

Dixie State University

*Board of Trustees
Meeting Agenda*

DSUTM

DIXIE STATE UNIVERSITY
ST. GEORGE, UTAH

1	Agenda
----------	---------------

2	Minutes
----------	----------------

3	President's Report
----------	-------------------------------

4	Action Items
----------	-------------------------

5	Discussion/ Information Items
----------	--

**DIXIE STATE UNIVERSITY
BOARD OF TRUSTEES MEETING
Zion Room, Jeffrey R. Holland Centennial Commons
Friday, September 19, 2014
Executive Session (Trustees Only) – 8:00 a.m.
General Session (All Meeting Attendees) – 8:30 a.m.**

A G E N D A

[*] = Handout Included

- I. EXECUTIVE SESSION
- II. WELCOME / INTRODUCTIONS / THANK YOUS (Chair Christina J. Durham)
 - A. Welcome/Introduction of New Board Chair, Dr. Christina J. Durham (President Williams)
 - B. Welcome/Introduction of New President, Dr. Richard B. Williams
 - C. Welcome/Introduction of New Trustees:
 - Larry G. Bergeson
 - Thomas E. Wright
 - D. Thank You to Outgoing Trustee, Dr. Max H. Rose
 - E. Welcome/Introduction of New Deans/Directors:
 - Dr. Bruce Harris, Director of the Center for Teaching Excellence
 - Dr. Jeffery Jarvis, Dean of the School of Performing and Visual Arts
 - Scott Jensen, Associate Director of Business Services
 - Richard Paustenbaugh, Dean/Director of the DSU Library
 - Dr. Kyle Wells, Dean of the School of Business and Communication
 - F. Thank You to Sylvia Bradshaw, Outgoing Classified Staff Association President
 - G. Welcome/Introduction of Jack Freeman, Incoming Classified Staff Association President
 - H. Welcome Kelle Stephens, President of the Dixie Applied Technology College
 - I. Welcome Jeannine Holt, Past Member of the Board of Trustees
 - J. Welcome Representatives of the Press and Other Visitors
- III. SWEARING IN OF NEW TRUSTEES (Chair Durham)
- IV. PRESIDENT'S REPORT (President Williams)
 - A. Listening Tour Update
 - B. *Strategic Planning
 - C. *Building Request Update
- V. ACTION ITEMS (Chair Durham)
 - A. Approval of Minutes (Chair Durham)
 - *Monday, April 28, 2014
 - *Wednesday, July 16, 2014
 - B. Policy Ratification (Chair Durham)
 - *Policy 6-NEW: Trustee Conflict of Interest
 - Reporting re: Conflicts of Interest

- C. Administrative Services
- *Investment Report (Scott Talbot/Cheri Capps)
 - *Budget Report (Paul Morris/Bryant Flake)
 - *Audit Reports: (Paul Morris/Scott Talbot)
 - ✓ Annual Financial Report for the Year Ended 6/30/2013
 - ✓ Government Auditing Standards Report for the Year Ended 6/30/2013
 - *Proposal: University-Owned On-Campus Student Housing (Paul Morris)

VI. BOARD OF TRUSTEES COMMITTEE REPORTS

- A. Audit Committee – David Clark/Hal Hiatt
- B. Finance/Investment Committee – Larry Bergeson/Thomas Wright
- C. Government Affairs Committee – Thomas Wright/Hal Hiatt
- D. Academic Programs Committee – Elisabeth Bingham/Julie Beck/Larry Bergeson/Greg Layton/Gail Smith
- E. Policy Committee – Julie Beck/Christina Durham
- F. DXATC Board Liaison – Jon Pike
- G. NAC Representatives – Gail Smith/Elisabeth Bingham/Christina Durham

VII. DISCUSSION / INFORMATION ITEMS

- A. Academic Services
- Accreditation Report (Debra Bryant)
 - *Program Approval Status/Curriculum Update (David Wade)
- B. Administrative Services (Paul Morris)
- *Burns Arena North Office Addition
 - *On-campus Subway Sandwich Location
- C. Student Services (Frank Lojko)
- Enrollment Demographics
 - Student Success Center (Retention) Presentation
 - Student Services Annual Report [online link and CD disc]
- D. Institutional Advancement (Christina Schultz)
- *DOCUTAH Video and Review
 - *Upcoming Advancement Activities and Events
- E. Development (Brad Last)
- *Development Office Donation Report
 - General Update
- F. Homecoming 2014 (Brad Last/Gregory Layton)
- *Homecoming Events Schedule
- G. DSU Student Association (Gregory Layton)
- Student Government Update

H. General Updates

- Alumni Association (Hal Hiatt)
- Athletics (Jason Boothe)
- Classified Staff Association (Sylvia Bradshaw/Jack Freeman)
- Exempt Staff Association (Mace Jacobson)
- Faculty Senate (Nate Staheli/Erin O'Brien)

VIII. CALENDAR OF UPCOMING MEETINGS AND EVENTS

- *Thrive Symposium* – September 18-20 @ DSU
- *CAO and CSSO Meetings* – Thursday, September 25 @ USU
- *Board of Regents Meeting* – Friday, September 26 @ USU
- *“Freedom Summer” Film Screening* – Wednesday, October 1 @ 6:30PM, Eccles Mainstage Theatre
- *Ibigawa (Japan) Delegation Luncheon* – Thursday, October 2, 2014
- *Dr. Surendra Pachauri, Visiting Lecturer* – October 5-17, 2014
- *Barnum Honors Lecture* – Tuesday, October 14, 2014
- *Fall Break* – October 16 and 17, 2014
- *Homecoming* – October 20-25, 2014
- *National Advisory Council Meeting* – Thursday, October 23 @ 8AM, Zion Room
- *Inauguration of President Williams* – Thursday, October 23 @ 3PM, Burns Arena
- *Council of Presidents Meeting* – Tuesday, October 28 @ 12PM, The Gateway/SLC
- *“Muslim Journeys” Program* – October 28-30, 2014
- *Business of Art* – November 7-8, 2014 @ Kanab Middle School
- *Board of Regents Meeting* – Friday, November 14 @ UVU
- *Board of Trustees Meeting* – Friday, November 21 @ 8AM, Zion Room
- *Thanksgiving Break* – November 27-28, 2014
- *Finals Week* – December 15-19, 2014
- *Holiday Break* – December 22, 2014 through January 9, 2015
- *Spring Semester Begins* – Monday, January 12, 2015

IX. LUNCHEON SERVED IN THE ZION ROOM

X. NEXT BOARD OF TRUSTEES MEETING – FRIDAY, NOVEMBER 21, 2014

**MINUTES OF THE DIXIE STATE UNIVERSITY
BOARD OF TRUSTEES MEETING
Zion Room, Jeffrey R. Holland Centennial Commons
Monday, April 28, 2014
8:00 a.m. – Executive Session
8:30 a.m. – General Session**

BOARD MEMBERS PRESENT:

Steven G. Caplin (Chair)
David Clark (Vice Chair)
Julie Beck – Participated by phone
Elisabeth Bingham
Christina Durham
Hal Hiatt (Alumni Association President)
Gregory Layton (Incoming DSUSA President)
Carlos Morgan (Outgoing DSUSA President)
Jon Pike
Gail Smith

BOARD MEMBER EXCUSED: Max Rose

ALSO PRESENT:

President Stephen D. Nadauld
Del Beatty – Dean of Students
Jason Boothe – Athletic Director
Sylvia Bradshaw – Incoming President of the Classified Staff Association
Kathleen Briggs – Outgoing President of the Classified Staff Association
Cheri Capps – Director of Accounting
Michael Carter – Assistant Attorney General and Legal Counsel
Bill Christensen – Executive Vice President and Chief Academic Officer
Bryant Flake – Executive Director of Planning and Budgets
Carole Grady – Dean of the School Health Sciences
Brent Hanson – Dean of Visual and Performing Arts
Don Hinton – Dean of the School of Humanities
Jeannine Holt – Former Member of the Board of Trustees
Kevin Jenkins – Reporter from *The Spectrum*
Steve Johnson – Director of Public Relations and Publications
Gary Koeven – Chief Information Officer
Marilyn Lamoreaux – Assistant to the President
Brad Last – Vice President of Development
Philip Lee – Interim Dean of the School of Business and Communication
Frank Lojko – Vice President of Student Services and Government Relations
Pamela Montrallo – Executive Director of Human Resources
Paul Morris – Vice President of Administrative Services
Erin O'Brien – President-elect of the Faculty Senate
Rick Palmer – Senior Development Officer
Eric Pedersen – Dean of the School of Science and Technology
Ken Richmond – Outgoing President of the Exempt Staff Association
Brenda Sabey – Dean of the School of Education
Christina Schultz – Vice President of Institutional Advancement
Daphne Selbert – Dean/Director of Library Services
Becky Smith – Dean of Academic and Community Outreach
Nate Staheli – President of the Faculty Senate
Kelle Stephens – President of the DXATC
Scott Talbot – Assistant Vice President of Business Services
Martha Talman – Policy Officer
David Wade – Academic Program and Curriculum Director

I. WELCOME

At 8:04 a.m., Steven G. Caplin, Chair of the Dixie State University (DSU) Board of Trustees, welcomed everyone to the meeting. Trustee Julie Beck participated by phone and Trustee Max Rose was excused. Chair Caplin called for a motion to move into Executive Session for the purpose of discussing personnel and/or real estate issues.

MOTION BY JON PIKE, DULY SECONDED BY GAIL SMITH, TO MOVE INTO EXECUTIVE SESSION FOR THE PURPOSE OF DISCUSSING PERSONNEL AND REAL ESTATE ISSUES.

Action: Approved unanimously, by a show of hands.

II. EXECUTIVE SESSION

At 8:32 a.m., Chair Caplin called for a motion to adjourn the Executive Session.

MOTION BY CHRISTINA DURHAM, DULY SECONDED BY HAL HIATT, TO ADJOURN THE EXECUTIVE SESSION.

Action: Approved unanimously, by a show of hands

III. GENERAL SESSION – WELCOME/INTRODUCTIONS

At 8:44 a.m., Chair Caplin again welcomed everyone and proceeded with the following items:

- **Introduction of Gregory Layton, newly-elected President of the DSUSA.** Chair Caplin congratulated Greg Layton on winning the election.
- **Introduction of New Student Body Officers.** Greg Layton asked his Executive Council members to stand and introduce themselves. Greg said there were other members who were not able to come today. He is fully confident in the Council members' abilities. Greg said about 95% of the student government positions have been filled. He is excited about this group.
- **Thank you to Carlos Morgan, Outgoing Trustee and DSUSA President.** Chair Caplin invited Carlos Morgan, outgoing DSUSA President, to come forward, and Vice Chair David Clark read the plaque presented to Carlos. Chair Caplin thanked Carlos for his wonderful service and said he did an outstanding job. Del Beatty, Dean of Students, said Carlos has been a great student body president.
- **Introduction of Student Speaker and Valedictorian:** Dr. Bill Christensen, Executive Vice President and Chief Academic Officer, said they chose students representing the breadth and depth of our DSU students. He introduced the following individuals:
 - ✓ **Nijat Aliyev, Student Speaker representing the Associate Degree Graduates.** Nijat has been an outstanding student here at DSU, and he has an amazing story. He is a great representative of the associate degree graduates.
 - ✓ **Marjorie Ann Eno, Valedictorian representing the Bachelor Degree Graduates.** Marjorie is an awesome student, too. She returned to school after her daughters were born. It has been quite an adventure for her.

Chair Caplin said we look forward to hearing from our speakers on Friday at Commencement. President reminded them of teleprompter practice on Thursday.

- **Welcome to Kelle Stephens, President of the Dixie Applied Technology College.**
- **Welcome to Kevin Jenkins from *The Spectrum*.**
- **Thank you to the following:**
 - ✓ **Dr. Robert Carlson, Outgoing Faculty Senate President.** Robert was unable to attend today's meeting, but Chair Caplin thanked him for his service.
 - ✓ **Kathleen Briggs, Outgoing Classified Staff Association President.** Chair Caplin said Kathleen served very faithfully and we have enjoyed her presentations. The CSA was well represented.
 - ✓ **Ken Richmond, Outgoing Exempt Staff Association President.** Chair Caplin thanked Ken for his service. Chair Caplin said it has been a special pleasure to serve with Ken because they went to Dixie High School together; Ken was an offensive lineman on Dixie High's top football team. He is a real professional.

- **Welcome to the following.** Chair Caplin welcomed all these new leaders:
 - ✓ **Dr. Nate Staheli, Incoming Faculty Senate President.**
 - ✓ **Dr. Erin O'Brien, Incoming Faculty Senate President-elect.**
 - ✓ **Sylvia Bradshaw, Incoming Classified Staff Association President.**
 - ✓ **Mace Jacobson, Incoming Exempt Staff Association President.** Mace was unable to attend.

IV. SPECIAL REPORTS AND PRESENTATIONS

Report on DSU Trip to Tanzania

Dr. Brent Hanson, Dean of Visual and Performing Arts, said he went to Tanzania representing Fine Arts; Dr. Eric Pedersen, Dean of Science and Technology, went representing Business; Kathy Rasmussen represented Nursing, and Sandy Wilson represented Dental Hygiene. Earlier, they were approached by a businessman who partnered with some Tanzanian businessmen. Tanzania has the beauty of Africa, but more exciting were the interactions with the people. The poverty is visible and staggering. The people are energetic. More than half the population is under the age of 25. Only 3% are over the age of 65. They have no Baby Boomers at the moment. So the needs for education are profound in this country. They have one doctor per 100,000 people. They have the fourth highest AIDS deaths in the world; 16% of children are underweight; life expectancy is 61 years. It is one of the poorest countries in the world (200 of 229). The literacy rate is 69%; and 21% of children ages 5-14 are in the workforce. They saw evidence of that. The government built a new inland university (University of Dodoma) to house many students. This university is fully built and beautifully housed on 250 acres. The limiting factor is faculty – they simply do not have qualified faculty members (they don't exist). They have hired faculty members and then sent them away to school to earn their degrees. Brent said one of the most promising ideas that came out of the meeting is our ability to provide faculty to them. They didn't finalize any specifics, but it will be interesting to see what will develop. Chair Caplin thanked Dr. Hanson. Trustee Hal Hiatt asked how many universities they have. Brent didn't know, but he said there are some private universities. There is not a lack of institutions, just a lack of faculty.

Board of Trustees' Excellence in Education Award Presentations

Chair Caplin said that when President Nadauld announced his retirement, it started an exodus. We will now take time to recognize many wonderful educators who have given their lives to this institution. He asked Vice Chair Clark and Trustee Elisabeth Bingham to come forward. Today's award recipients represent 180 years of teaching excellence at DSU. One hundred eighty years ago would be 1834 – the U.S. President was Andrew Jackson, Mount Vesuvius was erupting, and the French Foreign Legion was formed.

- **Timothy P. Eicher, Associate Professor of Family Consumer Science and Education.** Trustee Bingham invited Tim and Penelope Eicher to come forward. Trustee Bingham said she had heard so many good things about these two people. Tim and Penelope are avid outdoors people, and have established a foundation – Heartwalk – in Peru, where it is cold and high. Trustee Bingham said she is so impressed with the Eicher's work in Peru. Also, Elisabeth said Tim's peers here at DSU said how much he is loved, and how kind and caring he is. You will be greatly missed, Tim. We want to congratulate you with this *Excellence in Education* award. Tim has served DSU for 25 years. Dr. Don Hinton, Dean of Humanities, said Tim has had a tremendous influence at DSU. He has headed the Family Consumer Science area, and has been Faculty Senate President twice. He looks like a grandfather – he's the grandfather of our education program. He had a strong impact in putting the education program framework together.
- **Janet Hansen, Associate Professor of Mathematics** was unable to be here today to accept this award.
- **Dr. Brent L. Hanson, Professor of Theatre Arts, and Dean of the School of Visual and Performing Arts.** Vice Chair Clark said he was honored to present this award. He has known Brent and Debbie for a long time. Brent has been here at DSU for 32 years (since 1982), and he said he is particularly pleased to have spent his career in an open access university. He has been pleased to help students succeed. Dr. Hinton said he was privileged to be on the committee that hired Brent. Brent moved the Theatre Department forward in powerful ways with his abilities as technical designer and crafter of designs for many shows, as well as with his insights and wisdom. Don is grateful for Brent's leadership. Brent was chair of the committee that established the current General Education program, and he has been Faculty Senate president.
- **Dr. Philip B. Lee, Professor of Business, and Dean of the School of Business and Communication.** Trustee Bingham had Philip's family come forward – his wife Roxie, exchange student daughter

Maggie, son Tam, and son Shandon. It takes a family to support someone for 35 years here. Elisabeth said Philip has been interim dean since July 1, 2013, department chair twice, and he served as associate dean in 2007 and 2008 concurrent with being department chair. He will be returning in the future on a part-time basis. He also has a long “honey do” list. He just returned from Washington D.C. at 3:00 o’clock this morning. Philip said they took 23 students to the International DECA competition, and eight of our students were in the finals. There are 23 events to compete in. DSU got one award, and the State of Utah received two more. They had a great time. Don said Philip is a great confidant and leader, giving guidance and direction to the department. Roxie said thank you to Dixie. She said her family is “grateful for the wonderful support DSU is to this college and community. Thank you!”

- **Scott L. Mortensen, Associate Professor of Mathematics.** Vice Chair Clark had Scott and family members come forward. Scott began his career at Dixie Junior High in 1979, then Morgan High, and then he spent one year with Morton Thiokol in the space division before a position opened at Dixie Junior College 26 years ago. He was Math Department Chair for six years. He met Debbie Spencer at Dixie and they both graduated in 1976. He completed his degree at USU. He and Debbie are the parents of six children and grandparents of 10. Don Hinton said a special thanks to Scott. He is not timid, and there are times Don started things and Scott told him not to proceed. One of Don’s sons took a class from Scott, and Don’s son said he never had a math teacher until he got here with Scott.
- **Daphne Selbert, Dean/Director of the DSU Library at the Holland Centennial Commons.** Daphne’s husband Jack was with her. Elisabeth said Daphne has no idea how many students Elisabeth has referred to her. She read Daphne’s bio. What a great legacy she has left for us. Thank you for your service; we will miss you. Don thanked Daphne, also. He said he sat on two search committees for her position before she came. Thank you, Daphne, for all you’ve done.
- **Peter VanValkenburg, Associate Professor of Geology.** Vice Chair Clark said he met Pete VanValkenburg 43 years ago, when Pete had the privilege of having his college paid for by LaVell Edwards. Pete started at Dixie in July 1984, and has been the cornerstone of physical science for 34 years, teaching in the geology department. He worked tirelessly. Don extended his congratulations to Pete. Don had the privilege of serving as dean over physical sciences. Pete led and guided the geology department in those activities. Thank you.

V. **PRESIDENT’S REPORT (President Stephen D. Nadauld)**

Proposed Board of Trustees Meeting Dates for 2014-2015

The following dates were presented as possible Board of Trustees meeting dates for next year:

- **Friday, September 19, 2014**
- **Friday, November 21, 2014**
- **Friday, January 30, 2015**
- **Friday, March 20, 2015**
- **Friday, May 1, 2015**

General Update

President Nadauld mentioned the following items:

- Commencement is this Friday. We have a new parade route. He asked everyone to notice the new trees on the Holland Plaza and trees that line the parade route. That concrete walkway makes a huge difference compared to the asphalt street.
- Our enrollment is up about 2.5% for fall, so we are hoping for strong enrollment to continue.
- We have a very able group of vice presidents, and we have added 10-12 mid-level managers to our team. You will see some of them today. He is excited about that.
- With his pending retirement, a lot of things have gone through his mind. He will give a brief overview.
- He will have a chance on Friday at Commencement to thank the faculty for the work they have done to bring us to university status, and the work they have done to put in place the documents for baccalaureate degrees. Thanks, too, to the staff that have been uniformly supportive. He said our faculty and staff have done everything they have been asked to do these six years he has been here. As part of our All Employee meetings in the fall, he has shown pictures of our beautiful and well maintained grounds, and clean bathrooms (they are the best maintained of any campus he’s ever been on). Staff members take pride in the campus and in supporting faculty. We couldn’t run this place without them.

- He thanked the students. He said you shouldn't take one of these jobs if you don't love students. They are the reason we are here. As administrators, we get to be very involved with the students and have a great rapport.
- Thank you to the Board of Trustees. He considers this group to be the finest, most supportive, analytical and contemplative group of Trustees he has ever known. "You have been magnificent as a Board." There is a unity and camaraderie, and an ability to work together shown time and time again. He said he has every confidence going forward that this Board will maintain those characteristics, and be the same support to this institution they have been in the past.
- This has been a remarkable capstone experience for him. He has had a varied career in many different organizations. He anticipated that at age 66 he would fade into the sunset. Then that fateful February in 2008, the Regents called and asked if he and Margaret would come to St. George. There were three feet of snow in Bountiful. They told the Regents yes. He had no idea what kind of an experience it would be. He came with the right attitude for him. He had had a career and didn't need to prove himself in any way – he came to be helpful. He started identifying things that could be done. He resolved the problems quickly, and then began to look to the future. He realized we had the unique opportunity that if we organized ourselves we could go from a college to a university, as he did at Weber. He began to focus his attention on that goal. He felt enormous satisfaction personally, to see the joy and thrill of everyone who was associated with this goal. His favorite picture on the front page of *The Spectrum* was Gail (Trustee Smith) giving him a great big hug. She embodied the Spirit of Dixie and gratitude for that accomplishment. He thought all of this had some Hand of Providence in it. There were so many ways it could have gone off the rails – the construction of this Holland Building, the negotiations with the Regents about the university benchmarks (very carefully crafted so they could be accomplished), thinking ahead of what we could or could not do. We could not see how we could possibly put in place a chemistry degree, so we put forth a science composite that would work. We have a chemistry degree going through today. He could envision what we could do and what we couldn't do – and what we couldn't do he made sure not to include in the benchmarks document. The experience he had at Weber and his contacts and experience in negotiating made it possible for him to see forward in a way that benefitted the institution. He told Margaret he loves this situation, because he knew what he was doing and he knew it could work out. He was so enthusiastic about what we could accomplish. We got all the pieces in place to make it work. Like the little train, "I know I can," and we enjoyed the thrill of having the Governor here to sign the proclamation that made us a university. The pioneers who came here and camped on the Encampment Mall have to be smiling down. They put their treasure, their hearts, their families, and their health on the line for this place, and we've done this for them. The Hand of Providence in this endeavor is obvious to him. Without a little help this couldn't have happened. He appreciates the opportunity to have been a part of this. It has been fantastic. It will be indelibly engraved in his heart and mind. His final report is a report of thanksgiving – gratitude and help beyond what might have been expected, and a report of humility for all we have been able to accomplish together. He has loved being the team leader. It has been a remarkable ride. Thank you.

VI. ACTION ITEMS

Approval of Minutes

Chair Caplin called for a motion to approve the minutes of the Board of Trustees meeting held on Friday, March 21, 2014.

MOTION BY JON PIKE, DULY SECONDED BY ELISABETH BINGHAM, TO APPROVE THE MINUTES OF THE BOARD OF TRUSTEES MEETING HELD ON FRIDAY, MARCH 21, 2014, AS PRESENTED. Action: Approved unanimously.

ADMINISTRATIVE SERVICES

Investment Report

Scott Talbot, Assistant Vice President of Business Services, and Cheri Capps, Director of Accounting, came to the table. Cheri said we will look at three investment reports – one from February and two from March. The graph in the top left hand corner is the investment allocation; 72% is with PTIF (our Utah State Investment Pool). Move to the right to investment portfolio. We have an average for third quarter of 2.51%. If we look at the breakout, we have the investment pool separately; and looking at the other four we have a

4.85% average. We are a half percentage point above last year, so we're doing well. The small pie chart shows the investment earnings. Almost 60% is unrealized capital gains; a small portion is realized. Move to the left table to see the investment earnings. We show \$783,155 at the end of the third quarter. Last year was up 23% over the year before. The large graph shows what has happened over the years. We have ups and downs. Chair Caplin said thank you. He called for a motion.

MOTION BY CHRISTINA DURHAM, DULY SECONDED BY GAIL SMITH, TO APPROVE THE INVESTMENT REPORT, AS PRESENTED. Action: Approved unanimously.

Budget Report

Paul Morris, Vice President of Administrative Services, and Bryant Flake, Executive Director of Planning and Budgets, came to the table. Bryant said we are ending the fiscal year in a very strong position. Our revenues with summer tuition are starting to come in; the number will go up as summer tuition is collected. They will report on any deficit balances in the fall, but he doesn't anticipate a long list at all. We are in a good position ending this year and entering a new year. We should have a new budget ready when the 2014-2015 fiscal year starts on July 1st. Chair Caplin thanked Paul and Bryant for their financial leadership.

MOTION BY ELISABETH BINGHAM; DULY SECONDED BY JON PIKE, TO APPROVE THE BUDGET REPORT, AS PRESENTED. Action: Approved unanimously.

HUMAN RESOURCES

Pam Montralolo, Executive Director of Human Resources, said all of these policies have been posted on the DSU website for the required 30 days.

- **Policy 3-3: Faculty Categories.** This policy is basically bringing in line the policy with our practices. We added professional faculty. They may be hired in non-teaching assignments or we may bring someone in off the faculty salary schedule because of special experience. Chair Caplin called for motion.

MOTION TO APPROVE BY ELISABETH BINGHAM, DULY SECONDED BY HAL HIATT. Action: Approved unanimously.

- **Policy 3-7: Faculty Reviews.** Pam said this policy has had updates to put in place reviews for faculty who are not on a tenure track, so all faculty can be reviewed. The probationary period is six years.
MOTION TO APPROVE BY JON PIKE, DULY SECONDED BY ELISABETH BINGHAM. Action: Approved unanimously.

- **Policy 3-9: Faculty Professional Development Program.** Pam said this one was combining a couple of other policies (sabbatical). Some criteria were updated for awarding professional development funding.

MOTION TO APPROVE BY ELISABETH BINGHAM, DULY SECONDED BY CHRISTINA DURHAM. Action: Approved unanimously.

- **Policy 3-18: Faculty Salaries.** Pam said this policy was updated to reflect our current practices for rank advancement promotions, and includes post tenure review for those who receive a 2% increase.
MOTION TO APPROVE BY CHRISTINA DURHAM, DULY SECONDED BY JON PIKE. Action: Approved unanimously.

- **Policy 3-26: Early Retirement/Phased Retirement.** Pam said we had a lot of applications for early retirement this year. We have finally updated the policy to match the practice. In order to be eligible for early retirement, an employee has to be at least 57, and their age and years of service must equal 75. We now allow for years of full-time service at DSU or another USHE institution. Vice Chair Clark said early retirees have to have at least five years at Dixie immediately prior to retirement. President said we are making it possible for people to transfer to Dixie. If they lose their credit for time served, we don't have a very strong position. If we give them credit for time spent at another USHE institution, we can get some great people.

MOTION TO APPROVE BY CHRISTINA DURHAM, DULY SECONDED BY ELISABETH BINGHAM. Action: Approved unanimously.

- **Policy NEW: Campus Safety and Security.** Pam said this is a new policy. It was required for compliance with the Clery Act about campus safety and security and reporting of statistics. Martha Talman, Policy Officer, is now the expert on the Clery Act. President said the Clery Act turns out to be quite demanding and complex. We have not seen the last of Clery Act-related policies. This one gets us in compliance, as will the next one, but we are going to have to undertake a significant amount of

training and funding to be compliant. It is an unfunded mandate from the government. We are happy to do it in terms of the safety, but it is complicated and expensive and unfunded.

