

# Utah Money Management Council

350 N STATE STREET, SUITE 180  
PO BOX 142315  
SALT LAKE CITY, UTAH 84114-2315  
TEL: (801) 538-1883  
FAX: (801) 538-1465



## A G E N D A

Interim Money Management Council meeting

April 15, 2024

Teleconference Via Google Meets

Anchor location: 350 North State Street, Suite 170

3:30 p.m.

## A G E N D A

- 1.) THIS WILL BE A BRIEF MEETING TO APPROVE A NEW CERTIFIED INVESTMENT ADVISER.

Please Note: There will be a regular Money Management Council on June 20, 2024 @3:00 p.m.

**\*\*Note:** All Council members may be in attendance via Google meets  
[meet.google.com/aco-vbnq-brx](https://meet.google.com/aco-vbnq-brx)  
Join by phone  
(US) +1 740-518-5462 PIN: 118 162 040#

The public is welcome to attend electronically.

K. Wayne Cushing, Chair  
Salt Lake County  
2001 South State Street N1-200  
Salt Lake City, Utah 84114  
Phone (385)-468-8305  
[kwcushing@slco.org](mailto:kwcushing@slco.org)

Corrie Forsling  
Summit County  
60 North Main Street  
Coalville, Utah 84017  
Phone (435)-336-3267  
[cforsling@summitcounty.org](mailto:cforsling@summitcounty.org)

Scott Burnett, Vice Chair  
Zions Capital Advisors  
One S Main, 12<sup>th</sup> Floor  
Salt Lake City, UT 84133  
Phone (801)-844-7740  
[scott.burnett@zionsbancorp.com](mailto:scott.burnett@zionsbancorp.com)

Mark W. Packard  
Central Bank  
75 N University Ave.  
Provo UT 84601  
801-655-2153  
[packardm@cbutah.com](mailto:packardm@cbutah.com)

Chip Dawson  
South Jordan City  
1600 W. Towne Center Drive  
South Jordan, UT 84095  
801-253-5203 ext. 1381  
[cdawson@sjc.utah.gov](mailto:cdawson@sjc.utah.gov)



SPENCER J. COX  
*Governor*

DEIDRE M. HENDERSON  
*Lieutenant Governor*

# UTAH DEPARTMENT OF COMMERCE

## Division of Securities

MARGARET W. BUSSE  
*Executive Director*

JASON STERZER  
*Division Director*

TO:	Money Management Council
FROM:	Michele Black, Division of Securities
RE:	Additions to the Certified Investment Adviser List
DATE:	April 15, 2024

The following agents and firms have been added to the existing list for conducting investment transactions with public treasures pursuant to rule 15 of the State Money Management Council.

Raymond James & Associates, Inc.

Investment Adviser Representatives:  
Stan J. Goodell



SPENCER J. COX  
Governor

DEIDRE M. HENDERSON  
Lieutenant Governor

## UTAH DEPARTMENT OF COMMERCE

### Division of Securities

MARGARET W. BUSSE  
Executive Director

JASON STERZER  
Division Director

TO: Money Management Council  
FROM: Michele Black, Division of Securities  
RE: Current List Certified Investment Adviser  
DATE: April 15, 2024

The following is the list of Certified Investment Advisers and Investment Adviser Representative(s) effective April 15, 2024:

#### **AllianceBernstein L.P.**

Investment Adviser

Representatives: Cameron Cole

1400 16<sup>th</sup> Street, Ste 450  
Denver, CO 80202

Mary Conroy Grasso  
Richard T. Gatherum  
Bradley T. Gadowski  
Herbert W. Moloney  
Joshua Moreland  
Edward C. O'Connor  
Darreld R. Turner II  
Jonathan Underkofler

