



**STATE OF UTAH**  
**DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES**  
**SECURITIES COMMISSION MEETING | AGENDA**  
**August 10, 2023 | 9:00 AM-12:00 AM | ROOM 451**

**COMMENCEMENT**

9:00 AM

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1. Approval of April 13th, 2023 meeting minutes.

**DIRECTOR'S REPORT**

Jason Sterzer

**SECTION REPORTS**

→ Licensing and Registration

Bryan Cowley

→ Compliance

Ken Barton

→ Enforcement

Liz Blaylock

→ Investor Education

Francswai Grayson

**GRANT REQUESTS FROM THE SECURITIES INVESTOR EDUCATION AND TRAINING FUND**

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2. → Utah Financial Empowerment Coalition

Susan Speirs

→ Junior Achievement

Christy Tribe

→ Utah Jumpstart Coalition

Susan Speirs

→ Utah Financial Planning Association

Jenifer L. Connors

**3. EDUCATION FUND EXPENDITURE REQUEST**

Aaron Burgoyne

**4. CONSIDERATION AND APPROVAL OF PROPOSED ORDERS**

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Proposed Order	Docket	In the matter of	Presenter
Richard Shane Ewell	SD-23-0005	Stipulation and Consent Order	Chip Lyons
Thomas E. Johnson	SD-23-0006	Stipulation and Consent Order	Chip Lyons
Eric Francisco Bullock	SD-23-0011	Stipulation and Consent Order	Chip Lyons
Daniel J. Matheson	SD-23-0010	Stipulation and Consent Order	Chip Lyons
Robin Hood	SD-23-0015	Stipulation and Consent Order	Chip Lyons

Stephen Edward Gardner	SD-22-2021	Stipulation and Consent Order	Stephen Gillies
Marvin Cartwright	SD-22-0017	Stipulation and Consent Order	Tyler Hardman
Kashin Inc	SD-21-0011	Stipulation and Consent Order	Tyler Hardman
Ronald Duane Wells	SD-23-0023	Stipulation and Consent Order	Tyler Hardman
Mark Robert Sansom	SD-19-0044	Stipulation and Consent Order	Jen Korb
Dale Rogers	SD-23-0004	Stipulation and Consent Order	Mark Holliday