MOTION TO APPROVE BY CARLOS MORGAN, DULY SECONDED BY HAL HIATT.

Action: Approved unanimously.

- **Policy NEW: Sexual Assault, Relationship Violence, & Stalking.** Pam said this is another new policy required for compliance to the Clery Act. Chair Caplin called for motion. **MOTION TO APPROVE BY JON PIKE; DULY SECONDED BY GAIL SMITH.** Trustee Durham asked about reporting – first hand, second hand, etc. Title IX and Clery intersected quite a bit, Martha said. There are occasions where we could exempt certain employees in certain situations, but we have only done that for mental health, health providers, and clergy. The verdict cannot be based on hearsay; we have to do an investigation. Trustee Durham asked if people must report off campus behavior as well as on campus. Martha said that is Title IX again; they intersect awkwardly right now, but more will come forward in the fall. If the perpetrator is an employee and the victim is another employee or a student, they have to report it off campus. On campus, all employee misbehavior has to be reported. Martha said presidents are being held responsible in a number of situations. It is one of the top items of interest in higher education. Organizations have been formed across the country to advocate for this to file Title IX complaints. Trustee Durham said she appreciates very much these policies. She said in the faculty section there is already a sexual policy. This policy dovetails with that, Martha said. We are coming up with written procedures for everything; we will do that this summer with a taskforce. Vice Chair Clark asked who the gatherer of this information is. Martha said Clery crimes are investigated by security and campus police; they report to the president and administration. Title IX is a record keeping assignment. There are 42 Title IX/Clery Act investigations going on right now. Chair Caplin called for a motion.
Action: Above motion approved unanimously.

Chair Caplin said we have a very engaged Board of Trustees, and they have worked very closely with Martha about a very important policy coming forward. A compliment to Martha on her ability to write these superb policies that will strengthen the institution. Thank you very much. President echoed that, too. This is no small task, and Pam and Martha have spent long hours. He also thanked staff and faculty for their comments during the online review process, and for their engagement in the process. It is getting the overview from all campus stakeholders that is so important. When it comes to this level and we spend a minimum amount of time on a few policies, it is possible because of all the work and support and input done previously.

ACADEMIC SERVICES

Request for Approval of 2014 Dixie State University Graduates

Dr. Bill Christensen said the graduates require the Board's formal approval. **MOTION BY CHRISTINA DURHAM TO APPROVE THE DSU 2014 GRADUATES; DULY SECONDED BY HAL HIATT.**

Action: Approved unanimously. Congratulations to all these graduates. Steve Johnson, Director of Public Relations and Publications, said we are awarding 1856 total degrees and certificates. Bill said as we watch our enrollment numbers, there is huge, double-digit growth in the upper-division courses.

Request for Approval of Valedictorian/Student Speaker:

Bill Christensen said Nijat's story is very compelling. He is from Azerbaijan (a small country near Armenia), and he did an online search and discovered that Dixie was a good school. He found a mentor/sponsor here. He studies hard and lives on a shoestring. English is his second language, but you would never know. And Marjorie Eno drew her own self portrait for the Commencement program. As a side note, Bill said he heard from the Commissioner's Office that if every graduating high school student goes to college and gets a degree, we will still fall short of the 2020 goal of 66%. So the success of that initiative hinges on these types of students. Chair Caplin called for a motion to approve:

- **Marjorie Ann Eno – Valedictorian representing the Baccalaureate Degree Students.**
- **Nijat Aliyev – Student Speaker representing the Associate Degree Students.**

MOTION BY CARLOS MORGAN, DULY SECONDED BY ELISABETH BINGHAM, TO APPROVE MARJORIE ANN ENO AS VALEDICTORIAN AND NIJAT ALIYEV AS STUDENT SPEAKER. Action: Approved unanimously.

Request for Approval to Award a Posthumous Bachelor's Degree in Criminal Justice

Bill requested approval for Jennie Ann Kartchner, a deceased senior at DSU, to receive a posthumous baccalaureate degree. **MOTION TO APPROVE BY GAIL SMITH; DULY SECONDED BY ELISABETH BINGHAM.** Trustee Hiatt asked if there was a policy regarding this. Bill said yes, and we have met the requirements. One condition is the family is to be contacted. Dr. Hinton said it was the family who came to us first. President Nadauld said this will be the third posthumous degree awarded since he has been here. **Action: Above motion approved unanimously.**

Request for Approval of Emeritus Status for Retiring Faculty Members

Bill requested approval to award emeritus status to the seven retiring faculty members who qualify for this honor. They are: Tim Eicher, Janet Hansen, Dr. Brent Hanson, Dr. Philip Lee, Scott Mortensen, and Peter VanValkenburg. These requests come through recommendations from faculty, deans, and the President. **MOTION TO APPROVE BY DAVID CLARK; DULY SECONDED BY HAL HIATT. Action: Approved unanimously.**

Request for Approval of Continuing Status/Post-Tenure Review

Bill said this is an information item. These reviews are now required and approved up to the president level. It is a new process and we're still getting used to it. We have two excellent faculty who didn't get quite ready but will apply again in the next cycle. We are happy to grant some leeway.

ACADEMIC PROGRAM PROPOSALS

Dr. Bill Christensen and Dr. David Wade, Academic Programs and Curriculum Director, went through these program proposals.

▪ **Dixie State University**

- ✓ **Faculty Center for Teaching & Learning Excellence.** This will start this coming year. This past year we had a faculty committee, and at their request we are hiring a director for this center, funded by our partnership with the University of Utah. Bill said the objectives of the center include dramatically increasing the use of technology (but not all online) to enhance education, and as we morph into that, teaching changes. It is less and less about a lecture style and more about forms of engagement. Dr. Clayton Christensen just announced how to deal with training faculty for more engaged styles of teaching and learning. Bill said this will require full Trustee and Regent approval. Chair Caplin said thank you, and congratulations on the collaboration with the UofU. **MOTION TO APPROVE BY ELISABETH BINGHAM; DULY SECONDED BY GAIL SMITH. Action: Approved unanimously.**

▪ **School of Business and Communication**

- ✓ **Modification of eMarketing Certificate.** Bill said we actually submitted an eMarketing certificate a year or two ago that was approved, but it wasn't exactly what we felt would meet our needs. We wanted to experiment with some other delivery methods. We feel the need to have a more focused eMarketing certificate, so the modifications require approval. **MOTION TO APPROVE BY JON PIKE; DULY SECONDED BY CHRISTINA DURHAM. Action: Approved unanimously.**

▪ **School of Health Sciences**

- ✓ **BS Health Information Management.**
- ✓ **AAS Health Information Management.** Bill said these are definitely degrees the School of Health Sciences wants to pursue, but there is an associated budget that we may not be prepared to provide. Also, Weber State has a \$7 million grant to do this, so we have decided that since we have gone through the work to get ready, when the time is right the most prudent thing to do would be to partner with WSU. We won't forward this degree proposal to the Regents, yet. At the appropriate time, we want to have it as our own program and we will bring it forward again. Chair Caplin said he read these proposals with real interest. It is strategically important to health care institutions all over the world. He is curious that this came forward from Health Sciences rather than Technology. Dr. Carole Grady, Dean of the School of Health Sciences, said the proposal came forward from them because of the marketing demand for people to serve in areas such as diagnosis coding, technicians, etc. We put this on hold, but were offered a grant that looks promising. It would be less costly to DSU to tap into WSU's resources first. Carole

said the other piece is DXATC, with whom we are articulating on the coding certificate (we can apply DXATC credits to our degree). Trustee Hiatt asked how long we will be sitting on this. Bill said it depends on Weber and our own resources. There is no statute of limitations on this, so we can do as we like. Vice Chair Clark said he encourages this. **MOTION BY DAVID CLARK TO LEAVE IT UP TO THE ADMINISTRATION, BUT HE ASKED THAT THEY BRING THIS BACK TO THE BOARD WHEN THE STATUS HAS CHANGED. SECONDED BY ELISABETH BINGHAM.** Bill said they would be happy to bring this back for notification or ratification. Carole said it is a two-year grant. Weber is anticipating starting it in the fall, so it would be when WSU's funding runs out. Ultimately we want this to be our program here, Bill said. Trustee Durham asked what we would do if the partnership doesn't work with Weber. Business as usual, Chair Caplin said. Chair Caplin called for a vote. **ELISABETH BINGHAM AMENDED THE ABOVE MOTION THAT THE BOARD HEAR BACK IN MARCH 2015 ABOUT THIS.** Bill said by then we will know what we're doing at Weber. **VICE CHAIR CLARK SAID TECHNICALLY THAT IS EXACTLY WHAT HE SAID IN THE ORIGINAL MOTION. ELISABETH BINGHAM AGAIN SECONDED DAVID CLARK'S ORIGINAL MOTION. Action: Approved unanimously.**

▪ **School of Humanities**

✓ **Digital Forensics Minor.**

✓ **Criminology Minor.** These are part of our build-out of minors in major bachelor degree areas, to give students more opportunities. President said minors are back in vogue and we can approve them by packaging them. He suspects there will be others coming forward in the future. Chair Caplin called for a motion. **MOTION BY JON PIKE, DULY SECONDED BY CHRISTINA DURHAM, TO APPROVE THE TWO MINOR PROPOSALS IN THE SCHOOL OF HUMANITIES. Action: Approved unanimously.**

▪ **School of Science and Technology**

✓ **BS Chemistry.** President said this is exciting for him, because he was a chemistry major. Chair Caplin said he feels badly that Trustee Rose isn't here to approve this degree. **MOTION BY JULIE BECK, DULY SECONDED BY CHRISTINA DURHAM, TO APPROVE THE BS DEGREE IN CHEMISTRY. Action: Approved unanimously.**

✓ **Colorado Plateau Field Institute.** Dr. Eric Pedersen, Dean of Science and Technology, said we are looking to create field-based learning experiences for our students. We have a unique situation here, with all of our national parks and scenic beauty. We would like to have students take online courses to learn certain aspects, then come here to have outdoor experiences. Chair Caplin called for a motion. **MOTION BY ELISABETH BINGHAM, DULY SECONDED BY HAL HIATT, TO APPROVE THE COLORADO PLATEAU FIELD INSTITUTE PROGRAM. Action: Approved unanimously.** Chair Caplin said the work that goes into these academic programs is sometimes overwhelming. We recognize this and thank everyone involved. Bill said there are already researchers from around the world who come here to study our geography. This provides experiences for so many.

✓ **New Emphases:** Bill said we found that what we were offering in the CIT area had become a little antiquated. Some of these emphases have been relabeled and repackaged. They are still CIT programs, but the procedures and requirements indicate that they must come to the Trustees for approval. Chair Caplin said we will look at the new emphases as one unit. He called for a motion to approve the new emphases. **MOTION BY JON PIKE, DULY SECONDED BY HAL HIATT, TO APPROVE THE NEW EMPHASES LISTED BELOW. Action: Approved unanimously.**

– **CIT with Digital Design**

– **Integrated Studies with Digital Design**

– **CIT with Web Design & Development**

– **Integrated Studies with Web Design and Development**

✓ **Rename Emphases:** Bill said these are part of the same package. **MOTION BY ELISABETH BINGHAM, DULY SECONDED BY CHRISTINA DURHAM, TO APPROVE THE RENAMING OF THE EMPHASES LISTED BELOW. Action: Approved unanimously.**

– **CIT with Software Development [Renamed from Computer Science]**

- **Integrated Studies with Software Development [Renamed from Computer Science]**
- ✓ **Discontinue Emphases:** Chair Caplin called for a motion. **MOTION BY JON PIKE, DULY SECONDED BY CARLOS MORGAN, TO APPROVE DISCONTINUING THE PROGRAMS LISTED BELOW. Action: Approved unanimously.**
 - **CIT with Visual Technology**
 - **Integrated Studies with Visual Technology**

Bill said we have formed a local consortium with high tech startup companies through USTAR. We have been meeting regularly to decide how to supply what is needed in the high tech industry. We want industry to help us redefine what is needed, including work experience. Dr. Pedersen is running summer camps. We have to be able to provide an alternative to the summer work they usually do. Go to camps.dixie.edu; most are full, but they can make arrangements for Trustees, Eric said. At the end of this they will have blended internships, work, curriculum, etc. We have the right players. Chair Caplin said that is the underpinning of the economic development work for this sector. It is outstanding and exciting.

▪ **School of Visual and Performing Arts**

- ✓ **Art Minor.**
- ✓ **Art History Minor.**
- ✓ **Theatre Minor.** Bill said the build-out of four-year programs has allowed us to offer these minors. There was a question about the art minor, but they built this on other minors in the system. It has been well vetted. Chair Caplin called for a motion to approve all three minors. **MOTION BY HAL HIATT, DULY SECONDED BY GAIL SMITH, TO APPROVE THE THREE MINORS LISTED ABOVE. Action: Approved unanimously.**
- ✓ **Fine Arts Department Restructure.** Bill asked Dr. Brent Hanson, Dean of the School of Visual and Performing Arts, to address the restructuring. Brent explained that the School has the Department of Music and the Department of Fine Arts (Art, Dance, and Theatre). They are proposing to divide the Department of Fine Arts into two departments – the Department of Theatre and Dance, and the Department of Art. This summer we are initiating the proposal to write something in Dance. These are exciting changes. Chair Caplin called for a motion. **MOTION BY ELISABETH BINGHAM, DULY SECONDED BY JON PIKE, TO APPROVE THE RESTRUCTURING OF THE FINE ARTS DEPARTMENT, AS PRESENTED.** Trustee Smith said there are so many dance schools in our community. We are very appreciative of this restructuring. **Action: Approved unanimously.**

ACADEMIC THREE-YEAR FOLLOW-UP REPORTS

Bill said there were a lot of proposals and Dr. David Wade did most of the heavy lifting. David thanked the deans and department chairs. He said he holds their feet to the fire for the details. We have to look at our programs after three years to determine how they are functioning. Once we're done with the three-year report, it goes into the pipeline for the five-year review. With that explanation, Bill presented these three three-year follow-up reports. Bill said we can divide these into a couple of areas. Some ask for additional resources, but most are requests for things departments have identified as needing to be done. Chair Caplin called for a motion. **MOTION BY JON PIKE, DULY SECONDED BY ELISABETH BINGHAM, TO APPROVE THE THREE-YEAR FOLLOW-UP REPORTS LISTED BELOW. Action: Approved unanimously.** Thank you for all the follow up, Chair Caplin said.

- **BA/BS Music Education 2014**
- **AAS Physical Therapist Assistant 2014**
- **Theater Program 2014**

Where We Are Going Next Year

Dr. Bill Christensen said he has been the Executive Vice President and Chief Academic Officer for a year now, and he wanted to give the Trustees a brief idea of where we're going next year. There are going to be a lot of changes. We have a great situation here – people recognize something awesome is happening. We have young, passionate faculty, a can-do track record of making things happen with limited resources, etc. His vision for academic progress in the future: we are basically a low-cost leader and we don't want to give

that up; it brings people to us. We plan to use technology much more – not to replace what we do but to enhance it. We want students more engaged in the teaching and learning cycle (learn to teach). We will embrace this peer-based learning; replace seat time with competency-based learning. We hope to find better and faster ways to do this. Bill said he has been talking with Del Beatty, Dean of Students. Del sees the value of this. Del's daughter, Krissia, has an associate's degree. She went to Washington D.C. and dared to apply for a position that was advertised as master's degree required. She was hired by that company. The socialization element is so important. Bill said Krissia is awesome and not everyone can do what she did – but it points out the value that interpersonal skills bring to our students. We are going to bring that to our classes – internships and jobs built into our curriculum, so the students will already have a job. One day at school, the next day at work! We will do “small bets” experiments and trials. We are uniquely situated to do this. Chair Caplin said Bill, thank you. You are doing a terrific job. Bill said Dixie State University has received an endorsement from the Institute of Management Accountants (IMA). Only nine universities in the entire country have received this endorsement. Our students are well prepared to sit for the test that is very rigorous; it is a pride of industry award. Congratulations to our accounting department, Chair Caplin said.

VII. BOARD OF TRUSTEES COMMITTEE REPORTS

Chair Caplin asked for reports from the following Trustees Committees:

Audit Committee. Committee Chair David Clark, with Committee Members Hal Hiatt, Max Rose, and Scott Talbot. Vice Chair Clark said we have done two internal audits since we last met. One was an audit of contract services. Overall it appears that service controls are adequate. The second was a student audit. There were a few areas of caution. Student Services has a diverse energy level, and sometimes that's difficult to put in a tight format. Third, the State of Utah conducts an annual audit. It is late in the process for audits around the state. Part of this state audit deals with our relationship with the Dixie College Foundation. We are all working to try to change it, but the Foundation is audited pro bono by a company here in town. By the time that gets done it puts us in a later auditing process. We are among the last entities in the state to be audited. We are meeting with the state auditor tomorrow. Scott Talbot said there were no material findings identified in the audit. It was a wonderful report. Thank you for your leadership, Chair Caplin said.

Academic Programs Committee. Committee Chair Elisabeth Bingham, and Committee Members Julie Beck, Christina Durham, Carlos Morgan, Max Rose, and Gail Smith. Trustee Bingham said their committee just met to address the program reviews. Our Academic Services area is doing a great job. We really appreciated David Wade taking the time and organizing the committee meeting for us. Chair Caplin thanked all those who serve on this committee.

Finance/Investment Committee. Committee Chair Steve Caplin, with Committee Members David Clark, Jon Pike, and Scott Talbot. Vice Chair Clark said we like earning more money this year than last year. A lot of this goes to scholarships. Vice Chair Clark expressed his appreciation to the staff here for their great openness about the process. We live and die by the yearly process, but we have a way to use a portion of it for funds for scholarships and still be safe and secure. It appears that all the investments from the University of Utah and others are doing well. And we also have a new investment on campus; a portion of the funds is now allocated to a hands-on academic classroom experience. They have a mentor to guide them. He said he couldn't think of a more practical, valuable experience to actually have something at risk. It will be reported on after it has been functioning for a while. Thank you, Chair Caplin said.

Government Affairs Committee. Committee Chair Jon Pike, with Committee Members David Clark, Christina Durham, and Hal Hiatt. Trustee Pike said this was another landmark year in the legislative session. We received ongoing funds that we were promised, as well as one-time funds. Trustee Pike said to give Senator Urquhart and Representatives Don Ipson and Jon Stanard high fives when we see them.

DXATC Board Liaison. Trustee Jon Pike is the DXATC liaison. He said Kelle Stephens, DXATC President, just held a fantastic meeting on Wednesday night at the new Cliffside Restaurant (the former Rococo) to kick off the process of programming and master site planning the new DXATC campus. There were 60 people there (including Bill Christensen and many people from the community). The DXATC has been working for several months with Method Studio, who designed the Tooele ATC. DXATC President Kelle Stephens came to the table. She said they were told to dream no small dreams – so they dream big and ask questions. She said the DXATC hopes to have a beautiful campus. One of DSU's baccalaureate graduates is a DXATC student named Jericho – his is an incredible success story. Jericho is now the #2 in charge of his plant (in six years). Also, Alcredo Damian is getting his degree. He had very little opportunity

and is now getting an associate's degree. Kelle said she cannot thank DSU enough for the articulation agreement in place between DSU and DXATC.

NAC Representative. Trustee Gail Smith is the official NAC representative, but Trustee Elisabeth Bingham and Chair Steve Caplin also attend NAC meetings. They had nothing new to report.

VIII. DISCUSSION/INFORMATION ITEMS

INSTITUTIONAL ADVANCEMENT

Commencement Program and Convocation Information

Christina Schultz, Vice President of Institutional Advancement, said to turn to the last tab to find the detailed Commencement program, as well as information about the convocations. She said due to the different processional route – the robing ceremony has been moved to the Gardner Center.

DOCUTAH Overview and Timeline

Christina said the DOCUTAH Film Festival takes place September 2-6, 2014. She said the Festival will be over before the next Board meeting. She referred to the overview and timeline document.

Report on DOCUTAH Events and Outreach – May and June, 2014

Christina's DOCUTAH presentation included the following comments:

- She mentioned the "Best of the West" Film Festival in Mesquite, Nevada.
- In partnership with Grace Episcopal Church, there will be a screening of "Love Me or Die" on May 11th.
- In May and June we have two students and an instructor going to Dalian, China to shoot a documentary. That is our part of the exchange arrangement DSU has with Dalian.
- In June, Lani Puriri is going to China.
- On June 18-20, Peter Robinson (the editor of a publication called *San Francisco Books & Travel*) is promoting a two-day junket to position southern Utah as a cultural tourism destination. The junket will include *Little Mermaid* at Tuacahn, Kayenta, Zion National Park, Best Friends Animal Sanctuary, and Frontier Movie Town in Kanab.
- Christina said DOCUTAH has also partnered with a new gallery that will show three documentaries over the summer – on June 14, July 12, and August 16.

OC Tanner 2014 Summer Concert Series

Mary Stephenson, Cultural Affairs Coordinator, has expanded the OC Tanner program offerings. It has been difficult to build a large audience there.

Bookmark of DSU Art Exhibits. Christina said we have two more art exhibits coming up. One is the Art Department Showcase, currently at the Eccles Gallery through May 2. There will also be a summer show with a special reception, etc. Christina invited everyone to attend these upcoming events. Chair Caplin said thank you to Christina. "You have been very busy," he said.

DEVELOPMENT

Development Office Donation Report

Brad Last, Vice President of Development, referred to the donation report. He said the report is in the standard format, but the difference is the Beverley Sorenson Endowed Chair – we can finally talk about it. Their payment represents the first of three payments we will receive to complete the \$2 million endowment for that chair. It has been very exciting. The chair has specific requirements, but it is a great start. Chair Caplin said it is our first endowed chair – congratulations! Brad said the endowment is for Art Education for Elementary Education. It will also be a liaison to the Washington County School District and the arts programs going on in a lot of elementary schools. Brad said Dr. Brenda Sabey, Dean of the School of Education, and Dr. Brent Hanson, Dean of the School of Visual and Performing Arts, were very involved in this. It is hopefully the beginning of a great relationship. President said 1) a faculty chair in education is a great signal of the quality of our education program and bodes well for a master's degree. It acts as a university signal, and 2) the first endowed chair is always the hardest to get. We're hoping this will stimulate

others. An endowed chair in accounting with our endorsement would lead to a master's degree in accountancy, which would separate us from SUU. This first endowed chair positions us well for the future.

DSU STUDENT ASSOCIATION

Outgoing Student Government Report

Trustee Carlos Morgan, outgoing DSUSA President, said his presentation is called "*The Faces of Student Government.*" He said D-Week was very successful. He has already told us everything that has happened this year, but one thing he hasn't shown is other students who serve in student government and what goes on behind the scenes. Carlos's closing presentation featured many of the people who work with him and do a lot of the work. One of these students is Greg Layton, the new student body president. Carlos said Greg will do a great job as president. Carlos said, "Greg has a lot of great ideas. You will be well served." Carlos's presentation included the following:

- Carlos said student government is a family. There are so many involved and so many great stories. Carlos is just the messenger; these people made everything happen. They work so hard.
- In closing, Carlos said he is a first generation college student in his family. His dad went to USU but didn't graduate. Carlos has two younger brothers. Carlos said he almost transferred to BYU-Hawaii, but he was sitting in a DSU clubs meeting and felt he should get involved. It felt right and he stayed. He was deciding what to do and wanted to run for a position. He was at the temple and was contemplating opportunities. He ran into someone that said he should run for student body president. That thought had never crossed his mind, but it felt right and he ran and won and here he is now. We sit in this meeting and talk about proposals and programs, but you probably never see the product. He is the product of all the things we talk about. As he looks back, Dixie has given him everything – more than he ever dreamed of. Dixie changes people's lives. He knows without a doubt that his life is changed because of this experience. "On behalf of everyone before and everyone who will come, thank you for all you do," Carlos said. Chair Caplin said thank you, Carlos – great job!" Carlos received a standing ovation.

Incoming Student Government Report

New Trustee and DSUSA President Gregory Layton said that was a great presentation. He said he didn't have a visual presentation and he couldn't compete with Carlos. Greg said student government and this institution create opportunities for students. Greg mentioned the following:

- Currently they have almost all of their positions filled for next year.
- Greg talked about his vision for next year (what is important to him and what to expect):
 1. **Identity as a student body.** It has been affected by university status and our change of mascot. We need to embrace our identity and say "I'm Red Storm, a Dixie State student." We can do that by creating traditions and opportunities at athletic events, etc. We want to help our student body get behind who we are.
 2. **Brand ourselves as student government.** We have a high value proposition to be able to bring people here. He is from Salt Lake City, and there is a feeling that Dixie is a little small place down south where only people who can't get scholarships somewhere else will come. He wants to change that.
 3. **Opportunity for students to grow while they are here.** Greg said he and Carlos are products of this university. Our DSUSA academic department outlay is growing. He was over that this year and he wants it to continue. We can provide opportunities for students.
 4. **Service.** They have just added this branch in student government. Service will spread like a fire. That branch is one we'll be trying to fill so other schools can look at ours.
 5. **Increase opportunities for students to be involved in student government.** Two weeks ago he proposed a change in their constitution to allow any student to apply for a student government position if they have college credit. If a student was a transfer student from another institution, this wasn't possible before. We are changing that.
 6. **Opportunity with the community.** Carlos has done a great job connecting with the community. The community and the school should be one – everyone wants that. We should be able to achieve that and create special opportunities for next year.
 7. Greg said he appreciates the opportunity to share his vision for the coming year.

GENERAL UPDATES

Alumni Association

Trustee Hal Hiatt, Alumni Association Director, mentioned the following:

- Trustee Hiatt said they had a great D-Week. They didn't break the world record, but they will next year.
- The Grad Fair will be at the Alumni House this week. Graduates come and get their gowns and relax.
- Next year is the 100-year anniversary of the D on the hill. He said alumni will partner with the students to celebrate that. Greg said they have been talking about it in student government for three weeks.
- Trustee Hiatt said they had a good turnout for the free breakfast. We might make it a little later so the students can get up.

Athletic Services

Jason Boothe's report included the following:

- Softball has won 21 games in a row. We should be hosting the regional conference.
- Baseball won Saturday and yesterday, but we are ranked fifth nationally, so we will probably not host the regional tournament. It will most likely be held somewhere in California.
- Men's golf is going to a final tournament for the sixth straight year.
- Vice Chair Clark said he was duly impressed with the demeanor of the students and the overall high (3.8) GPA. Of the top five GPA sports, four were in season.

Classified Staff Association

Kathleen Briggs, Classified Staff Association (CSA) President, talked about the following:

- CSA had a very successful luncheon for all Classified Staff – 84 were in attendance.
- They had many nominations for the CSA employee of the semester, and Stella Callagee, Administrative Assistant to the Dean of the School of Business and Communication, won that honor. She is so deserving; she helps everyone.
- In the past we have had difficulty getting people to participate in CSA, but thanks to the university taking over funding, we can now pull from all categories of staff in all areas. Because of that, this year we have a plenty of nominations and a bona fide election going on. The election will wrap up in May.
- Tomorrow from 9:00 to 10:30 a.m. they have a service project where the CSA will sponsor planting flowers on campus. Everyone is invited. Bring your own gloves.
- This year has been wonderful. We've had two things happen that have made a difference: 1) University funding, so we can represent all classified staff on campus. That comes with challenges – who they are and what they need – but that's a happy problem, and 2) the Graduation Policy. When that can be implemented it will be a huge benefit to staff on campus.
- Kathleen thanked President Nadauld and the Board of Trustees for their support of CSA. Every time she has attended these Board meetings, President and Trustees have expressed support, and she has passed that on to CSA. "Thank you, Kathleen," Chair Caplin said. "You have really impressed us with your thorough reports. You are so efficient."

