

Utah Securities Commission
January 22nd, 2015
Room 451 – 9:00 A.M.
Amended Agenda (2)

Welcome & Call to Order

Tab 1: Approval of November 20, 2014 Minutes

Tab 2: Director's Report: Keith Woodwell -- personnel update
-- legislation update

Section Reports: **Enforcement:** Dave Hermansen
 Compliance: Ken Barton
 Registration & Licensing: Benjamin Johnson
 Investor Education: Karen McMullin

Tab 3: Grant Request: Working in Support of Education Inc. (WISE): Keith Woodwell

Tab 4: Grant Request: AARP Utah: Laura Polacheck

Tab 5: Education and Training Fund Report: Benjamin Johnson

Consideration and Approval of Proposed Orders:

Tab 6: David George Bartholomew: SD-11-0049: Stipulation and Consent Order: Dave Hermansen

Tab 7: Terry Eugene Leib; William O. Martineau; Martineau Financial Services, LLC: SD-14-0028, SD-14-0029, SD-14-0030: Stipulation and Consent Order: Dave Hermansen

Tab 8: Geoffrey William Watson: SD-11-0042: Stipulation and Consent Order: Dave Hermansen

Tab 9: Michael Kevin Landon; Property Network, Inc.; American Property Investments, LLC: SD-14-0036, SD-14-0037, SD-14-0038: Stipulation and Consent Order: Dave Hermansen

Tab 10: Fortius Group, LLC; Fortius Fund, LLC; Powder Ridge Land, LLC; Powder Ridge Developers I, Ltd.; Powder Ridge Management, Inc.; Chamonix Capital I, LLC; David Ryan Barlow; Blue Diamond II, LLC: SD-11-0069; SD-11-0070; SD-11-0071; SD-11-0072; SD-11-0073; SD-11-0074; SD-11-0075; SD-11-0075; SD-11-0076; SD-13-0002; SD-13-0001: Stipulation and Consent Order: Dave Hermansen

Tab 11: Rational Capital Management, LLC; Alan Herbert Oviatt: SD-11-0057; SD-11-0058: Stipulation and Consent Order: Dave Hermansen

Tab 12: Jason Lee Borup: SD-14-0055: Recommended Order on Motion for Default: Dave Hermansen

Tab 13: John Patrick Laing: SD-11-0051: Recommended Order on Motion for Default: Dave Hermansen

Tab 14: Dean Loren Casutt: SD-14-0050: Recommended Order on Motion for Default: Dave Hermansen

Large Tab 2: Bruce Lockett Dyson: SD-14-0027: Recommended Order on Motion for Default: Dave Hermansen

Tab 15: Merlin Victor Fish; Aquapower, LC: SD-14-0021; SD-14-0022: Stipulation and Consent Order: Dave Hermansen

10:00 am and Large Tab 1: Evidentiary Hearing: R. Austin Christensen: SD-14-0023