

1 **R277. Education, Administration.**

2 **R277-484. Data Standards.**

3 **R277-484-1. Authority and Purpose.**

4 (1) This rule is authorized by:

5 (a) Utah Constitution [Article X, Section 3](#), which vests general control and
6 supervision over public education in the Board;

7 (b) Subsection [53E-3-401\(4\)](#), which allows the Board to make rules to execute
8 the Board's duties and responsibilities under the Utah Constitution and state law;

9 (c) Subsection [53E-3-401\(8\)\(a\)](#), which allows the Board to take corrective action
10 against an education entity that fails to comply with Board rules; and

11 (d) Subsection [53E-3-511\(8\)](#), which requires the Board to ensure LEA inclusion
12 of data in an LEA's Student Information System.

13 (2) The Superintendent is required to perform certain data collection related
14 duties essential to the operation of statewide educational accountability and financial
15 systems as mandated in state and federal law.

16 (3) The purpose of this rule is to:

17 (a) support the operation of required educational accountability and financial
18 systems by ensuring timely submission of data by LEAs;

19 (b) support the provision of equal opportunity for students;

20 (c) support accuracy, efficiency, and consistency of data; and

21 (d) ensure maintenance of basic contact and demographic information for each
22 LEA and school.

23 (4)(a) This Rule R277-484 is categorized as Category 3 as described in Rule
24 [R277-111](#).

25 (b) Notwithstanding Subsection (4)(a), individual requirements contained in the
26 rule or incorporated by reference into the rule may be categorized separately in
27 accordance with program resources and responsibilities.

28

29 **R277-484-2. Definitions.**

30 As used in this rule and the Board Reporting Deadline Table incorporated by
31 reference in this rule:

32 (1) "Annual Financial Report" means an account of LEA revenue and
33 expenditures by source and fund sufficient to meet the reporting requirements specified
34 in Subsections [53E-3-301](#)(3)(d) and (e).

35 (2) "Annual Program Report" means an account of LEA revenue and
36 expenditures by source and program sufficient to meet the reporting requirements
37 specified in Subsections [53E-3-301](#)(3)(d) and (e).

38 (3) "Comprehensive Administration of Credentials for Teachers in Utah Schools"
39 or "CACTUS" means the online licensing database maintained by the Superintendent,
40 which will be phased out and replaced by USIMS.

41 (4) "Contact information" means the name, title, email address, and phone
42 number for a designated individual.

43 (5) "Data Warehouse" means the database of demographic information, course
44 taking, and test results maintained by the Superintendent on all students enrolled in
45 Utah schools.

46 (6) "Designated individual" means:

- 47 (a) an LEA governing board chair;
- 48 (b) a local administrator;
- 49 (c) a business administrator; or
- 50 (d) a school principal.

51 (7) "Governing board chair" means the chair or president of an LEA governing
52 board.

53 (8) "LEA" includes, for purposes of this rule, the Utah Schools for the Deaf and
54 the Blind.

55 (9) "LEA demographic information" means:

- 56 (a) the LEA name;
- 57 (b) the LEA number;
- 58 (c) the physical address;

59 (d) the website;

60 (e) a phone number; and

61 (f) the LEA's grade range.

62 (10) "Local administrator" means a district superintendent or charter school
63 director.

64 (11) "MSP" means Minimum School Program, the set of state supported K-12
65 public school funding programs.

66 (12) "School demographic information" means:

67 (a) the school name;

68 (b) the school number;

69 (c) the physical and mailing address;

70 (d) the website;

71 (e) a phone number;

72 (f) the school type; and

73 (g) the school grade range.

74 (13) "Schools interoperability framework" or "SIF" means an open global
75 standard for seamless, real time data transfer and usage for Utah public schools.

76 (14) "Student achievement backpack" has the same meaning as that term is
77 defined in Subsection [53E-3-511\(1\)\(d\)](#).

78 (15) "Student information system" or "SIS" means a student data collection
79 system used for Utah public schools.