Exempt Staff Association

Ken Richmond, President of the Exempt Staff Association (ESA), mentioned the following:

- The ESA can ditto most of what Kathleen said. We have felt support from administration and Trustees.
- ESA didn't get everything done that they wanted to (it was a very busy year), but we will carry the unfinished things over and make them happen.
- The university funding of ESA was a real turning point. They are finalizing the ballot for their elections. They have struggled before, but now have an overwhelming number of people volunteering to serve.
- They are working with student activities and Jordan Sharp in awarding our ESA winner at the Dixie Awards. You will see then who the employee of the year is. The person is very deserving.
- On May 14 we are holding our end-of-year luncheon meeting at noon in this room. Please come; everyone is invited. We had 75 at our last luncheon. We have a lot to go over announcing our new board members and employee of the year, as well as some items of business.
- We have formed a committee to revamp our constitution and bylaws for ESA; it still says DSC and mentions dues. Mace Jacobson, ESA President for next year, chairs this committee.
- He thanked President Nadauld. We will miss him. Ken said there are some great candidates to replace him. We're excited for the future of Dixie State.

Faculty Senate

Dr. Nate Staheli, incoming Faculty Senate president, and Dr. Erin O'Brien, Faculty Senate president-elect, came to the table. Nate said it is an honor to be Faculty Senate president. Robert Carlson could not be here, but Nate thanked Robert for his presidency. Robert was great representing faculty needs. Nate introduced Erin O'Brien as the Faculty Senate President-elect. There has been a big push for shared governance. Nate presented the highlights of the Faculty Senate's year:

- Thanks to the Academic Vice President for professional development funding of \$115,000. It is two-fold; it allows faculty to get a little reward, and it allows faculty to go out and make a good presentation for Dixie State. It has been fun to have those conversations with other institutions.
- Thank you to Martha Talman and Pam Montrallos – they are incredible to work with. There are a few more policies coming forward for Faculty Senate. Next year we are working toward revising the Faculty Senate constitution and bylaws, the Academic Integrity Policy, and another policy.
- We are working toward a mentorship situation. Trustees Durham and Smith have been wonderful to work with about what to look for with faculty on campus. We want to help faculty feel welcome and important to this institution. This next academic year we hope to get our faculty out in the community so people out there can make a connection with our wonderful and diverse faculty here at DSU.
- Lastly, thank you to President Nadauld for his years of service, and for the openness of his office. He has been very candid, which has been a great blessing for Nate and Robert. On behalf of faculty, Nate thanked President Nadauld. Nate said President invited him to Heber to go fishing with him.
- Erin O'Brien said she is a professor in the Biological Sciences Department. She is also serving as president of the Utah Academy of Sciences, Arts and Letters. Thanks to President Nadauld, Dixie State has been extremely well protected through the downturn in the economy. Other institutions have been much more severely impacted. Thank you, President.

Student Services

Frank Lojko and Del Beatty came to the table. Frank said he and Dean Beatty want to salute the President on behalf of the staff and students. They want to highlight a few things – accomplishments in Student Services that are mile markers because of President Nadauld's leadership:

- Blake Nemelka, Enrollment Systems Manager, will show us a virtual tour that Alex Kolody, Marketing and Multi-Media Designer, designed and built. Blake said one struggle we have in enrollment is to get students here on site, so a virtual tour is our way of addressing this. He showed a short, very nice and informative video on the Holland Building. The Zion Room is visually stunning and many important decisions are made here. The state-of-the-art computer center, library, etc., take up a large portion of this building. Frank said there are five videos that come up when you click on each building featured.
- Del and Frank shared major accomplishments: Academic Advising sees over 11,000 students; New Student Programs see 20,000 students; we have a record number of applications (7800); 3300 have already applied; our TRiO program is one of the foremost in the state and nation; the Testing Center helps provide testing; the Career Center serves more than 3400 students with internships and co-ops, etc.; Admissions and Registration are very successful – one-stop shops; the International Student Office started with 11 students. They have 209 this year and expect 300 more next year.
- Del mentioned new programs and major remodels. The Student Activities Center (SAC) has a new focus to get people engaged and socially involved. SAC has had 195,376 students go through it. The intramurals program has seen explosive growth. We had the highest percent of students participating in intramurals. We offer billiards, tennis, badminton, swimming, Frisbee, etc. This last year we had more females taking advantage of it. We have club sports that receive huge support. These are teams that are organized, have a coach, etc. Swim programs, outdoor recreation (rock climbing, mountain climbing, etc.) have had huge growth. Student involvement and leadership (student government has undergone major restructuring to meet the needs of the student body), conflict resolution, conflict management, event infrastructure. The Disability Resource Center served over 700 students – up from 462 last year – especially in the deaf community. We have to provide deaf services for them. The Multicultural and Diversity Center now serves academics and activities and have had huge growth.
- Del said he probably uses the services of the Health and Wellness Center more than any other, because students have anxiety and relationship issues, are suicidal, etc. We have a doctor and three nurse practitioners, and they see an average of 278 patients a week (4-5 per day). It has exploded this semester because the word is out – it is only \$10 a visit. The emotional and dental health counselors are amazing.

They have provided CPR training and training about potential suicide, etc. They also provide training with the LGBT community.

- Frank said the students can never thank you enough, President. It has truly been a pleasure to be here with you. Thank you very much.

CONCLUDING REMARKS

Chair Steven Caplin concluded with the following: Thank you to Staff. Thank you to Jeannine Holt. Thank you to Steve Johnson. Thank you to Marilyn. Thank you to Hal and Patches. Thank you to everyone here today – we appreciate your attendance. Thank you to the Trustees. Thank you to President Nadauld. Tomorrow from 2 to 4 p.m. there will be a reception for Philip Lee here in the Zion Room. Tomorrow from 4 to 7 p.m. is the Farewell for the Nadaulds in the Gardner Center Ballroom. Commencement is this coming Friday, May 2nd. Everyone is now invited to lunch in the Cottam Room.

IX. CALENDAR OF UPCOMING MEETINGS AND EVENTS

- *Final Exams – Thursday through Wednesday, April 24-30, 2014*
- *Board of Trustees Meeting – Monday, April 28 @ 8AM, Zion Room*
- *Dixie College Foundation Meeting – Monday, April 28 @ 4PM, Wade Alumni House*
- *Council of Presidents Meeting – Tuesday, April 29 @ 12PM, The Gateway/SLC*
- *Philip Lee Retirement Social – Tuesday, April 29 @ 2-4PM, Zion Room*
- *President & Margaret Nadauld Farewell Social – Tuesday, April 29 @ 4-7PM, Gardner Center Ballroom [Program at 5:30PM]*
- *Dixie Awards – Thursday, May 1 @ 6-9PM, Eccles Fine Arts Center*
- *Commencement Day – Friday, May 2, 2014*
- *President Nadauld Speaks to DSU Colleagues – Monday, May 5 @ 12PM, Taylor 156*
- *Executive Staff Meeting – Tuesday, May 6 @ 9AM, South Admin Conference Room*
- *Preservation Workshop [Caring for Family History Materials] – Thursday, May 8 @ 2-4PM, HCC325*
- *Storm Club Golf Tournament – Tuesday, May 13, 2014*
- *Board of Regents Meeting – Friday, May 16 @ Weber State University*
- *Memorial Day Holiday – Monday, May 26, 2014*
- *Executive Staff Meeting – Wednesday, May 28 @ 1:30PM, South Admin Conf Room*
- *PAC West Conference Meetings – Wednesday through Friday, May 28-30 @ Newport Beach, CA*
- *Independence Day Holiday – Friday, July 4, 2014*
- *Pioneer Day Holiday – Thursday, July 24, 2014*
- *Pre-Fall Inservice Meetings – August 18-22, 2014*
- *Fall Semester Begins – Monday, August 25, 2014*
- *Labor Day Holiday – Monday, September 1, 2014*
- *China Photo Retreat – September 1-15, 2014*
- *DOCUTAH Film Festival – September 2-6, 2014*
- *University Council Meeting – Thursday, September 4 @ 3PM, South Admin Conf Room*
- *Back-to-School Convocation – Sunday, September 7 @ 7PM, Cox Auditorium*

The meeting was adjourned at 12:15 p.m.

X. 12:00 P.M. – LUNCHEON FOR ALL MEETING ATTENDEES IN THE GARDNER CENTER COTTAM ROOM IMMEDIATELY FOLLOWING THE MEETING

XI. NEXT BOARD OF TRUSTEES MEETING – FRIDAY, SEPTEMBER 19, 2014

**MINUTES OF THE DIXIE STATE UNIVERSITY
BOARD OF TRUSTEES MEETING
South Administration Building Conference Room
Wednesday, July 16, 2014
11:00 a.m.**

BOARD MEMBERS PRESENT:

Steven G. Caplin (Chair)
David Clark (Vice Chair)
Julie Beck
Elisabeth Bingham
Christina Durham
Hal Hiatt (Alumni Association President)
Gregory Layton (DSUSA President)
Jon Pike
Max Rose – Participated by phone
Gail Smith

ALSO PRESENT:

Michael Carter – Assistant Attorney General/Legal Counsel
Bill Christensen – Executive Vice President/Chief Academic Officer
Kevin Jenkins – Reporter from *The Spectrum*
Steve Johnson – Director of Public Relations and Publications
Marilyn Lamoreaux – Assistant to the President
Jane Leaphart – Registration Supervisor
Pam Montrallos – Executive Director of Human Resources

I. WELCOME

At 11:05 a.m., Steven G. Caplin, Chair of the Dixie State University (DSU) Board of Trustees, welcomed everyone to the meeting today. Trustee Max Rose participated by phone. The Administrative Staff and University Council members who usually attend Board of Trustees meetings are involved in interviews with the three presidential finalists, so they are not in attendance at this Trustees meeting. Chair Caplin said Dr. Bill Christensen, Executive Vice President and Chief Academic Officer, Pam Montrallos, Executive Director of Human Resources, and Steve Johnson, Director of Public Relations and Publications, will be coming into this meeting to discuss the President Emeritus ratification and the University Collections policy. They have a 15-minute break between interviews, so they should be here momentarily.

II. ACTION ITEMS

POLICIES

Policy NEW: Trustee Conflict of Interest

Chair Caplin said this policy was authored by the State Board of Regents and put forth as a requirement for each of the institutional Boards of Trustees. Following the ratification of the policy, the Trustees will be asked to sign the DSU Public Officer's Disclosure Statements. DSU employee Jane Leaphart, Registration Supervisor, who is also a Notary Public, was in attendance to notarize the Trustees' signatures on the statements. Trustee Christina Durham pointed out a typo on the second page of the policy under Section V.A. – it should read *members of the Board*, not *members of the members*. Trustee Jon Pike asked why this statement needs to be notarized. Michael Carter, DSU's Legal Counsel, said it is because it is a mandate from the Regents. Trustee Pike said they don't notarize conflict of interest statements at Intermountain Healthcare or at the City. Michael said we have done a similar version of the statement in the past without notarizing it, but the Regents have requested it. Chair Caplin thanked Jane Leaphart for notarizing all the statement forms.

Kevin Jenkins from *The Spectrum*, Steve Johnson, Bill Christensen and Pam Montrallos arrived at 11:19 a.m. Chair Caplin told them that we took care of the Conflict of Interest Policy before they arrived.

RATIFICATION OF PRESIDENT NADAULD’S “PRESIDENT EMERITUS” STATUS

Chair Caplin said this idea originated with Vice President Bill Christensen. He discovered the concept and did research and authored the verbiage of the award, which was presented to President Nadauld at Dixie State University’s 103rd Annual Commencement Exercises on Friday, May 2, 2014. Chair Caplin said it was much like the proclamation that Mayor/Trustee Jon Pike authored and presented to President Nadauld proclaiming May 2, 2014 as Stephen Nadauld Day. Trustee Bingham asked if President Nadauld is the first president in Utah with President Emeritus status. Bill said he didn’t know for sure, but Chair Caplin said this is the first time in Dixie’s institutional history – well done, Bill. Bill said it seemed appropriate. Chair Caplin called for a motion to ratify this award.

MOTION BY DAVID CLARK, DULY SECONDED BY ELISABETH BINGHAM, TO RATIFY THE PRESENTATION OF PRESIDENT EMERITUS STATUS TO PRESIDENT NADAULD AT DIXIE STATE UNIVERSITY’S 103rd ANNUAL COMMENCEMENT EXERCISES ON MAY 2, 2014.

Action: Approved unanimously.

POLICIES

Policy 6-NEW: University Collections

Chair Caplin asked the Collections Management Committee who worked on this policy to present some background information. Vice Chair David Clark is chair of that committee, with Trustees Julie Beck, Elisabeth Bingham, and Chris Durham as committee members.

- Vice Chair Clark said about a year ago they began the process of what to do with the confederate statue. They found that DSU did not have a lot of direction in policy. Trustee Beck was quite instrumental with her background of dealing with similar situations. The policy broadened out quickly and appropriately. He has five different drafts and versions, including a legal opinion. The latest draft, with some specific assignments from the Trustees, moved back into the drafting phase and moved forward through the approval process – Executive Staff, University Council, etc. There is a draft version of that in front of us today. Vice Chair Clark passed around an edited version of the policy, with edits he made. The broader coverage deals with acquisition, deaccession, loaned-out works, who keeps track, what processes are gone through, etc. He would like to make a recommendation. He is not here to have the Board adopt the version in the agenda packet; it takes out all mention of the Board of Trustees. There is nothing in the policy that goes through the Board of Trustees, and he does think there is a role for the Board. He would also add an area – in addition to tangible items, the Board has some role in special funding from the institution. The Board should have some level of approval, and for deaccession of items above a certain dollar amount, the Board should be involved. There are items that are sensitive assets that are not traditional assets; items like the name of the institution, the mascot, etc. They are not traditional, but are intrinsic, sensitive assets. He feels the Board should have a role in this.
- Trustee Beck added some background as to why the committee was so involved as they broadened out the policy. She said first they saw that the Board’s interest should be to protect the reputation of the university. If we are going to grow into university status, we don’t want assets to go somewhere else. We want people to know we respect and protect (legally and all ways), our assets. Let’s increase our reputation so people will trust us and so we can leave a legacy. The second area is to protect individuals and give them backup with a process and policy and people in place.
- Trustee Hal Hiatt asked if the Board would be voting on collections. Vice Chair Clark said there would be a notification at the next regular Board meeting of any acquisitions or deaccessions, etc. We don’t need to get too deep into every detail, but there is a need for accountability. President Nadauld said earlier that these items should be reported to the Board and to the President.
- Vice Chair Clark said it should be stated in the policy that an annual report is required each year with notification and details, when items require outside-of-policy exceptions, dollar amounts, etc. Those are the major policy items.

- Trustee Gail Smith asked if – in having to deal with the confederate statue artist, Mr. Jerry Anderson – there could be something in policy to give comfort, peace and integrity to the artist. She received a call three weeks ago from Jerry asking what's happening. Could something be included for all artists?
- Trustee Beck said her proposal is that if everyone agrees that the major issues with this are authority and notification, then wordsmithing can be done to the policy to bring us really close to our initial intent.
- Chair Caplin said that is excellent. He spoke with President Nadauld a couple of days ago. His recommendation was to approve the policy with some specific changes. But if the recommended changes are too broad to cover here, let's table it,
- Bill said he likes the approach that Vice Chair Clark has taken on this. In the modifications that were made on the policy, the faculty didn't feel that it was the Board's assignment to micromanage this. But certainly, we need transparency and reporting and integrity, etc. No one intended to cut any of that out. So what he hears everyone saying is consistent with the intent of the edits put into place. They may have just gone a little too far. He thinks the edits are definitely in the right spirit. They don't want everything we acquire to have to be approved by the Trustees.
- Vice Chair Clark wants to be sensitive to the faculty reviewing this. Chair Caplin said President Nadauld favored involvement of the Board in acquisitions and financial thresholds. Bill said his personal opinion is that faculty would be okay with that.
- Chair Caplin said, in summary, that what he has heard is that we treated this policy rather exhaustively internally and at the Board level. We have received a final version from the institution and it has passed every approval level. The Board has reviewed it and made recommendations that they would like to include and pass the policy subject to those inclusions. If others don't like this, they can provide alternative proposals.
- Michael said in looking at the delegation of authority from the institution to the Trustees, he doesn't find Board of Trustees authority to approve this kind of thing. He has no objection to notification, and therein is a process so if the President senses the Board's dissatisfaction with something it could be addressed, but Michael doesn't find any statutes or delegation for Trustees to have this level of approval. Chair Caplin said that is important. Chair Caplin asked Michael if Michael likewise sees authority for the Board to act and have authority over the mascot, name, etc. Michael doesn't see that. Chair Caplin said the Board was heavily involved in that process. Michael said it is not stated, so it is not delegated. Vice Chair Clark asked then if it is not stated for the Board to approve Early Retirement, for example. Chair Caplin said there are several other examples of actions that are taken by the Board on a regular basis that are not defined by statute. Michael said that is a matter of institutional policy. If President Nadauld recommends that, he can delegate some of this authority – but the specifics of statute and state policy do not address that.
- Trustee Beck's initial intent was to start with a big thank you for all the work done on this policy. She said overall this is a really good policy. Pam said thanks goes both ways, because Martha Talman was really grateful for the Board's input. Pam asked Michael to get back to her on the final decision. Chair Caplin asked how Bill and Pam would feel if the Board goes forward subject to these amendments? Pam is concerned about the authority of purchases over a certain amount. She was not in on those discussions. **Bill and Pam left to go back to the presidential candidate interviews.**
- Trustee Durham said there is inconsistency in whether the authority is with the President or just the Collections Management Committee. In the main policy in Section 2, and in Addendums 2 and 3 on accession and deaccession, there is no mention of the President, just the Committee. We need to be consistent. Also, the policy talks extensively about the accession and deaccession. There are some definitions put forward, but the nitty gritty will be in the Collections Policy Management Plan. We could agree to this policy, subject to the Collections Policy Management Plan being put into place. It is empty without that. Chair Caplin said the plan is ongoing – it is a living, breathing document. Trustee Durham would like an original plan first – it is hollow to refer to the plan in the policy. There needs to be some structure or oversight to it. If the Trustees are going to be involved, the intangible assets issue needs to be addressed.
- Trustee Beck said regarding sensitive assets that when you're dealing with logos and mascots and colors, etc, you are dealing with intangible assets and the institutional visual identity of an institution. That should be approved by the institutional Boards of Trustees and carried out through the whole Utah System of Higher Education; it should be protected so it is not absconded. Michael said that is branding. Trustee Beck said yes, it is a visual identity protected by policies. It is ratified and approved by the

Board. Then we don't change with a whim. Michael said if we are talking about those things, we are talking about filing under federal law that those particulars are spelled out and protected so we have the legal structure to prevent misuse. Within the institution there are style guides, etc., that limit any kind of modification or alternative use of marks, letterheads, etc. If Trustees want to be a part of the policy in that, that is possible but there are ongoing methods that are already in place to address those concerns because they are longstanding.

- Chair Caplin asked how to proceed. Vice Chair Clark made a recommendation. He said that Trustee Beck brings up some amazing things. He wants to make sure the creative and branding icons, etc. of the institution are included and protected. Steve Johnson, Director of Public Relations and Publications, said all of our marks are trademarked through Strategic Marketing Affiliates (SMA) and covered in a policy approved by the Board of Trustees in 2007 or 2008. Everything that has our branding on it (clothing, gear, etc.), has to go through an approval process, just like at any other school. Those marks are protected through our licenses and trademark agreement with SMA. He can show us the licensing portion and also our new style guide that was introduced when we got our new logo. Vice Chair Clark said he is happy these things are protected, but what do we do with the intangibles that aren't protected? He is not sure how to wordsmith that. If it is not included in this policy, those are items that we should address. Trustee Bingham said Steve Johnson has everything in place, so we could just formalize it in the form of a policy. Trustee Beck said, so if there are recommended changes, there is a process in place to cover them.
- Michael said this is the kind of thing that has a lot of variables in it. He wanted to draw the contrast between what we might want to put together as a general policy, without related guidelines. As things evolve, certainly there is room and reason for the Trustees to be aware and function in an advisory role, but to allow for the business function on an as-needed basis. Keep in mind the difference between policy and procedure.
- Steve Johnson brought up the licensing/ branding website. It has many links, including enforcement. He referred everyone to www.dixie.edu/styleguide. Michael said he is in no position to go out legally to strong-arm someone who might be crossing the line. These companies have their own legal departments and it is their responsibility to protect us. Steve Johnson said these processes are standard across the board – every Utah institution has them.
- Chair Caplin said it appears that what we have is a highly involved Board of Trustees, and he doubts that this is the last meeting in which the administration will receive suggestions on policies. He doesn't know if we are in the position today to address additional policies on licensing and intellectual property. It is a value discussion to have as an administrative team, or for the Board to contemplate further regarding the need to address such a policy. Let's leave the Board and administration in power without making a decision today on policies in general and additional policies.
- Trustee Bingham said it is a fine line to define intellectual property, but we are set well with our logos and branding. Chair Caplin said we count on our administration to regulate these, but the Board should receive reports.
- Vice Chair Clark said we could wait until we meet again, or as a Committee we can work with the institution to come up with the changes regarding approval and adoption of a Management Plan with notifications to the Executive Committee. The deaccession might be the only fine line. If it is above a certain threshold, they as Trustees should accept this responsibility. Trustee Bingham doesn't want to micromanage this, but she would like to set a threshold. Vice Chair Clark said the threshold was set at \$10,000 for Board Review. Michael Carter said in this context, \$10,000 seems quite small in the entire budget the Board oversees. He thinks that deserves more reflection.
- Chair Caplin asked how the Board feels about deferring action on this policy until the new president is established, or do they want to do the preparatory work to complete and sign off on this policy.
- Trustee Beck said we only need to insert the Trustees in one place – that is under authority. She would not want the Trustees to get into the business of managing a rock collection for a faculty member. The Board wants everyone else to function in their own spheres. Trustee Durham said the Board needs the Management Plan, and the Board should have approval. Trustee Beck said the Board can ratify a plan that the president comes up with.
- Chair Caplin asked Trustee Rose to weigh in on this. Trustee Rose said every statement seems very reasonable, but he is a great believer that policies evolve over several iterations. He doesn't see how one iteration could do it.

- Vice Chair Clark said the policy mentioned an annual plan, a notification process when needed, and deaccession. The Board was working under the assumption that we wanted to have a say. If we want notification, that is a different approach.
- Michael said speaking about statutory items and general delegation, it becomes interesting when dealing with surplus property, which suggests accession. This policy addresses surplus, which is not what we are talking about.
- Chair Caplin asked if it is possible and practical to approve this policy, subject to some clearly stated amendments, or are there too many loose ends and we should defer this policy to a subsequent meeting. Do we need more time to work through this? What do you want to do?
- Vice Chair Clark has had his nine months with this policy and he's ready for birthing. The bouquet of items is good. He recommended to adopt the policy subject to identifying the change, planned approval, notification sections, Board approval, and maybe a dollar limit of \$25,000 and above for deaccession. The rest are notifications, annual reports, etc. Trustee Durham wants to make sure we have consistency. She asked to list the stated amendments. Trustee Beck said the Board needs some oversight.
- Chair Caplin asked if the Board should approve the policy subject to some clearly stated articulated amendments, or not? Yes or no? Trustees Durham and Smith said we need more time.
- Vice Chair Clark said he is okay with that approach and he will amend his amendments.
- Trustee Beck said the proposal should come from someone who has not been on the committee.
- Trustee Jon Pike said he appreciates the time taken, etc., and Trustee Clark gave Board members highlighted copies. Trustee Pike thinks we may have the ability, with Trustee Clark's comments, to pass what we have with amendments. Vice Chair Clark has done the homework already. Trustee Greg Layton said he wants to be sure that the people this is over are aware of it.
- Michael has gathered some sense that there is concern about the Management Plan not being a part of the policy. If there was a Management Plan as part of the documentation, it would resolve a majority of these issues. His observation is that the Management Plan needs to be formulated and brought forth with the final revision of the policy for a comfort level. Trustee Hiatt agreed.
- Vice Chair Clark thinks everyone would be more comfortable with more flesh on the bones. He said the committee will certainly do that and get a copy to everyone here. Trustee Beck said it is worth it and will be of great value to the university. Chair Caplin said the committee will work on this further, and we will defer the vote.
- Vice Chair Clark said the one area that is unanswered is under deaccession. We talked about a trigger and dollar amount. How do we solve current problems and be functional going forward. Do we use \$25,000, \$30,000, or \$50,000?
- Trustee Beck asked what the process is going forward. Is it back to the committee and back to the administration? Chair Caplin said yes. Trustee Bingham said we are very close. If we could review it over email, that would be great. Trustee Beck doesn't want to restart the whole process. Trustee Pike asked if it has to go back to the institutional committees (Executive Staff, University Council). Michael said the Management Plan is the part of this that is a critical component that should be included. Chair Caplin said at a minimum we need to define the plan – what is to be included, etc. It seems to be a step subsequent to approval.
- Trustee Durham said we would have to come back to the Board with the Management Plan.
- Vice Chair Clark summarized next steps. We have had a healthy discussion. He recommends that the committee do wordsmithing back to the administration and distribute to the Board. Michael said the Trustees are scheduled to meet in September and again in November. He suggests a deadline or review by the November meeting. Vice Chair Clark thinks we ought to be able to have something to present at the September meeting. Chair Caplin encouraged the Board to get this done. The administration needs to collaborate more effectively with the Board, because the Board was surprised to see the Board involvement edited out entirely and yet approved by the school committees. He asked Michael to help. We don't want phantom changes. Michael said the point is, this is a very unusual process. We had the president request Board involvement in institutional policy – it has never happened that way before. He said he needs to speak in defense of the process. Chair Caplin said the same thing happened with the tobacco policy – the Board saw final drafts, etc., but when they came forward to the Board for final approval, the policy was different. We are not talking about institutional authority; they understand they are to approve policy, but occasionally they are asked to contribute to policy. It has been counter-

productive to make suggestions and then see that the provisions have been edited out in their entirety. He thinks the process is breaking down somewhere.

- Trustee Beck said the Board provided guidelines in the beginning and turned it over to the process, and then found the counsel and advice of the Board was deleted. This needs to be addressed with the new president and his staff in subsequent weeks and months. Trustee Pike said communication is a big thing.
- **Chair Caplin said the decision is to defer the vote. He thanked the committee for their remarkable work.**

III. ELECTION OF DSU BOARD OF TRUSTEES LEADERSHIP

Chair Caplin said we want to elect new Board leadership. His term ends July 31, 2014. He said he still has commitments to participate on the Presidential Search Committee in the selection process. He will retain his role in that capacity until at least tomorrow. The new Trustee replacing Chair Caplin on August 1st is Thomas Wright. He was appointed by the Governor and was approved today by the Utah State Senate. Trustee Wright is from Salt Lake City. He is a native of Utah, and a real estate executive. He does business in Park City and Summit County. He is the former chairman of the Utah State Republican Party. He has a lot of connections in the state and brings the advantage of another geographical perspective. We now have the opportunity to elect a new chair and possibly vice chair. Chair Caplin said we will call for nominations and then give each candidate the opportunity to speak about their ideas and vision, and what they would offer Board leadership.

