education and related services, are identified, located, and evaluated and a practical method is developed and implemented to determine which children with disabilities are currently receiving needed special education and related services.	20-U.S.C.-1412(a)(3) , 34-CFR-300.111	
61	Yes No	Individualized Education Program An individualized education program is developed, reviewed, and revised for each child with a disability.	34-CFR-300.320 , 20-U.S.C.-1412(a)(2) , 34-CFR-300.112	

62	Yes No	Procedural Safeguards Children with disabilities and their parents are afforded the appropriate procedural safeguards.	34-CFR-300.500 , 20-U.S.C.-1412(a)(6) , 34-CFR-300.121	
63	Yes No	Evaluation Children with disabilities are appropriately evaluated.	34-CFR-300.300 , 20-U.S.C.-1412(a)(7) , 34-CFR-300.122	
64	Yes No	Confidentiality The LEA complies with regulations relating to the confidentiality of records and information.	34-CFR-300.610 , 20-U.S.C.-1412(a)(8) , 34-CFR-300.123	
65	Yes No	Transition from Early Intervention Children participating in early intervention programs assisted under IDEA Part C, and who will participate in preschool programs assisted under this part, experience a smooth and effective transition to those preschool programs in a manner consistent with IDEA Section 637(a)(9). By the third birthday of such a child, an individualized education program or, if consistent with 34 CFR§300.323(b) and IDEA Section 636(d), an individualized family service plan, has been developed and is being implemented for the child. The LEA will participate in transition planning conferences arranged by the designated lead agency.	IDEA-Section-635(a)(10) , 20-U.S.C.-1412(a)(9) , 34-CFR-300.124	
66	Yes No N/A	Children with Disabilities in Private Schools The District complies with the requirements relating to responsibilities for children in private schools, including that to the extent consistent with the number and location of children with disabilities in the State who are enrolled by their parents in private elementary schools and secondary schools in the school district served by a local educational agency, provision is made for the participation of those children in the program assisted or carried out by providing for such children special education and related services unless the Secretary has arranged for services to those children under IDEA Subsection F. Students with disabilities who are unilaterally enrolled in private schools by the parents have no individual entitlement to receive some or all of the special education and related services they would receive if enrolled in a public school (i.e., a free appropriate public education (FAPE)). However, LEA school districts have an obligation to provide the group of parentally placed private school children who have disabilities with equitable participation in services funded with Federal IDEA Part B Section 611 and 619 funds. LEA charter schools have no obligation to provide proportionate share.	34-CFR-300.129 , 20-U.S.C.-1412(a)(10) , 34-CFR-300.132	
67	Yes No	Assessment All children with disabilities are included in all general State and LEA assessment programs, including assessments described under Section 1111 of the Elementary and Secondary Education Act of 1965, with appropriate accommodations and alternate assessments where necessary and as indicated in their respective individualized education programs.	20-U.S.C.-1412(a)(16)(A)-(E) , 34-CFR-300.160	
68	Yes No	Disproportionate Representation The LEA has in effect, consistent with the purposes of the IDEA and with Section 618(d) of the Act, policies and procedures designed to prevent the inappropriate over-identification or disproportionate representation by race and ethnicity of children as children with disabilities, including children with disabilities with a particular impairment.	20-U.S.C.-1412(a)(24) , 34-CFR-300.8 , 34-CFR-300.173	
69	Yes No	Prescription The LEA personnel are prohibited from requiring a child to obtain a prescription for a substance covered by the Controlled Substances Act as a condition of attending school, receiving an evaluation or receiving services under the IDEA.	34-CFR-300.300 , 20-U.S.C.-1412(a)(25) , 21-U.S.C.-812 , 34-CFR-300.174	
70	Yes No	Fiscal Controls The LEA shall use fiscal control and fund accounting procedures that insure proper disbursement of and accounting for Federal funds. Applicant, on behalf of the LEA, agrees to abide by the rules and regulations described above.	34-CFR-76.702	
71	Yes No	Non-Construction Programs As applicable, the LEA agrees to the assurance in OMB Standard Form 424B (Assurances for Non-Construction Programs), relating to legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood insurance; environmental standards; wild and scenic river systems; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and general agreement to comply with all Federal laws, executive orders and regulations.	OMB Standard Form 424B	

