



STATE OF UTAH
DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES

SECURITIES COMMISSION MEETING AGENDA

May 1st, 2025 | 9:00 AM-10:00 AM | HEARING ROOM 451

May 2nd, 2025 | 9:00 AM-10:00 AM | GIANI HEARING ROOM

9:00 a.m. Welcome and call to order

1. Approval of January 16, 2025, meeting minutes

2. Investor Education Fund Report (by Aaron Burgoyne)

3. Consideration and Approval of Proposed Orders

PROPOSED ORDER	CASE #	CASE NAME	PRESENTER
Stipulation and Consent Order,	SD-24-0067 SD-24-0068	Kody E. Rogers, WMX Advisors LLC, DBA Wealth Management Experience, IARD	Chip Lyons
Stipulation and Consent Order	SD-25-0004 SD-25-0005	Vanguard Marketing Corporation and the Vanguard Group Inc	Chip Lyons

HEARING

10:00 a.m. Administrative Hearing on the JTMK cases:

- SD-24-0041 - PTC JTMK Group
- SD-24-0042 - Bruce W. Anderson
- SD-24-0043 - Robert Yukes