- **TRUSTEE JON PIKE NOMINATED TRUSTEE VICE CHAIR DAVID CLARK FOR CHAIR OF THE DSU BOARD OF TRUSTEES.**
- **TRUSTEE GAIL SMITH NOMINATED TRUSTEE CHRISTINA DURHAM FOR CHAIR.**

Vice Chair David Clark said all of us have considered this institution a treasure and it is a privilege to serve here. He is very grateful to serve. He hopes his background and experience would help a new president try to get through the maze of Regents, community, etc. He has been president of Rotary, Sunshiners, etc. He could build on that to help a new president. This particular Board understands their responsibility. He asked for their vote.

Trustee Christina Durham said it has been an exciting year. She had no idea what it would be like to be a Board member – she was originally told she would attend four or five meetings a year, but she has been very involved. She has watched the university achieve university status, and increase potential. She has seen Board and faculty and staff go together as one. The President mentioned envisioning the future and drawing on the strengths of the Board. Everyone has different strengths and pitches in to help. She would expect that she would have the Board's support. She wants to strengthen the university.

Chair Caplin asked everyone to cast their votes. The ballots were given to Marilyn and Michael to tally. Chair Caplin said he would vote in the event of a tie, but his absence leaves it an odd number, so he will not vote. The votes were counted. **Trustee Christina Durham is the new Board Chair.**

Trustee Hiatt said he doesn't like the election process; it pits people against each other. Chair Caplin said it would be prudent to go back to statutory Board policy – there is a term limit and we could probably accomplish leadership changes in subsequent years that would allow us to rotate leadership. He serves on the IHC Board and they serve three years and rotate around.

Chair Caplin asked if we call for nominations for vice chair. It is open to the Board; it is not a requirement. **TRUSTEE JON PIKE MOVED THAT TRUSTEE VICE CHAIR DAVID CLARK REMAIN AS VICE CHAIR.** Trustee Bingham said she was considering running for Vice Chair, but she feels comfortable with and thinks it would be appropriate for David Clark to continue as Vice Chair because of the experience he brings to the Board and his connections to the community. Trustee Bingham withdrew her interest in running for Vice Chair. Trustee Julie Beck said she appreciates that we have access to the gifts of every person here at any given time. Trustee Bingham feels that we give all we can, regardless of the position.

TRUSTEE JULIE BECK MOVED THAT THE VICE CHAIR POSITION BE RETAINED BY DAVID CLARK. THE MOTION WAS SECONDED BY GAIL SMITH. THE MOTION WAS APPROVED BY ACCLAMATION.

IV. COMMENTS BY OUTGOING CHAIR STEVEN G. CAPLIN

Chair Caplin said, “It has been an honor to serve on this Board. Thank you so much. All opinions are to be heard and vetted.” He said he seconds what Trustee Beck said. “The gifts and talents of this Board are incredible and unprecedented. Board members are so dedicated to the cause of Dixie, and so giving. It has been an honor to serve with you. Thank you for your support of President Nadauld and the faculty and administration. The key to a successful Board is to enable the management team – to bring out the best in them and help them reach their own limits. You all do a marvelous job at that. Thank you for being willing to continue serving. Thank you, everyone! You are great.”

Vice Chair Clark said how much the Board and administration appreciate Chair Caplin’s leadership – and as a token of appreciation, Vice Chair Clark read and presented a plaque to Chair Caplin to thank him. Vice Chair Clark added his personal observation. He said, “The waters you have had to sail through have been choppy at times. But your hand as Captain of the ship has left the institution better than we found it in 2005.” Chair Caplin received much applause!

V. CALENDAR OF UPCOMING MEETINGS AND EVENTS

- ***Summer School: 2nd Five-Week Block – June 16 – July 18, 2014***
- ***DSUSA Summer Internship – June 1 – August 1, 2014***
- ***Group Interviews with Presidential Candidates (three finalists) – Wednesday, July 16, Holland Centennial Commons***
- ***Board of Trustees Meeting – Wednesday, July 16 @ 11AM, South Admin Conf Room***
- ***Announcement of New DSU President – Thursday, July 17 @ 3:30PM, Zion Room***
- ***Board of Regents Meeting – Friday, July 18 @ SUU***
- ***Pioneer Day Holiday – Thursday, July 24, 2014***
- ***Summer R.O.A.D.S. Schedule – August 4, 2014***
- ***Council of Presidents Budget Meeting – Friday, August 8, 2014***
- ***Pre-Fall Inservice Meetings – August 18-22, 2014***
- ***Freshman Friday – Friday, August 22, 2014***
- ***Fall Semester Begins – Monday, August 25, 2014***
- ***Labor Day Holiday – Monday, September 1, 2014***
- ***China Photo Retreat – September 1-15, 2014***
- ***DOCUTAH Film Festival – September 2-6, 2014.***
- ***University Council Meeting – Thursday, September 4 @ 3PM, South Admin Conf Room***
- ***Back-to-School Convocation – Sunday, September 7 @ 7PM, Cox Auditorium***
- ***Council of Presidents Meeting – Tuesday, September 9, 2014***
- ***Board of Trustees Meeting – Friday, September 19, 2014***
- ***Board of Regents Meeting – Thursday & Friday, September 25-26 @ USU***
- ***Fall Break – Thursday and Friday, October 16 and 17, 2014***
- ***Homecoming – October 20-25, 2014***
- ***Council of Presidents Meeting – Tuesday, October 28, 2014***
- ***“Muslim Journeys” Program – October 28-30, 2014***
- ***Board of Regents Meeting – Friday, November 14, 2014 @ UVU***
- ***Board of Trustees Meeting – Friday, November 21, 2014***
- ***Thanksgiving Break – November 27-28, 2014***
- ***Finals Week – December 15-19, 2014***
- ***Holiday Break – December 22, 2014 through January 9, 2015***
- ***Spring Semester Begins – Monday, January 12, 2015***

Chair Caplin called for a motion to adjourn the Board of Trustees meeting.

MOTION BY JON PIKE, DULY SECONDED BY ELISABETH BINGHAM, TO ADJOURN THE MEETING OF THE DIXIE STATE UNIVERSITY BOARD OF TRUSTEES.

Action: Approved unanimously.

The meeting was adjourned at 1:05 p.m.

VI. BOXED LUNCHES WERE PROVIDED FOR ALL MEETING ATTENDEES

VII. 1:30 PM – TRUSTEES MOVED TO THE ZION ROOM FOR THEIR INTERVIEWS WITH THE THREE FINAL PRESIDENTIAL CANDIDATES

VIII. NEXT BOARD OF TRUSTEES MEETING – FRIDAY, SEPTEMBER 19, 2014



Dixie State University Strategic Planning Process



Dixie State University Strategic Planning Process

- I. Consultant Request for Proposal
- II. Strategic Planning Committee Identified
- III. Consultant Proposals Reviewed by Committee
- IV. Consultant Hired
- V. Consultant Stake Holder Data Collection
 - a. Stakeholder meetings
 - i. Students
 - ii. Faculty
 - iii. Staff
 - iv. Board of Trustees
 - v. National Advisory Council
 - vi. Utah Commission
 - vii. Legislators
 - viii. Board of Regents
 - ix. Alumni
 - x. Community
 - xi. Etc....
- VI. Consultant Draft of Strategic Planning Goals
- VII. Committee Review of Strategic Planning Goals
- VIII. Stakeholder Review of Strategic Planning Goals
- IX. Consultant Draft of Goal Benchmarks
- X. Committee Review of Goal Benchmarks
- XI. Stakeholder Review of Goal Benchmarks
- XII. Consultant Draft of Goal Initiatives
- XIII. Committee Review of Goal Initiatives
- XIV. Stakeholder Review of Goal Initiatives
- XV. Consultant Final Strategic Plan Draft
- XVI. Committee Review of Final Strategic Plan Draft

- XVII. Selected Stakeholder Review of Final Strategic Plan Draft
- XVIII. Strategic Plan Complete
- XIX. Strategic Plan Approval
 - a. Faculty/Staff
 - b. Trustees
 - c. Board of Regents
- XX. Goal Chairs Identified
- XXI. Goal Teams Identified
- XXII. Strategic Plan Team Training
- XXIII. Strategic Plan Coordinator Identified
- XXIV. Timelines Created
 - a. Annual Work Plans
 - b. Annual Progress Reports
- XXV. Funding Distributed
- XXVI. Follow Up
 - a. Annual Reports
 - b. Annual Initiative Evaluation
 - c. Annual Strategic Planning Conference

DIXIE STATE HAS A NEW LOGO

DSUTM

DIXIE STATE UNIVERSITY
ST. GEORGE, UTAH



DSU DIXIE STATE UNIVERSITYTM

PHYSICAL EDUCATION/STUDENT
WELLNESS CENTER

DSU DIXIE STATE UNIVERSITYTM

WHY THIS PROJECT FOR DIXIE STATE

- **THE MISSING PIECE TO COMPLETE THE UNIVERSITY CAMPUS**
- **RECRUITMENT, RETENTION AND EMPLOYMENT**

DSU DIXIE STATE UNIVERSITY™

PHYSICAL EDUCATION/STUDENT WELLNESS CENTER

- **MULTIPLE-STORY NEW FACILITY**
- 100,000 Estimated GSF

- **FACILITIES INCLUDED**

Classrooms, Gymnasiums, Clinic Space, Physical Ed Labs & Equipment, Multi-purpose Rooms, Faculty & Staff Offices, Lockers & Dressing Rooms, Studios & etc.

DSU DIXIE STATE UNIVERSITY™

PHYSICAL EDUCATION/STUDENT WELLNESS CENTER

• **BUILDING SITE**



DSU DIXIE STATE UNIVERSITY™

PHYSICAL EDUCATION/STUDENT WELLNESS CENTER

• **CONSTRUCTION COST ESTIMATE : \$27 Million**

\$13.5 Million from State Appropriations

\$13.5 Million from Building Bond (Student Fees)

Student Building fees currently \$41 per semester

Student Building fees to Increase by \$24 per semester

Total Building fees to service bond \$65 per semester

DSU DIXIE STATE UNIVERSITY™

PHYSICAL EDUCATION/STUDENT WELLNESS CENTER

• **OTHER SOURCES OF FUNDING**

\$1.7 Million in Student Building Fees in hand by End of Year

DSU DIXIE STATE UNIVERSITY™

PHYSICAL EDUCATION/STUDENT WELLNESS CENTER

• **OPERATIONS & MAINTENANCE**

ESTIMATE: \$572K

DSU DIXIE STATE UNIVERSITY™

THIS PROJECT WILL SUPPORT
**Academic programs in the field of Health
Promotion and Human Performance**

Enhancement of Critical Programs (science, engineering, technology, etc.)

- Desired new programs to Support Need/Growth
 - ⊕ Bachelor's of Art/Sciences in Health & Human Performance
 - ◆ Teacher Education Track
 - ◆ Kinesiology/Exercise Science Track
 - ◆ Health Promotion & Wellness Track

DSU DIXIE STATE UNIVERSITY™

THIS PROJECT WILL SUPPORT
**Academic programs in the field of Health
Promotion and Human Performance**

- ⊕ Integrated Studies Emphasis Areas
- ⊕ Minors/Endorsements
 - Future Programs to Meet Workforce Needs
 - Future Programs to Meet Partner Need (Intermountain Health Care)

DSU DIXIE STATE UNIVERSITY™

RETENTION, RECRUITING AND EMPLOYMENT

☐ A SIGNIFICANT DRIVER OF STUDENT RETENTION

☐ FACILITATES RECRUITING

☐ SIGNIFICANT EMPLOYMENT OPPORTUNITIES

DSU DIXIE STATE UNIVERSITY™

RETENTION, RECRUITING AND EMPLOYMENT

☐ WASHINGTON COUNTY & REGIONAL GROWTH HAS OCCURED

☐ 15.2% OF NEW JOBS WILL BE IN EDUCATION AND HEALTH SERVICES

☐ ANOTHER 11.3% WILL BE IN LEISURE AND HOSPITALITY

☐ COMBINED 26.5% OF NEW JOBS COULD TAKE ADVANTAGE OF THE TRAINING DELIVERED FROM THIS FACILITY

DSU DIXIE STATE UNIVERSITY™

CURRENT FACILITIES

The current facilities are inadequate for the size of the current student body

DSU DIXIE STATE UNIVERSITY™

CURRENT FACILITIES



Student Activities Center

- Design based on old Cyprus High School Gymnasium
- Single gymnasium floor
- Bleachers on each side
- Completed in 1957
- Built for 280 Students

DSU DIXIE STATE UNIVERSITY™

CURRENT FACILITIES



Fitness Center

- Weight Room
- Dressing Rooms
- Small outdoor pool
- 3,600 square feet
- Completed in 1985 / Old Dixie Center

DSU DIXIE STATE UNIVERSITY.

BENEFITS TO THE STUDENTS

HEALTH WELLNESS & SUCCESS OF DSU STUDENTS

Campus wellness and recreation programs improve the students University experience through the following:

- Supports Academic Success
- Retention
- Emotional Balance & Stress Relief
- Improved Physical Fitness & Health
- Positive Social Interaction

DSU DIXIE STATE UNIVERSITY.

DIXIE STATE UNIVERSITY PHYSICAL EDUCATION/WELLNESS CENTER

MEETS GUIDELINE POINTS

SEE HANDOUT

MEETS DISCRETIONARY POINTS

SEE HANDOUT

DIXIE STATE UNIVERSITY POLICIES AND PROCEDURES MANUAL	Section: [NEW]
	Policy No:
	Approved:
Policy: <i>Trustee Conflict of Interest</i>	

I. To act in the best interests of Dixie State University and in compliance with the Utah Board of Regents Board Conflict of Interest policy (R122) and the Utah State Code, the following standards of conduct are expected of each member of the Dixie State University Board of Trustees (Trustee).

II. A Trustee must comply with all Dixie State University (DSU) policies, all Utah Board of Regents policies, and all state laws, regulations, and guidelines, with particular attention given to those laws covering conflict of interest and service as a public official, including but not limited to Utah Code Annotated §63G-6a-2304.5 (Utah Procurement Code), §67-16-(1-15) (Utah Public Officers' and Employees' Ethics Act, and §76-8-105 (Receiving or Soliciting a Bribe).

III. A Trustee is expected to abide by the following restrictions.

- A. Not to engage in improper disclosure of confidential information gained as a Trustee. Improper disclosure is the disclosure of confidential information to any person who does not have both the right and the need to receive said information.***
- B. Not to use or attempt to use his/her official position as Trustee to substantially further or advance his/her personal economic interests or those of the Trustee's immediate family.***
- C. Not to secure or attempt to secure special privileges or exemptions related to the Utah Board of Regents, Dixie State University, or other institutions in the Utah System of Higher Education for the Trustee or others.***
- D. Not to allow other employment or interests to impair his/her independence of judgment or the ethical performance of his/her duties as a Trustee.***

IV. A Trustee is required to submit a sworn disclosure statement in the following circumstances.

- A. A Trustee who is an officer, director, or employee, or who is an owner of a substantial interest in any business entity, that is or potentially may be involved in a transaction with Dixie State University or its sub-units, is required to disclose such facts in a sworn, written disclosure statement as set forth below.***
 - i. The definition of substantial interest is the same as Utah Board of Regents policy R122: the legal or equity ownership of at least 5% of the outstanding capital stock of a***

corporation of a 5% interest in any business entity by a Trustee, the Trustee's spouse, or any or all of the Trustee's minor or dependent children.

1. A Trustee will submit a new disclosure statement prior to the next Board meeting whenever there is a significant change to a position with or substantial interest in a business entity that is or potentially may be involved in a transaction with Dixie State University or its sub-units.

2. The definition of substantial interest will remain the same as Board of Regents policy R122.

B. A Trustee may not receive or agree to receive compensation for assisting any person or business entity in a transaction involving the Utah Board of Regents or Dixie State University without first disclosing such facts in a sworn, written disclosure statement.

i. Such a disclosure statement must be submitted within ten (10) calendar days after the date of any agreement between a Trustee and the person or entity being assisted or within ten (10) calendar days of the receipt of compensation, whichever is earlier.

V. Trustee disclosure statements are considered public information and are available for examination by the public. Trustee disclosure statements are submitted or renewed annually during the month of July, using Public Officer's Disclosure Statement forms sent to the Board of Trustees secretary.

A. Prior to the first Board of Trustees meeting of a fiscal year, Trustee disclosure statements will be forwarded to the members for review. At the first meeting in the fiscal year, the Board Chair will request a motion regarding that review. If no disclosure statements have been received, the Board Chair will report on that fact.

B. Trustee disclosure statements submitted after the first Board meeting of the fiscal year will be forwarded to the members of the Board for review. At the beginning of the next Board meeting, and prior to any official business being undertaken, the Board Chair will request a motion regarding that review.

VI. A Trustee is expected to recuse him- or herself from any Board discussion and/or voting on a matter that involves any business entity to which s/he is an officer, director, or employee; of which s/he owns a substantial interest; or for which s/he has received or agreed to receive compensation for assistance involving the Utah State Board of Regents or Dixie State University.

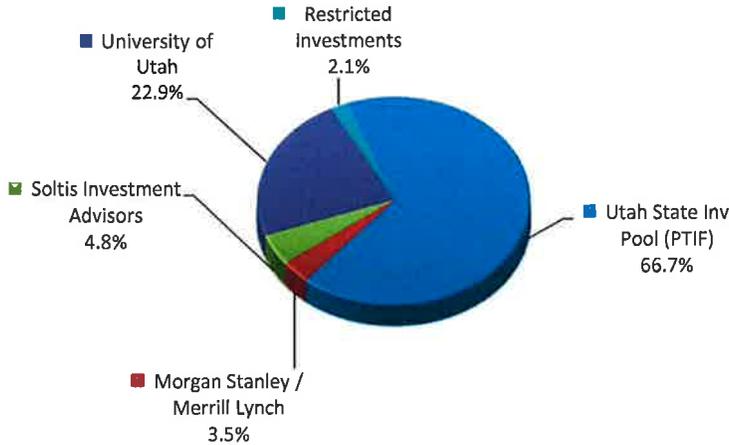
VII. Addendum: Public Officer's Disclosure Statement form.



INVESTMENT REPORT

July 1, 2013 to June 30, 2014

Investment Allocation



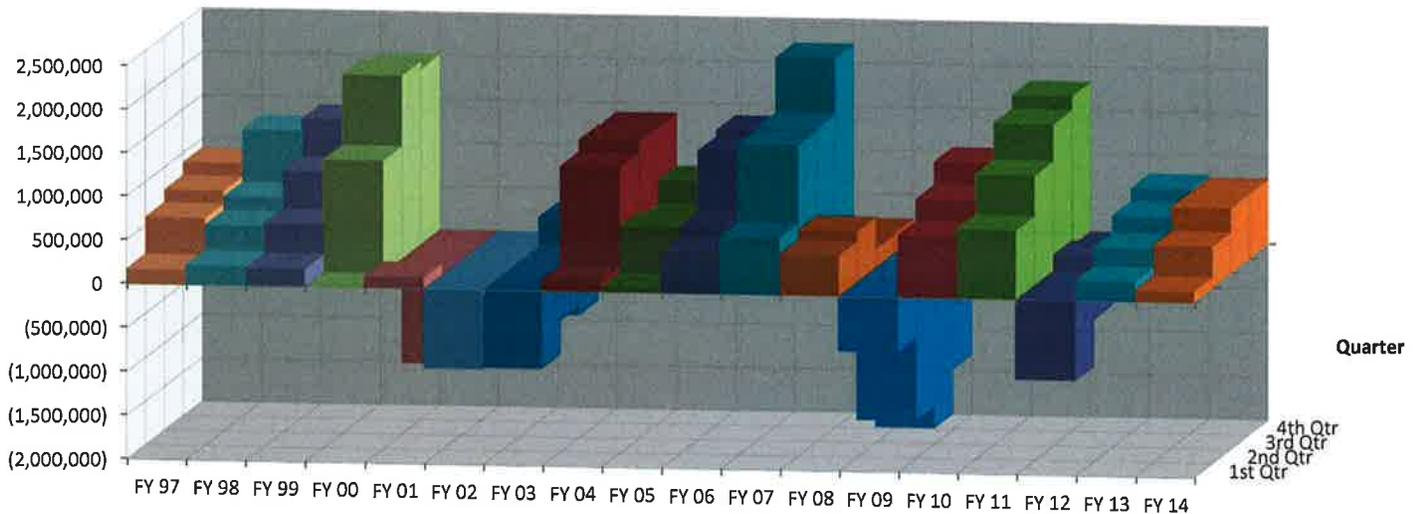
Investment Portfolio	Current Investment Allocation	Rate of Return
Utah State Inv Pool (PTIF)	\$ 21,952,926	0.63%
Morgan Stanley / Merrill Lynch	1,137,338	5.22%
Soltis Investment Advisors	1,593,364	12.15%
University of Utah	7,525,278	9.50%
Restricted Investments	700,491	2.34%
Total	\$ 32,909,398	3.30%

Investment Earnings	Amount
Interest & Dividends	\$ 194,595
Realized Gains (Losses)	230,006
Unrealized Gains (Losses)	624,812
Total	\$ 1,049,413

Investment Earnings



Comparison of Fiscal Year-To-Date Quarterly Earnings





INVESTMENT REPORT

July 1, 2013 to June 30, 2014

	Beginning Market Value July 1st	YTD Realized Income	YTD Unrealized Income	YTD Total Income	Additions / (Sales) / (Withdrawals)	Ending Market Value June	Rate of Return
Cash or Cash Equivalents							
Utah State Inv Pool (PTIF)	20,661,742	130,266	-	130,266	634,700	21,426,708	0.63%
PTIF - Endowment Pool	523,575	2,643	-	2,643	-	526,218	0.50%
Total	21,185,317	132,909	-	132,909	634,700	21,952,926	0.63%
Money Managers							
Morgan Stanley / Merrill Lynch	1,080,909	(6,246)	62,675	56,429	-	1,137,338	5.22%
Solitis Investment Advisors	1,420,772	10,714	161,878	172,592	0	1,593,364	12.15%
University of Utah	6,872,573	243,463	409,243	652,706	-	7,525,278	9.50%
Total	9,374,254	247,931	633,796	881,727	0	10,255,981	9.41%
Restricted Investments							
Morgan Stanley - Bonds	563,800	24,718	(11,114)	13,603	-	577,403	2.41%
Restricted Investments Fund	120,647	311	2,130	2,441	-	123,088	2.02%
Total	684,446	25,029	(8,984)	16,045	-	700,491	2.34%
Grand Total	31,244,018	405,868	624,812	1,030,680	634,700	32,909,398	3.30%



INVESTMENT REPORT

July 1, 2013 to May 31, 2014

	Beginning Market Value July 1st	YTD Realized Income	YTD Unrealized Income	YTD Total Income	Additions / (Sales) / (Withdrawals)	Ending Market Value May	YTD Rate of Return
Cash or Cash Equivalents							
Utah State Inv Pool (PTIF)	20,661,742	120,938	-	120,938	1,892,114	22,674,794	0.59%
PTIF - Endowment Pool	523,575	2,435	-	2,435	-	526,011	0.47%
Total	21,185,317	123,374	-	123,374	1,892,114	23,200,805	0.58%
Money Managers							
Morgan Stanley / Merrill Lynch	1,080,909	(6,935)	57,332	50,397	-	1,131,306	4.66%
Solis Investment Advisors	1,420,772	10,181	130,055	140,235	0	1,561,008	9.87%
University of Utah	6,872,573	182,656	409,243	591,899	-	7,464,472	8.61%
Total	9,374,254	185,902	596,630	782,531	0	10,156,786	8.35%
Restricted Investments							
Morgan Stanley - Bonds	563,800	24,722	(7,867)	16,855	-	580,655	2.99%
Restricted Investments Fund	120,647	291	1,977	2,268	-	122,914	1.88%
Total	684,446	25,013	(5,891)	19,123	-	703,569	2.79%
Grand Total	31,244,018	334,289	590,739	925,027	1,892,114	34,061,159	2.96%



INVESTMENT REPORT

July 1, 2013 to April 30, 2014

	Beginning Market Value July 1st	YTD Realized Income	YTD Unrealized Income	YTD Total Income	Additions / (Sales) / (Withdrawals)	Ending Market Value April	YTD Rate of Return
Cash or Cash Equivalents							
Utah State Inv Pool (PTIF)	20,661,742	110,482	-	110,482	4,873,664	25,645,888	0.53%
PTIF - Endowment Pool	523,575	2,218	-	2,218	-	525,793	0.42%
Total	21,185,317	112,700	-	112,700	4,873,664	26,171,681	0.53%
Money Managers							
Morgan Stanley / Merrill Lynch	1,080,909	(7,543)	48,806	41,263	-	1,122,172	3.82%
Solitis Investment Advisors	1,420,772	9,672	114,987	124,659	0	1,545,431	8.77%
University of Utah	6,872,573	182,630	409,243	591,873	-	7,464,445	8.61%
Total	9,374,254	184,759	573,036	757,794	0	10,132,049	8.08%
Restricted Investments							
Morgan Stanley - Bonds	563,800	24,727	(13,943)	10,785	-	574,584	1.91%
Restricted Investments Fund	120,647	193	1,776	1,969	-	122,615	1.63%
Total	684,446	24,920	(12,167)	12,753	-	697,199	1.86%
Grand Total	31,244,018	322,379	560,869	883,248	4,873,664	37,000,929	2.83%



Memorandum

To: Dixie State University Board of Trustees

From: Bryant Flake, Executive Director of Institutional Planning and Budget

Date: September 12, 2014

Re: 2014-15 Budget Report

Dixie State University has entered the new 2014-15 fiscal year in a strong budgetary position. Institutional revenue and expenditure targets have been consistently met in past years, and the University is well on its way to achieving these goals again in the current year.

