



STATE OF UTAH
DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES

SECURITIES COMMISSION MEETING AGENDA

January 15th, 2025. | 9:00 AM - TBD | HEBER WELLS BLDNG - ROOM 451

9:00 a.m.

Welcome and call to order by Commission Chair

Agenda Items

1. Approval of October 23rd, 2025, meeting minutes

2. Investor Education Fund Report (by Aaron Burgoyne)

3. Welcome and Oath of Roger Silvers, new Commissioner (by Robert B. Cummings & Michael Scott)

4. Division of Securities, Director's Report (by Robert B. Cummings)

5. Proposed Standing Order 2026-001 regarding administrative procedures for matters before the Utah Securities Commission – submitted for discussion (by Robert B. Cummings and Jennifer Korb)

6. Motion to appoint counsel for the Commission.

Consideration and Approval of Proposed Orders

PROPOSED ORDER	CASE #	CASE NAME	PRESENTER
7. Proposed stipulation (NASAA Multi-State compensation)	SD-25-0030	In the matter of RBC Capital Markets LLC	Charles Lyons
8. Motion to Dismiss and Motion to Strike	SD-25-0018 AND SD-25-0021	In the matter of: Savitar Systems LLC AND Jeremy T. Grabow	Mark Holliday
9. Order of Default	SD-20-0026	In the matter of Alicia m Deleon	Mark Holliday
10. Stipulation and Consent Order	SD-20-0015 SD-20-0016 SD-20-0017	In the matter of Richard David Nuffer Fastighet Management LLC Nuffer Estates & Invest. Inc	Jennifer Korb

11. Stipulation and Consent Order	SD-20-0023	In the matter of Roger Alan Yack	Mark Holliday
12. Stipulation and Consent Order	SD-22-0009	In the matter of Bruce Lavar Redd	Jennifer Korb
13. <i>Motion for Default</i>	<i>SD-25-0008 AND SD-25-0009</i>	<i>In the matter of Serve Foundation AND Unity Reserves LLC</i>	<i>Jennifer Korb</i>