“  
“  
“  
“  
“  
“  
“  
“

#### **Chandler Asset Management Inc.**

Investment Adviser

Representatives: Jayson Schmitt

6225 Lusk Blvd.  
San Diego, CA 92121

#### **Meeder Public Funds, Inc.**

Investment Adviser

Representative: Gregory Balls

John Jason Click

Tonya L. Dazzio

10655 Park Run Dr. #120  
Las Vegas, NV 89144  
6125 Memorial Drive  
Dublin, OH 43017  
10655 Park Run Dr. #120  
Las Vegas, NV 89144

Division of Securities

Heber M. Wells Building • 160 East 300 South • P.O. Box 146760, Salt Lake City, UT 84114-6741  
[www.securities.utah.gov](http://www.securities.utah.gov) • telephone (801) 530-6600 • fax (801) 530-6980

**Meeder Public Funds, Inc. cont.**

Investment Adviser

Representative: Riley J. Littlefield

Richard L. Phillips  
Benjamin Sehy

Nick Vaccari

10655 Park Run Dr. #120  
Las Vegas, NV 89144

“ “

222 South Main St. 5<sup>th</sup> Fl.  
Salt Lake City, UT 84101  
6125 Memorial Drive  
Dublin, OH 43017

**Moreton Asset Management, LLC**

Investment Adviser

Representatives: Jordan C. Hansen

Andrew J. Robbins  
Daniel V. Rusk  
Jason M. Williams

40 North 100 East  
Farmington, UT 84025

“ “

“ “

“ “

**Peterson Wealth Services, Inc.**

Investment Adviser

Representatives: Billy C. Peterson

Cade S. Peterson  
Shaun R. Peterson  
Margaret Pigg

1523 East Skyline Drive, Suite C  
So. Ogden, UT 84405

“ “

“ “

“ “

**PFM Asset Management LLC**

Investment Adviser

Representatives: Joan Evans

Lukas Schneider

Stefani VonHoltum-Niesent

1720 Carey Avenue, Suite 520  
Cheyenne, WY 82001

1201 S. Alma School Road  
Mail Code: LM-AZ-WADS  
Mesa, AZ 85210

950 17<sup>th</sup> Street  
Mail Code: DN-CO-T8  
Denver, CO 80202

**Raymond James & Associates, Inc.**

Investment Adviser

Representatives:

Stan J. Goodell  
2750 E. Cottonwood Okwy Ste 250  
Cottonwood Heights, UT 84121-7289

**Soltis Investment Advisors, LLC**

Investment Adviser

Representatives: Kim D. Anderson  
  
Cabe Atkinson  
  
Tyler J. Finlinson  
  
Christie N. Krompel  
  
Brent D. Moore  
  
Clark V. Taylor  
  
William W. Wallace  
  
Tyler K. Wilkinson  
  
Shawn S. Woods

20 North Main Street, Suite 400  
St. George, UT 84770  
915 South 500 East, #110  
American Fork, UT 84003  
20 North Main Street, Suite 400  
St. George, UT 84770  
20 North Main Street, Suite 400  
St. George, UT 84770  
222 S. Main Street, Suite 1776  
Salt Lake City, UT 84101  
20 North Main Street, Suite 400  
St. George, UT 84770  
222 S. Main Street, Suite 1776  
Salt Lake City, UT 84101  
20 North Main Street, Suite 400  
St. George, UT 84770

“ “

**Wells Fargo Clearing Services, LLC**

Investment Adviser

Representatives: Christopher Ahearn  
  
Daniel H. Payne  
Timothy Payne  
Christopher Soutas

201 S. Main Street, Suite 160  
Salt Lake City, UT 84111  
“ “  
“ “  
2455 Parleys Way, Suite 250  
Salt Lake City, UT 84109

**Zions Capital Advisors, Inc.**

Investment Adviser

Representatives: Blake Bihm  
  
Scott R. Burnett  
  
Peter Kelson  
Bruce Snow

2125 W. Davis Street  
Conroe, TX 77304  
One South Main Street, 12<sup>th</sup> Floor  
Salt Lake City, UT 84133  
“ “  
“ “