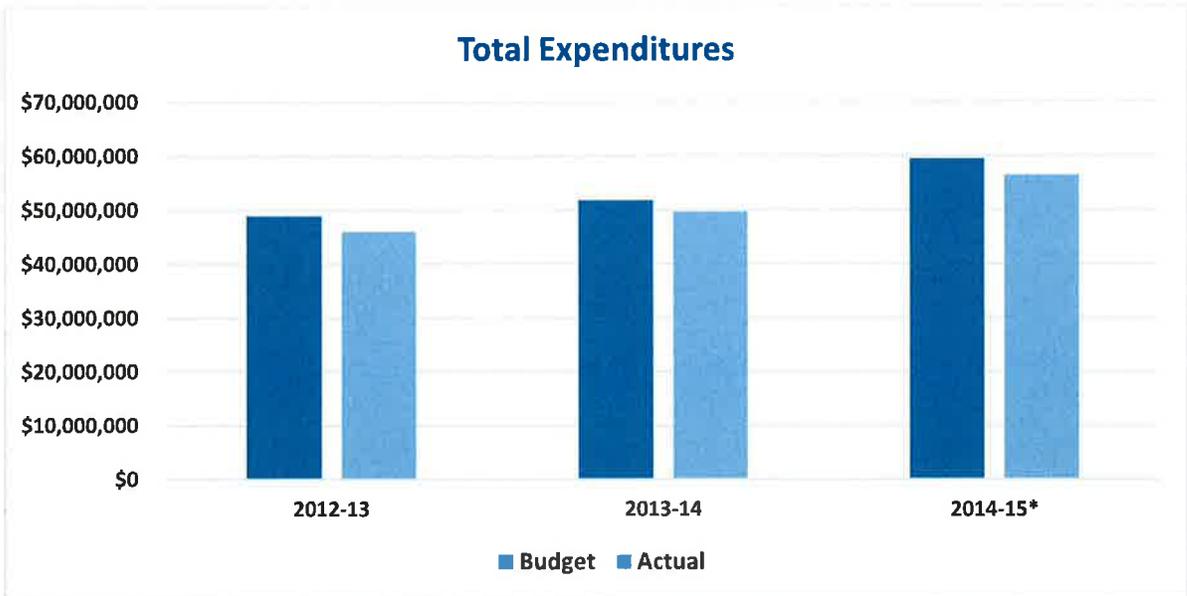
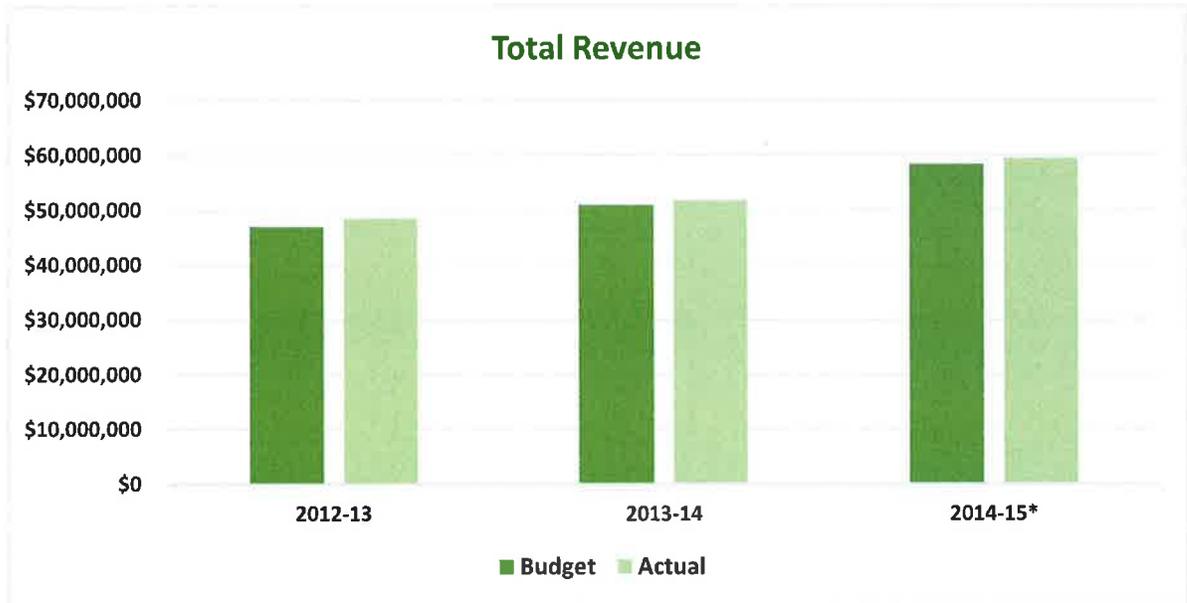
DSU administration takes a conservative approach to budgeting for tuition revenue. Due to an increase in non-resident enrollment, current year tuition revenue is expected to exceed budget, which will provide one-time funding for key institutional and departmental initiatives.

The University also received a significant influx of ongoing state appropriations in the last legislative session. This funding has enabled the institution to address many needs in academic programs and campus infrastructure. A brief summary and comparison of the 2014-15 ongoing budget is listed below:

Funding Source	2013-14	2014-15	Change
State Appropriations	\$25,350,800	\$31,120,700	22.8%
Tuition	\$22,600,800	\$24,375,000	7.9%
Total Ongoing Budget	\$47,951,600	\$55,495,700	15.7%



Dixie State University 2014-15 Budget Report September 12, 2014



*Actual revenue and expenditures projected through end of fiscal year

DIXIE STATE UNIVERSITY

A Component Unit of the State of Utah

Annual Financial Report
For the Year Ended June 30, 2013

Report No. 13-51



**OFFICE OF THE
UTAH STATE AUDITOR**

DIXIE STATE UNIVERSITY
A Component Unit of the State of Utah

Annual Financial Report
For the Year Ended June 30, 2013

Report No. 13-51

AUDIT LEADERSHIP:

Hollie Andrus, CPA, Audit Director
Jason Allen, CPA, CFE, Audit Supervisor

DIXIE STATE UNIVERSITY

ANNUAL FINANCIAL REPORT FOR THE YEAR ENDED JUNE 30, 2013

TABLE OF CONTENTS

	<u>Page</u>
INDEPENDENT STATE AUDITOR'S REPORT	1
MANAGEMENT'S DISCUSSION AND ANALYSIS	3
FINANCIAL STATEMENTS:	
Statement of Net Position	12
Statement of Revenues, Expenses, and Changes in Net Position	13
Statement of Cash Flows	14
Notes to the Financial Statements	16



OFFICE OF THE
UTAH STATE AUDITOR

INDEPENDENT STATE AUDITOR'S REPORT

To the Board of Trustees, Audit Committee
and
Stephen D. Nadauld, President
Dixie State University

REPORT ON THE FINANCIAL STATEMENTS

We have audited the accompanying financial statements of Dixie State University (University) and its discretely presented component unit foundation, as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the University's basic financial statements, as listed in the table of contents. The University is a component unit of the State of Utah.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We did not audit the financial statements of Dixie College Foundation, a discretely presented component unit foundation. Those statements were audited by other auditors whose report has been furnished to us, and our opinion, insofar as it relates to the amounts included for the discretely presented component unit foundation, is based solely on the report of the other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement. The financial statements of the discretely presented component unit foundation were not audited in accordance with *Government Auditing Standards*.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, based on our audit and the report of other auditors, the financial statements referred to above present fairly, in all material respects, the respective financial position of the University and its discretely presented component unit foundation, as of June 30, 2013, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that management's discussion and analysis on pages 3–11 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

OTHER REPORTING REQUIRED BY GOVERNMENT AUDITING STANDARDS

In accordance with *Government Auditing Standards*, we have also issued our report dated March 27, 2014 on our consideration of the University's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the University's internal control over financial reporting and compliance.

Office of the Utah State Auditor

Office of the Utah State Auditor
March 27, 2014

DIXIE STATE UNIVERSITY

MANAGEMENT'S DISCUSSION AND ANALYSIS FOR THE YEAR ENDED JUNE 30, 2013

As management of Dixie State University (the University), we offer readers of the University's financial statements this narrative overview and analysis of the financial activities of the University for the fiscal year ended June 30, 2013. We encourage readers to consider the information presented within this discussion and analysis in conjunction with the additional information that is furnished in our basic financial statements (including the notes to the financial statements).

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to the University's basic financial statements. The University's basic financial statements consist of four components: 1) the Statement of Net Position, 2) the Statement of Revenues, Expenses, and Changes in Net Position, 3) the Statement of Cash Flows, and 4) the Notes to the Financial Statements. This discussion and analysis focuses on the University's primary institution operations. The University's discretely presented component unit, the Dixie College Foundation, issues separately audited financial statements, which can be obtained directly from the Dixie College Foundation's administrative office.

Statement of Net Position: The Statement of Net Position provides information on the University's assets and liabilities at the end of the fiscal year, with the difference between the two reported as net position. The information provided in the Statement of Net Position, along with disclosures and other information contained in the Statement of Revenues, Expenses, and Changes in Net Position; the Statement of Cash Flows; and the accompanying notes, helps users evaluate, among other things, the University's liquidity and its ability to meet its obligations. It is one way of measuring the University's financial health or position. Over time, increases or decreases in the University's net position are an indicator of whether its financial condition is improving or deteriorating. Other non-financial factors are also important to consider, including student enrollment and the condition of the campus buildings.

DIXIE STATE UNIVERSITY

MANAGEMENT'S DISCUSSION AND ANALYSIS FOR THE YEAR ENDED JUNE 30, 2013

The following table summarizes the University's assets, liabilities, and net position at June 30, 2012 and 2013:

Condensed Statement of Net Position

	Year Ended June 30, 2012	Year Ended June 30, 2013	Amount of Increase (Decrease)
Assets			
Current Assets	\$ 27,790,984	\$ 24,617,646	\$ (3,173,338)
Capital Assets, Net	124,554,731	128,856,315	4,301,584
Other Assets	11,830,565	11,936,977	106,412
Total Assets	164,176,280	165,410,938	1,234,658
Liabilities			
Current Liabilities	8,477,774	5,594,407	(2,883,367)
Noncurrent Liabilities	9,768,031	9,149,877	(618,154)
Total Liabilities	18,245,805	14,744,284	(3,501,521)
Net Position			
Net Investment in Capital Assets	114,333,470	119,311,700	4,978,230
Restricted - Nonexpendable	9,483,342	9,201,236	(282,106)
Restricted - Expendable	5,955,319	7,660,035	1,704,716
Unrestricted	16,158,344	14,493,683	(1,664,661)
Total Net Position	\$ 145,930,475	\$ 150,666,654	\$ 4,736,179

Most increases and decreases in assets and liabilities centered on the completion of construction on the Jeffery R. Holland Centennial Commons Building (Holland Building), the Snow Math and Science Center, the ESCO Project, and the Memory Gardens.

Statement of Revenues, Expenses, and Changes in Net Position: The Statement of Revenues, Expenses, and Changes in Net Position provides information to users about the operating performance of the University and the effects of nonoperating transactions on net position. The information in this statement, together with information in the Statement of Net Position, the Statement of Cash Flows, and the accompanying notes, should assist users of the University's financial statements in evaluating the University's performance during the fiscal year. These statements will also show how well management has discharged their stewardship responsibilities and other aspects of their duties.

DIXIE STATE UNIVERSITY

MANAGEMENT'S DISCUSSION AND ANALYSIS FOR THE YEAR ENDED JUNE 30, 2013

The following table summarizes the University's revenues, expenses, and changes in net position for the years ended June 30, 2012 and 2013:

Condensed Statement of Revenues, Expenses, and Changes in Net Position

	<u>Year Ended June 30, 2012</u>	<u>Year Ended June 30, 2013</u>	<u>Amount of Increase (Decrease)</u>
Operating Income			
Operating Revenues	\$ 36,747,141	\$ 37,622,723	875,582
Operating Expenses	<u>81,681,663</u>	<u>85,456,795</u>	<u>3,775,132</u>
Operating Income (Loss)	(44,934,522)	(47,834,072)	(2,899,550)
Nonoperating Revenues			
Nonoperating Revenues	44,988,786	48,319,595	3,330,809
Nonoperating Expenses	<u>619,161</u>	<u>660,099</u>	<u>40,938</u>
Net Nonoperating Revenues	<u>44,369,625</u>	<u>47,659,496</u>	<u>3,289,871</u>
Income (Loss) Before Other Revenues	(564,897)	(174,576)	390,321
Other Revenues	<u>31,180,031</u>	<u>4,910,755</u>	<u>(26,269,276)</u>
Increase (Decrease) in Net Position	30,615,134	4,736,179	(25,878,955)
Net Position – Beginning of Year	<u>115,315,341</u>	<u>145,930,475</u>	<u>30,615,134</u>
Net Position – End of Year	<u>\$ 145,930,475</u>	<u>\$ 150,666,654</u>	<u>\$ 4,736,179</u>

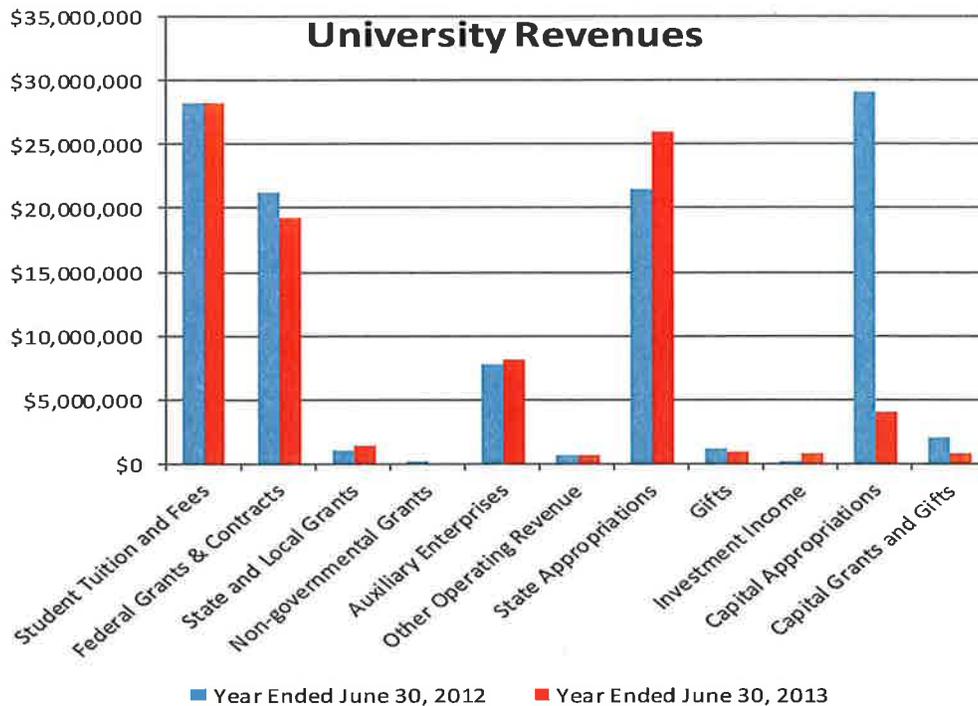
For the year ended June 30, 2013, the University experienced a net operating loss as shown above. In a normal year, an operating loss would be expected since the University receives a majority of its funding from nonoperating revenues. Appropriations, along with grants, investment income, and financial gifts from donors, are classified on the financial statements as nonoperating revenues. During the last two fiscal years, the net nonoperating revenues were not sufficient to cover the operating costs of the University.

DIXIE STATE UNIVERSITY

MANAGEMENT'S DISCUSSION AND ANALYSIS FOR THE YEAR ENDED JUNE 30, 2013

Revenues: The following table and graph summarize the University's revenues for the years ended June 30, 2012 and 2013:

	Year Ended June 30, 2012	Year Ended June 30, 2013	Percent of Total	Amount of Increase (Decrease)	Percentage Increase (Decrease)
Operating Revenues					
Student Tuition and Fees, net	\$ 28,255,154	\$ 28,231,291	75.04%	\$ (23,863)	(0.08%)
Grants and Contracts	73,108	84,055	0.22%	10,947	14.97%
Auxiliary Enterprises, net	7,750,973	8,523,855	22.66%	772,882	9.97%
Other Operating Revenues	667,906	783,522	2.08%	115,616	17.31%
Total Operating Revenues	\$ 36,747,141	\$ 37,622,723	100.00%	\$ 875,582	2.38%
Nonoperating Revenues					
State Appropriations	\$ 21,473,539	\$ 25,963,029	53.73%	\$ 4,489,490	20.91%
Federal Grants	21,251,312	19,190,654	39.72%	(2,060,658)	(9.70%)
State and Local Grants	994,606	1,418,273	2.94%	423,667	42.60%
Private Grants	34,500	-	0.00%	(34,500)	(100.00%)
Gifts	1,114,371	952,888	1.97%	(161,483)	(14.49%)
Investment Income	120,458	794,751	1.64%	674,293	559.77%
Other Nonoperating Revenues	-	-	0.00%	-	0.00%
Total Nonoperating Revenues	\$ 44,988,786	\$ 48,319,595	100.00%	\$ 3,330,809	7.40%
Other Revenues					
Capital Appropriations	\$ 29,160,424	\$ 4,066,391	82.81%	\$ (25,094,033)	(86.06%)
Capital Grants and Gifts	1,957,198	637,218	12.98%	(1,319,980)	(67.44%)
Additions to Permanent Endowments	62,409	207,146	4.22%	144,737	231.92%
Total Other Revenues	\$ 31,180,031	\$ 4,910,755	100.00%	\$ (26,269,276)	(84.25%)



DIXIE STATE UNIVERSITY

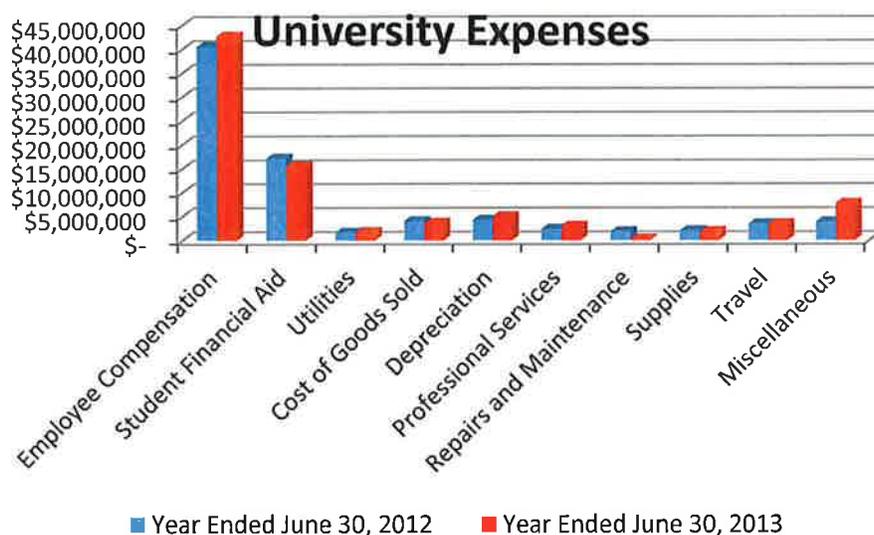
MANAGEMENT'S DISCUSSION AND ANALYSIS FOR THE YEAR ENDED JUNE 30, 2013

Nonoperating and other revenues fluctuate from year to year based on the amount appropriated by the state legislature for ongoing needs and capital projects at the University. These revenues also fluctuate from year to year because of the inconsistent pattern of investment income, gifts given to the University for scholarships, and capital projects.

Nonoperating revenues increased overall when compared to the prior year as shown above. This increase is primarily attributable to state appropriations for the change to university status. Other significant decreases are due to the loss of capital appropriations for the Holland Building that was completed in fiscal year 2012 and due to federal sequester cuts in federal grants and contracts, mostly affecting Pell Grants.

Expenses: The following table and graph summarize the University's operating expenses for the years ended June 30, 2012 and 2013:

	<u>Year Ended June 30, 2012</u>	<u>Year Ended June 30, 2013</u>	<u>Percent of Total</u>	<u>Amount of Increase (Decrease)</u>	<u>Percentage Increase (Decrease)</u>
Operating Expenses					
Employee Compensation	\$ 40,575,773	\$ 42,740,329	50.01%	\$ 2,164,556	5.33%
Student Financial Aid	17,205,483	15,669,829	18.34%	(1,535,654)	(8.93%)
Utilities	1,699,509	1,794,941	2.10%	95,432	5.62%
Cost of Goods Sold	4,084,287	3,700,939	4.33%	(383,348)	(9.39%)
Depreciation	4,341,944	5,033,538	5.89%	691,594	15.93%
Professional Services	2,439,225	3,024,988	3.54%	585,763	24.01%
Repairs and Maintenance	1,888,069	372,143	0.44%	(1,515,926)	(80.29%)
Supplies	2,085,415	1,884,442	2.21%	(200,973)	(9.64%)
Travel	3,465,192	3,497,356	4.09%	32,164	0.93%
Miscellaneous	3,896,766	7,738,290	9.06%	3,841,524	98.58%
Total Operating Expenses	\$ 81,681,663	\$ 85,456,795	100.00%	3,775,132	4.62%



DIXIE STATE UNIVERSITY

MANAGEMENT'S DISCUSSION AND ANALYSIS FOR THE YEAR ENDED JUNE 30, 2013

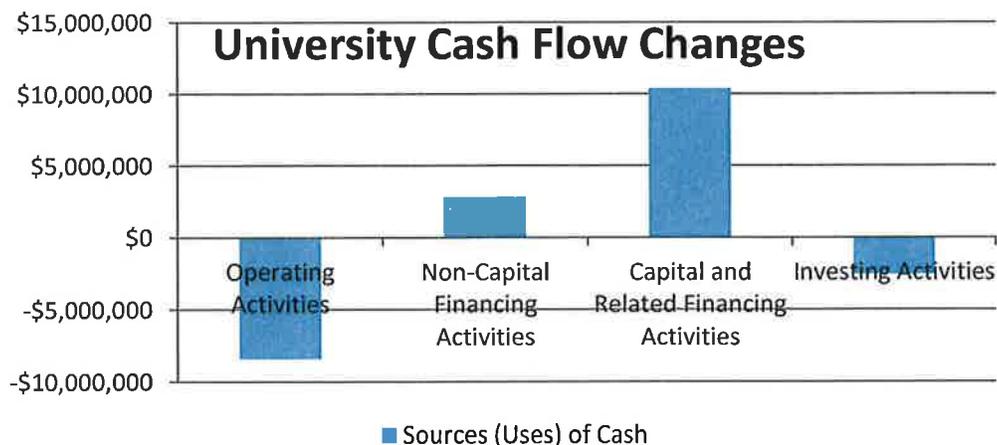
Operating expenses increased overall when compared to the prior year as shown above. As the chart above shows, the majority of this increase is a reflection of an increase of salary and benefits for faculty and staff, with a decrease in student financial aid resulting from the federal sequestration. Miscellaneous expenses increased due to the reductions in reimbursements from the State Division of Facilities Construction and Management for the Holland Building construction. Without these reimbursements, the miscellaneous expenses returned to pre-Holland Building levels.

Statement of Cash Flows: The Statement of Cash Flows provides information about the cash receipts and cash payments of the University during the fiscal year. When used with related disclosures and information in other financial statements, the Statement of Cash Flows should help financial statement report users assess the University's ability to generate future net cash flows and its ability to meet its obligations as they come due. The Statement of Cash Flows will also provide reasons for differences between operating income and the associated cash receipts/payments, as well as the effects on the University's financial position for cash and non-cash investing, capital, and financing transactions during the fiscal year.

The following table and graph summarize the University's cash flows for the years ended June 30, 2012 and 2013:

Condensed Statement of Cash Flows

	<u>Year Ended June 30, 2012</u>	<u>Year Ended June 30, 2013</u>	<u>Amount of Increase (Decrease)</u>	<u>Percentage of Change in Inflows (Outflows)</u>
Cash Provided (Used) by:				
Operating Activities	\$ (37,697,126)	\$ (46,108,127)	\$ (8,411,001)	22.31%
Noncapital Financing Activities	45,123,734	47,947,079	2,823,345	6.26%
Capital and Related Financing Activities	(12,679,826)	(2,305,670)	10,374,156	(81.82%)
Investing Activities	3,185,170	639,790	(2,545,380)	(79.91%)
Net Increase (Decrease) in Cash and Cash Equivalents	(2,068,048)	173,072	2,241,120	(108.37%)
Cash and Cash Equivalents – Beginning of Year	25,086,342	23,018,294	(2,068,048)	
Cash and Cash Equivalents – End of Year	\$ 23,018,294	\$ 23,191,366	\$ 173,072	



DIXIE STATE UNIVERSITY

MANAGEMENT'S DISCUSSION AND ANALYSIS FOR THE YEAR ENDED JUNE 30, 2013

The University's overall liquidity increased from the previous year. The increase in funds used for operating activities resulted from the hiring of additional faculty to meet student demand. Cash provided by capital and related financing activities decreased due to the completion of the Holland Building and other projects. The decrease in cash provided by investing activities was a result investment funding used for the Holland Building.

Capital Assets and Debt Administration

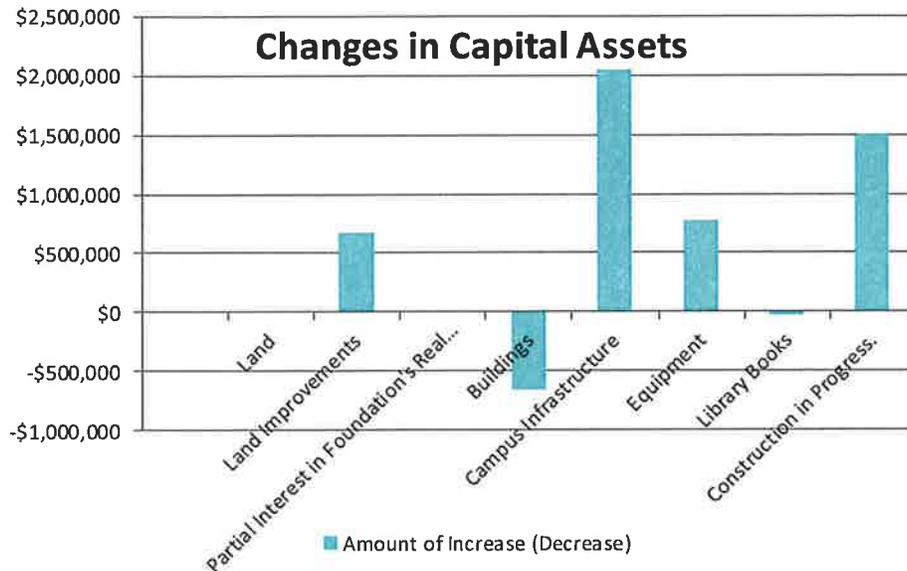
Capital Assets: Investment in capital assets includes land, partial interest in Foundation's real property, land improvements, buildings, equipment, library books, and construction in progress. The land improvements increase is due to the completion of the Centennial Plaza. The increase in campus infrastructure is due to Heating Plant upgrades and the ESCO project completion. The increase in construction in progress is due to the remodel of the Snow Science Center, the Memory Gardens and the Holland Teardrop projects. Additional information about the University's capital assets can be found in Note 5 to the financial statements.

The following table and graph summarize the University's capital assets at June 30, 2012 and 2013:

Capital Assets, Net	Year Ended June 30, 2012	Year Ended June 30, 2013	Amount of Increase (Decrease)	Percent of Increase (Decrease)
Land	\$ 11,459,487	\$ 11,459,487	\$ -	0.00%
Land Improvements	5,191,073	5,856,233	665,160	12.81%
Partial Interest in Foundation's Real Property	422,785	422,785	-	0.00%
Buildings	97,912,324	97,257,279	(655,045)	(0.67%)
Building Improvements	1,333,285	3,380,591	2,047,306	153.55%
Equipment	4,564,194	5,330,232	766,038	16.78%
Library Books	1,167,178	1,142,738	(24,440)	(2.09%)
Construction in Progress	2,504,405	4,006,970	1,502,565	60.00%
Total Capital Assets, Net	\$124,554,731	\$ 128,856,315	\$ 4,301,584	3.45%

DIXIE STATE UNIVERSITY

MANAGEMENT'S DISCUSSION AND ANALYSIS FOR THE YEAR ENDED JUNE 30, 2013



Notes, Contracts, and Capital Leases:

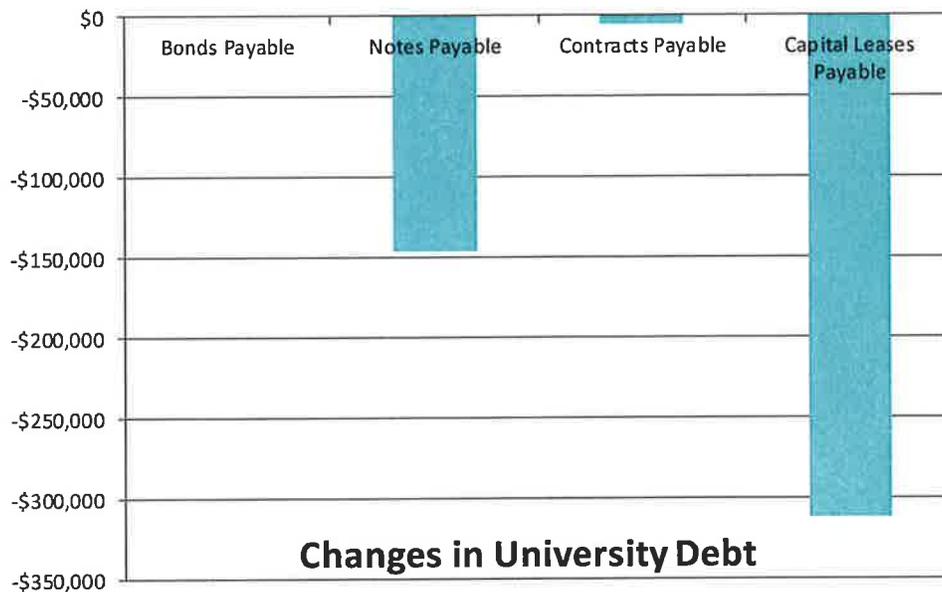
The University debt decreased for fiscal year 2013. This was due to normal activity from the payment on the loans. Additional information related to the University's liabilities is presented in Notes 6, 7, and 8 to the financial statements.