72	Yes No	Certification Regarding Lobbying 1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement; 2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instruction.	31-U.S.C.-1352 , 40-CFR-Part-34	
EVERY STUDENT SUCCEEDS ACT - ESSA				
The LEA has complied with the following requirements:				
73	Yes No	ESSA Title Programs Information in accordance with program requirements have been provided, as required.	ESSA-ACT-1965	
74	Yes No	Military Recruiters Access to Student Directory Information Upon request, military recruiters are provided student directory information unless prohibited by the parents or guardians of individual students.	10-U.S.C.-503(c) , 20-U.S.C.-7908	
75	Yes No	Constitutionally Protected Prayer The LEA does not have any policy that prevents or otherwise denies a student or teacher participation in constitutionally protected prayer.	20-U.S.C.-7904	
76	Yes No N/A	Persistently Dangerous LEA The LEA will establish and implement a policy requiring that a student attending a persistently dangerous school be allowed to transfer to a safe public school within the LEA.	ESEA-Section8532 , R277-714	*Link to LEA's policy and procedures
77	Yes No N/A	Equitable Services for Private School Students The LEA will provide timely consultation with private school officials to ensure all students have opportunities for services within ESSA.	ESEA-Section1117 , ESEA-Section8501	
78	Yes No	Supplanting The LEA will use funds made available from this Act to supplement and not supplant state and local funds.	2-CFR-200.201(b)	
GENERAL ASSURANCES				
The LEA assures that it has complied with each of the following requirements:				
79	Yes No	Section 28 Drug-Free Workplace The LEA certifies that it will or will continue to provide a drug-free workplace by: (1) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition; (2) Establishing an on-going drug-free awareness program to inform employees about: (a) The dangers of drug abuse in the workplace; (b) The grantee's policy of maintaining a drug-free workplace; (c) Any available drug counseling, rehabilitation, and employee assistance programs; and (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace; (e) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a); (f) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will: (a) Abide by the terms of the statement; and (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction; (3) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position and title to: Director, Grants and Contracts Service, U.S. Department of Education, 400 Maryland Avenue, S.W. (Room 3124, GSA Regional Office, Building No. 3), Washington, D.C. 20202-4571. Notice shall include the identification number(s) of each affected grant; (4) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; (5) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (7) (e), and (f).	Perkins-V, Drug-Free-Workplace-Act-of-1988 , 34-CFR-Part-85-Subpart-F , 24-CFR-Part-85	

80	Yes No	Gun-Free Schools Act LEAs are required to have an expulsion policy consistent with the required State law to be eligible to receive ESEA funds. LEAs must have a policy requiring referral to the criminal justice or juvenile delinquency system of any student who brings a firearm to a school under the control and supervision of an LEA. In accordance with the GFSA, no ESEA funds may be made available to an LEA unless that LEA has the required referral policy.	Gun-Free-Schools-Act-2001	*Link to LEA's Policy
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High-Risk Assurances Added in FY26

INTERNAL AUDIT Items notes as high-risk for the upcoming fiscal year. The LEA has complied with the following requirements:

81	Yes No	Educator Conduct An educator shall: (1) comply with all federal, state, and local laws; (2) maintain a professional educator/student relationship, including by: (a) treating a student with dignity and respect by promoting the health, safety and well being of students; and (b) maintaining appropriate verbal, emotional and social boundaries; (3) take prompt and appropriate action to stop, mitigate, and prevent harassment or discriminatory conduct toward a student or school employee that the educator knew or should have known may result in a hostile, intimidating, abusive, offensive, or oppressive environment; (4) take prompt and appropriate action to protect a student from any known condition detrimental to the student's physical health, mental health, safety, or learning;	R277-217-3	
82	Yes No	Educator Conduct (1) An LEA shall notify UPPAC if an educator is determined pursuant to a judicial or administrative proceeding, or internal LEA investigation, to have violated the educator standards described in Sections R277-217-2 and R277-217-3. (2)(a) A district superintendent or a charter school director, or their respective designees, shall notify UPPAC and the educator of any allegation from a parent that an educator's conduct violated Sections R277-217-2 and R277-217-3 within 30 days of receiving the allegation.	R277-217-5	
83	Yes No	Reporting Child Abuse and Neglect (1)(c)(i) any school employee who knows or reasonably believes that a child has been neglected, or physically or sexually abused, shall immediately notify the nearest peace officer, law enforcement agency, or DCFS. (2) An LEA policy may direct a school employee to notify a school official of suspected neglect or abuse, but any such requirement shall clarify that notifying a school official does not satisfy the employee's personal duty to report to law enforcement or DCFS.	R277-401-3	
84	Yes No	Bullying and Harrassment All provisions of this section are known and complied with.	R277-613-5	
85	Yes No	Athletics and Coaching All provisions of this section are known and complied with.	R277-107-6	
86	Yes No	Athletics and Coaching (3) A coach or other designated school leader shall be an exemplary role model and may not use alcoholic beverages, tobacco, controlled substances, or participate in promiscuous sexual relationships while on school-sponsored activities. (4) Coaches, assistants and advisors shall act in a manner consistent with Section 53G-8-209 and may not: (a) use foul, abusive, or profane language while engaged in school related activities; or (b) permit hazing, demeaning, or assaultive behavior, whether consensual or not, including behavior involving physical violence, restraint, improper touching, or inappropriate exposure of body parts not normally exposed in public settings, forced ingestion of any substance, or any act which would constitute a crime against a person or public order under Utah law.	R277-605-4	
87	Yes No	Athletics and Coaching (1) School personnel, activity leaders, coaches, advisors, and other personnel may not require students to attend out-of-school camps, clinics, or workshops for which the personnel, activity leaders, coaches, or advisors receive remuneration from a source other than the school or district in which they are employed. (2) Required or voluntary participation in summer or other off-season clinics, workshops, and leagues may not be used as eligibility criteria for team membership, participation in extracurricular activities, or for the opportunity to try out for school-sponsored programs.	R277-605-5	

