



## STATE OF UTAH

### DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES

### SECURITIES COMMISSION MEETING | AGENDA

October 12, 2023 | 9:00 AM-10:00 AM | 451 HEARING ROOM

#### COMMENCEMENT

9:00AM

1. Approval of August 10, 2023 meeting minutes.

Recognize Lyle White and swear in new Securities Commissioner, Dan Debry

#### 2. CONSIDERATION AND APPROVAL OF PROPOSED ORDER(S)

PROPOSED ORDER	DOCKET ORDER	IN THE MATTER OF	PRESENTER
Stipulation and Consent Order	SD-23-0015	Jaouni Enterprises, LLC	Stephen Gillies
Stipulation and Consent Order	SD-23-0016	Mohammed Ibrahim Joouni	Stephen Gillies
Stipulation and Consent Order	SD-22-0015	Stephen Edward Gardner	Stephen Gillies
Stipulation and Consent Order	SD-23-0017	Todd Michael Smithson	Tyler Hardman
Stipulation and Consent Order	SD-23-0018	Smithson Financial Group	Tyler Hardman
Stipulation and Consent Order	SD-23-0019	Seller's Life, LLC	Tyler Hardman
Stipulation and Consent Order	SD-23-0020	William Dane Deletti	Tyler Hardman
Stipulation and Consent Order	SD-23-0021	Skyler Lund Fishback	Tyler Hardman
Stipulation and Consent Order	SD-23-0027	Raymond James and Assoc.	Chip Lyons
Stipulation and Consent Order	SD-23-0028	Raymond James Financial	Chip Lyons
Stipulation and Consent Order	SD-23-0029	Tru Bullion, LLC	Chip Lyons
Stipulation and Consent Order	SD-23-0030	Anthony Allen Anderson	Chip Lyons

#### GRANT REQUESTS FROM THE SECURITIES INVESTOR EDUCATION AND TRAINING FUND

#### GRANT REQUESTS

#### PRESENTER

3. Wayne Brown Institute dba Kinect Capital

Tara Spalding

4. Utah Financial Planning Association

Erik Koford

5. Education Fund Expenditure Request

Aaron Burgoyne



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**DIRECTOR'S REPORT**

Jason Sterzer

**SECTION REPORTS**

→ Communications and Outreach

Jason Sterzer

→ Licensing and Registration

Bryan Cowley

→ Compliance

Ken Barton

→ Enforcement

Cidne Orchard