The following table and graph summarize outstanding University debt at June 30, 2012 and 2013:

	<u>Year Ended June 30, 2012</u>	<u>Year Ended June 30, 2013</u>	<u>Amount of Increase (Decrease)</u>	<u>Percentage of Increase (Decrease)</u>
University Debt				
Bonds Payable	\$ -		\$ -	0.00%
Notes Payable	1,809,585	1,662,771	(146,814)	(8.11%)
Contracts Payable	5,104	-	(5,104)	(100.00%)
Capital Leases Payable	8,194,128	7,881,844	(312,284)	(3.81%)
Total Debt	<u>\$ 10,008,817</u>	<u>\$ 9,544,615</u>	<u>\$ (464,202)</u>	<u>(4.64%)</u>

DIXIE STATE UNIVERSITY

MANAGEMENT'S DISCUSSION AND ANALYSIS FOR THE YEAR ENDED JUNE 30, 2013



Economic Outlook

Utah's economy is recovering, like the other states in the nation, from the turmoil that occurred in the financial markets several years ago. Due to this and the ability of the school to offer bachelor's degrees, the University has grown by over 60% since fiscal year 2008. This growth has fueled the expansion of the University degree offerings which is, in turn, fueling more growth.

The St. George area is experiencing growth again, which will place downward pressure on University enrollment. The Church of Jesus Christ of Latter-Day Saints' decision to allow young men and women to go on church missions at an earlier age is also having a temporary negative impact on enrollment. Despite these issues, the change to a University status and the growth in the St. George area will continue to propel growth at the University. Management has a positive outlook of their current strategic plans for future growth.

Requests for Information

This financial report is designed to provide a general overview of the University's finances. The report is for all those with an interest in the University's finances and to show the University's accountability for the money it receives. Questions concerning any of the information provided in this report or requests for additional financial information should be addressed to Dixie State University, Business Services, 225 South 700 East, Saint George, Utah 84770.

DIXIE STATE UNIVERSITY
STATEMENT OF NET POSITION
AS OF JUNE 30, 2013

	<u>Primary Institution</u>	<u>Component Unit Foundation</u>
ASSETS		
Current Assets		
Cash and Cash Equivalents (Note 2)	\$ 21,602,109	\$ 928,660
Investments (Note 2)	318,734	3,494,386
Accounts and Notes Receivable, Net (Note 3)	1,004,550	1,171
Accounts Receivable - Primary Government/Related Parties (Note 3)	285,453	28,263
Inventories (Note 4)	1,065,145	-
Student Loans Receivable, Net (Note 3)	334,413	-
Prepaid Expenses	7,242	-
Total Current Assets	<u>24,617,646</u>	<u>4,452,480</u>
Noncurrent Assets		
Restricted Cash and Cash Equivalents (Note 2)	1,589,257	214,002
Investments (Note 2)	8,674,285	8,600,857
Accounts and Notes Receivable, Net (Note 3)	300,160	240,635
Student Loans Receivable, Net (Note 3)	1,373,275	-
Capital Assets, Net (Note 5)	128,856,315	5,145,411
Total Noncurrent Assets	<u>140,793,292</u>	<u>14,200,905</u>
Total Assets	<u>165,410,938</u>	<u>18,653,385</u>
LIABILITIES		
Current Liabilities		
Accounts Payable (Note 8)	1,575,315	9,193
Accounts Payable - Primary Government/Related Parties	714,917	-
Accrued Liabilities (Note 8)	751,096	-
Compensated Absences and Termination Benefits (Notes 8 through 10)	882,050	-
Deposits	327,919	-
Unearned Revenue	599,625	-
Notes, Contracts and Capital Leases Payable (Notes 6 and 8)	743,485	460,375
Total Current Liabilities	<u>5,594,407</u>	<u>469,568</u>
Noncurrent Liabilities		
Compensated Absences and Termination Benefits (Notes 8 through 10)	348,747	-
Notes, Contracts, and Capital Leases Payable (Notes 6 and 8)	8,801,130	6,110,740
Total Noncurrent Liabilities	<u>9,149,877</u>	<u>6,110,740</u>
Total Liabilities	<u>14,744,284</u>	<u>6,580,308</u>
NET POSITION		
Net Investment in Capital Assets	119,311,700	-
Restricted For		
Nonexpendable		
Scholarships and Fellowships	8,887,669	-
Other	313,567	-
Foundation	-	8,600,857
Expendable		
Scholarships and Fellowships	1,587,017	-
Loans	1,931,180	-
Capital Projects	2,205,854	-
Debt Service	385,180	-
Other	1,550,804	-
Foundation	-	3,247,437
Unrestricted	<u>14,493,683</u>	<u>224,783</u>
Total Net Position	<u>\$ 150,666,654</u>	<u>\$ 12,073,077</u>

The accompanying notes are an integral part of these financial statements.

DIXIE STATE UNIVERSITY
STATEMENT OF REVENUES, EXPENSES, AND CHANGES IN NET POSITION
AS OF JUNE 30, 2013

	Primary Institution	Component Unit Foundation
OPERATING REVENUES		
Student Tuition and Fees, net (Note 1)	\$ 28,231,291	\$ -
Federal Grants and Contracts	63,992	-
Auxiliary Enterprises, net (Note 1)	8,523,855	473,771
State and Local Grants and Contracts	20,063	-
Foundation Contributions Received	-	490,040
Other Operating Revenues	783,522	4,124
Total Operating Revenues	37,622,723	967,935
OPERATING EXPENSES		
Salaries and Wages	31,869,638	-
Employee Benefits	10,870,691	-
Student Financial Aid	15,669,829	-
Donation to the College	-	414,455
Utilities	1,794,941	-
Cost of Goods Sold	3,700,939	-
Depreciation	5,033,538	-
Other Operating Expenses	16,517,219	717,864
Total Operating Expenses	85,456,795	1,132,319
Operating Income (Loss)	(47,834,072)	(164,384)
NONOPERATING REVENUES (EXPENSES)		
State Appropriations	25,963,029	-
Federal Grants	19,190,654	-
State Grants	1,308,273	-
Local Grants	110,000	-
Gifts	952,888	-
Investment Income	794,751	1,469,648
Interest on Capital Asset Related Debt	(435,715)	-
Other Nonoperating Revenues (Expenses)	(224,384)	(224,301)
Net Nonoperating Revenues (Expenses)	47,659,496	1,245,347
Income (Loss) Before Other Revenues	(174,576)	1,080,963
OTHER REVENUES (EXPENSES)		
Capital Appropriations	4,066,391	-
Capital Grants and Gifts	637,218	-
Additions to Permanent Endowments	207,146	840,307
Total Other Revenues (Expenses)	4,910,755	840,307
Increase (Decrease) in Net Position	4,736,179	1,921,270
Net Position – Beginning of Year	145,930,475	10,151,807
Net Position – End of Year	\$ 150,666,654	\$ 12,073,077

The accompanying notes are an integral part of these financial statements.

DIXIE STATE UNIVERSITY
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2013

	<u>Primary Institution</u>
CASH FLOWS FROM OPERATING ACTIVITIES	
Receipts from Tuition and Fees	\$ 27,678,597
Receipts from Grants and Contracts	84,055
Receipts from Auxiliary Enterprises	9,401,942
Payments for Compensation and Benefits	(42,732,033)
Payments to Vendors and Suppliers	(24,826,463)
Payments for Scholarships and Fellowships	(15,763,190)
Receipt of Student Loan Proceeds	2,083
Loans Issued to Students	(189,058)
Collection of Loans to Students	<u>235,940</u>
Net Cash Provided (Used) by Operating Activities	<u>(46,108,127)</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES	
State Appropriations	25,963,029
Receipts from State Grants	1,308,273
Receipts from Federal Student Financial Aid	19,190,654
Receipts from Other Grants	37,607
Receipts from Gifts and Endowments	1,098,017
Receipts from William D. Ford National Direct Student Loan	25,349,504
Payments to William D. Ford National Direct Student Loan	(25,314,177)
Other Receipts (Payments)	<u>314,172</u>
Net Cash Provided (Used) by Noncapital Financing Activities	<u>47,947,079</u>
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES	
Receipts from Capital Grants and Gifts	595,594
Proceeds from Capital Debt Issued	272,502
Proceeds from Sale of Capital Assets	13,691
Acquisition and Construction of Capital Assets	(2,010,100)
Principal Paid on Capital Debt and Leases	(736,703)
Interest Paid on Capital Debt and Leases	<u>(440,654)</u>
Net Cash Provided (Used) by Capital and Related Financing Activities	<u>(2,305,670)</u>
CASH FLOWS FROM INVESTING ACTIVITIES	
Proceeds from Sales and Maturities of Investments	512,603
Investment Income	480,730
Purchase of Investments and Related Fees	<u>(353,543)</u>
Net Cash Provided (Used) by Investing Activities	<u>639,790</u>
Net Increase (Decrease) in Cash and Cash Equivalents	173,072
Cash and Cash Equivalents – Beginning of Year	<u>23,018,294</u>
Cash and Cash Equivalents – End of Year	<u>\$ 23,191,366</u>

(continued)

DIXIE STATE UNIVERSITY
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2013

(continued)

	<u>Primary Institution</u>
RECONCILIATION OF OPERATING INCOME (LOSS) TO NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES	
Operating Income (Loss)	\$ (47,834,072)
Adjustments to Reconcile Operating Income (Loss) to Net Cash Provided (Used) by Operating Activities:	
Depreciation Expense	5,033,538
Provision for Uncollectible Loans and Writeoffs	70,935
Changes in Assets and Liabilities:	
Receivables, Net	(308,583)
Inventories	120,732
Prepaid Items	2,301
Notes Receivable, Net	(44,396)
Accounts Payable and Accrued Liabilities	(1,214,388)
Accounts Receivable - Primary Government	(1,914,967)
Unearned Revenue	(149,546)
Compensated Absences	130,319
Total Adjustments	<u>1,725,945</u>
Net Cash Provided (Used) by Operating Activities	<u>\$ (46,108,127)</u>
NONCASH INVESTING, CAPITAL, AND FINANCING ACTIVITIES	
Completed Construction Project Transferred from the State of Utah	\$ 3,250,499
Assets Acquired Through a Gift	(41,624)
Change in Fair Value of Investments	(289,551)
Capital Asset Write-Offs	162,581
Total Noncash Investing, Capital, and Financing Activities	<u>\$ 3,081,905</u>

The accompanying notes are an integral part of these financial statements.

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Dixie State University (University), located in Saint George, Utah, was established in 1911 as Saint George Academy and became known as Dixie Academy. Originally operated by The Church of Jesus Christ of Latter-day Saints, the College was turned over to the State of Utah in 1933. It was known officially as Dixie Junior College until 1971 when the Utah State Legislature changed the name to Dixie College. In January 2000, the name was changed to Dixie State College when it became eligible to offer four-year degree programs. In February of 2013, the name was changed again to Dixie State University, which is a component unit of the State of Utah and receives state appropriations each year.

The University offers baccalaureate programs in selected high demand areas and in core or foundational areas consistent with four-year universities. It also partners with other institutions in the Utah System of Higher Education in ensuring that quality graduate programs are available to Washington County residents.

The University is committed to accountability and creativity in delivering quality higher educational opportunities within its service area. The University is a cooperative and conscientious partner with other public and higher education institutions, responsibly using public funds to meet the state's needs.

Reporting Entity

The University has included in the financial statements all restricted and unrestricted funds, including all auxiliary enterprises. The University has considered all potential component units for which the University is financially accountable and other organizations for which the nature and significance of their relationship with the University are such that exclusion would cause the University's financial statements to be misleading or incomplete.

The Dixie College Foundation (Foundation) is a separate but affiliated self-sustaining not-for-profit organization. Because the Foundation was established to receive gifts for the benefit of the University, the Foundation is included in the University's audited financial statements under the heading "Component Unit Foundation." Independent auditors have audited the Foundation and a separate report has been issued thereon and is available at the Foundation's administrative office. The Foundation is not subject to income taxes under Section 501(c)(3) of the Internal Revenue Code.

Basis of Accounting

For financial reporting purposes, the University is considered a special-purpose government engaged only in business-type activities. Accordingly, the University's financial statements have been presented using the economic resources measurement focus and the accrual basis of accounting. Under the accrual basis, revenues are recognized when earned, and expenses

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

are recorded when an obligation has been incurred. All significant intra-agency transactions have been eliminated.

The Foundation follows FASB pronouncements and has adopted Statement of Financial Accounting Standards (SFAS) No. 117, Financial Statements of Not-for-Profit Organizations. As such, certain revenue recognition criteria and presentation features are different from GASB revenue recognition criteria and presentation features. No modifications have been made to the component unit's financial information included in the University's financial report.

Basis of Presentation

Cash and Investments – Cash from all funds is deposited into one account except when legal requirements dictate the use of separate accounts. University and Foundation investments are carried at fair value. The University distributes earnings from pooled investments based on the average monthly investment of each participating account. Foundation real estate investments consist of donations that are reported at the lower of current fair value or the fair value at the time of donation. The University considers all highly liquid investments purchased with an original maturity of three months or less to be cash equivalents. This includes repurchase agreements and the Utah Public Treasurers' Investment Fund for the University. For the Foundation, cash equivalents include certificates of deposit and money market funds held by equity managers.

Accounts Receivable – Accounts receivable consist of tuition and fee charges to students and to auxiliary enterprise services provided to students, faculty, and staff. Accounts receivable also include amounts due from the federal, state, and local governments, or private sources, in connection with reimbursement of allowable expenditures made pursuant to the University's grants and contracts. Accounts receivable are recorded net of estimated uncollectible amounts.

Inventories – The value of the University bookstore inventory is recorded at average cost using the retail inventory method. All other inventory values are determined by using the lower of cost (first-in, first-out) or market.

Prepaid Expenses – Prepaid expenses consist of products or services that have been paid for and not received.

Capital Assets – Property, plant, and equipment are stated at cost as of the date of acquisition or fair value at date of donation in the case of gifts. For equipment, the University's capitalization policy includes all items with a unit cost of \$3,000 or more and an estimated useful life greater than one year. Buildings costing \$20,000 or more are capitalized, as are improvements to buildings costing \$20,000 or more that extend the useful life and land improvements that cost \$20,000 or more. The capitalization of other capital assets is

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

determined at acquisition based on guidelines developed by the Utah State Division of Finance, as adopted by the Utah State Board of Regents. Routine repairs and maintenance are charged to operating expense in the year in which the expense was incurred. Depreciation on property, plant, and equipment is recorded based on the depreciation conventions adopted by the State of Utah over the estimated useful lives of the assets: 40 years for buildings, up to 30 years for building improvements, 5 to 20 years for land improvements, 20 years for library books, and 3 to 20 years for equipment.

Accrued Compensated Absences – Employees' compensated absences are accrued when earned. The liability and expense incurred are recorded at year-end as accrued compensated absences in the Statement of Net Position and as components of salaries and benefit expenses in the Statement of Revenues, Expenses, and Changes in Net Position. University policy with regard to vacation and sick leave is that (1) unused vacation may be accrued to the extent of thirty days; and (2) sick leave may be accumulated at the rate of one day per month. However, regardless of the accumulated amount, when an employee terminates, there is no reimbursement for unused sick leave. Sick leave, when taken, is paid at the employee's current rate of pay in the sense that the employee's salary continues as if at work. Thus, no liability exists for sick leave earned in prior periods.

Unearned Revenue – Unearned revenue consists of amounts received for tuition and fees and certain auxiliary activities prior to the end of the fiscal year but related to the subsequent accounting period.

Noncurrent Liabilities – Noncurrent liabilities include (1) principal amounts of contracts payable and capital lease obligations with contractual maturities greater than one year; and (2) estimated amounts for accrued compensated absences and other liabilities that will not be paid within the next fiscal year.

Gifts – The University follows the practice of recording gifts when all eligibility requirements imposed by the provider have been met.

Net Position – The University's net position is classified as follows:

Net investment in capital assets – This represents the University's total investment in capital assets, net of outstanding debt obligations related to capital assets. To the extent debt has been incurred but not yet expended for capital assets, such amounts are not included as a component of net investment in capital assets.

Restricted net position, nonexpendable – Nonexpendable restricted net position consists of endowment and similar type funds in which donors or other outside sources have stipulated, as a condition of the gift instrument, that the principal is to be maintained inviolate and in perpetuity and invested for the purpose of producing present and future income, which may either be expended or added to principal.

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

Restricted net position, expendable – Restricted expendable net position includes resources in which the University is legally or contractually obligated to spend in accordance with restrictions imposed by external third parties.

Unrestricted net position – Unrestricted net position represents resources derived from student tuition and fees, state appropriations, and sales and services of educational departments and auxiliary enterprises. These resources are used for transactions relating to the educational and general operations of the University and may be used at the discretion of the governing board to meet current expenses for any purpose. These resources also include auxiliary enterprises, which are substantially self-supporting activities that provide services for students, faculty, and staff.

When an expense is incurred that can be paid using either restricted or unrestricted resources, the policy of the University is to apply the expense first towards allowed and appropriate restricted resources and then towards unrestricted resources.

Classification of Revenues and Expenses – Operating activities include all revenues and expenses that have the characteristics of exchange transactions. A large portion of the University's recurring revenue is defined as nonoperating by GASB No. 9, *Reporting Cash Flows of Proprietary and Nonexpendable Trust Funds and Governmental Entities That Use Proprietary Fund Accounting*, and GASB No. 34, *Basic Financial Statements and Management Discussion and Analysis for State and Local Governments*.

Operating revenues include student tuition and fees, grants and contracts, and revenue from auxiliary enterprises. Nonoperating revenues include state appropriations, grants, gifts, and investment income. Operating expenses include salaries, wages, benefits, student aid, supplies, utilities, repairs and maintenance, etc. Nonoperating expenses are expenses that do not meet the definition of operating expenses or other expenses. Primarily nonoperating expenses include interest payments on debt obligations.

Scholarship Allowances – Tuition, fees, and certain other student revenues are reported net of scholarship allowances in the Statement of Revenues, Expenses, and Changes in Net Position. Scholarship allowances are the difference between the stated charge for goods and services provided by the University and the amount that is paid by students and/or third parties making payments on the students' behalf. Certain governmental grants, such as Pell grants and other federal, state, or nongovernmental programs, are recorded as either operating or nonoperating revenues in the University's financial statements. To the extent that revenues from such programs are used to satisfy tuition and fees and other student charges, the University has recorded a scholarship allowance of \$9,099,428 against student tuition and fees and an allowance of \$50,423 against auxiliary enterprises revenue.

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

Tax Status – As a State institution of higher education, the income of the University is generally exempt from federal and state income taxes under Section 115(a) of the Internal Revenue Code; however, income generated from activities unrelated to the University's exempt purpose is subject to income taxes under Internal Revenue Code Section 511(a)(2)(B).

NOTE 2. DEPOSITS AND INVESTMENTS PORTFOLIO

The State of Utah Money Management Council has the responsibility to advise the State Treasurer about investment policies, promote measures and rules that will assist in strengthening the banking and credit structure of the State, and review the rules adopted under the authority of the State of Utah Money Management Act (*Utah Code*, Title 51, Chapter 7) that relate to the deposit and investment of public funds.

Except for endowment funds, the University follows the requirements of the Money Management Act in handling its depository and investment transactions. The Act requires the depositing of University funds in a qualified depository. The Act defines a qualified depository as any financial institution whose deposits are insured by an agency of the Federal Government and which has been certified by the State Commissioner of Financial Institutions as meeting the requirements of the Act and adhering to the rules of the Money Management Council.

For endowment funds, the entity follows the requirements of the Uniform Prudent Management of Institutional Funds Act (UPMIFA) (*Utah Code*, Title 51, Chapter 8) and State Board of Regents Rule 541, *Management and Reporting of Institutional Investments* (Rule 541).

Deposits – University

Custodial Credit Risk

Custodial credit risk is the risk that, in the event of a bank failure, the University's deposits may not be returned to it. The University does not have a formal deposit policy for custodial credit risk. As of June 30, 2013, \$1,162,669 of the University's bank balance was uninsured and uncollateralized.

Investments – University

The Money Management Act defines the types of securities authorized as appropriate investments for the University's nonendowment funds and the conditions for making investment transactions. Investment transactions may be conducted only through qualified depositories, certified dealers, or directly with issuers of the investment securities.

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

Statutes authorize the University to invest in negotiable or nonnegotiable deposits of qualified depositories and permitted negotiable depositories; repurchase and reverse repurchase agreements; commercial paper that is classified as “first tier” by two nationally recognized statistical rating organizations; bankers’ acceptances; obligations of the United States Treasury including bills, notes, and bonds; obligations, other than mortgage derivative products, issued by U.S. Government sponsored enterprises (U.S. agencies) such as the Federal Home Loan Bank System, Federal Home Loan Mortgage Corporation (Freddie Mac), Federal National Mortgage Association (Fannie Mae), and Student Loan Marketing Association (Sallie Mae); bonds, notes, and other evidence of indebtedness of political subdivisions of the State; fixed rate corporate obligations and variable rate securities rated “A” or higher, or the equivalent of “A” or higher, by two nationally recognized statistical rating organizations; shares or certificates in a money market mutual fund as defined in the Money Management Act; and the Utah State Public Treasurers’ Investment Fund (PTIF).

The UPMIFA and Rule 541 allow the University to invest endowment funds (including gifts, devises, or bequests of property of any kind from any source) in any of the above investments or any of the following, subject to satisfying certain criteria: mutual funds registered with the Securities and Exchange Commission, investments sponsored by the Common Fund; any investment made in accordance with the donor’s directions in a written instrument; investments in corporate stock listed on a major exchange (direct ownership); and any alternative investment funds that derive returns primarily from high yield and distressed debt (hedged or non-hedged), private capital (including venture capital and private equity), natural resources, and private real estate assets or absolute return and long/short hedge funds.

The Utah State Treasurer’s Office operates the PTIF. The PTIF is available for investment of funds administered by any Utah public treasurer.

The PTIF is not registered with the SEC as an investment company. The PTIF is authorized and regulated by the Money Management Act. The Act established the Money Management Council which oversees the activities of the State Treasurer and the PTIF and details the types of authorized investments. Deposits in the PTIF are not insured or otherwise guaranteed by the State of Utah, and participants share proportionally in any realized gains or losses on investments.

The PTIF operates and reports to participants on an amortized cost basis. The income, gains, and losses, net of administration fees, of the PTIF are allocated based upon the participant’s average daily balance. The fair value of the PTIF investment pool is approximately equal to the value of the pool shares.

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

As of June 30, 2013, the University had the following investments and maturities:

Investment Type	Fair Value	Investment Maturities (in Years)			
		Less than 1	1-5	6-10	More than 10
Utah Public Treasurers' Investment Fund	\$ 21,185,317	\$ 21,185,317	\$ -	\$ -	\$ -
Money Market Mutual Funds	667,771	667,771	-	-	-
U.S. Treasuries	515,262	-	20,170	423,498	71,594
Mutual Funds, Bonds, and Corporation Debt	497,590	-	497,590	-	-
Totals	<u>\$ 22,865,940</u>	<u>\$ 21,853,088</u>	<u>\$ 517,760</u>	<u>\$ 423,498</u>	<u>\$ 71,594</u>

Interest Rate Risk

Interest rate risk is the risk that changes in interest rates will adversely affect the fair value of an investment. The University's policy for managing its exposure to fair value loss arising from increasing interest rates is to comply with the Money Management Act or the UPMIFA and Rule 541, as applicable. For non-endowment funds, Section 51-7-11 of the Money Management Act requires that the remaining term to maturity of investments may not exceed the period of availability of the funds to be invested. The Act further limits the remaining term to maturity on all investments in commercial paper, bankers' acceptances, fixed rate negotiable deposits, and fixed rate corporate obligations to 270 days – 15 months or less. In addition, variable rate negotiable deposits and variable rate securities may not have a remaining term to final maturity exceeding three years. For endowment funds, Rule 541 is more general, requiring only that investments be made as a prudent investor would, by considering the purposes, terms, distribution requirements, and other circumstances of the endowments and by exercising reasonable care, skill, and caution.

Credit Risk

Credit risk is the risk that an issuer or other counterparty to an investment will not fulfill its obligations. The University's policy for reducing its exposure to credit risk is to comply with the Money Management Act, the UPMIFA, and Rule 541, as previously discussed.

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

At June 30, 2013, the University had the following investments and quality ratings:

<u>Investment Type</u>	<u>Fair Value</u>	<u>Quality Ratings</u>			
		<u>BBB</u>	<u>BB</u>	<u>Unrated</u>	<u>Not Applicable</u>
Utah Public Treasurers' Investment Fund	\$ 21,185,317	\$ -	\$ -	\$ 21,185,317	\$ -
Money Market Mutual Funds	667,771	-	-	667,771	-
U.S. Treasuries	515,262	-	-	-	515,262
Mutual Funds, Bonds, and Corporate Debt	<u>497,590</u>	<u>70,212</u>	<u>287,240</u>	<u>140,138</u>	<u>-</u>
Totals	<u>\$ 22,865,940</u>	<u>\$ 70,212</u>	<u>\$ 287,240</u>	<u>\$ 21,993,226</u>	<u>\$ 515,262</u>

Concentration of Credit Risk

Concentration of credit risk is the risk of loss attributed to the magnitude of a government's investment in a single issuer. The University's policy for reducing this risk of loss is to comply with the Rules of the Money Management Council or the UPMIFA and Rule 541, as applicable. Rule 17 of the Money Management Council limits non-endowment fund investments in a single issuer of commercial paper and corporate obligations to 5-10% depending upon the total dollar amount held in the portfolio. For endowment funds, Rule 541 requires that a minimum of 25% of the overall endowment portfolio be invested in fixed income or cash equivalents. Also, the overall endowment portfolio cannot consist of more than 75% equity investments. Rule 541 also limits investments in alternative investment funds, as allowed by Rule 541, to between 0% and 30% based on the size of the University's endowment fund.

Custodial Credit Risk

For an investment, custodial credit risk is the risk that, in the event of the failure of the counterparty, the University will not be able to recover the value of its investments or collateral securities that are in the possession of an outside party. The University does not have a formal policy for custodial credit risk. At June 30, 2013, the University had \$314,543 in alternative investments, which were held by the counterparty's trust department and not in the University's name.

Endowment Funds

According to UPMIFA, the governing board may appropriate for expenditure for the purposes for which an endowment is established, as much of the net appreciation, realized and unrealized, of the fair value of the assets of an endowment over the historical dollar

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

value as is prudent under the facts and circumstances prevailing at the time of the action or decision.

The endowment income spending policy of the University is to allocate 4% of a three-year moving average of the market value of the endowment pool. The spending policy is reviewed periodically and any necessary changes are made.

The amount of net appreciation on investments of donor-restricted endowments that were available for authorization for expenditure at June 30, 2013 was \$754,466. The net appreciation is a component of restricted expendable net position.

