

STATE OF UTAH

DEPARTMENT OF COMMERCE | DIVISION OF SECURITIES SECURITIES COMMISSION MEETING | AGENDA January 11, 2024 | 9:00 AM-11:00 AM | HEARING ROOM 452

| COMMENCEMENT | 9:00AM |
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| COMMENCEMENT | 7.UUAIVI |

1. Approval of October 12th, 2023 meeting minutes.

2. Recognition of Peggy Hunt.

3. Swearing in of new Securities Commissioner Melanie Vartabedian.

Su Chon

DIRECTOR'S REPORT/ COMMUNICATIONS OUTREACH

Francswai Grayson

SECTION REPORTS

→ Licensing and Registration

Bryan Cowley

→ Compliance

Ken Barton

→ Enforcement

Liz Blaylock

GRANT REQUESTS FROM THE SECURITIES INVESTOR EDUCATION AND TRAINING FUND

4. **Education Fund Expenditure Request**

Aaron Burgoyne

CONSIDERATION AND APPROVAL OF PROPOSED ORDER(S)

PROPOSED ORDER

DOCKET ORDER

IN THE MATTER OF

PRESENTER

Settlement Order

SD-24-0001/0002

Safeguard Metals LLC

Jen Korb

Stipulation and Consent Order SD-23-0007/0008/0009

Aspen Capital Crosier/Loveland

Chip Lyons

Stipulation and Consent Order SD-23-0014

Michael Hekking

Chip Lyons

Standing Order

2023-001

General Order 23-001

Franscwai