88	Yes No	<p>Policies and Procedures</p> <p>(1) An LEA shall review the LEA's fiscal policies and procedures regularly.</p> <p>(2) An LEA shall develop a plan for annual training of LEA and public school employees on policies and procedures enacted by the LEA specific to job function.</p> <p>(3) LEA fiscal policies and procedures shall be available at each LEA main office, at individual public schools, and be publicly available on the LEA's website.</p>	R277-113-5	
89	Yes No	<p>Policies and Procedures</p> <p>An LEA governing board shall have the following responsibilities:</p> <p>(1) approve written fiscal policies and procedures required by Section R277-113-5;</p> <p>(2) ensure, considering guidance in "Standards for Internal Control in the Federal Government," issued by the Comptroller General of the United States or the "Internal Control Integrated Framework," issued by the Committee of Sponsoring Organizations of the Treadway Commission, that LEA administration establish, document, and maintain an effective internal control system for the LEA;</p> <p>(3) develop a process to regularly discuss and review LEA:</p> <p>(a) budget and financial reporting practices;</p> <p>(b) financial statements and annual financial and program reports;</p> <p>(c) financial position;</p> <p>(d) expenditure of restricted funds to ensure administration is complying with applicable laws, regulations, and award terms and conditions; and</p> <p>(e) systems and software applications for compliance with financial and student privacy laws;</p> <p>(5) oversee procurement processes in compliance with Title 63G, Chapter 6a, Utah Procurement Code, and Rule R277-115, including:</p> <p>(a) reviewing the scope and objectives of LEA contracts or subawards with entities that provide business or educational services; and</p> <p>(b) receiving reports regarding the compliance and performance of entities with contracts or subawards;</p> <p>(7) ensure LEA administration implements sufficient internal controls over the functions of entities with contracts or subawards to perform services on behalf of the LEA.</p>	R277-113-6	
90	Yes No	<p>Special Education</p> <p>The Superintendent and LEAs shall provide services to a student with a disability in accordance with:</p> <p>(1) Section 504 of the Rehabilitation Act of 1973, 29 U.S.C. 794;</p> <p>(2) this rule;</p> <p>(3) the Special Education Rules, June 2023, included in the Special Education Rules manual described in Section R277-750-2; and</p> <p>(4) the annual Utah State Federal Application under Part B of the IDEA.</p>	R277-750	
91	Yes No	<p>Parent Accommodations</p> <p>(1)</p> <p>(a) A student's parent is the primary person responsible for the education of the student, and the state is in a secondary and supportive role to the parent. As such, a student's parent has the right to reasonable academic accommodations from the student's LEA as specified in this section.</p> <p>(b) Each accommodation shall be considered on an individual basis and no student shall be considered to a greater or lesser degree than any other student.</p> <p>(c) The parental rights specified in this section do not include all the rights or accommodations that may be available to a student's parent as a user of the public education system.</p> <p>(d) An accommodation under this section may only be provided if the accommodation is:</p> <p>(i) consistent with federal law; and</p> <p>(ii) consistent with a student's IEP if the student already has an IEP.</p> <p>(2) An LEA shall reasonably accommodate a parent's written request to retain a student in kindergarten through grade 8 on grade level based on the student's academic ability or the student's social, emotional, or physical maturity.</p> <p>(3) An LEA shall reasonably accommodate a parent's initial selection of a teacher or request for a change of teacher.</p> <p>(4) An LEA shall reasonably accommodate the request of a student's parent to visit and observe any class the student attends.</p> <p>(9) (a) At the request of a student's parent, an LEA shall excuse a student from taking an assessment that:</p> <p>(i) is federally mandated;</p> <p>(ii) is mandated by the state under this public education code; or</p> <p>(iii) requires the use of:</p> <p>(A) a state assessment system; or</p> <p>(B) software that is provided or paid for by the state.</p>	53G-6-803	