Cash and Cash Equivalents – Foundation

At June 30, 2013, the Foundation had the following cash and cash equivalents:

	<u>Balance</u>
Cash on Hand	\$ 62
Cash in Bank	838,380
Certificate of Deposit	55,000
Money Market Funds (Held by Equity Managers)	<u>35,218</u>
Total	<u>\$ 928,660</u>

Cash in Bank is insured by the Federal Deposit Insurance Corporation (FDIC) except for \$415,109 which was uninsured as of June 30, 2013. Money Market Funds are covered against broker theft by the Securities Investors Protection Corporation. Cash and cash equivalents have the general characteristics of demand deposits so that funds may be withdrawn at any time without prior notice.

Credit Risks – Foundation

Investments with a market value of \$12,095,243 represent a risk factor subject to loss due to the volatility of the stock and bond markets.

Investments – Foundation

Investments are carried at market value on the Foundation's financial statements. The resultant cumulative net unrealized gain at June 30, 2013, was \$1,212,864 of which \$807,242 is the current year's unrealized gain.

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

The amortized cost and estimated market value of investments at June 30, 2013 are as follows:

<u>Investments</u>	<u>Amortized Cost</u>	<u>Gross Unrealized Gains</u>	<u>Gross Unrealized Losses</u>	<u>Estimated Market Value</u>
Alternative Funds	\$ 984,597	\$ -	\$ (64,172)	\$ 920,425
Fixed Income Bond Funds	2,130,854	-	(10,290)	2,120,564
Obligations of U.S. Government – Corporations and Agencies	788,153	-	(24,302)	763,851
Corporate Securities – Domestic	4,966,613	1,131,853		6,098,466
Corporate Securities – International	2,008,469	179,775		2,188,244
Other	-	-	-	3,693
Total	<u>\$ 10,878,686</u>	1,311,628	<u>\$ (98,764)</u>	12,095,243
Less Losses		(98,764)		
Total Cumulative Net Gain (Loss)		<u>\$ 1,212,864</u>		
Less Assets Held in Trust Investments				<u>-</u> <u>\$ 12,095,243</u>
Cumulative Net Unrealized Gain(Loss) 6/30/12		\$ 368,982		
Changes from Contributions, Realized Gains and Losses, and Changes in Assets Held in Trust		36,640		
2013 Unrealized Gain (Loss)		807,242		
Cumulative Net Unrealized Gain (Loss) 6/30/13		<u>\$ 1,212,864</u>		

NOTE 3. RECEIVABLES

Receivables at June 30, 2013 consisted of the following:

	<u>Year Ended June 30, 2012</u>	<u>Year Ended June 30, 2013</u>	<u>Increase (Decrease) in Receivables</u>	<u>Year Ended June 30, 2013 (Current Portion)</u>
Student Loans	\$ 2,221,608	\$ 2,124,563	\$ (97,045)	\$ 334,413
Student Tuition and Fees	1,032,294	1,302,782	270,488	563,468
Auxiliary Enterprises and Other	673,202	666,556	(6,646)	399,765
Contracts and Grants	208,125	205,826	(2,299)	205,826
Notes Receivable	-	-	-	-
Primary Government/Related Parties	3,745,845	285,453	(3,460,392)	285,453
Total Receivables	<u>7,881,074</u>	<u>4,585,180</u>	<u>(3,295,894)</u>	<u>1,788,925</u>
Less Allowances for Bad Debts	<u>(1,311,297)</u>	<u>(1,287,329)</u>	<u>23,968</u>	<u>(164,509)</u>
Total Receivables, Net	<u>\$ 6,569,777</u>	<u>\$ 3,297,851</u>	<u>\$ (3,271,926)</u>	<u>\$ 1,624,416</u>

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

NOTE 4. INVENTORIES

Asset inventories at June 30, 2013 consisted of the following:

	<u>Year Ended June 30, 2012</u>	<u>Year Ended June 30, 2013</u>	<u>Increase (Decrease)</u>
Bookstore	\$ 872,542	\$ 730,906	\$ (141,636)
Dining Services	86,551	80,682	(5,869)
IT Services	176,298	199,790	23,492
Fuel	50,486	53,767	3,281
Total Inventories	<u>\$ 1,185,877</u>	<u>\$ 1,065,145</u>	<u>\$ (120,732)</u>

NOTE 5. CAPITAL ASSETS

Property, plant and equipment at June 30, 2013 are summarized as follows:

	<u>Balance June 30, 2012</u>	<u>Additions</u>	<u>Retirements</u>	<u>Balance June 30, 2013</u>
Capital Assets, Non-depreciable				
Land and Permanent Easements	\$ 11,459,487	\$ -	\$ -	\$ 11,459,487
Construction in Progress	2,504,405	3,425,325	1,922,760	4,006,970
Partial Interest in Foundation's Real Property	422,785	-	-	422,785
Total Capital Assets, Non-depreciable	<u>14,386,677</u>	<u>3,425,325</u>	<u>1,922,760</u>	<u>15,889,242</u>
Capital Assets, Depreciable				
Buildings	133,033,782	2,844,778	1,452,344	134,426,216
Equipment	11,255,453	1,976,242	645,506	12,586,189
Library Books	2,129,301	85,451	25,086	2,189,666
Land Improvements	10,244,749	1,007,164	-	11,251,913
Building Improvements	1,346,753	2,095,193	-	3,441,946
Total Capital Assets, Depreciable	<u>158,010,038</u>	<u>8,008,828</u>	<u>2,122,936</u>	<u>163,895,930</u>
Less Accumulated Depreciation For:				
Buildings	35,121,458	3,442,785	1,395,306	37,168,937
Equipment	6,691,259	1,134,734	570,036	7,255,957
Library Books	962,123	107,974	23,169	1,046,928
Land Improvements	5,053,676	342,004	-	5,395,680
Building Improvements	13,468	47,887	-	61,355
Total Depreciation	<u>47,841,984</u>	<u>5,075,384</u>	<u>1,988,511</u>	<u>50,928,857</u>
Total Capital Assets, Depreciable, Net	<u>110,168,054</u>	<u>2,933,444</u>	<u>134,425</u>	<u>112,967,073</u>
Total Capital Assets, Net	<u>\$ 124,554,731</u>	<u>\$ 6,358,769</u>	<u>\$ 2,057,185</u>	<u>\$ 128,856,315</u>

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

The University has certain works of art that are not capitalized or depreciated. These assets are held for public exhibition, education, or research, rather than financial gain. These assets are also protected, unencumbered, and preserved and are subject to policies requiring the proceeds from sales of collection items to be used to acquire other collection items. The University's assets of this nature include Native American artifacts, photographs, prints, paintings, monuments, statues and other historical documents.

During fiscal year 2008, the University made a down payment on real property that the Foundation purchased. This property is referred to as the University (DAHLE) Plaza. Currently the University's down payment is classified above as a partial interest in the Foundation's real property. The University also entered into an agreement related to this property that is described in more detail in Note 12 and 13.

At June 30, 2013, the University had outstanding commitments for the construction and remodeling of University buildings of approximately \$267,362.

Capital Assets of the Foundation at June 30, 2013 consisted of the following:

	Balance June 30, 2012	Additions	Retirements/ Adjustments	Balance June 30, 2013
Land	\$ 2,331,326	\$ -	\$ -	\$ 2,331,326
Buildings	3,025,474	-	-	3,025,474
Building Improvements	143,346	-	-	143,346
Total	5,500,146	-	-	5,500,146
Accumulated Depreciation	(284,714)	(79,221)	-	(363,935)
Capital Assets, Net	\$ 5,215,432	\$ (79,221)	\$ -	\$ 5,136,211
Collectible Art	\$ 9,200	\$ -	\$ -	\$ 9,200

NOTE 6. NOTES, CONTRACTS, AND CAPITAL LEASES PAYABLE

The University has entered capital lease agreements to acquire equipment. Equipment assets currently financed under capital lease and their accumulated depreciation amounts are \$1,566,278 and \$547,638 respectively. The University is currently paying on a bond that was entered into through the Utah State Board of Regents to acquire buildings. For financial statement purposes the bond is considered a capital lease for the University and shown as a bond for the Utah State Board of Regents. Capital leased building assets and their depreciation amounts are \$9,641,202 and \$3,304,093, respectively. The University has also entered into contracts to acquire property. Contracts and capital leases payable at June 30, 2013 are summarized as follows:

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

	<u>Lease Term</u>	<u>Interest Rate</u>	<u>Balance June 30, 2013</u>
Notes, Contracts, and Capital Leases Payable			
Chase Equipment Finance, Inc.	2010-2016	2.88%	\$ 188,201
Canon Financial Services, Inc.	2011-2014	11.34%	3,433
Revco Leasing	2009-2018	13.89% - 22.27%	24,022
State Bank of Southern Utah	2011-2031	4.50% - 5.14%	851,140
US Bank	2009-2027	4.50% - 16.15%	3,539,460
Village Bank	2007-2025	4.00% - 4.75%	811,631
Wells Fargo	2006-2020	4.60% - 7.25%	369,620
Zions Bank	2006-2023	3.60% - 4.65%	3,555,000
Bank of America Public Capital	2013-2017	1.50%	202,108
			\$ 9,544,615

Future principal and interest payments are summarized as follows:

<u>Year Ending June 30</u>	<u>Capital Leases</u>	<u>Contracts and Notes</u>	<u>Total Principal</u>	<u>Total Interest</u>
2014	\$ 612,788	\$ 130,697	\$ 743,485	\$ 415,211
2015	628,732	136,719	765,451	383,735
2016	659,255	237,749	897,004	351,096
2017	694,996	290,147	985,143	314,631
2018	592,986	62,109	655,095	275,173
2019-2023	3,024,242	313,929	3,338,171	921,318
2024-2028	1,668,845	280,947	1,949,792	316,497
2029-2033	-	210,474	210,474	24,571
Totals	\$ 7,881,844	\$ 1,662,771	\$ 9,544,615	\$ 3,002,232

The Foundation has entered into charitable gift annuity agreements wherein donors (the annuitants) conveyed to the Foundation assets in exchange for annual payments to the annuitants during the annuitants' joint lifetimes and for the life of the surviving annuitant. The liability is calculated at the date of donation by figuring the present value of the annual payments over the expected remaining life of the annuitants. Contributions revenue, which is the fair market value of the contribution less its corresponding liability, is included in the Statement of Revenues, Expenses, and Changes in Net Position for the year of contribution.

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

Future principal and interest payments for the Annuity are as follows:

<u>Year Ending June 30</u>	<u>Principal</u>	<u>Interest</u>	<u>Totals</u>
2014	\$ 292,150	\$ 31,110	\$ 323,260
2015	299,579	23,681	323,260
2016	253,233	55,777	309,010
2017	87,730	10,830	98,560
2018	70,867	27,693	98,560
Thereafter	795,522	48,008	843,530
Totals	\$ 1,799,081	\$ 197,099	\$ 1,996,180

The Foundation had the following notes payable at June 30, 2013:

- A note payable with outstanding principal totaling \$3,394,279 and interest at 3.25%, requiring \$19,285 is payable monthly. The remaining principal is due with a balloon payment in May 2014. The note payable is secured by the purchased property, University Plaza. The Foundation believes that the State of Utah will not fund the Foundation for the cost of the Plaza. If the State of Utah does not fund the Plaza before the due date, it is expected that a new note with similar terms will be executed.
- A note payable with a current portion of \$43,092 and a long-term portion of \$1,227,162, bears interest at 5%, \$8,982 is payable monthly until the last payment—due on April 22, 2016 with all unpaid interest and principal. The note payable is secured by the purchased property.

The amounts due for each of the next five years and thereafter are as follows:

<u>Year Ending June 30</u>	<u>Principal</u>	<u>Interest</u>	<u>Totals</u>
2014	\$ 168,225	\$ 170,978	\$ 339,203
2015	174,597	164,605	339,202
2016	1,308,509	159,117	1,467,626
2017	135,497	95,923	231,420
2018	139,967	91,453	231,420
Thereafter	2,737,737	722,476	3,460,213
Totals	\$ 4,664,532	\$ 1,404,552	\$ 6,069,084

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

University contributes 14.20% of eligible annual covered salary. The 401(k) Plan covers all eligible employees and the University contributes 1.5% of eligible annual covered salary.

The University's contributions to the various retirement plans were equal to the required amounts, as shown below:

	<u>Year Ended June 30, 2011</u>	<u>Year Ended June 30, 2012</u>	<u>Year Ended June 30, 2013</u>
Retirement Contributions			
State School-Contributory Retirement System	\$ 16,768	\$ 37,950	\$ 78,739
State School-Noncontributory Retirement System	812,533	881,729	949,694
Public Safety Noncontributory System	62,557	68,166	81,729
TIAA-CREF Defined Contribution Retirement Plan	2,069,762	2,294,027	2,436,489
401(k) Plan Employer Contributions	74,979	78,905	76,142
401(k) Plan Employee Contributions	120,166	127,946	126,883
Total Retirement Contributions	<u>\$ 3,156,765</u>	<u>\$ 3,488,723</u>	<u>\$ 3,749,676</u>

NOTE 10. TERMINATION BENEFITS

In addition to the pension benefits described in Note 9, the University may provide termination benefits, in accordance with University policy and if approved by the Board of Trustees, for those employees who are eligible and elect the University's early retirement option.

For fiscal year 2013, the following early retirement option is in effect:

Eligible employees are those who retire from the University on or after age 57 and whose combined total of age and years of service is 75. The benefits include a semi-monthly stipend of 20% of the retiree's salary at the time of active employment, medical insurance, dental insurance, and life insurance for a maximum period of five years or to age 65, whichever comes first. These benefits are fully paid by the University.

As of June 30, 2013, five eligible employees have elected to take the early retirement option. The funding for these post-employment benefits is provided on a pay-as-you-go basis. For the year ended June 30, 2013, the stipend and benefits expense for the early retirement program totaled \$169,472. The early retirement liability is calculated using a 5% discount rate and a 3% inflation factor for salary and insurance premiums.

NOTE 11. RISK MANAGEMENT

The University maintains insurance coverage for commercial general liability, automobiles, errors and omissions, and property (buildings and equipment) through policies administered

DIXIE STATE UNIVERSITY

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2013

NOTE 15. FUTURE CHANGES IN ACCOUNTING STANDARDS

The governmental Accounting Standards Board (GASB) issued Statement No. 65, *Items Previously Reported as Assets and Liabilities*. The requirements of this Statement are effective for fiscal year 2014. In addition, GASB issued Statement No. 67, *Financial Reporting for Pension Plans – an amendment of GASB Statement No. 25*, and GASB Statement No. 68, *Financial Reporting for Pension Plans – an amendment of GASB Statement No. 27*, effective for fiscal years 2014 and 2015, respectively.

These new accounting and reporting standards may impact the University's recognition and timing of assets and liabilities in the financial statements. These statements may require restating of beginning net position. The University is not planning to early implement these statements, and has made no estimation of the effect these statements will have on the financial statements.

DIXIE STATE UNIVERSITY

A Component Unit of the State of Utah

**Government Auditing Standards Report
For the Year Ended June 30, 2013**

Report No. 13-52



**OFFICE OF THE
UTAH STATE AUDITOR**

DIXIE STATE UNIVERSITY

A Component Unit of the State of Utah

**Government Auditing Standards Report
For the Year Ended June 30, 2013**

Report No. 13-52

AUDIT LEADERSHIP:

Hollie Andrus, CPA, Audit Director

Jason Allen, CPA, CFE, Audit Supervisor



OFFICE OF THE
UTAH STATE AUDITOR

INDEPENDENT STATE AUDITOR'S REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Trustees, Audit Committee
and
Stephen D. Nadauld, President
Dixie State University

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the Dixie State University (University) for the year ended June 30, 2013 and the related notes to the financial statements, which collectively comprise the University's basic financial statements, and have issued our report thereon dated March 27, 2014. Our report includes a reference to other auditors who audited the financial statements of the Dixie College Foundation, as described in our report on the University's financial statements. The financial statements of the Dixie College Foundation were not audited in accordance with *Government Auditing Standards* and, accordingly, this report does not include reporting on internal control over financial reporting or instances of reportable noncompliance associated with the discretely presented component unit.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or to detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Office of the Utah State Auditor

Office of the Utah State Auditor
March 27, 2014

September 19, 2014

MEMORANDUM

To: Dixie State University Board of Trustees

From: Paul Morris, Vice President for Administrative Services

Subject: University Owned – On-campus Student Housing

Purpose

Dixie State University requests Trustee approval to construct on-campus student housing that will accommodate current and future student enrollment growth and provide a residential campus experience for an additional 350 students. To finance the construction, DSU is also seeking Trustee approval to bond for up to \$20 Million dollars.

Background

Dixie State University's enrollment has increased significantly over the past eight years. During this period of rapid student enrollment growth, private entities have provided housing to meet student demand. Currently, institutional inquiries suggest that private student housing is full, including housing that does not typically fill and is located a significant distance from campus. As a result, insufficient student housing has been identified as a significant factor limiting future student enrollment growth.

The existing on-campus housing was built in the 1960s and is inadequate in meeting student expectations and demand. Although the current proposal is to build housing in addition to the existing on-campus student housing, future plans include replacing the aging Shiloh Dormitory and Nisson Towers.

Process

Trustee approval is the first step in the State's approval process for constructing a new facility on DSU's campus. DSU's administration is also working to obtain needed approvals from the State Board of Regents, Building Board, and Utah State Legislature.

Recommendation

DSU administration requests Trustee approval to build additional on-campus student housing and to bond for up to \$20 million dollars to construct the new facility.

DSU On-Campus Student Housing Proposal

Project Description: The construction of a multiple story on-campus student-housing facility

- 90,000 Estimated Gross Square Feet
- Residential Campus Experience for 350 Students
- Private & Shared Rooms (3 & 4 Bedroom Suites)
- Kitchenettes (Microwave & Refrigerator)
- Two Bathrooms in each Suite with Multiple Vanities
- Two Full Kitchens in Common Areas (Special Occasion Group Meals)
- Private and Group Study Rooms
- Fitness, Video, & Multipurpose Activity Rooms
- New Housing Facility to Complement Existing Campus Architectural Building Style

Construction Cost Estimate: \$21.5 Million

- \$16.5 Million for Site Preparation and Building Construction
- \$3.5 Million for Planning, Design, Furniture & Fixtures, & Contingency
- \$1.5 Million for Bond Reserve Requirements & Issuance Costs

New Housing Facility Financing

- \$19 Million from Auxiliary Services Revenue Bond (Housing, Campus Store, Road Scholar)
- \$2 Million from Institutional Development (Donations)
- \$.5 Million from Institutional Non-Appropriated Plant Funds

Building Location: Dixie State University Main Campus between the Science Building and Nisson Towers



Program Approval Status

Programs that were approved during the 13-14 Academic Year

<i>New School/ Department</i>	<ul style="list-style-type: none"> • School of Humanities • School of Visual & Performing Arts • Accounting Department • History & Political Science Department • Theatre & Dance Department
<i>New Minors</i>	<ul style="list-style-type: none"> • Art • Art History • Communications • Criminology • Digital Forensics • Health Psychology • Psychology • Theatre
<i>New Certificate</i>	<ul style="list-style-type: none"> • Media Production
<i>New Center/Institute</i>	<ul style="list-style-type: none"> • Colorado Plateau Field Institute • Faculty Center for Teaching Excellence • Women's Resource Center
<i>School/Department Rename/Restructure</i>	<ul style="list-style-type: none"> • School of Academic & Community Outreach • School of Health Sciences • Art Department • Interdisciplinary Arts & Sciences Department
<i>Degree Restructure</i>	<ul style="list-style-type: none"> • Added, removed, & renamed multiple emphases in Computer & Information Technology (CIT)
<i>Modification of Certificate</i>	<ul style="list-style-type: none"> • eMarketing
<i>Discontinue</i>	<ul style="list-style-type: none"> • BS Business Administration – Visual Technology Emphasis

Some of the programs being proposed during the 14-15 Academic Year

<i>New Degrees</i>	<ul style="list-style-type: none"> • BA/BS Dance • BS Chemistry (Regents vote Sept. 26th) • BS Communication Studies • BS Exercise Science • BS Media Studies • AAS General Technology: Healthcare Emphasis
<i>Degree Restructure</i>	<ul style="list-style-type: none"> • BS Dental Hygiene
<i>Department Restructure</i>	<ul style="list-style-type: none"> • Communication

September 19, 2014

MEMORANDUM

To: Dixie State University Board of Trustees

From: Paul Morris, Vice President for Administrative Services

Subject: Burns Arena North Office Addition

Purpose

The purpose of this agenda item is to update DSU trustees on the progress relative to the construction of the Burns Arena North Office Addition.

Background

DSU administration requested and received Trustee approval to construct the Burns Arena North Office Addition in March of this year. Since that time, DSU administration has successfully obtained the State Board of Regents and Building Board approvals necessary to construct the facility. Currently, the office complex is in the architectural and design phase.

Timeline

September/October 2014 – Architecture & Design
October/November 2014 – Contractor Bidding & Proposals
November/December 2014 – Construction Start
July/August 2015 – Facility Completion

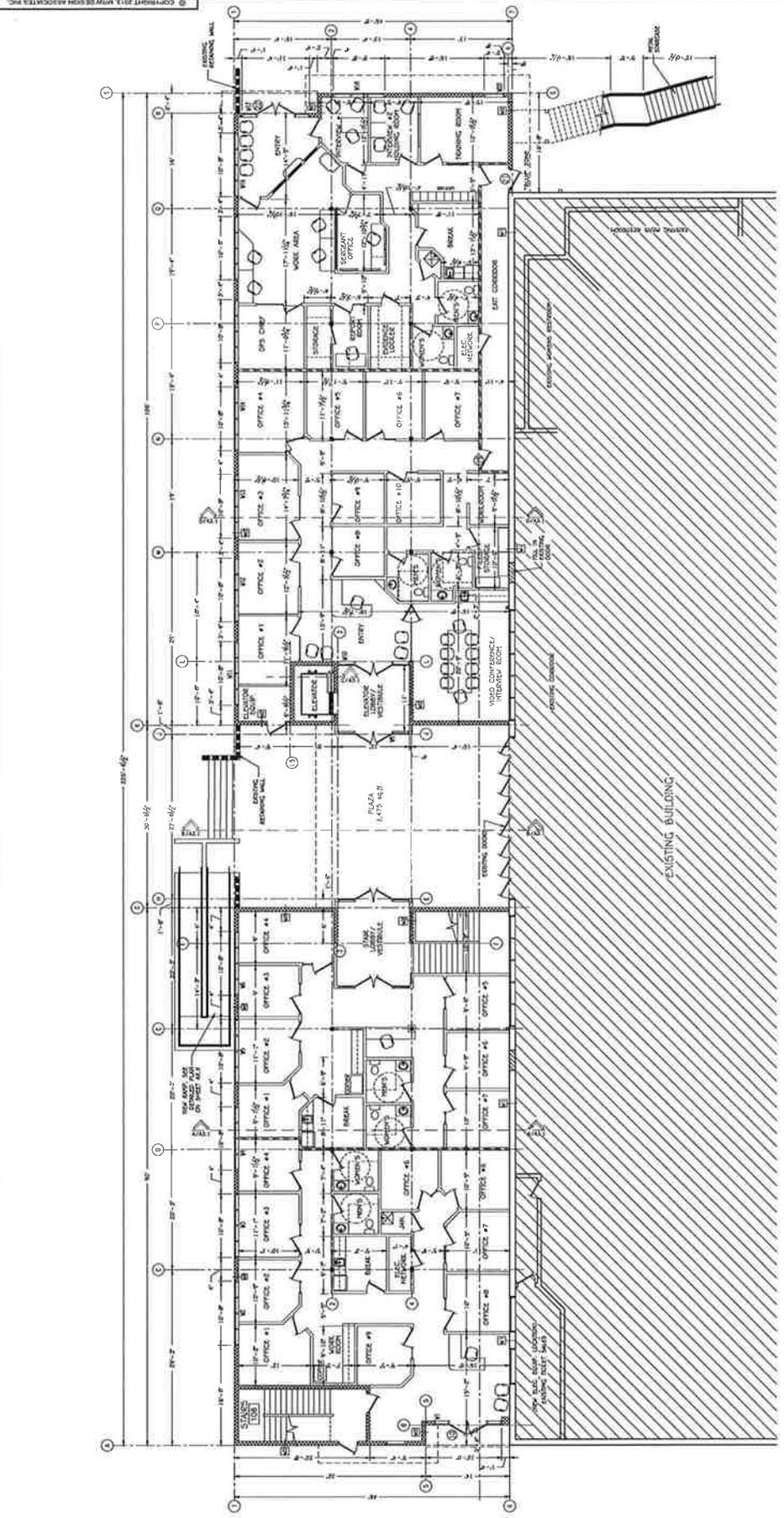
Attachments

Attachment 1: Facility Description
Attachment 2: Rendering of the Building
Attachment 3: Elevations (Draft)
Attachment 4: Lower Level Floor Plan (Draft)
Attachment 5: Upper Level Floor Plan (Draft)

**Burns Arena North Office Addition
Facility Description**

- Two Story Office Complex (Approximately 19,000 Square Feet)
- Main Level 9,000 SQ. FT.
- Upper Level 10,000 SQ. FT. with Suspended Breezeway
- Construction Cost (Shell) & Completion of East Lower Quadrant \$2,450,000
- Fully Funded by DSU from the Following Sources (Funding in Hand)
 - Rental Income
 - Non-Appropriated Plant Funds
 - O&M \$140K (Parking Services Revenue)
 - Deferred Maintenance \$20K (Parking Services Revenue)
- Lower East Quadrant Composed of
 - 4,861 Square Feet
 - Office Space for Human Resources
 - Office Space for Campus Security
 - Demolish Campus Security Building
- Remainder of Unfinished Space to be Financed by Donations
 - Lower West Quadrant (Configured to Support Two Departments)
 - Upper West Quadrant (School of Science & Technology Faculty)
 - Upper East Quadrant (DSU Athletics)
- Advantages
 - Shell Under \$100 Per Square Foot
 - No Loss of Green Space/Parking Space
 - Improve Curb Appeal of Burns North
 - Natural Light (Windows) in Most Offices
 - Flexibility to Relocate Campus Departments
- Location





OUTSIDE SPACE = 1114 SQ. FT.
 TOTAL NEW SQUARE FOOTAGE = 8,999 SQ. FT.