80 (16) "Utah eTranscript and Record Exchange" or "UTREx" means a system that
81 allows individual detailed student records to be exchanged electronically between public
82 education LEAs and the Board, and allows electronic transcripts to be sent to any post-
83 secondary institution, private or public, in-state or out-of-state, that participates in the e-
84 transcript service.

85 (17) "Utah Student Record Store" has the same meaning as that term is defined
86 in Subsection [53E-3-511\(1\)\(d\)](#).

87 (18) "Year" means both the school year and the fiscal year for a Utah LEA,
88 which runs from July 1 through June 30.

89

90 **R277-484-3. Incorporation by Reference of Board Reporting Deadline Table.**

91 (1) This rule incorporates by reference the Board Reporting Deadline Table
92 dated [~~September 7~~] May 2, 2023.

93 (2) A copy of the Board Reporting Deadline Table is located at:

94 (a) <http://schools.utah.gov/administrativerules/documentsincorporated>; and

95 (b) the Utah State Board of Education - 250 East 500 South, Salt Lake City,
96 Utah - 84111.

97

98 **R277-484-4. Deadlines for Data Submission.**

99 (1) An LEA shall submit student level data to the Board through UTREx.

100 (2) An LEA shall submit teacher assignment and salary data to the Board
101 through CACTUS or USIMS.

102 (3) An LEA shall, by 5 p.m. Mountain Standard Time on the date specified in the
103 Board Reporting Deadline Table, submit reports in the format specified by the
104 Superintendent.

105 (4) If a deadline in the Board Reporting Deadline Table falls on a weekend or
106 state holiday in a given year, an LEA shall submit the report on the next business day
107 following the date specified in the Board Reporting Deadline Table.

108 (5) An LEA shall assign an individual to oversee compliance with this rule.

109

110 **R277-484-5. Adjustments to Deadlines.**

111 (1) An LEA may seek an extension of a deadline to ensure continuation of
112 funding and provide more accurate information to allocation formulas by submitting a
113 written request to the Superintendent no later than 24 hours before the specified
114 deadline in Table 1.

115 (2) An extension request shall include:

- 116 (a) The reasons for the extension request;
- 117 (b) The signatures of the LEA business administrator and local administrator;
- 118 and
- 119 (c) The date by which the LEA proposes to submit the report.
- 120 (3) If an LEA requests an extension under Subsection (1), the Superintendent
- 121 may do any of the following after taking into consideration the pattern of LEA
- 122 compliance with reporting deadlines and the urgency of the need for the data to be
- 123 submitted:
- 124 (a) Approve the request and allow the MSP fund transfer process to continue; or
- 125 (b) Deny the request and stop the MSP fund transfer process; or
- 126 (c) Recommend corrective action to the Board in accordance with Rule [R277-](#)
- 127 [114](#).
- 128 (4) If, after receiving an extension, an LEA fails to submit the report by the
- 129 designated date, the MSP fund transfer process shall be stopped and the procedures
- 130 described in Section R277-484-7 shall apply.
- 131 (5) An extension shall apply only to the specific reports and dates for which an
- 132 extension was requested.
- 133 (6) The Superintendent may not extend deadlines for the following reports:
- 134 (a) AFR;
- 135 (b) APR;
- 136 (c) Mid-year or Final CACTUS updates;
- 137 (d) a Financial Audit Report; or
- 138 (e) any UTREx updates.
- 139 (7) Notwithstanding Subsection (6)(e), if an LEA identifies significant errors in a
- 140 UTREx update, the Superintendent may grant the LEA an extension of no more than
- 141 eight calendar days to file a new update.

142

143 **R277-484-6. Official Data Source and Required LEA Compatibility.**

144 (1) The Superintendent shall load operational data collections into the Data
145 Warehouse as of the submission deadlines specified.

146 (2) The Data Warehouse shall be the sole official source of data for annual:

147 (a) school performance reports required under Section [53E-5-204](#);

148 (b) determination of state and federal accountability reports; and

149 (c) submission of data files to the U.S. Department of Education.

