



STATE OF UTAH
DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES

SECURITIES COMMISSION MEETING AGENDA

August 14th, 2025. | 9:00 AM - TBD | HEARING ROOM 451

9:00 a.m.

- Welcome and call to order by Commission Chair

Agenda Items

1. Approval of May 1st 2025 meeting minutes

2. Investor Education Fund Report (by Aaron Burgoyne)

3. Division of Securities, Director's Report (by Robert B. Cummings)

4. Update on Commissioner Nomination Process (by Robert B. Cummings)

5. Grant Applicants Presentation

5.1 Introduction (by Robert B. Cummings)

5.2 Junior Achievement of Utah and Idaho

5.2.1 FY25 Grant Results (by Christy Tribe, President and CEO)

5.2.2 FY26 New grant request (by Christy Tribe)

5.3 Utah Financial Empowerment Coalition

5.3.1 FY25 Grant Results (by Ania McGrath, Communications and Outreach Programs Manager)

5.3.2 FY26 New grant request (by Ania McGrath)

6. Consideration and Approval of Proposed Orders

PROPOSED ORDER	CASE #	CASE NAME	PRESENTER
6. Motion for Summary Judgment Recommended Order Granting Division's Motion for Summary Judgment	SD-20-0006 SD-20-0007	In the matter of: Supplyline, and Thomas Hanson Fairbanks	Jennifer Korb

Order Granting Division's Motion for Summary Judgment			
PROPOSED ORDER	CASE #	CASE NAME	PRESENTER
7. Default Findings of Fact, Conclusions of Law and Recommended Order Default Order of Adjudication Order Striking Hearings	SD-24-0057 SD-24-0058	In the matter of: Christopher F. Schlierman Dixie Hollow, LLC	Mark Holliday
8. Stipulation and Consent Order as to FSI Group LLC	SD-24-0055 .	In the matter of: FSI Group, LLC	Charles Lyons
9. Stipulation and Consent Order	SD-24-0052 SD-24-0053	In the matter of: JGAS S.A. (AKA Lucisdollar) Joshua Sterling Phair	Jennifer Korb
10. Stipulation and Consent Order as to Warwolf, Inc and Robert Grant Fines	SD-25-0001 SD-25-0002	In the matter of: Warwolf, Inc Robert Grant Fines	Jennifer Korb
11. Motion for Default Judgment against respondent Richard Gene Craig and Memorandum in Support	SD-25-0003	In the matter of: Richard Gene Craig	Jennifer Korb
12. Stipulation and Consent Order	SD-25-0014	In the matter of Stifel, Nicolaus & Company, Inc	Charles Lyons
13. Stipulation and Consent Order	SD-25-0015	In the matter of TD Ameritrade, Inc	Charles Lyons