PRELIMINARY
 NOT FOR CONSTRUCTION
 9/13/2014

FIRST FLOOR PLAN
 SCALE: 1/8" = 1'-0"

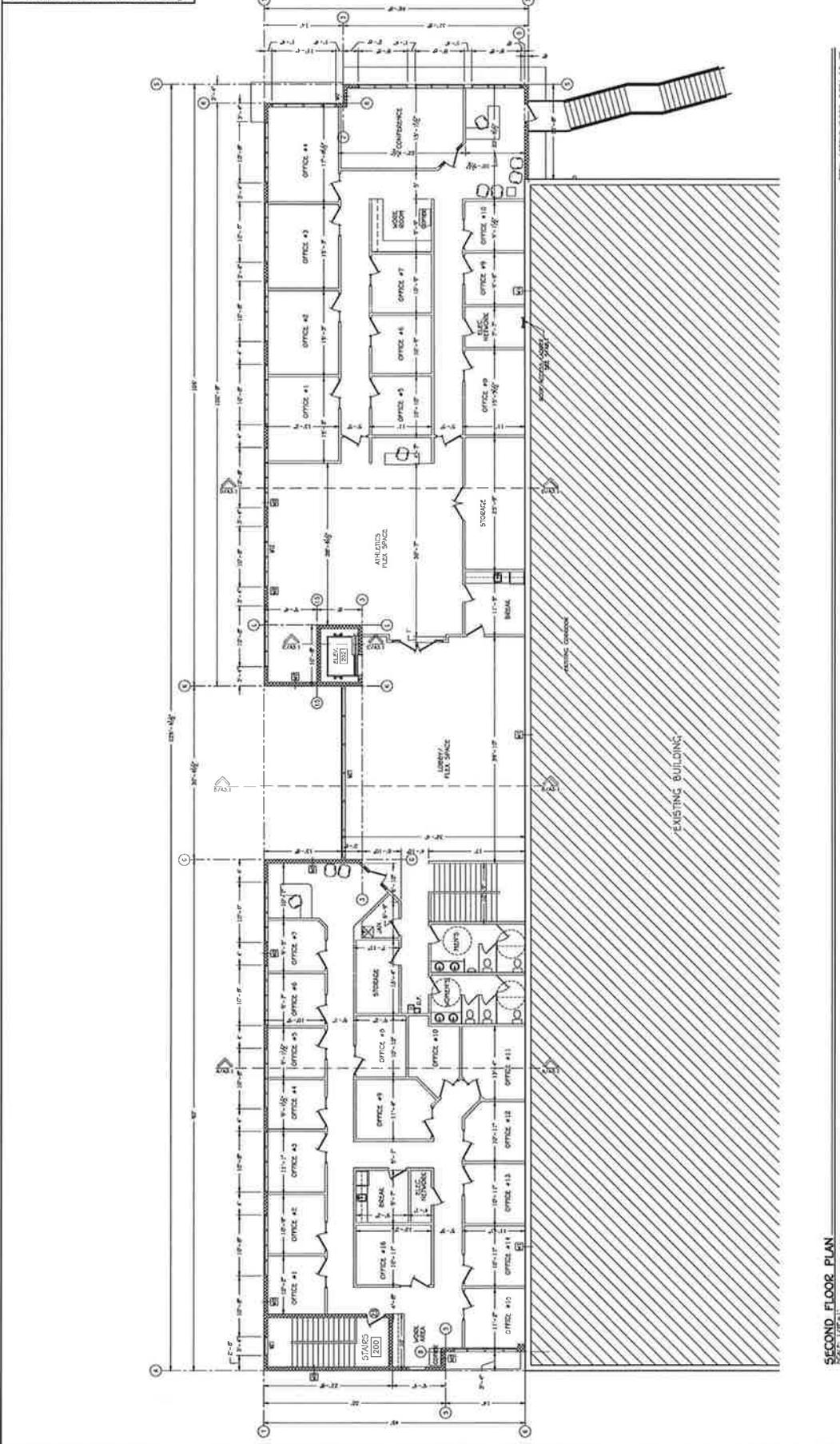
DATE	9/18/2014
PROJECT	251 W. HILTON DR # 202
CLIENT	DIXIE STATE COLLEGE
ARCHITECT	MWD DESIGN ASSOCIATES INC.
SCALE	1/8" = 1'-0"
DATE	9/18/2014
DESIGNER	MWD
CHECKER	MWD
APPROVER	MWD



251 W. HILTON DR # 202
 P.O. BOX 2775
 ST. GEORGE UTAH 84770
 (435) 628-2377 (435) 628-3500 fax
 www.mwdesign.com

SECOND FLOOR PLAN
 DIXIE STATE COLLEGE
 BURNS ARENA ADDITION
 ST. GEORGE UTAH

A2.2
 10' 0" NORTH



TOTAL SECOND FLOOR: 19,871 SQ. FT.

PRELIMINARY
 NOT FOR CONSTRUCTION
 9/3/2014

SECOND FLOOR PLAN
 SCALE: 1/8" = 1'-0"

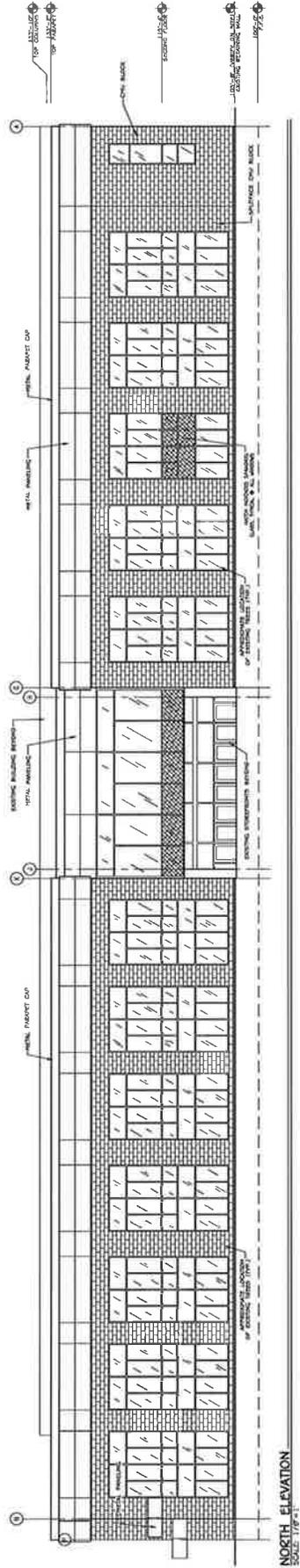
7/28/2014
 1/8" = 1'-0"
 D.R.W./S.R.S.
 C.M.
 1/8" = 1'-0"



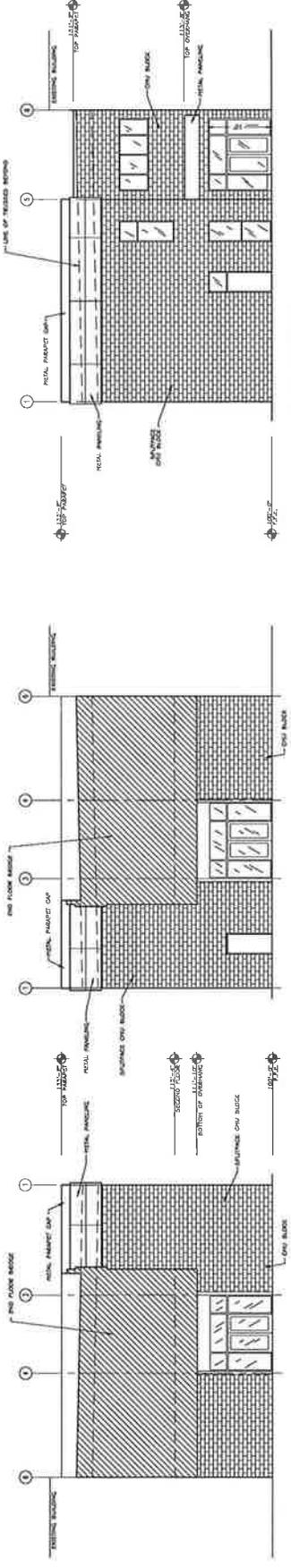
251 W. HILTON DR # 202
 ST. GEORGE UTAH 84770
 P.O. BOX 2775
 (435) 628-2327 (435) 673-3580 fax
 www.rwdesign.com

DIXIE STATE COLLEGE
 BURNS ARENA ADDITION
 ST. GEORGE UTAH

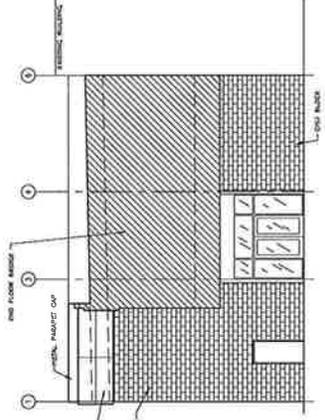
A4.1



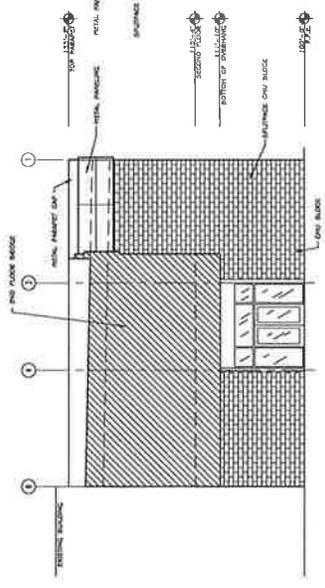
NORTH ELEVATION
 SCALE: 1/8" = 1'-0"



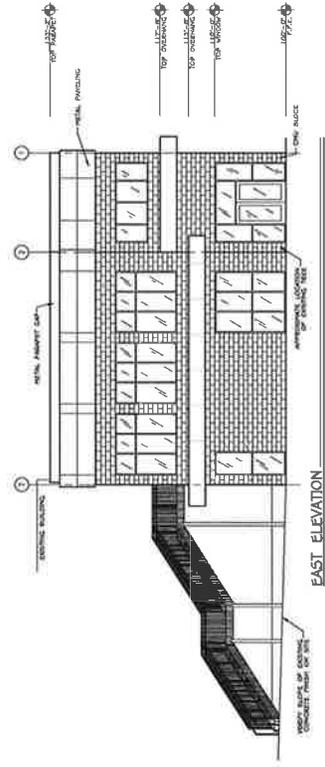
WEST ELEVATION
 SCALE: 1/8" = 1'-0"



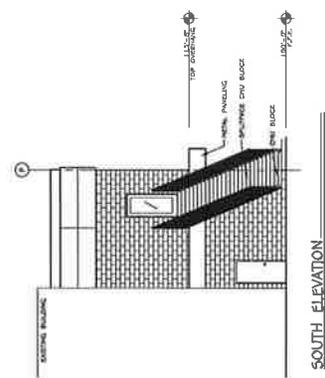
VESTIBULE WEST ELEVATION
 SCALE: 1/8" = 1'-0"



VESTIBULE EAST ELEVATION
 SCALE: 1/8" = 1'-0"



EAST ELEVATION
 SCALE: 1/8" = 1'-0"



SOUTH ELEVATION
 SCALE: 1/8" = 1'-0"

PRELIMINARY
 NOT FOR CONSTRUCTION
 9/3/2014



September 19, 2014

MEMORANDUM

To: Dixie State University Board of Trustees

From: Paul Morris, Vice President for Administrative Services

Subject: On-campus Subway Sandwich Location

Purpose

The purpose of this agenda item is to update DSU trustees relative to the recent DSU purchase of a Subway sandwich franchise, restaurant installation within the Red Rock café, and grand opening prior to the beginning of Fall Semester.

Background

DSU student surveys revealed that students wanted additional food choices in on-campus dining and ranked the differing franchises in priority order. The most desired franchise was Subway. With this information, Dining Services began to investigate the possibility of purchasing a Subway franchise. Although there were challenges relative to the franchise agreement and the concessions necessary to place the restaurant on campus, DSU and Subway were able to reach an acceptable agreement. Four short months after beginning the process, the Subway location is a reality on campus!

Location

The Subway is located in the Red Rock Café within the DSU Gardner Student Center. The following photo illustrates the nice planning and successful integration of the Subway into the Red Rock Café.



Dixie State University

DOCUTAH

Southern Utah International Documentary Film Festival

SEPTEMBER 2-6, 2014

ENVISION THE WORLD THROUGH
DOCUMENTARY FILM



5TH SOUTHERN UTAH
INTERNATIONAL
DOCUMENTARY
FILM FESTIVAL



SCAN FOR FESTIVAL INFORMATION.

This site uses cookies to help deliver services. By using this site, you agree to the use of cookies.

Humanities 1010

DocUtah: Tikotin

With my curiosity piqued, I went and saw the DocUtah film known as 'TIKOTIN - A Life Devoted to Japanese Art'. What I had expected to see was more of how Japanese art made an impact on world society, but the film for the most part seemed to take a different approach. It did make specific mention of how Japanese art was accepted or rejected before and after the world wars, but what the movie seemed to focus on for me instead was something of a different nature. To me, it seemed like the message of the film was that, even if you find that you have a knack for something, or if you have a passion for something, you should not allow it to completely run your life. Doing so can cost you relationships and experiences that, while you may not notice them at the moment, will have a lingering effect on you and your state of well-standing, especially with your family, as you get older. This happened particularly with Felix Tikotin in the film. He was always wrapped up in his work, hardly ever home. When he was home, business and family had to share the same space, as partners and art dealers would come and visit him at his house. When that would happen, his family would be treated as if they didn't even exist. For much of the time, this didn't change. However, as he neared the end of his life, those partners and dealers weren't there any more, and his family had become distanced. He would find that he would spend most of his remaining days in solitude, void of the close relationships that would have made his last days easier and more meaningful.

I think the filmmaker made a valid point with this film. You were able to watch as life progressed for Felix Tikotin and his family, and the impact that his work had on those around him and the world as well. None of the separation that happened in the family came as a sudden thing, and with proper care and concern could have likely been avoided or mended. The film by the end shows quite well what can happen to those who follow their passions at the expense of those close to them. It shows that, unlike how Felix handled it, there needs to be a balance in life between work and family, amongst other things, or by the end of your life you will likely only be filled with regret. Although saddening, this film was also enlightening, and is one that many could learn well from, especially in this day and age where people are always trying to keep themselves busy all the time, sometimes at the expense of family.

Posted by Spencer Hall at 3:29 PM

 +1 Recommend this on Google

1 comment:



Jaron September 8, 2014 at 1:59 PM

Hello Spencer,
Thanks for watching our film, and for writing this review!

Best regards from Amsterdam,
Jaron Borensztajn (Tikotin's grandson)

[Reply](#)

About Me

Spencer Hall

 Follow 10

[View my complete profile](#)

Blog Archive

▼ 2014 (2)

▼ September (2)

[DocUtah: Tikotin](#)

[First Blog Post](#)

OCT. 1 Sundance Institute and KUED present
FREEDOM SUMMER
hosted by Dixie State University – DOCUTAH (see attachment)

OCT. 5-17. Visiting Lecturer:
Dr. Surendra Pachauri from New Delhi, India
Dr. Pachauri is former Secretary to Government of India
and currently teaching at Amity University.

OCT. 6 History and Political Science Lecture
Law and Religion in India, a study of Ayudhia case

OCT. 10 Education Lecture
Women’s Education in India

OCT. 14 Barnum Honors Lecture
Gandhi and His Role in the Indian Independence Movement

ADDITIONAL LECTURES IN CLASSROOMS THROUGHOUT DR. PACHAURI’S VISIT.

OCT. 20-28. Inauguration Week!

OCT. 23 Inauguration Ceremony at 3PM

OCT. 23 UWHEN “The Confidence Crisis for Girls and Women”
at 6PM in Eccles Concert Hall – (First Lady Event)

OCT. 28 Phillip Phillips Concert
at 7:30PM in Burns Arena

OCT. 28-30. Muslim Journeys
(see attachment)

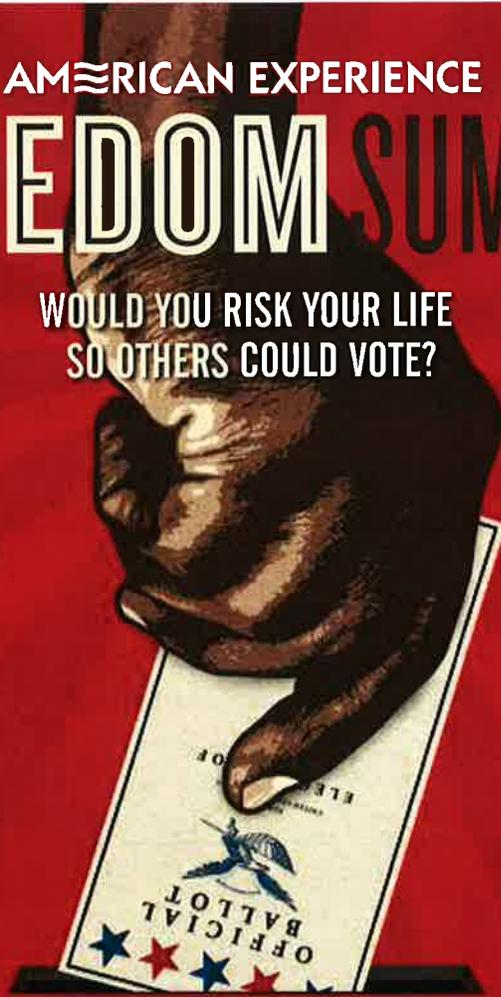
NOV. 7 & 8 Business of Art at Kanab Middle School
Friday keynote: Brian Passey
Saturday keynote: Annette Everett

NOV. 8 The Purpose Project
Elizabeth Van Meter one woman show
in Eccles Concert Hall (see attachment)

AMERICAN EXPERIENCE

FREEDOM SUMMER

WOULD YOU RISK YOUR LIFE
SO OTHERS COULD VOTE?



PRESENTED BY



**JOIN US FOR A FREE COMMUNITY FILM SCREENING
AND Q&A WITH FILM PRODUCER CYNDEE READDEAN**

ST. GEORGE

Wed. Oct. 1, 6:30PM

ECCLES THEATRE

Dolores Doré Eccles Fine Arts Center

HOSTED BY

Dixie State University - DOCUTAH

CEDAR CITY

Thu. Oct. 2, 6:30PM

STERLING CHURCH AUDITORIUM

Sharwan Smith Student Center
Southern Utah University

www.kued.org/sundance

BRINGING INDEPENDENT FILMS TO YOU

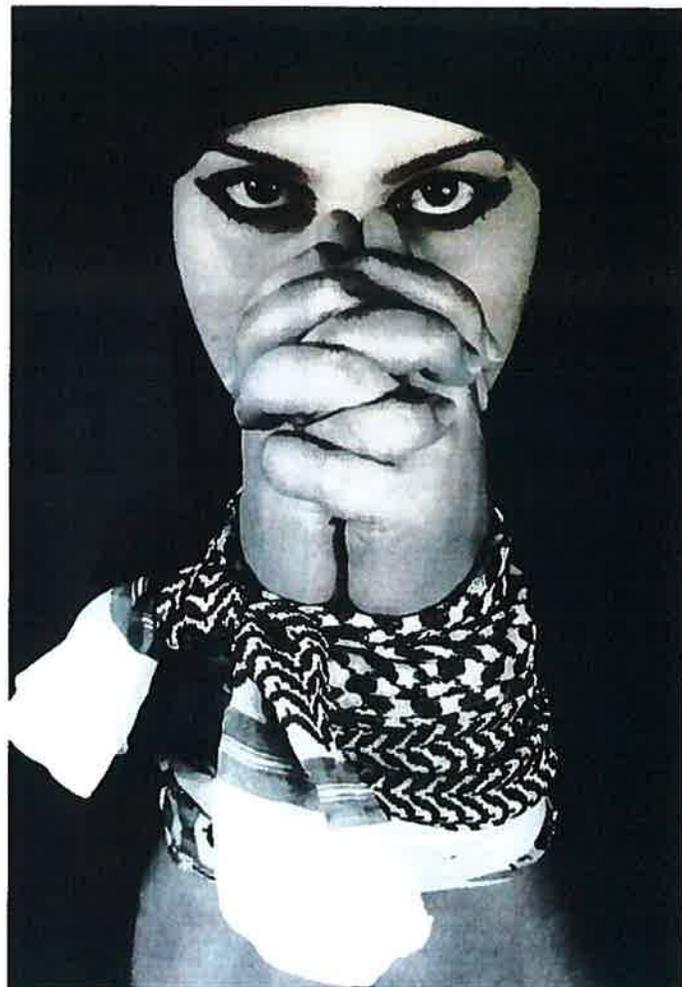
Muslim Journeys

BY CHRISTINA SCHULTZ
PHOTO BY TONEZEE

To recognize the beginning of Al Hijra (the Islamic New Year) from October 28 – 30, 2014 the Utah Humanities Council and Dixie State University are proud to collaborate on "Muslim Journeys" a festival of films, discussions, visual art and photographic displays exploring Muslim themes. The DSU library is participating with a series of books from the Bridging Cultures Bookshelf Series.

■ Art & Lectures

Glass sculptures will be featured in the Sears Art Museum Gallery by artist/scholar Andrew Kosorok, interpreting the "99 Names of God" in Islam. Kosorok is a graduate of BYU and is currently a sculpture and stain glass professor at BYU. His philosophy is "The lines of separation between paths of faith are often due to a difference in vocabulary, rather than differences in where the heart is directed."



Sculptures and paintings will be on display in the Eccles Grand Foyer by Pakistani artist Shazad Sheikh. He has taught in the DSU Visual Arts Department and has been featured in over fifteen national television programs regarding the development of batik art in Pakistan. Also featured will be photography of Islamic art, architecture and culture from photographer Tonezee's world travels.

The journey begins on Tuesday, October 28th with a special lecture by Dr. Kathleen Herndon, a member of the English faculty at Weber State University and English Department Chair. For ten years she has lived in Isfahan, Iran, and Dubai, United Arab Emirates. Her academic interests are in Middle Eastern Women Writers and English Education.

■ Documentary Films

The documentary films are free and will be screened at 7 p.m. in the DSU Eccles Fine Arts Center Concert Hall. The schedule is as follows:

Tuesday, Oct. 28, 2014

Prince Among Slaves (in partnership with DSU Multi Cultural Diversity Center).

Brought by slave ship to Natchez, Mississippi in 1788, a twenty-six year old man named Abdul Rahman made the remarkable claim that he was an "African prince." During his enslavement he toiled on the Foster plantation, married, and



fathered nine children, before his claim was validated and he was set free to go home. His story made him one of the most famous Africans in America for a time, attracting the attention of powerful men such as Secretary of State, Henry Clay.

The film will be followed by a discussion featuring Dr. Kathleen Herndon and Rev. Dr. Joel A. Lewis, Chair, Department of History and Political Science.

Wednesday, Oct. 29, 2014

Koran by Heart (in partnership with DOCUTAH).

The Qur'an says that if you memorize and teach it to others, you will be successful in this life and the next. In *Koran by Heart*, a young ten year old scholar earns a place in the Islamic world's oldest Qur'an memorization contest.

Scheduled during Ramadan, the two week event is both grueling and exhilarating. The competitors adhere to the practice of daytime fasting prescribed for the holiest month of the Muslim calendar, and the rounds sometimes go well past midnight. The boys and girls recite before audiences of clergy and family members. The finals are broadcast on Egyptian national television.

This film will be followed by a discussion led by DSU Visiting Professor, Shadman Bashir.

Thursday, October 30, 2014

Islamic Art: Mirror of the Invisible World (in partnership with DOCUTAH).

This film takes audiences on an epic journey across nine countries and more than 1,400 years of history. It explores the richness of Islamic art from great ornamental palaces and the play of light in monumental mosques to the exquisite beauty of ceramics, carved boxes paintings, and metal work. It revels in the use of color and finds commonalities in a shared artistic heritage with the West and East. The film also examines the unique ways in which Islamic art turns calligraphy and the written word into masterpieces and develops water into an expressive, useful art form.

The film will be followed by a discussion led by Andrew Kosorok.

Christina Schultz is the Vice President of Institutional Advancement at Dixie State University and Executive Director of DOCUTAH.





THE LOCAL PAGES
THE BEST PHONE BOOK IN TOWN™

IN CONNECTION WITH




A quicker, easier, smarter way of connecting local business with local customers.

MOBILE | ONLINE | PRINT

WANT TO LIST YOUR BUSINESS?

801-963-1702




VIEW US ONLINE AT
WWW.THELOCALPAGES.COM

Find local businesses online at
WWW.GONFIND.COM

www.viewonsouthernutah.com

The Purpose Project/ quote sheet

“Wonderful”

Broadway World

“Uplifting”

Ladue News

“Amazing”

Stage Door St. Louis

“genuinely touches the heart”

Broadway World

“Van Meter is an engaging storyteller”

Ladue News

“She [Elizabeth Van Meter] is a dynamic actress with an electric personality that makes this journey we take with her even more compelling.”

Stage Door St. Louis

“emotional and spiritual”

St. Louis Today

“a story of compassion and empathy”

Alive Magazine

“extraordinarily beautiful”

New York Theatre Review

“Range[s] from humorous to highly poignant and impactful”

Alive Magazine

“told with such raw power”

New York Theatre Review

“Moving and deeply personal”

St. Louis Today

“one of the most compelling and life-altering theatrical experiences you’re likely to have”

Stage Door St. Louis

DONATION REPORT

September 19, 2014

MONTH	Cash Donations	Gifts-In-Kind	TOTAL
July	\$35,680.04	\$1,223.00	\$36,903.04
August	\$38,399.15	\$10,267.00	\$48,666.15
September	\$189,552.56	\$9,539.00	\$199,091.56
October	\$58,389.98	\$434.56	\$58,824.54
November	\$189,056.10	\$165.00	\$189,221.10
December	\$123,450.25	\$1,534.00	\$124,984.25
January	\$23,891.00	\$1,030.00	\$24,921.00
February	\$136,605.61	-0-	\$136,605.61
March	\$1,370,019.62	\$5,399.00	\$1,375,418.62
April	\$41,432.95	\$9,374.00	\$50,806.95
May	\$80,654.19	\$8,100.00	\$88,754.19
June	\$373,381.56	\$4,625.00	\$378,006.56
FY14 TOTAL	\$2,660,513.01	\$51,690.56	\$2,712,203.57

July	\$178,500.83	\$287.37	\$178,788.20
August	\$45,953.68	\$200.00	\$46,153.68
FY15 TO DATE	\$224,454.51	\$487.37	\$224,941.88

July-August CASH DONATION Summary

Athletics	\$36,910.16	Holland Commons	\$108,050.00
Celebrity Concert	\$1,500.00	Scholarships	\$ 77,294.35
DSU Library	\$ 700.00		

Total July-August CASH Donations \$224,454.51

Dixie State University
HOMECOMING 2014
“No Place Like Dixie”

Monday, October 20

8:30 a.m. – Noon – Kick-off Event
7 p.m. – Undersecretary Rose
Gottemoeller

Tuesday, October 21

7 p.m. — Miss Dixie Pageant
Cox Auditorium, \$5

Wednesday, October 22

Noon – Paint D Road
In front of the Burns Arena

Dusk — Drive-In Movie
Ridge Top Complex
620 S. Airport Road, free

Thursday, October 23

Noon – Finish Paint D Road
In front of the Burns Arena

3 p.m. – Inauguration of President
Williams as 18th President of Dixie
State University
Burns Arena, free

Friday, October 24

10 a.m. — Alumni Assembly
Dolores Dore' Eccles Fine Arts Center
Concert Hall, free

Noon — 50 Year Club Reunion
Sears Art Museum Gallery in the Dolores
Dore' Eccles Fine Arts Center, free

2 p.m. — Basketball Reunion
Ballroom in Kenneth N. Gardner Student
Center, free

2 p.m. – Rock the Mall Competition
Encampment Mall, free

Friday, October 24 (continued)

6 p.m. — Alumni Banquet
Student Activities Center (Old Gym), \$13

7:30 p.m. — Possible Powder Puff
Spirit Event
Dixie SunBowl, 150 S. 400 East, free

Midnight — Student Alumni
Association's Midnight 5K Run
Hansen Stadium, \$15

Saturday, October 25

10 a.m. — Homecoming Parade
Along Tabernacle Street, starting at 700
East and ending at Main Street, free

11:30 a.m. — Founders' Day
Assembly/ Hall of Fame Induction
Ceremony
St. George Tabernacle, 18 S. Main St.,
free

3:45 p.m. — Homecoming Tailgate
Party
Alumni House, free

6 p.m. — Homecoming Football
Game
Hansen Stadium, \$12 for reserved seats
and \$6 for general admission

9 p.m. – Homecoming Dance
Cox Plaza Tent, free

Midnight — True Rebel Night
University Tower, free

Tuesday, October 28

7:30 p.m. – Phillip Phillips Concert
Burns Arena, \$15 - \$45