150 (3) The Superintendent shall maintain a database of LEA and school:

151 (a) demographic information;

152 (b) openings;

153 (c) closures; and

154 (d) contact information for designated individuals.

155 (4)(a) An LEA shall use an SIS approved by the Superintendent to ensure
156 compatibility with Board data collection systems.

157 (b) The Superintendent shall maintain a list of approved student information
158 systems.

159 (5) Before the Superintendent granting approval for an LEA to initiate or replace
160 a student information system that was not previously approved, the LEA shall:

161 (a) send written request for approval to the Superintendent no later than
162 November 15 of the year before the year the LEA proposes to use the SIS for
163 production software;

164 (b) submit documentation to the Superintendent that the new or modified student
165 information system is SIF certified;

166 (c) submit documentation to the Superintendent that an SIF agent can meet the
167 UTREx specifications profile for Vertical Reporting Framework (VRF) and eTranscripts;

168 (d) ensure that a new student information system can generate valid data
169 collection by submitting an actual file to the Superintendent for review;

170 (e) ensure that the new student information system can generate the Statewide
171 Student Identifier (SSID) request file by submitting an actual file to the Superintendent
172 for review.

173 (6)(a) The Superintendent shall review documentation and grant or deny an LEA
174 submission under Subsection (4) within 30 calendar days.

175 (b) An approved replacement system shall run in parallel to a state-approved
176 system for a period of at least three months and be able to generate duplicate reports to
177 previously generated information.

178 (7) An LEA shall submit daily updates to the Board Clearinghouse using School
179 Interoperability Framework (SIF) objects defined in the UTREx Clearinghouse
180 specification.

181 (8) An LEA shall electronically submit all public high school transcripts requested
182 by a public education post-secondary school if the post-secondary school is capable of
183 receiving transcripts through the electronic transcript service designated by the
184 Superintendent.

185 (9) No later than June 30, 2017, an LEA shall ensure that data collected in the
186 Utah Student Record Store for a Student Achievement Backpack is integrated into the
187 LEA's SIS and is made available to a student's parent or guardian and an authorized
188 LEA user in an easily accessible viewing format.

189 (10) Failure to comply with any of the requirements of this Section R277-484-5
190 may result in a recommendation for corrective action in accordance with Rule [R277-](#)
191 [114](#).

192

193 **R277-484-7. Adjustments to Summary Statistics Based on Compliance Audits.**

194 (1) To allocate MSP funds and projecting enrollment, the Superintendent may
195 modify LEA level aggregate membership and fall enrollment counts on the basis of the
196 values in the Membership and Enrollment audit reports, respectively, when an audit
197 report review team agrees that an adjustment is warranted by the evidence of an audit.

198 (2) An audit report review team shall make a determination under Subsection (1)
199 within 60 working days of the authorized audit report deadline.

200 (3) The Superintendent may only adjust values downward if an audit report is
201 received after an authorized deadline.

202

203 **R277-484-8. Financial Consequences of Failure to Submit Reports on Time.**

204 (1) If an LEA fails to submit a report by its deadline as specified in Table 1,
205 consistent with procedures outlined in Rule [R277-114](#), the Superintendent may
206 recommend corrective action, including stopping the LEA's MSP funds transfer process,
207 unless the LEA has obtained an extension of the deadline in accordance with the
208 procedure described in Section R277-484-4.

209 (2) The Superintendent may recommend loss of up to 1.0 WPU from
210 Kindergarten or Grades 1-12 programs, depending on the grade level and aggregate
211 membership of the student, in the current year Mid Year Update for each student whose
212 prior year immunization status was not accounted for in accordance with Section [53G-9-](#)
213 [302](#) as of June 15.

214

215 **KEY: data standards, reports, deadlines**

216 **Date of Last Change: November 7, 2023**

217 **Notice of Continuation: November 5, 2021**

218 **Authorizing, and Implemented or Interpreted Law: [Art X Sec 3](#); [53E-3-301](#)(d) and
219 (e); [53E-3-401](#); [53E-3-401](#)(8)(a); [53E-3-511](#)(8)2**